



## 5 $\Delta F = 2$ in Neutral Mesons From a Gauged $SU(3)_F$ Family Symmetry

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**Abstract.** Within a broken local gauge vector-like  $SU(3)_F$  family symmetry, we study some  $\Delta F = 2$  processes induced by the tree level exchange of the new massive horizontal gauge bosons, which introduce flavor-changing couplings. We find out that some of the dangerous FCNC processes, like for instance;  $K^0 - \bar{K}^0$ ,  $D^0 - \bar{D}^0$  mixing, may be properly suppressed if the first stage of the Spontaneous Symmetry Breaking (SSB),  $SU(3)_F \rightarrow SU(2)_F$ , occurs at a high scale  $\Lambda \sim 10^{11}$  GeV, with the  $SU(2)_F$  gauge bosons acting on the light families. We provide a parameter space region where this framework can accommodate the hierarchical spectrum of quark masses and mixing and simultaneously suppress properly the contribution to  $K^0 - \bar{K}^0$  mixing as well as the  $\mathcal{O}_{LL}$  and  $\mathcal{O}_{RR}$  effective operators for the  $\Delta C = 2$  processes.

**Povzetek.** Avtor obravnava procese, pri katerih se družinsko kvantno število spremeni za 2. Uporabi model, v katerem opiše družinsko kvantno število kvarkov in leptonov z grupo  $SU_3$ , lokalna umeritvena polja grupe  $SU_3$  pa poskrbijo za interakcijo med fermioni, ki nosijo ustrezna kvantna števila. Masivni umeritveni bozoni dopuščajo sicer nevtralne prehode (FCNC) med fermioni iste družine, vendar so taki prehodi, kot primer navaja mešanje  $K^0 - \bar{K}^0$  ter  $D^0 - \bar{D}^0$ , dovolj malo verjetni, če le pride do spontane zlomitve družinske simetrije  $SU(3)_F \rightarrow SU(2)_F$  pri energiji  $\Lambda \sim 10^{11}$  GeV. Poišče območje parametrov, v katerem imajo kvarki opazljive lastnosti.

Keywords: Quark and lepton masses and mixing, Flavor symmetry,  $\Delta F = 2$  Processes.

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### 5.1 Introduction

Flavor physics and rare processes play an important role to test any Beyond Standard Model(BSM) physics proposal, and hence, it is crucial to explore the possibility to suppress properly these type of flavor violating processes.

Within the framework of a vector-like gauged  $SU(3)_F$  family symmetry model[1,2], we study the contribution to  $\Delta F = 2$  processes[3]-[6] in neutral mesons

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at tree level exchange diagrams mediated by the gauge bosons with masses of the order of some TeV's, corresponding to the lower scale of the  $SU(3)_F$  family symmetry breaking.

The reported analysis is performed in a scenario where light fermions obtain masses from radiative corrections mediated by the massive bosons associated to the broken  $SU(3)_F$  family symmetry, while the heavy fermions; top and bottom quarks and tau lepton become massive from tree level See-saw mechanisms. Previous theories addressing the problem of quark and lepton masses and mixing with spontaneously broken  $SU(3)$  gauge symmetry of generations include the ones with chiral local  $SU(3)_H$  family symmetry as well as other  $SU(3)$  family symmetries. See for instance [7]-[20] and references therein.

## 5.2 $SU(3)_F$ flavor symmetry model

The model is based on the gauge symmetry

$$G \equiv SU(3)_F \otimes SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \quad (5.1)$$

where  $SU(3)_F$  is a completely vector-like and universal gauged family symmetry. That is, the corresponding gauge bosons couple equally to Left and Right Handed ordinary Quarks and Leptons, with  $g_H$ ,  $g_s$ ,  $g$  and  $g'$  the corresponding coupling constants. The content of fermions assumes the standard model quarks and leptons:

$$\Psi_q^o = (3, 3, 2, \frac{1}{3})_L \quad , \quad \Psi_l^o = (3, 1, 2, -1)_L$$

$$\Psi_u^o = (3, 3, 1, \frac{4}{3})_R \quad , \quad \Psi_d^o = (3, 3, 1, -\frac{2}{3})_R \quad , \quad \Psi_e^o = (3, 1, 1, -2)_R$$

where the last entry is the hypercharge  $Y$ , with the electric charge defined by  $Q = T_{3L} + \frac{1}{2}Y$ .

The model includes two types of extra fermions:

- Right Handed Neutrinos:  $\Psi_{\nu_R}^o = (3, 1, 1, 0)_R$  , introduced to cancel anomalies [21],
- and a new family of  $SU(2)_L$  weak singlet vector-like fermions:

$$U_L^o, U_R^o = (1, 3, 1, \frac{4}{3}) \quad , \quad D_L^o, D_R^o = (1, 3, 1, -\frac{2}{3}) \quad (5.2)$$

Vector Like electrons:  $E_L^o, E_R^o = (1, 1, 1, -2)$

and

New Sterile Neutrinos:  $N_L^o, N_R^o = (1, 1, 1, 0)$  ,

The particle content and gauge symmetry assignments are summarized in Table 5.1. Notice that all  $SU(3)_F$  non-singlet fields transform as the fundamental representation under the  $SU(3)_F$  symmetry.

	$SU(3)_F$	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$\psi_q^o$	3	3	2	$\frac{1}{3}$
$\psi_{uR}^o$	3	3	1	$\frac{4}{3}$
$\psi_{dR}^o$	3	3	1	$-\frac{2}{3}$
$\psi_l^o$	3	1	2	-1
$\psi_{eR}^o$	3	1	1	-2
$\psi_{\nu R}^o$	3	1	1	0
$\Phi^u$	3	1	2	-1
$\Phi^d$	3	1	2	+1
$\eta_i$	3	1	1	0
$U_{L,R}^o$	1	3	1	$\frac{4}{3}$
$D_{L,R}^o$	1	3	1	$-\frac{2}{3}$
$E_{L,R}^o$	1	1	1	-2
$N_{L,R}^o$	1	1	1	0

**Table 5.1.** Particle content and charges under the gauge symmetry

### 5.3 $SU(3)_F$ family symmetry breaking

To implement the SSB of  $SU(3)_F$ , we introduce the flavon scalar fields:  $\eta_i$ ,  $i = 2, 3$ ,

$$\eta_i = (3, 1, 1, 0) = \begin{pmatrix} \eta_{i1}^o \\ \eta_{i2}^o \\ \eta_{i3}^o \end{pmatrix}, \quad i = 2, 3$$

with the "Vacuum Expectation Values" (VEV's):

$$\langle \eta_2 \rangle^T = (0, \Lambda_2, 0), \quad \langle \eta_3 \rangle^T = (0, 0, \Lambda_3). \quad (5.3)$$

It is worth to mention that these two scalars in the fundamental representation is the minimal set of scalars to break down completely the  $SU(3)_F$  family symmetry. The interaction Lagrangian of the  $SU(3)_F$  gauge bosons to the SM massless fermions is

$$i\mathcal{L}_{\text{int}, SU(3)_F} = g_H (\bar{f}_1^o \bar{f}_2^o \bar{f}_3^o) \gamma_\mu \begin{pmatrix} \frac{Z_1^\mu}{2} + \frac{Z_2^\mu}{2\sqrt{3}} & \frac{Y_1^{+\mu}}{\sqrt{2}} & \frac{Y_2^{+\mu}}{\sqrt{2}} \\ \frac{Y_1^{-\mu}}{\sqrt{2}} & -\frac{Z_1^\mu}{2} + \frac{Z_2^\mu}{2\sqrt{3}} & \frac{Y_3^{+\mu}}{\sqrt{2}} \\ \frac{Y_2^{-\mu}}{\sqrt{2}} & \frac{Y_3^{-\mu}}{\sqrt{2}} & -\frac{Z_2^\mu}{\sqrt{3}} \end{pmatrix} \begin{pmatrix} f_1^o \\ f_2^o \\ f_3^o \end{pmatrix}$$

where  $g_H$  is the  $SU(3)_F$  coupling constant,  $Z_1, Z_2$  and  $Y_j^\pm = \frac{Y_j^1 \mp i Y_j^2}{\sqrt{2}}$ ,  $j = 1, 2, 3$  are the eight gauge bosons.

Thus, the contribution to the horizontal gauge boson masses from the VEV's in Eq.(5.3) read

- $\langle \eta_2 \rangle$ :  $\frac{g_{H_2}^2 \Lambda_2^2}{2} (Y_1^+ Y_1^- + Y_3^+ Y_3^-) + \frac{g_{H_2}^2 \Lambda_2^2}{4} (Z_1^2 + \frac{Z_2^2}{3} - 2Z_1 \frac{Z_2}{\sqrt{3}})$
- $\langle \eta_3 \rangle$ :  $\frac{g_{H_3}^2 \Lambda_3^2}{2} (Y_2^+ Y_2^- + Y_3^+ Y_3^-) + g_{H_3}^2 \Lambda_3^2 \frac{Z_3^2}{3}$

The "Spontaneous Symmetry Breaking" (SSB) of  $SU(3)_F$  occurs in two stages

$$SU(3)_F \times G_{SM} \xrightarrow{\langle \eta_3 \rangle} SU(2)_F \times G_{SM} \xrightarrow{\langle \eta_2 \rangle} G_{SM}$$

**FCNC ?**

$\Lambda_3$ : 5 very heavy boson masses ( $\geq 100 \text{ TeV}'s$ )

$\Lambda_2$ : 3 heavy boson masses (may be a few  $\text{TeV}'s$ ).

Notice that the hierarchy of scales  $\Lambda_3 \gg \Lambda_2$  define an "approximate  $SU(2)$  global symmetry" in the spectrum of  $SU(2)_F$  gauge boson masses. To suppress properly the FCNC like, for instance:  $\mu \rightarrow e\gamma$ ,  $\mu \rightarrow e e e$ ,  $K^0 - \bar{K}^0$ , and  $D^0 - \bar{D}^0$ , it is crucial to choose properly the  $SU(2)_F$  symmetry at the lower scale.

Therefore, neglecting tiny contributions from electroweak symmetry breaking, we obtain the gauge boson mass terms.

$$M_2^2 Y_1^+ Y_1^- + M_3^2 Y_2^+ Y_2^- + (M_2^2 + M_3^2) Y_3^+ Y_3^- + \frac{1}{2} M_2^2 Z_1^2 + \frac{1}{2} \frac{M_2^2 + 4M_3^2}{3} Z_2^2 - \frac{1}{2} (M_2^2) \frac{2}{\sqrt{3}} Z_1 Z_2 \quad (5.4)$$

$$M_2^2 = \frac{g_{H_2}^2 \Lambda_2^2}{2}, \quad M_3^2 = \frac{g_{H_3}^2 \Lambda_3^2}{2}, \quad y \equiv \frac{M_3}{M_2} = \frac{\Lambda_3}{\Lambda_2} \quad (5.5)$$

	$Z_1$	$Z_2$
$Z_1$	$M_2^2$	$-\frac{M_2^2}{\sqrt{3}}$
$Z_2$	$-\frac{M_2^2}{\sqrt{3}}$	$\frac{M_2^2 + 4M_3^2}{3}$

**Table 5.2.**  $Z_1 - Z_2$  mixing mass matrix

Diagonalization of the  $Z_1 - Z_2$  squared mass matrix yield the eigenvalues

$$M_-^2 = \frac{2}{3} \left( M_2^2 + M_3^2 - \sqrt{(M_3^2 - M_2^2)^2 + M_2^2 M_3^2} \right) \quad (5.6)$$

$$M_+^2 = \frac{2}{3} \left( M_2^2 + M_3^2 + \sqrt{(M_3^2 - M_2^2)^2 + M_2^2 M_3^2} \right) \quad (5.7)$$

and finally

$$M_2^2 Y_1^+ Y_1^- + M_3^2 Y_2^+ Y_2^- + (M_2^2 + M_3^2) Y_3^+ Y_3^- + M_-^2 \frac{Z_-^2}{2} + M_+^2 \frac{Z_+^2}{2}, \quad (5.8)$$

where

$$\begin{pmatrix} Z_1 \\ Z_2 \end{pmatrix} = \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} Z_- \\ Z_+ \end{pmatrix} \quad (5.9)$$

$$\cos \phi \sin \phi = \frac{\sqrt{3}}{4} \frac{M_2^2}{\sqrt{M_2^4 + M_3^2(M_3^2 - M_2^2)}}$$

$$Z_1 = \cos \phi Z_- - \sin \phi Z_+, \quad Z_2 = \sin \phi Z_- + \cos \phi Z_+ \quad (5.10)$$

## 5.4 Electroweak symmetry breaking

For electroweak symmetry breaking we introduce two triplets of  $SU(2)_L$  Higgs doublets, namely;

$$\Phi^u = (3, 1, 2, -1) \quad , \quad \Phi^d = (3, 1, 2, +1),$$

and the VEV's

$$\langle \Phi^u \rangle = \begin{pmatrix} \langle \Phi_1^u \rangle \\ \langle \Phi_2^u \rangle \\ \langle \Phi_3^u \rangle \end{pmatrix} \quad , \quad \langle \Phi^d \rangle = \begin{pmatrix} \langle \Phi_1^d \rangle \\ \langle \Phi_2^d \rangle \\ \langle \Phi_3^d \rangle \end{pmatrix} ,$$

where

$$\langle \Phi_i^u \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} v_{ui} \\ 0 \end{pmatrix} \quad , \quad \langle \Phi_i^d \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_{di} \end{pmatrix} .$$

The contributions from  $\langle \Phi^u \rangle$  and  $\langle \Phi^d \rangle$  yield the  $W$  and  $Z_o$  gauge boson masses and mixing with the  $SU(3)_F$  gauge bosons

$$\frac{g^2}{4} (v_u^2 + v_d^2) W^+ W^- + \frac{(g^2 + g'^2)}{8} (v_u^2 + v_d^2) Z_o^2$$

+ tiny contribution to the  $SU(3)_F$  gauge boson masses and mixing with the gauge boson  $Z_o$ ,

$v_u^2 = v_{1u}^2 + v_{2u}^2 + v_{3u}^2$ ,  $v_d^2 = v_{1d}^2 + v_{2d}^2 + v_{3d}^2$ . So, if we define  $M_W = \frac{1}{2}gv$ , we may write  $v = \sqrt{v_u^2 + v_d^2} \approx 246$  GeV.

## 5.5 Fermion masses

### 5.5.1 Dirac See-saw mechanisms

The scalars and fermion content allow the gauge invariant Yukawa couplings

$$H_u \overline{\psi}_q^0 \Phi^u U_R^0 + h_{iu} \overline{\psi}_{uR}^0 \eta_i U_L^0 + M_U \overline{U}_L^0 U_R^0 + h.c \quad (5.11)$$

$$H_d \overline{\psi}_q^0 \Phi^d D_R^0 + h_{id} \overline{\psi}_{dR}^0 \eta_i D_L^0 + M_D \overline{D}_L^0 D_R^0 + h.c \quad (5.12)$$

$$H_e \overline{\psi}_l^0 \Phi^d E_R^0 + h_{ie} \overline{\psi}_{eR}^0 \eta_i E_L^0 + M_E \overline{E}_L^0 E_R^0 + h.c \quad (5.13)$$

$$H_\nu \overline{\psi}_l^0 \Phi^u N_R^0 + h_{i\nu} \overline{\psi}_{\nu R}^0 \eta_i N_L^0 + M_{N_D} \overline{N}_L^0 N_R^0 + h.c \quad (5.14)$$

$$h_L \overline{\psi}_l^0 \Phi^u (N_L^0)^c + m_L \overline{N}_L^0 (N_L^0)^c + h.c \quad (5.15)$$

$$h_{iR} \overline{\psi}_{\nu R}^0 \eta_i (N_R^0)^c + m_R \overline{N}_R^0 (N_R^0)^c + h.c \quad (5.16)$$

$M_U, M_D, M_E, M_{N_D}, m_L, m_R$  are free mass parameters and  $H_u, H_d, H_e, H_\nu, h_{iu}, h_{id}, h_{ie}, h_{i\nu}, h_L, h_{iR}$  are Yukawa coupling constants. When the involved scalar fields acquire VEV's, we get in the gauge basis  $\psi_{L,R}^0 = (e^0, \mu^0, \tau^0, E^0)_{L,R}$ , the mass terms  $\overline{\psi}_L^0 \mathcal{M}^0 \psi_R^0 + h.c$ , where

$$\mathcal{M}^0 = \begin{pmatrix} 0 & 0 & 0 & h v_1 \\ 0 & 0 & 0 & h v_2 \\ 0 & 0 & 0 & h v_3 \\ 0 & h_2 \Lambda_2 & h_3 \Lambda_3 & M \end{pmatrix} \equiv \begin{pmatrix} 0 & 0 & 0 & a_1 \\ 0 & 0 & 0 & a_2 \\ 0 & 0 & 0 & a_3 \\ 0 & b_2 & b_3 & M \end{pmatrix}. \quad (5.17)$$

$\mathcal{M}^0$  is diagonalized by applying a biunitary transformation  $\psi_{L,R}^0 = V_{L,R}^0 \chi_{L,R}$ . Using the possible parametrizations for the orthogonal matrices  $V_L^0$  and  $V_R^0$  are written explicitly in the Appendix A, Using one obtains

$$V_L^{0T} \mathcal{M}^0 V_R^0 = \text{Diag}(0, 0, -\lambda_3, \lambda_4) \quad (5.18)$$

$$V_L^{0T} \mathcal{M}^0 \mathcal{M}^{0T} V_L^0 = V_R^{0T} \mathcal{M}^{0T} \mathcal{M}^0 V_R^0 = \text{Diag}(0, 0, \lambda_3^2, \lambda_4^2). \quad (5.19)$$

where  $\lambda_3$  and  $\lambda_4$  are the nonzero eigenvalues defined in Eqs.(5.56-5.58),  $\lambda_4$  being the fourth heavy fermion mass, and  $\lambda_3$  of the order of the top, bottom and tau

mass for  $u$ ,  $d$  and  $e$  fermions, respectively. We see from Eqs.(5.18,5.19) that from tree level the See-saw mechanism yields two massless eigenvalues associated to the light fermions:

It is worth to mention that the Yukawa couplings in Eqs.5.11–5.16 are invariant under the global symmetry  $U(1)_B \times U(1)_Y \times U(1)_\alpha \times U(1)_\beta$ , where  $B$  is the baryon number,  $Y$  is the hypercharge, and  $U(1)_\alpha, U(1)_\beta$  are two additional symmetries, and one of them could play the role of a Peccei-Quinn symmetry to address the strong CP problem[22].

## 5.6 One loop contribution to fermion masses

After tree level contributions the first two generations remain massless. Therefore, in this scenario light fermion masses, including neutrinos, may get small masses from radiative corrections mediated by the  $SU(3)_F$  heavy gauge bosons.

The one loop diagram of Fig. 1 gives the generic contribution to the mass term  $m_{ij} \bar{e}_{iL}^o e_{jR}^o$ , where

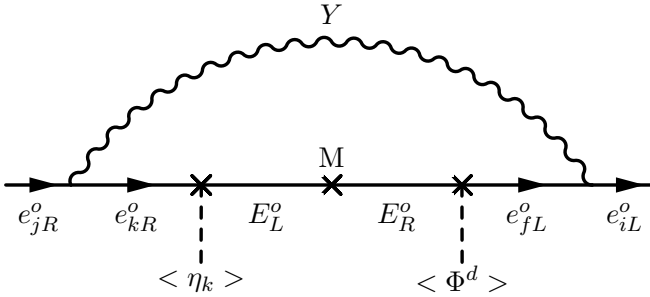


Fig. 5.1. Generic one loop diagram contribution to the mass term  $m_{ij} \bar{e}_{iL}^o e_{jR}^o$

$$m_{ij} = c_Y \frac{\alpha_H}{\pi} \sum_{k=3,4} m_k^o (V_L^o)_{ik} (V_R^o)_{jk} f(M_Y, m_k^o) \quad , \quad \alpha_H \equiv \frac{g_H^2}{4\pi}, \quad (5.20)$$

$M_Y$  being the mass of the gauge boson,  $c_Y$  is a factor coupling constant, Eq.(5.3),  $m_3^o = -\lambda_3$  and  $m_4^o = \lambda_4$  are the See-saw mass eigenvalues, Eq.(5.18),  $f(x, y) = \frac{x^2}{x^2 - y^2} \ln \frac{x^2}{y^2}$ , and

$$\sum_{k=3,4} m_k^o (V_L^o)_{ik} (V_R^o)_{jk} f(M_Y, m_k^o) = \frac{a_i b_j M}{\lambda_4^2 - \lambda_3^2} F(M_Y), \quad (5.21)$$

$i = 1, 2, 3$ ,  $j = 2, 3$ , and  $F(M_Y) \equiv \frac{M_Y^2}{M_Y^2 - \lambda_4^2} \ln \frac{M_Y^2}{\lambda_4^2} - \frac{M_Y^2}{M_Y^2 - \lambda_3^2} \ln \frac{M_Y^2}{\lambda_3^2}$ . Adding up all possible the one loop contributions, we get the mass terms  $\bar{\psi}_L^o \mathcal{M}_1^o \psi_R^o + \text{h.c.}$ ,

$$\mathcal{M}_1^o = \begin{pmatrix} D_{11} & D_{12} & D_{13} & 0 \\ 0 & D_{22} & D_{23} & 0 \\ 0 & D_{32} & D_{33} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \frac{\alpha_H}{\pi}, \quad (5.22)$$

$$D_{11} = \frac{1}{2}(\mu_{22}F_1 + \mu_{33}F_2), \quad D_{12} = \mu_{12}\left(-\frac{F_{Z_1}}{4} + \frac{F_{Z_2}}{12}\right), \quad D_{13} = -\mu_{13}\left(\frac{F_{Z_2}}{6} + F_m\right),$$

$$D_{22} = \mu_{22}\left(\frac{F_{Z_1}}{4} + \frac{F_{Z_2}}{12} - F_m\right) + \frac{1}{2}\mu_{33}F_3, \quad D_{23} = -\mu_{23}\left(\frac{F_{Z_2}}{6} - F_m\right),$$

$$D_{32} = -\mu_{32}\left(\frac{F_{Z_2}}{6} - F_m\right), \quad D_{33} = \mu_{33}\frac{F_{Z_2}}{3} + \frac{1}{2}\mu_{22}F_3,$$

$$\alpha_H = \frac{g_{F_1}^2}{4\pi}, \quad F_1 \equiv F(M_{Y_1}), \quad F_2 \equiv F(M_{Y_2}), \quad F_3 \equiv F(M_{Y_3})$$

$$F_{Z_1} = \cos^2 \phi F(M_-) + \sin^2 \phi F(M_+), \quad F_{Z_2} = \sin^2 \phi F(M_-) + \cos^2 \phi F(M_+)$$

$$F_m = \frac{\cos \phi \sin \phi}{2\sqrt{3}} [F(M_-) - F(M_+)].$$

$F_{Z_1}, F_{Z_2}$  are the contributions from the diagrams mediated by the  $Z_1, Z_2$  gauge bosons,  $F_m$  comes from the  $Z_1 - Z_2$  mixing diagrams, with  $M_2, M_3, M_-, M_+$  the horizontal boson masses, Eqs.(5.5-5.7),

$$\mu_{ij} = \frac{a_i b_j M}{\lambda_4^2 - \lambda_3^2} = \frac{a_i b_j}{a b} \lambda_3 c_\alpha c_\beta, \quad (5.23)$$

with  $c_\alpha = \cos \alpha, c_\beta = \cos \beta, s_\alpha = \sin \alpha, s_\beta = \sin \beta$  the mixing angles coming from the diagonalization of  $\mathcal{M}^o$ . Therefore, up to one loop corrections the fermion masses are

$$\bar{\psi}_L^o \mathcal{M}^o \psi_R^o + \bar{\psi}_L^o \mathcal{M}_1^o \psi_R^o = \bar{\chi}_L \mathcal{M} \chi_R, \quad (5.24)$$

where  $\psi_{L,R}^o = V_{L,R}^o \chi_{L,R}$ , and  $\mathcal{M} \equiv \left[ \text{Diag}(0, 0, -\lambda_3, \lambda_4) + V_L^{oT} \mathcal{M}_1^o V_R^o \right]$  can be written as:

$$\mathcal{M} = \begin{pmatrix} m_{11} & m_{12} & c_\beta m_{13} & s_\beta m_{13} \\ m_{21} & m_{22} & c_\beta m_{23} & s_\beta m_{23} \\ c_\alpha m_{31} & c_\alpha m_{32} & (-\lambda_3 + c_\alpha c_\beta m_{33}) & c_\alpha s_\beta m_{33} \\ s_\alpha m_{31} & s_\alpha m_{32} & s_\alpha c_\beta m_{33} & (\lambda_4 + s_\alpha s_\beta m_{33}) \end{pmatrix}, \quad (5.25)$$



The explicit expression for the  $m_{ij}$  mass terms depends on the used parametrization for  $V_L^o, V_R^o$ .

The diagonalization of  $\mathcal{M}$ , Eq.(5.25) gives the physical masses for u and d quarks, e charged leptons and  $\nu$  Dirac neutrino masses.

Using a new biunitary transformation

$$\chi_{L,R} = V_{L,R}^{(1)} \Psi_{L,R}; \quad \bar{\chi}_L \mathcal{M} \chi_R = \bar{\Psi}_L V_L^{(1)\dagger} \mathcal{M} V_R^{(1)} \Psi_R,$$

with  $\Psi_{L,R}^T = (f_1, f_2, f_3, F)_{L,R}$  the mass eigenfields, that is

$$V_L^{(1)\dagger} \mathcal{M} \mathcal{M}^T V_L^{(1)} = V_R^{(1)\dagger} \mathcal{M}^T \mathcal{M} V_R^{(1)} = \text{Diag}(m_1^2, m_2^2, m_3^2, M_F^2), \quad (5.26)$$

$m_1^2 = m_e^2, m_2^2 = m_\mu^2, m_3^2 = m_\tau^2$  and  $M_F^2 = M_E^2$  for charged leptons. So, the rotations from massless to mass fermions eigenfields in this scenario reads

$$\psi_L^o = V_L^o V_L^{(1)} \Psi_L \quad \text{and} \quad \psi_R^o = V_R^o V_R^{(1)} \Psi_R \quad (5.27)$$

### 5.6.1 Quark Mixing Matrix $V_{CKM}$

We recall that vector like quarks, Eq.(5.2), are  $SU(2)_L$  weak singlets, and they do not couple to  $W$  boson in the interaction basis. In this way, the interaction of L-handed up and down quarks;  $f_{uL}^o{}^T = (u^o, c^o, t^o)_L$  and  $f_{dL}^o{}^T = (d^o, s^o, b^o)_L$ , to the  $W$  charged gauge boson is

$$\frac{g}{\sqrt{2}} \bar{f}_{uL}^o \gamma_\mu f_{dL}^o W^{+\mu} = \frac{g}{\sqrt{2}} \bar{\Psi}_{uL} [(V_{uL}^o V_{uL}^{(1)})_{3 \times 4}]^T (V_{dL}^o V_{dL}^{(1)})_{3 \times 4} \gamma_\mu \Psi_{dL} W^{+\mu}, \quad (5.28)$$

Hence, in this scenario the non-unitary  $V_{CKM}$  of dimension  $4 \times 4$  is identified as

$$(V_{CKM})_{4 \times 4} = [(V_{uL}^o V_{uL}^{(1)})_{3 \times 4}]^T (V_{dL}^o V_{dL}^{(1)})_{3 \times 4} \quad (5.29)$$

## 5.7 Numerical results for quark masses and mixing

As an example of the possible spectrum of quark masses and mixing from this scenario, we consider the following set of parameters at the  $M_Z$  scale [23]

Using the input values for the horizontal boson masses, Eq.(5.5), and the coupling constant of the  $SU(3)_F$  symmetry:

$$M_2 = 6.0 \text{ TeV} \quad , \quad M_3 = 1.5 \times 10^8 \text{ TeV} \quad , \quad \frac{\alpha_H}{\pi} = 0.2, \quad (5.30)$$

we show in the interaction basis the following tree level  $\mathcal{M}_q^o$ , and one loop  $\mathcal{M}_q^o$  quark mass matrices, and the corresponding mass eigenvalues and mixing:

**u-quarks:**

Tree level see-saw mass matrix:

$$\mathcal{M}_u^o = \begin{pmatrix} 0 & 0 & 0 & 5573.43 \\ 0 & 0 & 0 & 23883.8 \\ 0 & 0 & 0 & 397346. \\ 0 & -1.931 \times 10^8 & 5.193 \times 10^6 & 2.470 \times 10^8 \end{pmatrix} \text{ MeV}, \quad (5.31)$$

the mass matrix up to one loop corrections:

$$\mathcal{M}_{u1}^o = \begin{pmatrix} 1.42 & -220.786 & 34.6742 & 0 \\ 0 & -944.713 & 148.589 & 0 \\ 0 & -91921.3 & 11631.6 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \text{ MeV} \quad (5.32)$$

the u-quark mass eigenvalues

$$(m_u, m_c, m_t, M_U) = (1.382, 633.289, 172968, 313.606 \times 10^6) \text{ MeV} \quad (5.33)$$

and the mixing matrices:

$$V_{uL} = V_{uL}^o V_{uL}^{(1)}:$$

$$\begin{pmatrix} 0.973838 & -0.226464 & -0.0188217 & 0.0000144353 \\ -0.227244 & -0.970491 & -0.080663 & 0.0000618569 \\ 9.34208 \times 10^{-7} & 0.0828299 & -0.996563 & 0.0011792 \\ -2.14837 \times 10^{-9} & -0.0000343726 & 0.00118041 & 0.999999 \end{pmatrix} \quad (5.34)$$

$$V_{uR} = V_{uR}^o V_{uR}^{(1)}:$$

$$\begin{pmatrix} 1. & 0.000507791 & 1.54519 \times 10^{-7} & 0 \\ -7.6088 \times 10^{-6} & 0.0147444 & 0.787788 & -0.61577 \\ 0.000507462 & -0.999362 & 0.0316481 & 0.0165598 \\ -0.0000166153 & 0.0325336 & 0.615132 & 0.787752 \end{pmatrix} \quad (5.35)$$

**d-quarks:**

$$\mathcal{M}_d^o = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 3102.75 \\ 0 & 0 & 0 & 61977.5 \\ 0 & -9.805 \times 10^7 & 2.837 \times 10^6 & 6.046 \times 10^8 \end{pmatrix} \text{ MeV} \quad (5.36)$$

$$\mathcal{M}_{d1}^o = \begin{pmatrix} 2.82 & 0 & 0 & 0 \\ 0 & -130.851 & 10.43 & 0 \\ 0 & -7200.83 & 664.801 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \text{ MeV} \quad (5.37)$$

the d-quark mass eigenvalues

$$(m_d, m_s, m_b, M_D) = (2.82, 52.087, 2861.96, 612.541 \times 10^6) \text{ MeV} \quad (5.38)$$

the mixing matrices:

$$V_{dL} = V_{dL}^0 V_{dL}^{(1)}:$$

$$\begin{pmatrix} 1. & 0 & 0 & 0 \\ 0 & 0.991883 & -0.127155 & 5.03428 \times 10^{-6} \\ 0 & -0.127155 & -0.991883 & 0.000101762 \\ 0 & 7.94612 \times 10^{-6} & 0.000101576 & 1. \end{pmatrix} \quad (5.39)$$

$$V_{dR} = V_{dR}^0 V_{dR}^{(1)}:$$

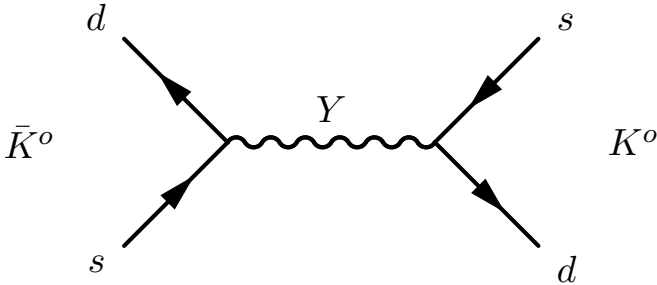
$$\begin{pmatrix} 1. & 0 & 0 & 0 \\ 0 & -0.127762 & 0.9788 & -0.160083 \\ 0 & 0.99148 & 0.130175 & 0.00463162 \\ 0 & -0.0253722 & 0.158128 & 0.987093 \end{pmatrix} \quad (5.40)$$

and the quark mixing matrix

$$V_{CKM} = \begin{pmatrix} 0.97383 & 0.2254 & 0.02889 & -1.14 \times 10^{-6} \\ -0.22646 & 0.97314 & 0.04124 & 3.54 \times 10^{-6} \\ -0.01882 & -0.04670 & 0.99873 & -0.00010 \\ 1.44 \times 10^{-5} & 8.85 \times 10^{-5} & -0.00117 & 1.20 \times 10^{-7} \end{pmatrix} \quad (5.41)$$

## 5.8 $\Delta F = 2$ Processes in Neutral Mesons

Here we study the tree level FCNC interactions that contribute to  $K^0 - \bar{K}^0$ ,  $D^0 - \bar{D}^0$  mixing via  $Z_1$ ,  $Y_1^\pm$  exchange from the depicted diagram in Fig. 2.



**Fig. 5.2.** Generic tree level exchange contribution to  $K^0 - \bar{K}^0$  from the SU(3) horizontal gauge bosons.

The  $Z_1$ ,  $Y_1^\pm$  gauge bosons have flavor changing couplings in both left- and right-handed fermions, and then contribute the  $\Delta S = 2$  effective operators

$$\mathcal{O}_{LL} = (\bar{d}_L \gamma_\mu s_L)(\bar{d}_L \gamma^\mu s_L) \quad , \quad \mathcal{O}_{RR} = (\bar{d}_R \gamma_\mu s_R)(\bar{d}_R \gamma^\mu s_R) \quad (5.42)$$

$$\mathcal{O}_{LR} = (\bar{d}_L \gamma_\mu s_L)(\bar{d}_R \gamma^\mu s_R) \quad (5.43)$$

The  $SU(3)_F$  couplings to fermions, Eq.5.3, when written in the mass basis yield the gauge couplings

$$\mathcal{L}_{\text{int}, Z_1} = \frac{g_H}{2} (C_{L Z_1} \bar{d}_L \gamma_\mu s_L + C_{R Z_1} \bar{d}_R \gamma_\mu s_R) Z_1^\mu \quad (5.44)$$

$$\mathcal{L}_{\text{int}, Y_1^\mu} = \frac{g_H}{2} (C_{L Y_1^\mu} \bar{d}_L \gamma_\mu s_L + C_{R Y_1^\mu} \bar{d}_R \gamma_\mu s_R) Y_1^\mu \quad (5.45)$$

$$\mathcal{L}_{\text{int}, Y_1^2} = \frac{g_H}{2} (C_{L Y_1^2} \bar{d}_L \gamma_\mu s_L + C_{R Y_1^2} \bar{d}_R \gamma_\mu s_R) i Y_1^{2\mu} \quad (5.46)$$

with the coefficients

$$C_{L Z_1} = L_{11} L_{12} - L_{21} L_{22} \quad , \quad C_{R Z_1} = R_{11} R_{12} - R_{21} R_{22}$$

$$C_{L Y_1^\mu} = L_{12} L_{21} + L_{11} L_{22} \quad , \quad C_{R Y_1^\mu} = R_{12} R_{21} + R_{11} R_{22} \quad (5.47)$$

$$C_{L Y_1^2} = (L_{12} L_{21} - L_{11} L_{22}) \quad , \quad C_{R Y_1^2} = (R_{12} R_{21} - R_{11} R_{22})$$

where  $L_{ij} = V_{L ij}$  and  $R_{ij} = V_{R ij}$ . For each gauge boson, the effective four-fermion hamiltonian at the scale of the gauge boson mass is

$$\mathcal{H}_{Z_1} = \frac{g_H^2}{4M_{Z_1}^2} (C_{L Z_1}^2 \mathcal{O}_{LL} + 2 C_{L Z_1} C_{R Z_1} \mathcal{O}_{LR} + C_{R Z_1}^2 \mathcal{O}_{RR}) \quad (5.48)$$

$$\mathcal{H}_{Y_1^\mu} = \frac{g_H^2}{4M_{Y_1^\mu}^2} (C_{L Y_1^\mu}^2 \mathcal{O}_{LL} + 2 C_{L Y_1^\mu} C_{R Y_1^\mu} \mathcal{O}_{LR} + C_{R Y_1^\mu}^2 \mathcal{O}_{RR}) \quad (5.49)$$

$$\mathcal{H}_{Y_1^2} = -\frac{g_H^2}{4M_{Y_1^2}^2} (C_{L Y_1^2}^2 \mathcal{O}_{LL} + 2 C_{L Y_1^2} C_{R Y_1^2} \mathcal{O}_{LR} + C_{R Y_1^2}^2 \mathcal{O}_{RR}) \quad (5.50)$$

with  $M_{Y_1} = M_{Y_2} = M_2$ . Therefore, the total four-fermion hamiltonian  $\mathcal{H}_{SU(2)} = \mathcal{H}_{Z_1} + \mathcal{H}_{Y_1^\mu} + \mathcal{H}_{Y_1^2}$  can be written as

$$\begin{aligned} \mathcal{H}_{SU(2)} = & \frac{g_H^2}{4M_2^2} \left[ (C_{L Z_1}^2 + C_{L Y_1^\mu}^2 - C_{L Y_1^2}^2) \mathcal{O}_{LL} + (C_{R Z_1}^2 + C_{R Y_1^\mu}^2 + C_{R Y_1^2}^2) \mathcal{O}_{RR} \right. \\ & \left. + 2(C_{L Z_1} C_{R Z_1} + C_{L Y_1^\mu} C_{R Y_1^\mu} - C_{L Y_1^2} C_{R Y_1^2}) \mathcal{O}_{LR} \right] \\ & + \frac{g_H^2}{4} \left( \frac{1}{M_{Z_1}^2} - \frac{1}{M_2^2} \right) [C_{L Z_1}^2 \mathcal{O}_{LL} + C_{R Z_1}^2 \mathcal{O}_{RR} + 2C_{L Z_1} C_{R Z_1} \mathcal{O}_{LR}] \quad (5.51) \end{aligned}$$

From the coefficients in Eq.5.47 we get:

$$C_{LZ_1}^2 + C_{LY_1^c}^2 - C_{LY_1^2}^2 = \delta_L^2 \quad , \quad C_{RZ_1}^2 + C_{RY_1^c}^2 - C_{RY_1^2}^2 = \delta_R^2 \quad , \quad ,$$

$$C_{L,Z_1} C_{R,Z_1} + C_{L,Y_1^c} C_{R,Y_1^c} - C_{L,Y_1^2} C_{R,Y_1^2} = \delta_L \delta_R \\ + 2(L_{11} R_{21} - L_{21} R_{11})(L_{22} R_{12} - L_{12} R_{22}) \quad ,$$

and finally we can write

$$\mathcal{H}_{SU(2)} = \frac{g_{\text{H}}^2}{4M_1^2} [\delta_L^2 \mathcal{O}_{LL} + \delta_R^2 \mathcal{O}_{RR} + \delta_{LR}^2 \mathcal{O}_{LR}] \quad (5.52)$$

$$+ \frac{g_{\text{H}}^2}{4} \left( \frac{1}{M_{Z_1}^2} - \frac{1}{M_1^2} \right) [(L_{11}L_{12} - L_{21}L_{22})^2 \mathcal{O}_{LL} + (R_{11}R_{12} - R_{21}R_{22})^2 \mathcal{O}_{RR} \\ + 2(L_{11}L_{12} - L_{21}L_{22})(R_{11}R_{12} - R_{21}R_{22}) \mathcal{O}_{LR}] \quad (5.53)$$

with

$$\delta_L = L_{11} L_{12} + L_{21} L_{22} \quad , \quad \delta_R = R_{11} R_{12} + R_{21} R_{22}$$

$$\delta_{LR} = \sqrt{2(\delta_L \delta_R + 2(L_{11} R_{21} - L_{21} R_{11})(L_{22} R_{12} - L_{12} R_{22}))}$$

### 5.8.1 $K^0 - \bar{K}^0$ meson mixing

The numerical fit of parameters provided in section 7 yield the mixing angles  $V_{d12} = V_{d21} = 0$  for left- and right-handed d-quarks, and then all the contributions to the effective operators, Eqs.5.42–5.43, for  $\Delta S = 2$  vanish.

### 5.8.2 $D^0 - \bar{D}^0$ meson mixing

The reported parameter space region in section 7 generate  $M_{Z_1} = M_2$  with very good approximation, and then only the four-fermion Hamiltonian in Eq.5.52 contribute. For this case we compute the numerical values

$$\delta_L = -7.73804 \times 10^{-8} \quad , \quad \frac{M_2}{\frac{g_{\text{H}}}{2} \delta_L} = -5.51894 \times 10^7 \text{ TeV} \\ \delta_R = 5.07679 \times 10^{-4} \quad , \quad \frac{M_2}{\frac{g_{\text{H}}}{2} \delta_R} = 8411.97 \text{ TeV} \quad (5.54) \\ \delta_{LR} = 0.0508636 \quad , \quad \frac{M_2}{\frac{g_{\text{H}}}{2} \delta_{LR}} = 83.9614 \text{ TeV}$$

Accordingly to the Review “The CKM quark - mixing matrix” in PDG2016[24], the  $\Delta C = 2$  effective operators  $\mathcal{O}_{LL}$  and  $\mathcal{O}_{RR}$  are within suppression limits.

## 5.9 Conclusions

Horizontal gauge bosons from the local  $SU(3)_F$  introduce flavor changing couplings, and in particular mediate  $\Delta F = 2$  processes at tree level. We reported the analytic contribution to  $K^0 - \bar{K}^0$  and  $D^0 - \bar{D}^0$  meson mixing from tree level exchange diagrams mediated by the  $SU(2)_F$  gauge bosons  $Z_1, Y_1^\pm$  with masses in the TeV region. We provide a particular parameter space region in section 7 where this scenario can accommodate the hierarchy spectrum of quark masses and simultaneously suppress properly the  $K^0 - \bar{K}^0$  meson mixing, and the effective operators  $\mathcal{O}_{LL}$  and  $\mathcal{O}_{RR}$  for the  $\Delta C = 2$  processes.

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## 5.10 APPENDIX: Diagonalization of the generic Dirac See-saw mass matrix

$$\mathcal{M}^o = \begin{pmatrix} 0 & 0 & 0 & a_1 \\ 0 & 0 & 0 & a_2 \\ 0 & 0 & 0 & a_3 \\ 0 & b_2 & b_3 & c \end{pmatrix} \quad (5.55)$$

The tree level  $\mathcal{M}^o$   $4 \times 4$  See-saw mass matrix is diagonalized by a biunitary transformation  $\psi_L^o = V_L^o \chi_L$  and  $\psi_R^o = V_R^o \chi_R$ . The diagonalization of  $\mathcal{M}^o \mathcal{M}^{oT}$  ( $\mathcal{M}^{oT} \mathcal{M}^o$ ) yield the nonzero eigenvalues

$$\lambda_3^2 = \frac{1}{2} \left( B - \sqrt{B^2 - 4D} \right) \quad , \quad \lambda_4^2 = \frac{1}{2} \left( B + \sqrt{B^2 - 4D} \right) \quad (5.56)$$

and rotation mixing angles

$$\begin{aligned} \cos \alpha &= \sqrt{\frac{\lambda_4^2 - a^2}{\lambda_4^2 - \lambda_3^2}} \quad , \quad \sin \alpha = \sqrt{\frac{a^2 - \lambda_3^2}{\lambda_4^2 - \lambda_3^2}} \quad , \\ \cos \beta &= \sqrt{\frac{\lambda_4^2 - b^2}{\lambda_4^2 - \lambda_3^2}} \quad , \quad \sin \beta = \sqrt{\frac{b^2 - \lambda_3^2}{\lambda_4^2 - \lambda_3^2}} \quad . \end{aligned} \quad (5.57)$$

$$B = a^2 + b^2 + c^2 = \lambda_3^2 + \lambda_4^2, \quad D = a^2 b^2 = \lambda_3^2 \lambda_4^2, \quad (5.58)$$

$$a^2 = a_1^2 + a_2^2 + a_3^2, \quad b^2 = b_1^2 + b_2^2 + b_3^2$$

The rotation matrices  $V_L^o, V_R^o$  admit several parametrizations related to the two zero mass eigenstates.

### 5.10.1 Parametrization P12

$$V_L^o = \begin{pmatrix} c_1 & c_2 s_1 & s_1 s_2 c_\alpha & s_1 s_2 s_\alpha \\ -s_1 & c_1 c_2 & c_1 s_2 c_\alpha & c_1 s_2 s_\alpha \\ 0 & -s_2 & c_2 c_\alpha & c_2 s_\alpha \\ 0 & 0 & -s_\alpha & c_\alpha \end{pmatrix}, \quad V_R^o = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_r & s_r c_\beta & s_r s_\beta \\ 0 & -s_r & c_r c_\beta & c_r s_\beta \\ 0 & 0 & -s_\beta & c_\beta \end{pmatrix}$$

$$a_p = \sqrt{a_1^2 + a_2^2}, \quad b_p = \sqrt{b_1^2 + b_2^2}, \quad a = \sqrt{a_p^2 + a_3^2}, \quad b = \sqrt{b_p^2 + b_3^2},$$

$$s_1 = \frac{a_1}{a_p}, \quad c_1 = \frac{a_2}{a_p}, \quad s_2 = \frac{a_p}{a}, \quad c_2 = \frac{a_3}{a}, \quad s_r = \frac{b_2}{b}, \quad c_r = \frac{b_3}{b}$$

### 5.10.2 Parametrization P13

$$V_L^o = \begin{pmatrix} c_1 & -s_1 s_2 & s_1 c_2 c_\alpha & s_1 c_2 s_\alpha \\ 0 & c_2 & s_2 c_\alpha & s_2 s_\alpha \\ -s_1 & -c_1 s_2 & c_1 c_2 c_\alpha & c_1 c_2 s_\alpha \\ 0 & 0 & -s_\alpha & c_\alpha \end{pmatrix}, \quad V_R^o = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_r & s_r c_\beta & s_r s_\beta \\ 0 & -s_r & c_r c_\beta & c_r s_\beta \\ 0 & 0 & -s_\beta & c_\beta \end{pmatrix}$$

$$a_n = \sqrt{a_1^2 + a_3^2}, \quad b_n = \sqrt{b_1^2 + b_3^2}, \quad a = \sqrt{a_n^2 + a_2^2}, \quad b = \sqrt{b_n^2 + b_2^2},$$

$$s_1 = \frac{a_1}{a_n}, \quad c_1 = \frac{a_3}{a_n}, \quad s_2 = \frac{a_2}{a}, \quad c_2 = \frac{a_n}{a}, \quad s_r = \frac{b_2}{b}, \quad c_r = \frac{b_3}{b} \quad (5.59)$$

### 5.10.3 Parametrization P23

$$V_L^o = \begin{pmatrix} c_1 & 0 & s_1 c_\alpha & s_1 s_\alpha \\ -s_1 s_2 & c_2 & c_1 s_2 c_\alpha & c_1 s_2 s_\alpha \\ -s_1 c_2 & -s_2 & c_1 c_2 c_\alpha & c_1 c_2 s_\alpha \\ 0 & 0 & -s_\alpha & c_\alpha \end{pmatrix}, \quad V_R^o = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c_r & s_r c_\beta & s_r s_\beta \\ 0 & -s_r & c_r c_\beta & c_r s_\beta \\ 0 & 0 & -s_\beta & c_\beta \end{pmatrix}$$

$$a_n = \sqrt{a_2^2 + a_3^2}, \quad b_n = \sqrt{b_2^2 + b_3^2}, \quad a = \sqrt{a_n^2 + a_1^2}, \quad b = \sqrt{b_n^2 + b_1^2},$$

$$s_1 = \frac{a_1}{a}, \quad c_1 = \frac{a_n}{a}, \quad s_2 = \frac{a_2}{a_n}, \quad c_2 = \frac{a_3}{a_n}, \quad s_r = \frac{b_2}{b}, \quad c_r = \frac{b_3}{b} \quad (5.60)$$

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