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slovenian abstracts

# review

# Bleomycin electrosclerotherapy (BEST) for the treatment of vascular malformations. An International Network for Sharing Practices on Electrochemotherapy (InspECT) study group report

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**Background.** Biomedical applications of electroporation are expanding out of the field of oncology into vaccination, treatment of arrhythmias and now in the treatment of vascular malformations. Bleomycin is a widely used sclerosing agent in the treatment of various vascular malformations. The application of electric pulses in addition to bleomycin enhances the effectiveness of the drug, as demonstrated by electrochemotherapy, which utilizes bleomycin in the treatment of tumors. The same principle is used in bleomycin electrosclerotherapy (BEST). The approach seems to be effective in the treatment of low-flow (venous and lymphatic) and, potentially, even high-flow (arteriovenous) malformations. Although there are only a few published reports to date, the surgical community is interested, and an increasing number of centers are applying BEST in the treatment of vascular malformations. Within the International Network for Sharing Practices on Electrochemotherapy (InspECT) consortium, a dedicated working group has been constituted to develop standard operating procedures for BEST and foster clinical trials.

**Conclusions.** By treatment standardization and successful completion of clinical trials demonstrating the effectiveness and safety of the approach, higher quality data and better clinical outcomes may be achieved.

Key words: vascular malformations; bleomycin electrosclerotherapy; bleomycin; electrochemotherapy

# Classification of vascular malformations

Vascular malformations are a rare condition caused by abnormally developed blood vessels. They can occur anywhere in the body and range from simple and benign to complex conditions, with an incidence of around 1.5% in the general population.

The latest and most used categorization is the International Society for the Study of Vascular Anomalies (ISSVA) classification (Table 1).<sup>1</sup> This classification divides vascular anomalies into two main categories: tumors (true proliferative neoplasms) and malformations (morphogenetic defects). These two categories are further subcategorized: tumors are divided into benign, locally aggressive/borderline and malignant, whereas malformations are subdivided into simple, combined or associated with other anomalies. Clinically, vascular anomalies can also be divided into low-flow and high-flow malformations.<sup>2</sup>

# Treatment of vascular malformations

Current approaches vary depending on the type and anatomical location of the vascular malformation. Treatment options include observation, sclerotherapy, laser therapy, embolization, and surgery.<sup>3,4</sup> Observation is recommended for asymptomatic superficial or low-flow malformations that pose no immediate risk to the patient and are stable in size. Sclerotherapy involves the injection of sclerosing agents, such as bleomycin, or other agents (pingyangmycin, absolute ethanol, ethanolamine oleate, polidocanol, doxycycline, cyanoacrylate, sodium morrhuate and sodium tetradecyl sulfate (STS)).5,6 Laser therapy is used to treat superficial vascular malformations and involves the use of a laser to heat the affected area and reduce vessel size. Embolization is a minimally invasive procedure in which small particles, metal coils, or solidifying liquid agents are injected into the malformation to block the flow of blood and reduce its size. This treatment is typically used for highflow malformations. Surgery may be necessary for high-flow malformations that are difficult to treat with the other methods mentioned. Depending on the size and anatomical location, the surgeon may only remove part of the lesion.7

# The use of bleomycin combined with electroporation (electrochemotherapy) in oncology

There are several **biomedical applications of electroporation**. Reversible and irreversible electroporation are distinguished by the amplitude, timing and number of electric pulse applications. Irreversible electroporation is based on irreversible disruption of the cell membrane causing destabilization of cell physiology to the extent that cells die either by apoptosis, necrosis or even immunogenic cell death.<sup>8</sup> In contrast, reversible electroporation does not cause cell death but temporarily disrupts the cell membrane in such a way that it becomes permeable for molecules that have hampered transport through the membrane.<sup>9–12</sup> This

	VASCULAR TUMORS		VASCULAR MALFORMATIONS		
Benign	Locally aggressive	Malignant	Simple	Combined	
Infantile hemangioma	Kaposiform hemangioendothelioma		Capillary malformation (CM)	CVM, CLM	
Congenital hemangioma	Retiform hemangioendothelioma		Lymphatic malformation (LM)	LVM. CLVM	
Tufted hemangioma	PILA. Dabska tumor	Epithelioid	Venous malformation (VM)	CAVM	
Spindle-cell hemangioma	Composite hemangioendothelioma	Angiosarcoma	Arteriovenous malformation (AVM)		
Epithelioid hemangioma	Kaposi sarooma		Artoriovopous fistula	CLAVM	
Pyogenic granuloma					

TABLE 1. International Society for the Study of Vascular Anomalies (ISSVA) classification for vascular anomalies 2018

AVM = arteriovenous malformation; CAVM = capillary arteriovenous malformation; CLAVM = capillary lymphatic arteriovenous malformation; CLM = capillary lymphatic malformation; CLM = capillary lymphatic malformation; CM = capillary malformation; CVM = capillary venous malformation; LM = lymphatic malformation; LM = lymphatic venous malformation; PILA – papillary intralymphatic angioendothelioma; VM - venous malformation;

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phenomenon can be exploited for enhanced drug, DNA or RNA delivery into cells. If we deliver nucleic acids, it is called gene electrotransfer, which can be used for cancer immune-gene therapy; for example, if introduced, DNA or mRNA encodes immunomodulatory molecules. It can also be used for vaccination purposes.<sup>13,14</sup> The biomedical applications of gene electrotransfer can be used in the treatment of cancer, as well as other diseases, including vaccination for infectious diseases such as SARS CoV-2 virus.15 In the case of electrochemotherapy, electroporation is used to enhance the delivery of cytotoxic molecules for cancer treatment. The principle is to inject cytotoxic drugs such as bleomycin or cisplatin into the cancer patient and apply electric pulses at the site of the tumor, where enhanced drug uptake is desired.<sup>16</sup> Therefore, electrochemotherapy is a local treatment since drug cytotoxicity is enhanced only at the site of electric pulse applications. This approach is being used widely in the treatment of either cutaneous tumors or deep-seated tumors in internal organs, such as liver and pancreas.<sup>16</sup> Several electrodes were designed that are best suited for the delivery of electric pulses to specific anatomical locations. Due to its simple principle, i.e., the use of highly cytotoxic drugs and the application of electric pulses for its enhanced cytotoxicity, electrochemotherapy is effective on tumors of different histological origins. Its objective response rate ranges between 70-80%.17 Electrochemotherapy is listed in many national and international guidelines as a local ablative therapy and is applied in more than 200 centers across Europe.16

# Electrochemotherapy mechanisms of action

There are three underlying **mechanisms of elec-trochemotherapy**. The first is enhanced drug delivery to tumor cells, which die due to the cytotoxicity of the drugs, either by apoptosis or necrosis. This is predominantly related to the drug used and its mode of action. Bleomycin, for example, induces mitotic cell death, which induces slow resolution of the tumor mass. Experimental data on tumors in mice demonstrate that the drug concentration in tumor cells after electroporation is increased 10 times or more, depending on the tumor type, in the case of bleomycin electrochemotherapy.<sup>18</sup>

The second mechanism is the induction of the immune response due to immunogenic tumor cell death induced by the drug. It is well known that

certain ablative therapies induce immunogenic cell death that can attract and boost the immune response of the organism. This mechanism has been described in radiation therapy, thermal ablative techniques, and electrochemotherapy.<sup>10,12,19</sup> Several groups have investigated the role of immunogenic cell death in the effectiveness of electrochemotherapy. Now, the experimental data indicate that the response of the tumors varies depending on the immunogenicity of the tumors; more immunogenic tumors respond better to electrochemotherapy than less immunogenic tumors, which was linked to more pronounced immunogenic cell death after electrochemotherapy.<sup>10,12,20</sup> Additionally, clinical data on the treatment of melanoma demonstrate that local treatment of cutaneous metastases can boost or interact with treatment using immune checkpoint inhibitors, such as pembrolizumab.21 Patients treated with both electrochemotherapy and immune checkpoint inhibitors had lower disease progression rates and longer survival than those who received pembrolizumab only.

The third mechanism is the vascular disrupting effect of electrochemotherapy. In early preclinical research, it was established that the application of electric pulses only temporarily abrogates blood flow within tumors. This phenomenon was termed vascular lock and lasts less than an hour.22,23 Furthermore, the effect is enhanced when the drug is present during application of the electric pulses. Investigations have shown that it results in vascular disruption that occurs within hours in tumors. Endothelial cells start to die, blood flow is obstructed, and secondary tumor cell death is induced within days due to induced tumor hypoxia.24-27 The phenomenon is predominantly confined to the tumor vasculature, sparing the normal vasculature around the tumors. The reason for this is because of the high proliferation rate of endothelial cells in tumors compared to the vasculature in normal tissues, where the endothelial proliferation rate is very slow. The vascular disrupting effect of electrochemotherapy is not fully understood. To date, we do not know in what proportion this vascular disrupting effect contributes to the overall effectiveness of electrochemotherapy in specific tumor types. We know that it is dependent on the distribution and extent of tumor vascularization, and better vascularized tumors respond better to electrochemotherapy.28,29 Preclinical data also indicate that tumor perfusion influences the effectiveness of the treatment, with well perfused tumors showing an improved response.<sup>30</sup>



FIGURE 1. Treatment of vascularized melanoma metastasis by electrochemotherapy. (A) Highly vascularized tumor before treatment. (B) Bleeding due to electrode insertion after application of electric pulses to the tumor. (C) Bleeding stopped immediately after electric pulse application.

# Combination of bleomycin with reversible electroporation for treatment of vascular malformations

Electrochemotherapy is safely applied to palliate bleeding cutaneous metastases and treat vascular tumors e.g., Kaposi sarcoma, superficial angiosarcoma<sup>31-34</sup> and highly vascularized liver metastases.35,36 Bleeding after the insertion of needle electrodes quickly stops due to vascular lock.37 Additionally, both superficial and liver tumors themselves also do not bleed after electrochemotherapy due to the vascular disrupting effect. These observations indicate that electrochemotherapy indeed exerts vascular effects, the abovementioned vascular lock and the vascular disrupting effect. Furthermore, several reports indicate that electrochemotherapy can be safely applied to control or treat bleeding tumors.37 Of note, bleeding stops almost immediately after the application of electric pulses; therefore, treatment of bleeding tumors is also one of the indications for electrochemotherapy (Figure 1).

These data support the potential advantage of bleomycin electrosclerotherapy in the treatment of vascular malformations. Bleomycin is already one of the most frequently used sclerotherapy agents in the treatment of these lesions.<sup>5,6</sup> Therefore, the combination with electric pulses may only add to the effectiveness of bleomycin since it would increase the uptake of the drug into the endothelial lining of the affected blood vessels. In many cases, blood vessels are abnormal and endothelial cell proliferation is higher than that in normal blood vessels.<sup>38</sup> Therefore, the vasculature in vascular malformations is impaired as it is in tumors, and electrochemotherapy is supposed to be effective in both.

Histological evidence from liver biopsies indicates that venules are more sensitive to electrochemotherapy than arterioles in normal liver parenchyma.<sup>39</sup> This would indicate that venous malformations would have been more susceptible to BEST.

We recently performed a study on pigs investigating vascular changes in large blood vessels such as the portal vein, inferior vena cava, and lineal vein. In this study, the vessels were directly exposed to electroporation and electrochemotherapy by the application of electric pulses using plate electrodes that embraced the vessels. Electrochemotherapy may temporarily disrupt the endothelial lining and disrupt the vasa vasorum of the vessels (unpublished data). This effect may, however, be a desired one in the treatment of all vascular malformations, specifically because we have not observed thrombi formation in the treated vessels in the pig model.

All this evidence supports the use of bleomycin electroporation in the treatment of vascular malformations, also called bleomycin electrosclerotherapy (BEST). In principle, BEST could be a safe and effective approach for the treatment of vascular malformations; however, more clinical data are needed to confirm this approach. To date, there are only a handful of clinical reports on the treatment of vascular malformations with BEST. Finally, and importantly, the technique needs to be standardized through the development of dedicated standard operating procedures.

# Overview of current clinical BEST reports

Publications on BEST are still limited in number. Table 2 summarizes the clinical studies and case reports published thus far.<sup>40–45</sup> In summary, different types of vascular malformations have been treated, with favorable clinical outcomes. Most of the studies used intralesional bleomycin, either diluted or mixed with lidocaine. Bleomycin dosage varied between studies, but in most reports, it was lower than in traditional sclerotherapy. In addition, the number of treatments required was much lower when BEST was used than when bleomycin was used alone. Drug dosage, the number of treatments needed, and route of drug administration are all aspects that need to be explored to develop recommendations for the future use of BEST.

Another relevant aspect of BEST is the application of electric pulses. Due to the blood accumulated in the malformation, the electrical conductivity of the treated tissue is high. Therefore, it is assumed that the coverage of the target lesion with electric pulses does not need to be so strict as in electrochemotherapy. In this regard, some clinicians who perform BEST on patients report that fewer applications of electric pulses to the lesions are needed. This aspect that requires clarification in future studies.

Studies on BEST should also report the number and amplitudes of pulse applications and the electrical parameters (current). The predominantly used generator is from one producer, and varying electrodes specific to this generator are used. Usually, in each electric pulse application 8 pulses of 1000–1300 V/cm in frequency 5 kHz are applied. Since different electrodes are used for different clinical situations, the reports should describe which electrodes were used. Furthermore, when standard operating procedures for BEST will be prepared, recommendations for the use of specific types of electrodes should also be prepared.

# **BEST** standardization

To date, there are no standardized guidelines for BEST. As a result, each center applies the treatment according to local protocols and clinical experience. Therefore, standardization of some procedural aspects is needed.

The spread of a new technology depends on its **safety**. Safety aspects have already been cor-



FIGURE 2. Patient treated by BEST. Axial T2-weighted, fat-saturated MRI with hyperintense (arrow) gluteal venous malformation before treatment (A). Axial T2-weighted, fat-saturated MRI of the same region 4 months after treatment. The main part of the venous malformation is occluded (B). Photography before (C) and after the treatment (D).

roborated, since there are already some reports of significant morbidity with bleomycin only, but not with BEST treatment.<sup>46</sup> We must be aware that cytotoxic drugs are used for the treatment of benign disease, that can be spilled and are sometimes also used in very young patients. This safety aspect is also related to the experience in image-guided bleomycin and electric pulse application (Figure 2).

Currently, BEST must be practiced in the framework of clinical studies, where **patient referral and suitability for BEST treatment need to be defined**. The patients need to be informed about other treatment options and their suitability for the treatment evaluated by a multidisciplinary team (MDT).

It is expected that in the early stage of development, BEST will be considered in recurrences after previous treatments, whereas subsequently more precise indications for specific types of vascular malformations will be individuated.

Reference	Type of malformation	N of pts	Bleomycin dose and concentration	Electrodes used	N of pulse applications	Response	Comment
McMorrow et al., Br J Oral and Maxillofacial Surg 2017 <sup>44</sup>	Venous malformation	1	Reduced dose: 1/3 of the standard dose	Not reported	Not reported	Considerable improvement after 6 unsuccessful sessions with bleomycin	Case report with poor respiratory function
Horbach et al., Dermatologic Surgery 2020 <sup>45</sup>	Hypertrophic capillary malformations	5 pts. (out of 20 planned)	0.25 mg or units/cm <sup>3</sup>	Plate & needle	Not reported	7-8 weeks DEROI (changes in colorimetry Flux in ROI (in Perfusion Units)	Randomized controlled pilot trial
Dalmady et al., Pediatrics 2020 <sup>43</sup>	Lymphatic malformation	1	0.5 mg/kg (5.4 mg)	Needle	1 <sup>st</sup> session: 68 applications 2 <sup>nd</sup> session: 74 applications	63% growth-corrected volume decrease. No recurrence at 18 months Follow up	Case report
Wohlgemuth et al., Journal of Vascular Surgery 202140	Venous malformations	17 pts. (20 lesions)	Calculated based on the size of the lesion. C = 0.25 mg/mL Intralesional injection (25% concentration of standard bleomycin sclerotherapy)	Needle & finger	Not reported	3-month post-therapy Changes in volume MRI: Volume reduction,%: > 90% 9 lesions > 70% in < 90% 6 lesions > 50% in < 70% 2 lesions < 35% 2 lesions No response 1 lesion	Retrospective observational case study
Kostusiak et al., Dermatologic Surgery 2022 <sup>42</sup>	Various vascular malformations	30 pts. VM: 17 AVM: 3 CVM LM: 2 Mixed: 2	Calculated based on the size of the lesion. Bleomycin mixed with 1 mL plain 1% lidocaine Dose not reported	Needle & finger	Not reported	17 Complete Response 7: significant improvement 1: moderate improvement 1: minor response 1: no response 3: active follow up	Prospective observational case study Electrosclerotherapy offered to non-responding patients to standard bleomycin
Krt et al., Front Oncol 2022⁴¹	Arteriovenous malformation	1	750 IU BLM intralesional	Plate	15	CR 18 months after BEST	Case report

#### TABLE 2. Clinical studies and case reports using bleomycin electrosclerotherapy<sup>40-45</sup>

AVM = arteriovenous malformation; BLM = bleomycin; CVM = capillary venous malformations; VM - venous malformation; LM = lymphatic malformation;

Other relevant procedural aspects that need to be clarified include drug injection, questions of dosage, the need for general anesthesia, electrode placement and delivery of electric pulses.

Exclusion criteria need to be defined as well, such as pregnancy, lactation and allergy or hypersensitivity to bleomycin, or abnormal respiratory parameters.

The application of electric pulses, especially with needle electrodes, is painful. Therefore, **local or general anesthesia is needed.** In this regard, the experience accumulated with electrochemotherapy can be informative. Generally, the choice of the type of anesthesia is at the discretion of each center. However, there is a recent report that continuous intravenous sedation is also an option that requires less anesthetic and is much shorter in time.<sup>47</sup>

Another important issue is the **route of bleomycin injection**. In electrochemotherapy, the preferred route is intravenous injection; however, in BEST treatment, this is a limited option since intravenous sclerotherapy with bleomycin is not the standard of care. Instead, direct injection is preferred, possibly under image guidance and potentially with a lower dose to avoid systemic toxicity. In electrochemotherapy, the **bleomycin dose** in intravenous or intratumoral administration is defined.<sup>48</sup> In elderly patients older than 65 years or with renal impairment, the intravenously administered dose can be decreased by 1/3.<sup>48</sup> In BEST treatment, the dose can be substantially decreased. The lowest effective dose of bleomycin for BEST treatment still needs to be established. The concentration of bleomycin in the solution needs to be standardized.

Another peculiar aspect is that the **volume of the drug solution** is dependent on the type and volume of the malformation. Furthermore, it depends on whether bleomycin is diluted either with foam, lidocaine or contrast agent and whether there is drainage from the malformation that needs to be stopped, either by compression or intravascular techniques. By all means, the concentration and volume of the drug injected needs to be recorded and reported. The dose and route of administration may also differ in the case of fast or slow flow malformations. The treatment can be repeated up to a cumulative dose for bleomycin of 400 000 IU.<sup>48</sup>

The interval between the intralesional bleomycin injection and the application of electric pulses needs to be short, while the drug is present in the treated tissue. The one-minute interval would be enough. A similar situation is also observed in electrochemotherapy, where the time interval is different when bleomycin is injected either intratumorally or intravenously. In the case of intravenous injection, the time interval is 8 minutes, and after intratumoral injection, the time interval is just 1-3 minutes.<sup>48</sup> The choice of the electrodes used is dependent on many factors. The type, location and size of the malformation are the most important factors. Needle electrodes with shorter or longer needles in fixed geometry are available. Some centers have concerns about so-called hexagonal electrodes since they deliver many electric pulses between the needles with a high risk of skin hyperpigmentation at the puncture sites. Some malformations require so-called variable geometry electrodes. These are single long needle electrodes that can be placed separately and can cover deeper mostly subfascial malformations.

Vascular malformations **do not need to be covered entirely with electric pulses**, in contrast to electrochemotherapy. In the case of BEST, damage to the endothelium and vessels needs to be done throughout the malformation but not necessarily with dense and complete coverage of the whole lesion, since the goal is to improve the symptoms more than eradicating the lesion. In this way, the risk of tissue swelling and mucosal ulceration could be reduced.

BEST treatment can be performed as a day case procedure unless pain, bleeding or swelling is anticipated. Generally, follow-up is recommended at approximately three-month intervals.

These are recommendations and considerations for BEST treatment according to the experiences gained by the authors of this manuscript. The members of this working group will continue to share experiences and discuss results to identify the procedural aspects associated with the best results. When a more formal consensus is reached, we will propose our best practice in the form of standard operating procedures (SOPs).

# Role of InspECT in BEST applications

InspECT is an international network of 42 clinical centers using electrochemotherapy for the treatment of cancer. This is the largest group of experts on electroporation-based treatments. Some of them are acquainted with BEST and report a positive experience as other external centers. Together, these centers form a network that can promote BEST worldwide. A dedicated working group for vascular malformations has been formed within InspECT. This group will promote clinical studies with BEST and seek collaboration with other centers. This paper aims to raise interest and awareness in the treatment of vascular malformations with BEST and provide an overview of the current status of development of this approach.

# Future directions and conclusions

The number of clinicians using BEST to treat vascular malformations is growing. Due to the first and positive experiences in various vascular malformations, BEST application is being practiced in an increasing number of centers throughout Europe and the UK. This article summarizes the rationale and underlying mechanisms of BEST, along with the initial clinical experiences. Additionally, it highlights the main controversial procedural aspects and the need for dedicated SOPs.

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# research article

# Correlation of mean apparent diffusion coefficient (ADC) and maximal standard uptake value (SUVmax) evaluated by diffusionweighted MRI and 18F-FDG-PET/CT in children with Hodgkin lymphoma: a feasibility study

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**Background.** The objective was to analyse if magnetic resonance imaging (MRI) can act as a non-radiation exposure surrogate for (18)F-Fluorodeoxyglucose (FDG) positron emission tomography/computed tomography (PET/ CT) in children with histologically confirmed Hodgkin lymphoma (HL) before treatment. This was done by analysing a potential correlation between apparent diffusion coefficient (ADC) in MRI and the maximum standardized uptake value (SUVmax) in FDG-PET/CT.

**Patients and methods.** Seventeen patients (six female, eleven male, median age: 16 years, range: 12–20 years) with histologically confirmed HL were retrospectively analysed. The patients underwent both MRI and (18)F-FDG PET/CT before the start of treatment. (18)F-FDG PET/CT data and correlating ADC maps in MRI were collected. For each HL-lesion two readers independently evaluated the SUVmax and correlating meanADC.

**Results.** The seventeen patients had a total of 72 evaluable lesions of HL and there was no significant difference in the number of lesions between male and female patients (median male: 15, range: 12–19 years, median female: 17 range: 12–18 years, p = 0.021). The mean duration between MRI and PET/CT was 5.9 ± 5.3 days. The inter-reader agreement as assessed by the intraclass correlation coefficient (ICC) was excellent (ICC = 0.98, 95% CI: 0.97–0.99). The correlated SUVmax and meanADC of all 17 patients (ROIs n = 72) showed a strong negative correlation of -0.75 (95% CI: -0.84, – -0.63, p = 0.001). Analysis revealed a difference in the correlations of the examination fields. The correlated SUVmax and meanADC showed a strong correlation at neck and thoracal examinations (neck: -0.83, 95% CI: -0.93, – -0.63, p < 0.0001, thoracal: -0.82, 95% CI: -0.91, – -0.64, p < 0.0001) and a fair correlation at abdominal examinations of -0.62 (95% CI: -0.83, – -0.28, p = 0.001).

**Conclusions.** SUVmax and meanADC showed a strong negative correlation in paediatric HL lesions. The assessment seemed robust according to inter-reader agreements. Our results suggest that ADC maps and meanADC have the potential to replace PET/CT in the analysis of disease activity in paediatric Hodgkin lymphoma patients. This may help reduce the number of PET/CT examinations and decrease radiation exposure to children.

Key words: Hodgkin lymphoma; diffusion weighted imaging; apparent diffusion coefficient; MRI; PET/CT

# Introduction

Hodgkin lymphoma (HL) accounts for approximately 6% of all paediatric cancers. It has an incidence rate of 12 cases per million per year in the age group 0–14 with a male predominance.<sup>1,2</sup> Clinical trials and advances in therapy lead to an improvement of the 5-year survival rate for children newly diagnosed with HL.34 The current National Comprehensive Cancer Network (NCCN) guidelines do not address HL in paediatric patients.<sup>5</sup> Therefore, initial radiological staging examinations depend on study protocols. Most patients with HL receive (18)F-Fluorodeoxyglucose (FDG) positron emission tomography/computed tomography (PET/CT) scans as initial staging and during follow-up to assess early response and to identify responders or non-responders to chemotherapy.6-8 Over 95% of children with HL will become long-time survivors.4 Currently the Deauville five-point scale is recommended for FDG-PET/CT-based response assessment in patients with lymphoma. It is a visual scale using mediastinal and liver blood pool FDG-uptake as reference points.<sup>9</sup> The therapeutic improvements lead to increasing live expectancy and increasing number of dose-intense follow-up examinations with PET/CT. Several studies examined methods to reduce the radiation exposure for paediatric patients in whole body PET/CTs, but FDG-PET/CT is still the preferred examination to evaluate the treatment response of HL patients.<sup>10</sup> Magnetic resonance imaging (MRI) plays an important role in a wide field of paediatric specialities, ranging from acute trauma to oncology.<sup>11-14</sup> In HL patients MRI is used to evaluate soft tissue lesions. In contrast to PET/CT imaging there is no radiation exposure in MRI examinations, which is especially beneficial in paediatric patients. In MRI with diffusion weighted imaging (DWI) apparent diffusion coefficient maps can be calculated. Apparent diffusion coefficient (ADC) maps have been utilized in different setting such as ischemic stroke, heart imaging and differentiation between several types of cancer and cancer detection.<sup>15-18</sup> The potential of MRI-derived apparent diffusion coefficient measurements as radiation free surrogate for SUVmax has not yet been evaluated. In the present study, we retrospectively evaluated the correlation between ADC and SUVmax in paediatric patients with HL.

# Patients and methods

This retrospective study was approved by the institutional review board (IRB) of the University Hospital Frankfurt (IRB; 2022-603).

Inclusion criteria were (I) histologically confirmed Hodgkin lymphoma with (II) pretherapeutic MRI and (III) (18)F-FDG PET/CT on the same MRI or PET/CT in (IV) patients < 18 years with a (V) maximum duration between MRI and PET/CT of 30 days.



FIGURE 1. Flowchart for recruitment of study subjects according to the Standards for Reporting of Diagnostic Accuracy (STARD) studies.

### TABLE 1. Magnetic resonance imaging sequences

Sequence	Orientation	Body part
T2w-TIRM in transversal orientation	transversal	neck
T1w-TSE (fat suppressed, +/- contrast media)	transversal	neck
T1w-TSE (no fat suppression, with substraction, +/- contrast media)	coronal	neck
DWI (b-values: 50, 200, 800)	transversal	neck, body
T2w-HASTE	coronal, sagittal and transversal	body
T1w-VIBE (with fat suppression) without breath-hold-imaging +/- contrast media	transversal	body

DWI = diffusion-weighted magnetic resonance imaging; HASTE = T2-weighted half-Fourier acquisition single-shot turbo spin-echo; T1w = T1-weighted; T2w = T2-weighted; TIRM = turbo inversion recovery magnitude; TSE = turbo spin echo; VIBE = T1-weighted volumetric interpolated breath-hold examination

Exclusion criteria were (I) missing ADC assessment, (II) duration between MRI and PET/CT > 30 days, (III) imaging artifacts (Figure 1).

## MR imaging acquisition and examination

Examinations of this retrospective single centre study took place at University Hospital Frankfurt am Main/Germany at a single 1.5-T MRI Scanner in clinical routine using a standard 18-channel body-coil (Magnetom Aera; Siemens Healthineers, Forchheim/Germany) and at a single PET/CT Scanner (Biograph 6; Siemens Healthineers, Forchheim/Germany).

Neck MRI examinations were performed using the following sequences: (a) T2-weighted (T2w) Turbo inversion recovery magnitude (TIRM) in transversal orientation, (b) T1-weighted (T1w) turbo spin echo (TSE) in transversal (with fat suppression) and coronal orientation (without fat suppression, substraction images were calculated) with and without contrast media, and diffusionweighted magnetic resonance imaging (DWI) (bvalues: 50, 200, 800). Body MRI examinations were performed using the following sequences: (a) T2-weighted half-Fourier acquisition single-shot turbo spinecho (HASTE) in coronal, sagittal and transversal orientation, (b) diffusion-weighted magnetic resonance imaging (b-values: 50, 200, 800), and (c) T1-weighted volumetric interpolated breath-hold examination (VIBE) dixon (with fat suppression) in transversal orientation without breath-hold-imaging, without and with contrast media (Table 1).

In PET/CT examinations the mean computed tomography dose index (CTDI) was  $2.2 \pm 0.8$  Milli-Gray (mGy). The mean dose length product (DLP) was  $215.1 \pm 92.1$  mGy\*cm (Table 2).

### Image evaluation

Image evaluation was performed by using a conventional picture archiving and communication system station (PACS-station, Centricity Universal Viewer, Version 7.0). MRI examinations and (18) F-FDG PET/CT examinations with temporal correlation (both examinations within one month) were paired (Figure 2). At MRI, the Hodgkin le-

Modality	CTDI [mGy]		DLP [m	DLP [mGy*cm]		Examination time [min]	
	Mean (SD)	Range	Mean (SD)	Range	Mean (SD)	Range	
FDG-PET/CT	2.2 (0.8)	1.2-4.1	215.1 (92.1)	93.9-410.2	28 (8:26) <sup>1</sup>	20-49	
MRI							
Neck					19:45 (3:41)	17:21-24:47	
Thorax					09:23 (2:12)	08:01-10:29	
Abdomen					09:23 (2:59)	07:32-12:21	

TABLE 2. Radiation exposure and examination time

CTDI = computed tomography dose index; DLP = dose length product; mGy = milligray; min = minutes; <sup>1</sup> FDG has to distribute for 1h after application. Time given in the table is the scanning time after application

TABLE 3. Patient characteristics and classifications

Variable	Retrospective cohort of patients diagnosed with Hodgkin lymphoma; baseline features
No. of patients	17
Median age (SD), years	15.8 (2.2)
Sex	
Male	11 (65%)
Female	6 (35%)
Lugano classification	
1	3 (18%)
2	6 (35%)
3	4 (23%)
4	4 (23%)
Hodgkin lymphoma subtypes (WHO	classification)
Nodular sclerosis	9 (52%)
Mixed cellularity	5 (29%)
Lymphocyte rich	2 (12%)
Lymphocyte depleted	1 (6%)

Unless otherwise indicated, data are the number of patients. WHO = World Health Organization

sions were identified on DWI and ADC. For each Hodgkin lesion in (18)F-FDG PET/CT with measured SUV two readers (N.R, board-certified radiologist with six years of experience and S.B., radiology resident with four years of experience) defined a 2D-ROI in the correlating MRI lesion in ADC maps covering the entire HL lesion and the mean ADC was evaluated. In total 72 ROIs with correlating SUVmax lesions were evaluated. After an initial analysis the 72 ROIs were subdivided into the three different examination areas. 22 ROIs were selected at neck imaging, 28 ROIs at thoracal imaging and 22 ROIs at abdominal imaging. Image quality and noise were evaluated by using a 5-point Likert scales (1, unacceptable; 5, excellent).

## Statistical analysis

Statistical analyses were performed using RStudio 2021.09.2 (Posit PBC). The nonparametric Kolmogorov-Smirnov test was applied to assess the normality of the data. Variables were expressed as means  $\pm$  standard deviation and analyzed with the Wilcoxon test. A p < .05 (two-tailed) was consid-



**FIGURE 2.** MRI and FDG-PET/CT imaging of two Hodgkin lymphoma (HL) patients. Left side (A, B): 12yo patient with newly diagnosed HL, (A) MRI examination with thoracal apparent diffusion coefficient (ADC) map. (B) correlating FDG-PET/ CT examination with a HL lesion and calculated SUVmax. right side (C, D): 9yo patient with newly diagnosed HL, (C) MRI examination with neck ADC map. (D) correlating FDG-PET/CT examination with a HL lesion and calculated SUVmax.

ered statistically significant. Correlation between SUVmax and meanADC was calculated using the Pearson's Product Moment Correlation Coefficient. The difference between the correlations of neck, thoracal and abdominal meanADC was calculated using the Fisher Z-Transformation with Z Test statistic (Z-Score) and probability (p).<sup>19,20</sup> According to Landis and Koch, weighted  $\kappa$  statistics was used evaluating the interrater agreement.<sup>21</sup>

# Results

Between April 2015 and November 2021 39 pediatric patients underwent treatment for Hodgkin lymphoma at the University Hospital Frankfurt am Main and received as part of routine diagnostics a PET/CT examination. Out of these, seventeen patients (median age: 16 years, range: 12–20 years; six females [median age: 17 range: 12–18 years] and





FIGURE 3. Correlation of SUVmax and mean apparent diffusion coefficient (ADC). The calculated meanADC of the MRI examinations show a strong inverse correlation with the correlating SUVmax of the FDG-PET/CT examinations.

**FIGURE 4.** Neck imaging: correlation of SUVmax and mean apparent diffusion coefficient (ADC). The calculated meanADC of the MRI examinations show a strong inverse correlation with the correlating SUVmax of the FDG-PET/CT examinations.

eleven males [median age: 15, range: 12–19 years]) met the inclusion criteria (Table 3).

One ROI was defined in each of the 72 evaluable lesions in MRI examinations of 17 patients (Figure 2).

Pretherapeutic mean ADC was 931.17 x 10–3 mm<sup>2</sup>/s  $\pm$  282.39 x 10–3 mm<sup>2</sup>/s (minimum: 373 x 10–3 mm<sup>2</sup>/s, maximum: 1658 x 10–3 mm<sup>2</sup>/s). Pretherapeutic mean SUVmax was 6.53  $\pm$  2.37 (minimum: 2.92, maximum: 13.4). The meanADC lesions of MRI showed a high inverse correlation of -0.75 (95% CI: -0.84 – -0.63, p = 0.001) with the matched SUVmax (Figure 3) of all 72 ROIs. The intraclass correlation coefficient (ICC) for the evaluation of the mean ADC was 0.98 (95% CI: 0.97–0.99).

The 72 ROIs were then subdivided into 22 neck, 28 thoracal and 22 abdominal lesions.

At the neck lesions, pretherapeutic meanADC was 919.95 x 10–3 mm<sup>2</sup>/s  $\pm$  243.77 x 10–3 mm<sup>2</sup>/s (minimum: 462 x 10–3 mm<sup>2</sup>/s, maximum: 1321 x 10–3 mm<sup>2</sup>/s). Pretherapeutic mean SUVmax was 4.26  $\pm$  0.93 (minimum: 2.85, maximum: 6.04). The meanADC lesions of neck MRI showed a high inverse correlation of -0.83 (95% CI: -0.92 – -0.63, p < 0.001) with the matched SUVmax (Figure 4) of the 22 ROIs. The intraclass correlation coefficient for the evaluation of the neck mean ADC was 0.98 (95% CI: 0.95–0.99).

At the thoracal lesions, pretherapeutic mean-ADC was 976.22 x 10–3 mm<sup>2</sup>/s ± 355.34 x 10–3 mm<sup>2</sup>/s (minimum: 373 x 10–3 mm<sup>2</sup>/s, maximum: 1630 x 10–3 mm<sup>2</sup>/s). Pretherapeutic mean SUVmax was 4.70 ± 1.30 (minimum: 2.82, maximum: 6.94). The meanADC lesions of thoracal MRI showed a high inverse correlation of -0.82 (95% CI: -0.91 – -0.64, p < 0.001) with the matched SUVmax (Figure 5) of the 28 ROIs. The intraclass correlation coefficient for the evaluation of the thoracal mean ADC was 0.99 (95% CI: 0.98–1.00).

At the abdominal lesions, pretherapeutic mean-ADC was 931.68 x 10–3 mm<sup>2</sup>/s ± 244.72 x 10–3 mm<sup>2</sup>/s (minimum: 529 x 10–3 mm<sup>2</sup>/s, maximum: 1658 x 10–3 mm<sup>2</sup>/s). Pretherapeutic mean SUVmax was 4.66 ± 1.27 (minimum: 2.69, maximum: 7.44). The meanADC lesions of abdominal MRI showed an inverse correlation of -0.62 (95% CI: -0.83 – -0.28, p = 0.001) with the matched SUVmax (Figure 6) of the 22 ROIs. The intraclass correlation coefficient for the evaluation of the abdominal mean ADC was 0.97 (95% CI: 0.95–0.99).

The correlations of neck and thoracal imaging differed not significantly (Z-Score = 0.10, p = 0.92). There is no significant difference of the correlations of neck and abdominal (Z-Score = 1.42, p = 0.15) and of thoracal and abdominal imaging (Z-Score = 1.42, p = 0.16).



**FIGURE 5.** Thoracal imaging: correlation of SUVmax and mean apparent diffusion coefficient (ADC). The calculated meanADC of the MRI examinations show a strong inverse correlation with the correlating SUVmax of the FDG-PET/CT examinations.

# Abdominal SUVmax vs. meanADC

**FIGURE 6.** Abdominal imaging: correlation of SUVmax and meanADC. The calculated meanADC of the MRI examinations show a fair inverse correlation with the correlating SUVmax of the FDG-PET/CT examinations.

## Image ratings

ADC maps were evaluated regarding image noise and image quality. Image noise was rated with mean scores of  $4.6 \pm 0.7$ . Image quality was rated with mean scores of  $4.4 \pm 0.9$ . The interrater agreement was good for image quality ( $\kappa = 0.7 \pm 0.14$ ) and image noise ( $\kappa = 0.64 \pm 0.21$ ) (p < 0.0001).

# Discussion

Currently study protocols for HL patients contain PET/CT and MRI for initial staging, early assessment, and treatment response. Several studies demonstrated the important role of FDG-PET/CT scans as initial staging and during follow-up in HL patients.<sup>22-24</sup> Children are radiation sensitive because of the high cell division rate. Radiation dose induced damages in children are closely examined in several studies.25 The increasing number of examinations with X-rays in patients leads to a lifelong increased risk of radiation induced cancer.26 Paediatric radiology societies point out the necessity of the ALARA (as low as reasonably achievable) principle in radiation exposure at children.<sup>27</sup> On the other hand, assessment of the activity by PET/CT might reduce radiation exposure, as patients with negative PET/CT assessed early point during therapy might not receive radiotherapy. MRI might be beneficial in paediatric patients as there is no radiation exposure. Whole-body MRI (WB-MRI) examinations can play an important role as initial staging and follow-up examination in HL patients.<sup>28,29</sup> Spijkers *et al.* demonstrated a high correlation between WB-MRI with DWI and FDG-PET/CT scans in staging of adult HL patients.<sup>30</sup> The results of our feasibility study support that the results of Spijkers *et al.* also hold in paediatric patients, as ADC maps and FDG-PET/CT examinations showed a strong inverse correlation.

Our preliminary results in pre-therapeutic imaging suggests that pretherapeutic MRI ADC maps and meanADC demonstrated a strong inverse correlation with SUVmax of FDG-PET/CT neck and thoracal examinations in paediatric HL patients. However, data must be confirmed in the assessment of therapy response.

At abdominal imaging the correlation between meanADC and SUVmax decreased with no significant difference to neck and thoracal imaging. The inter reader agreement at abdominal MRI meanADC was excellent. Noise and image quality did not influence the evaluation of mean ADC. Pediatric MRI examinations were performed without breath-hold imaging. There may be an influence of breathing artifacts on the acquisition of abdominal DWI sequences. Further examinations in breath-hold imaging are necessary to exclude a potential breathing influence.

With this study we shed light on the potential application of MRI instead of PET/CT to assess paediatric patients with HL to reduce radiation exposure.

In this study only pretherapeutic FDG-PET/CT and MRI scans were selected to exclude a potential bias due to treatment. MRI scans with ADC maps may play an important role in follow-up examinations and assessment of treatment response of HL patients. To evaluate a post therapeutic correlation of meanADC and SUVmax further studies are necessary.

The examinations of our study were performed with a single MRI scanner, and one single DWI sequence was used at all patients. This is important as Kivrak et al. and Hoang-Dinh et al. demonstrated a statistically significant difference in the calculated ADC maps of different MRI scanners from different vendors.<sup>31,32</sup> The difference in calculated ACD maps may be caused by different DWI sequence settings. Sadinski et al. demonstrated a high reproducibility of ADC maps at a single scanner and Newitt et al. demonstrated a high reproducibility of ADC maps at different scanners from different vendors with the same DWI settings.33,34 The evaluation of the robustness of the pretherapeutic correlation between meanADC and SUVmax in different scanners was beyond the scope of our analysis and requires further studies.

This study has limitations beyond its retrospective design. Missing MRI, missing pretherapeutic MRI and PET/CT examinations and missing temporal correlation between MRI and PET/CT reduced the number of eligible patients, which might has resulted in a selection bias. To exclude inter-scanner noise, we only included examinations from the same scanner. This homogenized the signals, but at the same time, limited the number of eligible patients and might limit the generalizability of the results.

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# research article

# CT-guided biopsies of unspecified suspect intrahepatic lesions: pre-procedure Lipiodolmarking improves the biopsy success rate

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**Background.** While computed tomography (CT)-guided liver biopsies are commonly performed using unenhanced images, contrast-enhanced images are beneficial for challenging puncture pathways and lesion locations. This study aimed to evaluate the accuracy of CT-guided biopsies for intrahepatic lesions using unenhanced, intravenous (IV)-enhanced, or intra-arterial Lipiodol-marked CT for lesion marking.

**Patients and methods.** Six-hundred-seven patients (men: 358 [59.0%], mean age 61 years; SD ±12.04) with suspect hepatic lesions and CT-guided liver biopsies were retrospectively evaluated. Successful biopsies were histopathological findings other than typical liver tissue or non-specific findings. Data was ascertained regarding the use of contrast medium for the biopsy-planning CT, unenhanced (group 1) vs. Lipiodol (group 2) vs. IV contrast (group 3). Technical success and influencing factors were insulated. Complications were noted. The results were analyzed using the Wilcoxon-Man-Whitney t-test, Chi-square test, and Spearman-Rho.

**Results.** Overall lesion hitting rate was 73.1%, with significantly better rates using Lipiodol-marked lesions (79.3%) compared to group 1 (73.8%) and group 3 (65.2%) (p = 0.037). Smaller lesions (<20 mm diameter) benefited significantly from Lipiodol-marking with 71.2% successful biopsy rate compared to group 1 (65.5%) and group 3 (47.7%) (p = 0.021). Liver cirrhosis (p = 0.94) and entity of parenchymal lesions (p = 0.78) had no impact on the hitting rate between the groups. No major complications occurred during the interventions.

**Conclusions.** Pre-biopsy Lipiodol marking of suspect hepatic lesions significantly increases the lesion-hitting rate and is especially beneficial for biopsy of smaller targets below 20 mm diameter. Further, Lipiodol marking is superior to IV contrast for non-visible lesions in unenhanced CT. Target lesion entity has no impact on the hitting rate.

Key words: ethiodized oil; liver; biopsy; X-ray computed tomography

# Introduction

Suspicious hepatic lesions are often detected incidentally or during staging and remain suspect despite the use of various diagnostic imaging methods. However, accurate diagnosis is crucial in the majority of suspect cases, especially in patients with known or suspected malignant disease.<sup>1,2</sup> Thus, biopsies of suspicious intrahepatic lesions are an established procedure commonly performed in the clinical routine, with several options for image guidance available. Therefore, various guiding procedures have been established for liver biopsies with good success rates providing reliable histopathological results. Ultrasound-guided biopsy is the primary option for all hepatic lesions, widely available, and allows for a biopsy performed under continuous dynamic guidance.<sup>3,4</sup> However, the applicability of ultrasound is limited by the location of the lesion (*e.g.*, infra-diaphragmatic, deep in the liver) and interference with other structures, especially ribs or intestinal gas. In challenging cases with uncertain identification of the target lesions by ultrasound, magnetic resonance imaging (MRI)- or computed tomography (CT)-guidance may be beneficial for a safe and reliable biopsy.<sup>5-7</sup>

MRI guidance is technically feasible but uncommon for biopsy hepatic lesions due to high cost, time-consuming, restricted availability, and motion artifacts. The clinical applicability is commonly limited to other, more steady regions like the prostate or breast.<sup>8</sup>

In addition to ultrasound-guided biopsy, CTguided biopsy is an integral part of routine clinical diagnostics. In most cases, the target liver lesions are visible in unenhanced CT images, commonly acquired for biopsy planning. Especially with the knowledge of a previous contrast-enhanced CT or MRI in which the lesions were identified and stated as suspect.9 Nevertheless, some hepatic lesions are challenging to delaminate in an unenhanced CT, particularly in altered liver parenchyma like hepatic cirrhosis. Also, lesions with a deep parenchymal position or with small size are challenging to detect.<sup>10,11</sup> Contrast-enhanced CT images are commonly used to overcome this limitation with two options available; application of intravenous (IV) contrast medium during the examination or a previous lesion marking using Lipiodol as a contrast agent.<sup>12-14</sup> Intravenous contrast is promptly washed out of the lesion and liver parenchyma and may not allow sufficient differentiation during the entire examination.<sup>15,16</sup> In comparison, Lipiodol is interventionally administered intra-arterial during angiography and provides a long-lasting uptake (Lipiodol washout half-life 29 to 55 days<sup>17</sup>) in the lesion or perilesional altered tissue during the complete intervention.<sup>12,18</sup>

A novel approach uses fusion imaging, ultrasound, or real-time fluoroscopy synchronized with previously acquired contrast-enhanced CT or MRI images to delineate the lesion during the intervention. This technique requires the newest equipment accompanied by high costs and is limited to a few centers only.<sup>19</sup> This study aimed to evaluate the interventional performance of CT-guided biopsies of suspicious intrahepatic lesions performed using unenhanced CT, intravenous contrast agent, or prior interventional lesion marking with Lipiodol for image guidance by analysis of the accuracy and potential influencing factors.

# Patients and methods

## Patient series and study setting

The institutional review board (IRB) approved this retrospective cohort study with a waiver for written informed consent. Inclusion criteria were as follows: unclear and suspect hepatic lesion, CT-guided biopsy of the hepatic lesion, unenhanced, IV contrast or previous Lipiodol-angiography for lesion marking, age 18 and above. All patients who met the inclusion criteria (Figure 1) were included. We excluded all patients with a time interval between CT-guided biopsy and Lipiodol-angiography of more than two months (n = 18) or an abort of the CT intervention during the examination due to lack of lesion visibility or sufficient biopsy window (n = 23).

# **CT-guided** biopsy

Data were evaluated for the whole study population, and a comparison of the three groups, unenhanced (group 1), Lipiodol enhanced (group 2), and IV contrast medium enhanced (group 3) was performed. Only patients who were not eligible for an ultrasound-guided biopsy or after an unsuccessful ultrasound-guided biopsy were referred to the radiology department for a CT-guided intervention. The interdisciplinary tumor board made the decision to biopsy. The enhancement protocol was selected at the time of the decision for biopsy based on several parameters and experience. Patients with lesions expected to be displayed well in unenhanced CT, either by size, location, or based on previous imaging, were planned for an unenhanced biopsy. A contrast protocol was determined in patients with lesions that might be more challenging to display in unenhanced CT. The decision for contrast protocol, Lipiodol (Example given in Figure 2) vs. IV contrast medium (e.g., Figure 3), was also based on the lesions' location and parameters (e.g., size, architecture with visible changes in the liver parenchyma). Small lesions and lesions with a challenging location were more likely marked with Lipiodol due to a more long-



FIGURE 1. The Standards for the Reporting of Diagnostic Accuracy studies (STARD) flow diagram for a study of 607 patients undergoing CT-guided liver biopsy.

lasting uptake which enables a secure identification during the whole examination, while IV contrast might have been washed out. In clinical practice, more intravenous contrast applications were performed in the early years of the study period. In the last years, more patients were examined using a previous intra-arterial enhancement by Lipiodol based on good local experience. The study population and the three groups were subdivided by lesion size and occurrence of liver cirrhosis.

All patients received a transcutaneous CTguided biopsy of the hepatic lesion using the same 128-multislice CT scanner [SOMATOM Definition AS, Siemens Healthineers] for image acquisition. The interventions were performed by interventional radiologists with more than five years of experience in CT-guided interventions. The obtained core biopsies were histopathologically analyzed by the local Institute for Pathology. Successful biopsy was defined as histopathological findings other than typical liver tissue or non-specific findings. Based on our experience, Lipiodol does not affect the analysis of the specimen.

Before the examination, the coagulation profile was checked. Patients who were planned for an application of IV contrast medium were screened for contraindications, *e.g.*, reduced renal function or hyperthyroidism. The use of oral anticoagulants was prohibited based on national guidelines. No changes to institutional guidelines (standard operating procedures) were made. If patients were planned for IV contrast, 60 ml of contrast agent [Imeron® 350, Bracco] were administered with an application rate of 3-5 mL/s. We used a fixed delay of 60 s until the first planning scan was initiated.

After the patients received the first planning scan, the access path was planned using a standard 3D-CT workstation [syngo.via, Siemens Healthineers] without software modifications. In the case of multiple lesions with a similar suspect appearance, the lesion with the best reachability was defined as the target. Based on the biopsy planning, the cutaneous entry point was marked. After introducing the coaxial needle, the lesion approach was performed under image guidance. A coaxial approach was used for CT-guided intervention. This approach is characterized by combining two needles: a puncture sheath [17 G, Puncture Sheath, Somatex® Medical Technologies, Germany] and a biopsy handy [18 G, Biopsy Handy, Somatex® Medical Technologies, Germany]. The sheath - a thicker, shorter needle (5cm, 10 cm, or 15 cm) is inserted down to the anterior edge of the lesion. Then, the biopsy handy - a thinner, longer needle (10 cm, 15 cm, or 20 cm) is introduced through the sheath. Finally, the biopsy needle is inserted 2 cm into the edge of the lesion for tissue sampling. Three or four samples can be



FIGURE 2. The decision for a biopsy of the suspicious hepatic lesion was made for the same male patient. Because of the small lesion size and isodensity in the unenhanced CT, a prior intra-arterial Lipiodol-marking (A) was performed. Directly after the intervention (B) and during the CT intervention (C) (white arrow) was well displayable, and the biopsy was successful, revealing a hepatocellular carcinoma.

taken using the thinner biopsy needle. After the examination, additional CT single shots were performed to rule out immediate complications like bleeding or pneumothorax. Afterward, the patients had bed rest for 2 hours, a laboratory check of the blood parameters, and an ultrasound followup after 6 hours to rule out potential complications such as capsular hematoma or any other signs of bleeding.

## Angiography

In patients with a prior Lipiodol-marking, the angiography was performed at a minimum interval of 12 hours before the biopsy. The angiographic approach was according to our standard procedure for hepatic interventions (Figure 2A).<sup>12</sup> The same team of interventional radiologists with over five years of experience conducted all interventions. The angiographic approach was through the femoral artery in all cases. After the introduction of the catheter [Boston Scientific], contrast medium iomeprol [Imeron®, Bracco] was used to display the abdominal and hepatic vessels. Following this, an overview angiography was performed. The superior mesenteric artery and celiac trunk were selectively catheterized, including an indirect portography to demonstrate vascular anatomy, ensure the portal vein's patency, and rule out portal vein thrombosis. Then, super-selective catheterization of the segmental and subsegmental hepatic branches was performed using a microcatheter [Progreat®, Terumo] for approach and precise placement. Lipiodol [Lipiodol Ultra-Fluid®, Guerbet] was administered as a tumor visualizer and transient embolic agent until stasis with a maximum amount of 15 ml. After the procedure, all patients were transferred to our ward for a minimum of 24-hour surveillance.

## Data analysis

Patient data were retrieved from the electronic patient record (Orbis, Dedalus Healthcare), imaging data from the local radiology information system (RIS, GE Healthcare), and picture archiving and communication system (PACS, GE Healthcare). We included patients who matched the inclusion criteria and received a CT-guided biopsy between 01/2010 and 12/2018.

Demographic data, underlying hepatic diseases, and liver cirrhosis were analyzed. Based on the CT images, lesion diameter, number of lesions, and lesion localization were noted. In patients with a prior Lipiodol angiography, the Lipiodol enhancement of the lesions was evaluated (visible or nonvisible). During the CT-guided intervention, the puncture path (lateral-intercostal, anterolateral, anterior-subcostal, dorsolateral), length cutis-tolesion, and liver capsule-to-lesion were measured. Dose-length-product (DLP) and the number of images of the entire examination, which includes the planning scan and the post-procedure scan, as well as for puncture sequence, including scans for needle placement, positioning, and sample acquisition, were noted and compared. This differentiation was made to achieve optimal comparability, as the differences in radiation exposure are caused

Characteristic	Overall	Unenhanced biopsy	Lipiodol-enhanced	i.v. contrast-enhanced	p-value
Age, y (SD)	61.0 ± 12.0	61.9 ± 11.95	60.4 ± 11.12	59.6 ± 13.04	0.228
<b>Gender, n (%)</b> Male Female	358 (59.0) 249 (41.0)	177 (55.1) 144 (44.9)	108 (74.5) 37 (25.5)	73 (51.8) 68 (48.2)	0.134
Hepatic disease, n (%) HCV HBV HCV+HBV NASH Toxic damage Others No disease	101 (16.6) 28 (4.6) 8 (1.3) 34 (5.6) 48 (7.9) 53 (8.7) 335 (55.2)	34 (10.6) 6 (1.9) 3 (0.9) 12 (3.7) 20 (6.2) 26 (8.1) 220 (68.5)	53 (36.6) 17 (11.7) 3 (2.1) 17 (11.7) 16 (11.0) 19 (13.1) 20 (13.8)	14 (9.9) 5 (3.5) 2 (1.4) 5 (3.5) 12 (8.5) 8 (5.7) 95 (67.4)	0.354
Cirrhosis	188 (33.0)	59 (19.2)	100 (71.9)	29 (3.6)	0.013
Intrahepatic lesions, n (%) 1 lesion 2 lesions 3 or more lesions	297 (48.9) 116 (19.1) 194 (32.0)	164 (51.1) 45 (14.0) 112 (34.9)	68 (46.9) 37 (25.5) 40 (27.6)	65 (46.1) 34 (24.1) 42 (29.8)	0.541
Lesion size, mm (SD)	29.3 ± 18.0	30.1 ± 18.1	25.9 ± 17.4	29.8 ± 18.7	0.182

TABLE 1. Overview of patient characteristics. P-values based on the Chi-square test indicate only a difference in the distribution of liver cirrhosis in comparison of the three enhancement groups

Results are presented as mean and standard deviation or number (%)

HBV = Hepatitis-B-Virus; HCV = Hepatitis-C-Virus; i.v. - intravenous; NASH - Non-alcoholic steato hepatitis; SD = Standard deviation

by the required placement and positioning scans. For the angiography, the dose-area-product (DAB) was noted. The major endpoint of this study was the evaluation of the hitting rate, defined by histopathological findings other than healthy liver tissue. Successful biopsy findings were defined as follows: hemangioma, focal nodular hyperplasia, regenerative nodule, granuloma, adenoma, necrosis, and all types of malignancies. Unsuccessful biopsies were defined as healthy liver tissue, steatosis, inflammatory disease, fibrosis, and toxic damage.

## **Statistics**

IBM SPSS statistics version 24 (IBM) was used for statistical analysis. For continuous data, median, mean, standard deviation, minimum, maximum, and range were calculated. For all continuous data, results were calculated for the whole study group and the three cohorts. The Chi-square test was used to test for differences in the successful biopsies between the three cohorts. In the first step, the whole study population was analyzed. In the second step, different subgroups (*e.g.*, lesions < 20 mm, liver cirrhosis) were compared in detail.

Further, the Chi-square test was used to check for differences in the distribution of attributes within the groups. Correlations were calculated according to Spearman-Rho. P-values less than 0.05 was considered statistically significant. A logistic regression analysis was performed to screen for potential factors within the demographic factors influencing the lesion hitting rate.

## Complications

Patient records were also screened for complications, during the intervention or within the 24-hour surveillance. Complications were rated according to the severity score based on SIR's latest classification: 0 = no complications, 1 = mild adverse events (no/non-substantial therapy required), 2 = moderate adverse event (substantial treatment required), 3 = severe adverse events (escalation of care), 4 =life-threatening or disabling event, 5 = patient death. Complication score was evaluated for the whole study population and the subgroups.<sup>20</sup>

# Results

## Study population

Six hundred-seven patients, 358 men (59%) and 249 women (41%) (mean age 61 years; SD  $\pm$  12.04; range 22–86 years) with unclear suspect liver lesions, were retrospectively evaluated. In 321 patients (52.9%), the biopsy was obtained with unenhanced imaging, 145 lesions were prior marked with Lipiodol (23.9%), and 141 patients received IV contrast for the intervention (23.2%) (Figure 1).

Liver cirrhosis was histopathologically diagnosed in 188 patients (33.0%). Patient characteristics are displayed in detail in Table 1.

Most patients had a known hepatic disease (n = 310, 51.1%). The most common disease was hepatitis C in 101 cases (16.6%). Other more frequent preexisting hepatic diseases were toxic liver damage (n = 48, 7.9%), non-alcoholic steatohepatitis (NASH) (n = 34, 5.6%), and hepatitis B infection (n = 28, 4.6%). No pre-existing hepatic disease was reported in 297 patients (48.9%).

# Lesion characteristics

Based on patient medical records and the planning CT scan for the biopsy, 297 patients (48.9%) had a solitary suspect hepatic lesion, while 310 (51.1%) had two or more lesions. The target lesions were localized in all liver segments, with the majority found in liver segments 4 and 6 (each n = 112, 18.5%), and no differences according to the Chi-square test were seen between the three groups (p = 0.052). The mean lesion size was  $29 \pm 18$  mm (range 4–116 mm). Target lesion smaller than 20 mm was seen in 229 patients (31.5%), and lesion smaller than 10 mm was seen in 38 patients (6.3%) (Table 1).

### **Biopsy**

Access path was in 335 cases (55.2%) from lateralintercostal, in 224 patients (36.9%) from anteriorsubcostal, in 44 cases (7.2%) from anterior-lateral subcostal and in 4 cases (0.7%) from dorsal-lateral intercostal. The mean access path length from cutis to the lesion was 83 mm ( $\pm$  26 mm), and within the liver from capsule to lesion, 43 mm ( $\pm$  26 mm). Per patient, 2.6 probes were obtained in mean ( $\pm$ 1.3), equal across all groups assessed by the Chisquare test (p = 0.307) (Table 2). Regarding the visual aspect, all lesions previously marked in the angiography were seen in the biopsy-CT, either by lesion enhancement or circular enhancement of the surrounding tissue.

### Lesion hitting rate

The target lesion was successfully biopsied in 444 patients (73.1%). In 163 patients (26.9%), the biopsy probe revealed healthy liver tissue defined as non-hitting of the target lesion. For group 1 with unenhanced CT, the biopsy was successful in 73.8% (n = 232). Group 2, with a prior Lipiodol-marking of the target lesion, showed the significantly highest hitting rate with 79.3% (n = 115) (Figure 2). The lowest



FIGURE 3. A male patient with a suspect and unclear hepatic lesion with 6 mm in segment 7. While not visible in the unenhanced CT (A), the lesion impressed arterial hypervascularized after intravenous contrast medium application (B) (white arrow).

hitting rate was seen in group 3 with IV contrast medium with 65.2% (n = 92) (Figure 3). The difference between all three groups was stated as significant (p = 0.037). In patients with liver target lesions smaller than 20 mm, the successful hitting rate was significantly higher after Lipiodol-marking compared to unenhanced or intravenous contrastenhanced images (71.2%, 65.5%, and 47.7%, respectively) (p = 0.021). The location within the liver based on the liver segment model had no impact on the lesion hitting rate (p = 0.107) (Figure 4).

The presence of liver cirrhosis showed no significant impact on the overall success of liver biopsy in all three groups (p = 0.94). In the subset of patients without liver cirrhosis, a successful biopsy was highest in the Lipiodol group, 74.4%, compared to 71.5% in the unenhanced group and 58.5% using IV contrast (p = 0.049).





TABLE 2. Biopsy characteristics overall and for the three enhancement groups. A Chi-square test was performed to test for differences between the groups. Significance is indicated in bold

Characteristic	Overall	Unenhanced biopsy	Lipiodol- enhanced	i.v. contrast- enhanced	p-value
Biopsy access path Lateral-intercostal Anterior-subcostal Anterior-lateral Dorsal-lateral	335 (55.2) 224 (36.9) 44 (7.2) 4 (0.7)	162 (50.5) 25 (7.8) 132 (41.1) 2 (0.6)	94 (64.8) 9 (6.2) 42 (29.0) 0	79 (56.0) 10 (7.1) 50 (35.5) 2 (1.4)	0.718
Segment localization 1 2 3 4 5 6 7 8	6 (1.0) 54 (8.9) 41 (6.8) 112 (18.5) 95 (15.7) 112 (18.5) 82 (13.5) 105 (17.3)	2 (0.6) 29 (9.0) 23 (7.2) 66 (20.6) 57 (17.8) 69 (21.5) 31 (9.7) 44 (13.7)	2 (1.4) 14 (9.7) 8 (5.5) 23 (15.9) 21 (14.5) 21 (14.5) 22 (15.2) 34 (23.4)	2 (1.4) 11 (7.8) 10 (7.1) 23 (16.3) 17 (12.1) 22 (15.6) 29 (20.6) 27 (19.1)	0.052
Access path length [mm] (SD) Cutis to lesion Liver capsule to lesion	83 ±26 43 ±26	82 ±27 44 ±21	85 ±27 41 ±21	83 ±26 43 ±21	0.527 0.473
Obtained probes (SD)	2.6 ±1.3	2.7 ±1.5	2.5 ±0.9	2.4 ±0.9	0.158
<b>Radiation exposure (SD)</b> Whole examination [mGycm <sup>2</sup> ] Biopsy scans [mGycm <sup>2</sup> ] Intervention ( <i>i</i> )-images [n]	498.3 ±429.5 80.1 ±58.0 34.4 ±24.0	361.0 ±166.6 79.7 ±60.0 34.6 ±23.8	411.3 ±517.9 88.2 ±56.3 37.7 ±23.4	900.3 ±495.6 72.6 ±56.4 30.6 ±24.7	<b>0.019</b> 0.053 0.182
Complications Sub-capsular liver bleeding Fluid edge around liver Bleeding along the access path Circulatory problems	3 (0.5) 2 (0.3) 1 (0.2) 1 (0.2)	1 (0.3) 1 (0.3) 0 1 (0.3)	1 (0.7) 0 1 (0.7) 0	1 (0.7) 1 (0.7) 0 0	0.870

Values are mean and standard deviation or number (%)

i.v. = - intravenous; SD = Standard deviation

Patients with a known malignant disease also showed a significant difference comparing the three procedures. A successful biopsy was possible in 83.0% (n = 39) using Lipiodol-marking, in 68.2% (n=131) unenhanced, and in 58.3% (n = 35) with IV contrast medium (p = 0.024). The entity of the biopsied lesion did not impact the hitting rate by showing no significant difference between the protocols.

Based on the performed logistic regression analysis, the lesion diameter (regression coefficient 0.023, p = 0.048) and the number of obtained probes (r = 0.199, p = 0.047) significantly impacted the hitting rate. Age and length of the puncture path, from cutis or liver capsule to lesion, revealed no significant impact.

### Histopathological results

Histopathological results revealed that the major malignant disease was HCC in 155 cases (25.5%). Inflammatory changes in the liver tissue were found to lead the non-malignant changes in 134 patients (22.1%). Appendix 1 shows the high variability of the diagnosed diseases.

## Radiation exposure

Overall DLP was 498.31 mGycm<sup>2</sup> in mean ( $\pm$  429.53 mGycm<sup>2</sup>) for the complete examination, including control and post-procedure scan. In the unenhanced group 1, 361.00 mGycm<sup>2</sup> ( $\pm$  166.55 mGycm<sup>2</sup>), in the Lipiodol-marking group 2, 411.27 mGycm<sup>2</sup> ( $\pm$  517.87 mGycm<sup>2</sup>), and in the IV contrast-medium group 3 900.27 mGycm<sup>2</sup> ( $\pm$  495.55 mGycm<sup>2</sup>), reaching a significant difference with higher exposure in group 3 (p = 0.019).

Only counting the applied radiation during the biopsy scans, which contain the scans for needle placement, positioning, and sample acquisition, overall DLP was 80.07 mGycm<sup>2</sup> in mean (± 57.97 mGycm<sup>2</sup>), in group 1 with 79.69 mGycm<sup>2</sup> in mean (± 59.98 mGycm<sup>2</sup>), in group 2 with 88.19 mGycm<sup>2</sup> in mean (± 56.33 mGycm<sup>2</sup>) and group 3 with 72.59 mGycm<sup>2</sup> in mean (± 56.37 mGycm<sup>2</sup>), showing no significant differences (p = 0.053). The total amount of the intervention (i)-sequences was n = 34.4 (± 24.0), in group 1 n = 34.6 (± 23.8), in group 2 n = 37.7 (± 23.4), and in group 3 n = 30.6 (± 24.7) equal across all groups (p = 0.182) (Table 2).

For the previously performed angiography in group 2 to mark the lesion with Lipiodol, an overall DAP of 10421 cGycm<sup>2</sup> in mean (± 10277 cGycm<sup>2</sup>) was noted.

## Complications

In 599 patients, no treatment-related complication was stated in the patient's medical record. Eight patients presented with a grade 1 complication: 3 patients with sub-capsular bleeding (0.5%), minimal hemorrhagic fluid edge surrounding the liver in 2 patients (0.3%), bleeding in the access path (1 patient, 0.2%), bleeding in the tumor (1 patient, 0.2%), and circulatory problems due to orthostatic problems (1 patient, 0.2%). No complications of grade 2 or higher were seen related to the intervention. No significant differences in the occurrence of complications were reported (p = 0.870). Details are given in Table 2.

# Discussion

This study's purpose was to compare three different enhancement protocols, unenhanced, with a prior Lipiodol marking and application of IV contrast, for CT-guided biopsies of unspecified suspect intrahepatic lesions.

CT-guided biopsies of suspect and unclear hepatic lesions are an established procedure in the diagnostic cascade with high reliability and a detection rate based on the study of Haage *et al.* of 90.5%.<sup>21</sup> Several technical adaptions to increase the safety and success rate are possible, for example, variation of the access path or the needle thickness.<sup>22</sup> The histopathological reliability of the obtained tissue is very good, as various studies showed with an accuracy between 80% and 97%.<sup>23,24</sup>

A previous study by Gerhards *et al.* investigated the use of Lipiodol for biopsy of HCC-suspect or proven HCC lesions in a very small and selected patient cohort. The lesion hitting rates showed comparable results to our study, with a benefit of Lipiodol confirming our presented results. This study goes beyond the results of Gerhards *et al.* by investigating various lesion entities, different biopsy groups, with and without contrast enhancement, and a larger study cohort.<sup>25</sup>

The detectability of HCC lesions by the additional use of Lipiodol compared to conventional contrast medium was proven by Rizvi *et al.* With prior Lipiodol-marking, the detection rate of HCC lesions was perfect (sensitivity 1.0, specificity 0.6, accuracy 0.91), while conventional contrast medium (sensitivity 0.65, specificity 1.0, accuracy 0.68) showed benefits in discriminating the lesion entity.<sup>26</sup> Setting this fact in context to our study, only a reliable lesion marking is essential for a successful CT-guided biopsy. This is the case in most lesions and is supported by our results with a favorable lesion hitting rate due to the usage of Lipiodol. A clear delineation and diagnosis of the lesion entity are optional, as it will be diagnosed based on the histopathological probe. Nevertheless, Lipiodol distribution within the suspected lesion can unveil HCCs, which may direct the patient directly into therapy, avoiding a biopsy.<sup>12,17</sup>

We also investigated the impact of existing liver cirrhosis on the biopsy results. Cirrhotic liver might have been a potential influencing factor making a successful biopsy more difficult as the lesion is camouflaged by reticular liver tissue. This was refuted by the results of our study showing no difference between the three study groups. Possible reasons for the results are the stronger tissue response during the intervention allowing for a bit easier needle control or the better visibility of the lesions in unenhanced imaging based on the altered tissue type, even in reticular liver tissue.

Another important point is the impact of lesion size on technical success. Smaller lesions are much more difficult for a secure biopsy.27,28 With a previous Lipiodol marking, especially lesions below 20 mm were easier to detect securely and to puncture successfully. Previous studies mainly investigated only HCC lesions with this question, but our study showed a benefit across all entities. Further, small lesions are defined as very variable in the literature with a size below 20 to 50 mm making the existing data inconclusive and problematic to compare with our results. The sensitivity of Lipiodol-CT for this small lesion ranged between 58% and 98% based on previous studies.<sup>29-32</sup> For example, our results should be considered in clinical practice for patients with a small unclear lesion in MRI imaging, which will be difficultly detectable in unenhanced CT. These patients benefit the most from a prior marking, with Lipiodol offering the best reliable biopsy method.

Interestingly, the patient group with an intravenous contrast medium application during the intervention showed the lowest lesion hitting rate. We identified two potential biases causing these results. First, these patients were primarily scheduled for interventions without contrast medium. In the CT scan directly before the examination for the biopsy planning, the lesion was not detectable. Reasons for this might have been a small size or a challenging access path. Then the decision to administer IV contrast to visualize the lesion was reached. Second, the time of visibility is limited, and especially small lesions are challenging to detect after wash-out of the contrast medium. Compared to the unenhanced group, the IV contrast group might contain more technically complex patients. Patients presenting small lesions or a challenging access path in pre-interventional imaging might benefit from a prior Lipiodol-marking of the target lesion. Especially lesions that may be isodense in unenhanced, enhanced CT and obscured in delayed phase CT should be marked before the intervention.

As mentioned, most studies investigate the role of Lipiodol in the context of HCC, and it is known that the best and strongest enhancement is seen for hypervascularized lesions. But based on previous studies on TACE, it is known that Lipiodol shows an enhancement also in a wide variability of other tumors, *e.g.*, breast cancer or colorectal carcinoma, which are often hypo vascularized. Although not all lesions enhance strong and homogeneity, the wall area or the area of altered liver tissue around the lesions shows a typical enhancement that can be used for biopsy guidance. This underlines the potential for clinical use even if the entity of the lesion is not known before the intervention.

Besides CT, CEUS (Contrast-enhanced ultrasound) and cone beam CT (CBCT) with intra-arterial contrast application are useful other imaging modalities to assess suspicious intrahepatic lesions interventionally.33 These techniques also allow for the accurate detection and localization of hepatic lesions. However, both modalities may not be widely available in all medical facilities, limiting their accessibility for some patients. Additionally, CEUS requires highly skilled operators with specific training and expertise. The quality and accuracy of the results may depend on the operator's skill and experience. CBCT fusion imaging with ultrasound for transcutaneous hepatic interventions is a new approach with promising first results, but it still requires further investigation.<sup>34</sup>

The overall complication rate was very low, with no major complications. This is according to the prevalence of complications reported in other studies<sup>21-23</sup>, stating that a CT-guided biopsy is an approved and secure intervention well established in the clinical practice. The contrast medium did not influence the complication rate, even in challenging lesion locations. Besides all benefits of the biopsy, the application of Lipiodol is an interventional procedure with the potential occurrence of complications and increased radiation exposure. Although a transarterial embolization is established in the clinical routine, the rate of major complications is low at under 0.9%.<sup>35</sup> Additionally, the increased radiation exposure comes with the risk of stochastic radiation damage and radiation-related late effects. Consequently, the application should be reserved for patients who benefit the most. Based on the results, prior Lipiodol-marking can be beneficial in patients with a lesion below 20 mm or a potentially challenging access path.

We acknowledge that this retrospective study had several limitations. This study intended to compare different enhancement protocols for CTguided biopsies of various intrahepatic lesions. Therefore, we used a retrospective design and a relatively small cohort in a few tumor entities. A study including a prospective design and multiple centers, probably with a closer look at selected lesion entities, is required to prove the results. The histopathological results are problematic in a few cases as the pathologists described several patterns-further, the decision process for the enhancement protocol needed to be randomized. The multidisciplinary tumor board made the decision based on several parameters. This was based on pre-interventional imaging, lesion characteristics, age, or the patient's individual preference. This decision might have led to a selection bias. Also, IV enhancement was favored in the early years, while Lipiodol enhancement was performed based on convincing local experience in the later years. For lesions with the pathological finding of necrosis, this might also have been caused by a diffuse necrotic area, which might be considered as a nonsuccessful biopsy. From the pathological results, no differentiation is possible.

In conclusion, the use of Lipiodol as a contrast agent and pre-puncture marking in angiography increases the lesion-hitting rate in CT-guided biopsies of suspect and unclear hepatic lesions significantly, especially for small suspect liver lesions with a diameter below 20 mm and might be used as an alternative to unenhanced imaging or IV contrast enhancement. For lesions that cannot be seen in unenhanced CT, Lipiodol marking is superior to IV marking and should be preferred.

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# Radiological assessment of skeletal muscle index and myosteatosis and their impact postoperative outcomes after liver transplantation

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**Background.** Liver transplantation offers curative treatment to patients with acute and chronic end-stage liver disease. The impact of nutritional status on postoperative outcomes after liver transplantation remains poorly understood. The present study investigated the predictive value of radiologically assessed skeletal muscle index (SMI) and myosteatosis (MI) on postoperative outcomes.

**Patients and methods.** Data of 138 adult patients who underwent their first orthotopic liver transplantation were retrospectively analysed. SMI and MI in computer tomography (CT) scan at the third lumbar vertebra level were calculated. Results were analyzed for the length of hospitalisation and postoperative outcomes.

**Results.** In 63% of male and 28.9% of female recipients, low SMI was found. High MI was found in 45(32.6%) patients. Male patients with high SMI had longer intensive care unit (ICU) stay (P < 0.025). Low SMI had no influence on ICU stay in female patients (P = 0.544), length of hospitalisation (male, P > 0.05; female, P = 0.843), postoperative complication rates (males, P = 0.883; females, P = 0.113), infection rate (males, P = 0.293, females, P = 0.285) and graft rejection (males, P = 0.875; females, P = 0.135). The presence of MI did not influence ICU stay (P = 0.161), hospitalization (P = 0.771), postoperative complication rates (P = 0.467), infection rate (P = 0.173) or graft rejection rate (P = 0.173).

**Conclusions.** In our study, changes in body composition of liver transplant recipients observed with SMI and MI had no impact on postoperative course after liver transplantation. CT body composition analysis of recipients and uniformly accepted cut-off points are crucial to producing reliable data in the future.

Key words: muscle mass; liver transplantation; myosteatosis; skeletal muscle index; GLIM score

# Introduction

Since 1963 when the first liver transplantation (LT) was performed by Starzl<sup>1</sup>, it has become a standard treatment modality for patients with acute liver failure and chronic liver disease.<sup>2</sup> Ninety percent

5-year survival rate and better quality of life are the two most important outcomes of LT.<sup>2</sup> Most liver transplant centres use the Model for End-stage Liver Disease (MELD) score for organ allocation.<sup>3</sup> Patients with MELD score 15 or more, patients with poor quality of life due to chronic liver disease symptoms (diuretic-intractable ascites, variceal bleeding, pruritus, cachexia), and patients with acute liver failure are those who benefit most from LT.3 MELD score can underestimate the severity of liver disease in specific groups of patients (acute on chronic liver disease, presence of sarcopenia, chronic kidney disease, etc.).3 Several modifications of the MELD score have been introduced, but none offers a more reliable and accurate scoring system. Albumin-bilirubin (ALBI) score is mainly used as an objective method to assess liver function and predict postoperative complications, particularly after hepatectomy in patients with hepatocellular carcinoma (HCC).4 Its role in determining post-LT outcomes is not yet determined. Two main objective parameters of nutritional status are sarcopenia and myosteatosis (MI). The European Working Group on Sarcopenia defines sarcopenia as the presence of low muscle mass (under the 5th percentile) and low muscle function (strength or performance) in patients with advanced age, cancer, or other diseases.<sup>5,6</sup> Myosteatosis is defined as the abnormal fatty transformation of skeletal muscle. It negatively affects muscle strength and is common in advanced age78, diabetes78, obesity910, chronic9, and malignant diseases.11,12 Overview of the literature shows large number of different methods used for body composition assessment in patients with liver cirrhosis.13 There is still no consensus on the best tools for each body component in patients with liver cirrhosis. Most frequently used are computed tomography (CT), bioimpedance analysis (BIA), dual-energy X-ray absorptiometry (DXA) and anthropometry.13 Some of them BIA, DXA and body mass index (BMI)) are not applicable in patients with end-stage liver disease due to frequent water retention.14,15 In the last decade, computed tomography with automated or semiautomated body composition analysis at the third lumbar vertebra has emerged as an objective method of defining the nutritional status of patients with chronic liver disease.15,16 Nutritional assessment with CT is not affected by water retention or presence of ascites. Skeletal muscle volume and myosteatosis can be measured from CT images obtained as a part of routine pre-transplant evaluation.14 Skeletal muscle mass index (SMI) is calculated as muscle mass area divided by the square of the height. The Global Leadership Initiative on Malnutrition (GLIM)16 score was introduced as a potential nutritional assessment tool in recent years. It was shown to have good predictive value as a risk assessment tool for postoperative morbidity and mortality in patients after colorectal surgery.<sup>17</sup> However, its role as a predictive factor in LT is not yet established.

This study aimed to investigate feasibility of radiological assessment of a nutritional status of a patient and the predictive value of SMI and MI on postoperative complications, length of hospitalization, liver graft rejection, and mortality. We further compared the predictive value of SMI and MI with MELD, ALBI, and GLIM scores.

# Patients and methods

We retrospectively analyzed 138 adult patients who had first orthotropic LT from brain dead donors between 1.1.2012 and 1.1.2020 in our institution. We excluded patients who had re-LT procedure and those whose abdominal CT scan could not be obtained from data base or received reduced size and liver graft from donation after cardiac death (DCD) donor. From the medical database, we collected recipient age, gender, body mass index, underlying liver disease, presence of ascites, hepatocellular carcinoma (HCC), and laboratory parameters (serum levels of sodium, creatinine, albumin, protein, bilirubin, and International normalized ratio (INR)). We calculated MELD and ALBI scores from laboratory parameters. Among GLIM criteria, we used chronic liver failure and end-stage liver disease as etiologic and SMI or MI as phenotypic criteria. Length of intensive care unit (ICU) stay, hospitalization, postoperative complications according to Clavien-Dindo classification<sup>18</sup>, infections, and 90-day mortality were collected from the database of liver recipients and analyzed. Diagnosis of liver rejections was confirmed with laboratory tests and histological examination of all liver graft specimens obtained by ultrasound-guided biopsy. Acute rejection was defined with 6 points or more according to the liver allograft fibrosis score.<sup>19</sup>

The Slovenian National Medical Ethics Committee approved our study design (approval number 0120–230/2018–10) and waived the need to obtain informed consent from participants.

## CT-body composition analysis

Abdominal CT scans were obtained from the hospital database system. In case of multiple CT scans, we used last CT scan before LT procedure. A single slice of each patient at the level of the 3<sup>rd</sup> lumbar vertebrae was selected for automatic segmentation. CT scans were analysed using the "Automated Body Composition Analyzer using Computed to-

### TABLE 1. Laboratory and clinical data of patients

Variable	Min	Max		50	95% CI	
variable	MIN	Max	IGR	20	Lower	Upper
BMI	15	38	6	4.775	25.13	26.74
Waiting time for liver transplantation (days)	1	691	166	151.311	108.44	159.38
Sodium	121	147	6	4.701	136.09	137.68
Creatinine	41	696	46	67.082	85.91	108.49
Albumin	10	62	10	7.674	31.28	33.86
Protein	23	89	12	11.494	65.80	69.74
Bilirubin	2	687	56	107.535	51.83	88.04
INR	1	4	1	.502	1.44	1.61
MELD score	7	46	9	6.700	14.30	16.56
ALBI score	-4	0	1.13	0.802	-1.87	-1.60

ALBI score = albumin-bilirubin score; BMI = body mass index; INR = international normalized ratio; IQR = Interquartile range; MELD score = model for end-stage liver disease score

TABLE 2. Aetiology of liver disease and incidence of myosteatosis

Variable	Myosteatosis		
valiable	no	yes	
	Alcohol-related	32	28
Liver failure	Virus-related	14	3
	Other	47	14
χ2	9.717		
Degrees of freedom (Df)	2		
p	0.008		

mography image Segmentation" (ABACS)<sup>20</sup> software, which uses predefined Hounsfield units (HU) values to recognize different tissues. ABACS uses HU values from -29 to +150 HU to assess and calculate the total cross-sectional area for muscular tissue (SMA - skeletal muscle area). The L3 skeletal muscles included the psoas muscle, the lumbar muscles, the erector spinae, the transversus abdominis muscle, the internal and external oblique muscles, and the rectus abdominis. SMI was calculated using the following formula: SMI = SMA (cm<sup>2</sup>) / height2 (m<sup>2</sup>)<sup>9,21</sup> and patients were divided into a group with lower SMI (men < 52.4 cm<sup>2</sup>/ m<sup>2</sup>, women < 38 cm<sup>2</sup>/m<sup>2</sup>)<sup>9,21</sup> and another with normal SMI. MI was determined by the medium value of HU in a skeletal muscle area. We used recently defined threshold parameters for MI in a patient with a chronic liver disease (< 33 HU in patients with a BMI  $\ge$  25 kg/m<sup>2</sup> and < 41 HU in those with a

BMI < 25).<sup>22-24</sup> Based on previous literature findings and conclusions no adjustment for sex was made for MI.<sup>23,24</sup>

## Statistical analysis

Data were analysed using SPSS for macOS, 26<sup>th</sup> edition. Descriptive statistics such as frequencies, percentages, mean/median, and standard deviations were used for description and summary. Because the data were not normally distributed, patient characteristics were compared between groups using the Kruskal-Wallis test and the Mann-Whitney U test. In addition, Spearman rank-order correlation and multiple linear regression were used to determine the relationship between variables and predict patient outcomes based on their characteristics and condition, as well as the chi-square independence test. A P-value  $\leq 0.05$  was considered statistically significant.

# Results

### **Patients characteristics**

Between 1.1.2012 and 1.1.2020, 138 patients (100 men and 38 women) met the criteria for inclusion in the study. The median age was 57.5 years (22 to 69 years). Table 1 provides general data on the population laboratory and clinical variables.

Sixty-one (61, 44.2%) patients had LT due to inherited or metabolic liver disease, 60 patients had alcoholic liver disease (43.5%), and 17 patients had virus-related liver disease (12.3%). At the time of LT, 80 (58%) patients had ascites, and 27 patients had HCC (19.4%).

### Incidence of low SMI and MI

In our study group, 63% of male and 28.9% of female patients had low SMI. MI was present in 45 (32.6%) patients. We found no statistical significance between the aetiology of underlying liver disease and SMI (P = 0.214). The aetiology of liver disease had a statistically significant influence on the incidence of MI. Patients with alcoholic aetiology had more fatty infiltrated muscles than patients with other liver disease aetiologies (P =0.008) (Table 2).

A Spearman's rank-order correlation was run to determine the relationship between the MI and BMI. There was a moderate, negative correlation between MI and BMI, which was statistically significant (rs = -0.597, p < 0.000).

Eighty patients (57.9%) had decompensated liver cirrhosis with the presence of ascites at the time of CT scan. The Mann-Whitney U test indicates that ascites significantly impacted patients' SMI. The presence of ascites correlated with low SMI (P < 0.05, Figure 1) and had no impact on the incidence of MI (P = 0.244).

Presence of HCC in patients with liver disease (27 patients, 19.6%) had no influence on SMI (P = 0.546) or MI (P = 0.174).

## Influence of low SMI on hospitalization

The Mann-Whitney U test indicates that male patients with normal or high SMI (> 52.4 cm<sup>2</sup>/m<sup>2</sup>) had a longer ICU length of stay (P < 0.025). Linear regression was calculated to predict ICU length of stay based on SMI. A significant regression equation was found (F (1.96) = 6.823, P = 0.010) (Figure 2), with  $R^2$  of 0.066. SMI was found to significantly predict length of ICU stay ( $\beta$  = 0.258; 95% CI 0.026, 0.189; P = 0.010). The predicted length of stay equals -1.117+0.107 (SMI) days when SMI is measured in cm<sup>2</sup>/m<sup>2</sup>. The ICU length of stay increased by 0.107 days for each cm<sup>2</sup>/m<sup>2</sup> of SMI in the male population.

A Spearman's rank-order correlation showed no difference in hospitalization time between males with low or normal SMI. Mann-Whitney U test indicates statistically significant differences between low or normal SMI (P < 0.05) in more extended hospitalization in male patients with normal SMI. In addition, the linear regression analysis was performed to predict the length of hospital stay based on SMI in male patients; however, no statistically significant regression equation was found ( $\beta = -0.031$ ; 95% CI -0.656, 0.480; P > 0.05). In the female population, we found no statistically significant influence of SMI on ICU length of stay ( $\beta = -0.123$ ; 95% CI -0.741, 0.463; P = 0.544) and hospitalization time ( $\beta = 0.013$ ; 95% CI 0.075, 0.941; P = 0.843).

### Influence of MI on hospitalisation

The Mann-Whitney U test indicates that there were no differences among the groups (MI and no-MI) regarding the ICU length of stay (P = 0.161) or hospitalization (P = 0.771).

# Influence of SMI and MI on postoperative complications

Postoperative complications of stage 2 or more by Clavien-Dindo classification<sup>19</sup> were present in 79 patients (57.2%). Infection occurred in 59 patients



FIGURE 1. Correlation between ascites and skeletal muscle index.

(42.8%), most commonly as intra-abdominal infection (50.8%), respiratory tract infection (23.7%) and urosepsis (6.8%). 5 (3.6%) patients developed critical illness myopathy. Surgical intervention was needed in 38% of patients with postoperative complications.

There was no statistically significant difference in the frequency of postoperative complications between males (P = 0.883) and females (P = 0.113) with low or normal SMI. The postoperative infection rate was similar in males (P = 0.293) and females (P = 0.285) with low or normal SMI. MI did not show significant influence on postoperative complications (P = 0.839) and infection rate (P = 0.703).



FIGURE 2. Scatter diagram showing a positive correlation between the intensive care unit length of stay (days) and skeletal muscle index.
# Influence of SMI and MI on liver graft rejection

Rejection was diagnosed in 22 patients (16.1%), 20 in male and 2 in female patients. We found no statistically significant influence of SMI (males, P = 0.875; females, P = 0.135) and MI (P = 0.449) on liver graft rejection.

# Influence of MELD and ALBI score on postoperative outcomes

The median MELD score was 14 (7 – 46). A Spearman's rank-order correlation was run to determine the relationship between the MELD score and ICU length of stay (days). There was a weak, positive correlation between the MELD score and ICU length of stay, which was statistically significant ( $r_s = 0.261$ , P < 0.002). There was no significant relationship between the MELD score and complication rate or length of hospitalization (P > 0.05).

There is a week, positive correlation between the ALBI score and ICU length of stay and hospitalisation time, which was statistically significant ( $r_s = 0.279$ , P < 0.001;  $r_s = 0.197$ ; P = 0.022). There is no correlation between the ALBI score and postoperative complications.

### **GLIM** score

# GLIM score using skeletal muscle index as a phenotypic factor

We analyzed differences between patients who meets criteria for positive GLIM score (acute or chronic liver disease as etiological factor and skeletal muscle index as a phenotypic factor) and those with negative GLIM score regarding ICU length of stay, postoperative complications, rate of infections, graft rejections and mortality.

Based on the chi-square independence test, no association was found between the groups in postoperative complications ( $\chi 2(1) = 0.600$ ; P = 0.438), rate of infections ( $\chi 2(1) = 0.918$ ; P = 0.338), graft rejection ( $\chi 2(1) = 1.205$ ; P = 0.272), and mortality ( $\chi 2(1) = 0.232$ ; P = 0.630). In addition, there were no statistically significant differences in ICU length of stay between groups ( $\chi 2(14) = 15.125$ ; P = 0.370), based on the Mann-Whitney test.

# GLIM score using myosteatosis as a phenotypic factor

We analyzed differences between patient who meets criteria for positive GLIM score (acute or chronic liver disease as etiological factor and myosteatosis as a phenotypic factor) and those with negative GLIM score regarding ICU length of stay, postoperative complications, rate of infections, graft rejections and mortality.

Based on the chi-square independence test, there was no association between the groups in terms of postoperative complications ( $\chi 2(1) = 1.378$ ; P = 0.242), infection rate ( $\chi 2(1) = 2.921$ ; P = 0.089), graft rejection ( $\chi 2(1) = 0.873$ ; P = 0.352), and mortality ( $\chi 2(1) = 0.010$ ; P = 0.922). In addition, there were no statistically significant differences in ICU length of stay between groups ( $\chi 2(14) = 16.271$ ; P = 0.297), based on the Mann-Whitney test.

#### Multiple linear regression analysis

Multiple linear regression was calculated to predict ICU length of stay on SMI and infection. A significant regression equation was found (F (2. 95) = 11.192, P < 0.000), with R<sup>2</sup> of 0.191. SMI was found to significantly predict length of ICU stay ( $\beta$  = 0.292; 95% CI 0.045, 0.199; P = 0.002). The length of ICU stays increased by 0.122 days per cm<sup>2</sup>/m<sup>2</sup> SMI in male patients with infection.

#### Mortality

In our study group, 5 (3.6%) patients died in the first 90 days after liver transplantation. Two patients died immediately after the procedure due to irreversible haemorrhagic shock with disseminated intravascular thrombosis and abdominal organ ischemia. The cause of death in three patients was sepsis with multiorgan failure in one patient (day 66), and severe postoperative bleeding, intraabdominal infection with liver abscess, and multiorgan failure in two patients (34 and 53 days). One year survival rate was 95%. Due to the small number of patients, statistical analysis was not performed.

# Discussion

Malnutrition with skeletal muscle mass loss is a frequent complication in patients with chronic or end-stage liver disease.<sup>25</sup> The aetiology of malnutrition is multifactorial.<sup>23</sup> Insufficient calorie intake (early satiety, loss of appetite, alcohol consumption, diet restriction), metabolic abnormalities, catabolic state of metabolism, and malabsorption are the main contributors to muscle loss.<sup>25,26</sup> It affects from 30 to 70%<sup>27,28</sup> of patients with end-stage liver disease and up to 80%<sup>27</sup> of patients with alcohol-re-

lated liver disease. Myosteatosis affects more than half of patients with chronic liver disease.9 In our patient population, we used selected parameters for low SMI (men < 52.4  $\text{cm}^2/\text{m}^2$ , women < 38  $\text{cm}^2/\text{m}^2$ )  $m^2$ )<sup>9,21</sup> and MI (< 33 HU in patients with a BMI  $\ge$  25 kg/m<sup>2</sup> and < 41 HU in those with a BMI < 25).<sup>22-24</sup> These cut-off values were determined specifically for patients on LT waiting list are the most widely used in literature.<sup>22-24</sup> Low SMI was present in 63% of males and 28.9% of females. More than onethird of the patients (32.6%) had fatty infiltration of the skeletal muscle. Patients with alcoholic aetiology had more fat-infiltrated muscles than other aetiologies for end-stage liver disease (P < 0.008). Alterations in the metabolism of fatty acids, eating habits, and alcohol consumption probably result in fatty infiltration of skeletal muscle fibres. However, due to the small numbers of patients (3 patients) with non-alcoholic steatohepatitis (NASH) or nonalcoholic fatty liver disease (NAFLD) in our study group, we cannot properly assess the relationship between the aetiology of underlying liver disease and the degree of MI.

Several studies have shown that sarcopenia and myosteatosis are associated with a higher incidence of postoperative complications, infection rate, duration of hospitalization, and mortality in a wide range of gastrointestinal cancers, including tumours of the hepatopancreatobiliary system.<sup>29-32</sup> Lack of a strictly defined patient population, different methods of measurement of muscle mass and function, and the absence of uniformly accepted cut-off points for patients with chronic or end-stage liver disease are the leading causes for wide ranges of sarcopenia incidence reported in the literature.<sup>14,21,33</sup> Therefore, the selected cut-off points for patients with cancer are used for evaluation, which may affect the quality of results.

Sarcopenia is associated with poorer outcomes in patients with chronic liver disease on the waitlist or after LT.34,35 Patients with end-stage liver disease and sarcopenia have shorter survival than non-sarcopenic patients (22 ± 3 vs. 95 ± 22-month, P < 0.001).<sup>9,36</sup> The main cause of death in patients with end-stage liver disease is sepsis.<sup>37</sup> Incidence of sepsis as a cause of death is even higher in presence of sarcopenia (36% vs. 16%, P < 0.001) or myosteatosis (32% vs. 19%, P = 0.020).9 Lower muscle mass is also associated with a higher incidence of hepatic encephalopathy in patients with end-stage liver disease.<sup>38,39</sup> On the other hand, a group from USA<sup>40</sup> showed that sarcopenic patients had a higher tendency for pulmonary complications than the nonsarcopenic group (38% vs. 18%, P = 0.100); however, there was no significant difference in morbidity and mortality.

CT imaging is considered as the gold standard for body composition assessment. SMI calculated on the level of third lumbar vertebra is superior since it correlates best with the actual quantity of the muscles in the body.41 It is not affected by ascites and is part of preoperative evaluation in patients with hepatocellular carcinoma or the complications of portal hypertension. The value of SMI as a predicting factor for postoperative morbidity and mortality is yet to be determined. However, it has been shown in several reports that it has a negative impact on postoperative outcomes. Lower values of SMI are associated with more significant postoperative mortality, higher infection risk, and postoperative complications, more extended intensive care unit stay, ventilator dependency, and higher waitlist mortality rates in patients with endstage liver disease.33,42,43 SMI as a predicting factor for higher mortality rate in patients with end-stage liver disease was superior to other nutritional assessment indicators, such as BMI, upper arm muscle circumference, and triceps skinfold thickness.44 However, some other reports showed contrasting findings. Using SMI for prespecified definitions of sarcopenia had no impact on mortality or delisting from the transplant waitlist between patients with and without sarcopenia.45 Length of hospitalization following LT, days of hospitalization during the first year post-LT, survival at one year, or overall survival was not different between sarcopenic and nonsarcopenic patients.46 Low SMI alone was not associated with graft and patient survival (P = 0.273 and P = 0.278) after LT.<sup>47</sup> These conflicting results are probably due to heterogeneity of used specific cut-off points (sex, age, race) and lack of strictly defined parameters representative uniquely for patients with chronic liver disease. We found a negative correlation between BMI and SMI, probably due to high-volume ascites in the patient population This confirms findings that BMI is not suitable for body assessment in patients with endstage liver disease. In our study, the presence of ascites was associated with significantly lower SMI (P < 0.05) in patients with end-stage liver disease but did not influence MI. There is a weak, positive correlation between SMI and ICU length of stay in male patients, which was statistically significant (rs = 0.226, P < 0.025). We speculate that the main reason is a more pronounced systemic inflammatory reaction to surgical stress in patients with preserved muscle mass. It is known that muscle mass is mandatory for the normal function of the

immune system.48 Experience from Japan49 shows a connection between low SMI and decreased incidence of graft rejection in living-donor liver transplantation. Analysis of our results shows no statistically significant difference in postoperative complications, rate of postoperative infection, and liver graft rejection rate between males and females with low or normal SMI (P > 0.05). BIA is commonly used technique in body composition analysis in every day clinical practice.<sup>50</sup> Its key parameters are resistance, reactance and phase angle (PA). PA is found to be associated with outcomes in different diseases and has been found to be useful for monitoring fluid changes and response to interventions.<sup>50</sup> The main limitation is the complexity of the determinants that requires its adjustment to the individual phenotypic diagnosis of each patient. Results can be affected by altered water and electrolyte balance, fluid retention and diuretic therapy.<sup>50</sup> DXA allows for the quantification of three body compartments (bone mass, fat mass, and bone fat-free mass (or lean mass)) based upon the differential tissue attenuation of X-ray photons.<sup>51</sup> However, it can be affected by presence of ascites.52 Even though DXA can be modified to exclude influence of ascites or tissue oedema the correlation between the lean mass index and SMI was weaker ( $\gamma$  = 0.29, p = 0.035) and falsely high in patients with ascites before liver transplantation.53 Main disadvantages of DXA compared to CT is inability to assess muscle mass quality (myosteatosis).51

Myosteatosis is a more clearly defined factor, and its influence is more uniformly established in literature.<sup>54</sup> However, there are many different cut off points (HU < 25 to HU < 39) reported in literature in patients with malignant disease.55 Majority of reports uses a Martin cut-off point (< 33 HU in patients with a BMI  $\geq$  25 kg/m<sup>2</sup> and < 41 HU in those with a BMI < 25)<sup>56</sup> for determining presence of myosteatosis. Martin cut-off point is also recently defined threshold parameters for MI in a patient with a chronic liver disease.<sup>22-24</sup> It has been shown that severely ill patients with myosteatosis have a lower survival rate than those without fatty infiltration in muscles.57 Myosteatosis negatively impacts the survival of patients with end-stage liver disease  $(28 \pm 5 vs. 95 \pm 22$ -month, P < 0.001)<sup>9,36</sup> and is associated with longer hospitalization and higher morbidity.43 Patients with myosteatosis showed a higher mortality rate, most commonly due to respiratory and septic complication.47 Myosteatosis had no influence on ICU length of stay (P = 0.161), hospitalisation (P = 0.771), postoperative complications (P = 0.839), infection rate (P = 0.703) and graft rejection (P= 0.449) in our patient population.

The nutritional status on the waitlist for LT as a possible risk factor is overlooked with the MELD score.58 Another important limiting factor of the MELD score is using serum creatinine levels for score calculation.3 Serum creatinine level may vary significantly and is influenced by chronic kidney disease, ascites, paracentesis, and the influence of gender and liver disease on skeletal muscle mass.<sup>59</sup> Variation of serum creatinine levels may affect the MELD score and underestimate the severity of liver disease.58 Thus, several modifications of the MELD score were developed to incorporate the nutritional parameters. Body composition MELD (BC-MELD)60 has a better predictive value for waiting list mortality than MELD score. MELD-sarcopenia score showed a positive predictive value in patients with a lower score (< 15) on the postoperative course; however, it was not useful in patients with MELD above 15.61,62 Combining SMI in MELD in multivariate analysis (AUROC= 0.812) is significantly better than MELD alone (AUROC = 0.787) for predicting 5-year mortality (P < 0.001).<sup>44</sup> We found a weak, positive correlation between the MELD score and ICU length of stay (rs = 0.261, P < 0.002). ALBI score showed promising results in more accurate prediction of liver disease severity and mortality on waitlist compared to the Child-Pugh score; however, its predictive value was inferior to the MELD score.63 Patients with pre-transplant ALBI grade 3 liver disease had increased mortality after LT.64 We found a weak, positive correlation between the ALBI score and ICU length of stay and hospitalization time, which was statistically significant (rs = 0.279, P < 0.001; rs = 0.197; P = 0.022). We found no correlation between the ALBI score and postoperative complications. The GLIM score<sup>16</sup> was established as a potential assessment tool for evaluating patient nutritional status in recent years. Our results showed no difference between the patients who met GLIM criteria and those who did not, regarding ICU stay, length of hospitalization, postoperative complications, infection, and mortality.

We believe that nutritional status in a patient with end-stage liver disease is an essential aspect of pre-transplant workup. However, its precise role is not yet determined. LT is a complex surgical procedure influenced by numerous factors. In the early phase of LT, donor characteristics and comorbidities, quality of liver graft retrieval, liver graft quality, cold preservation duration, ischemic damage to the liver graft during static cold storage, recipient medical conditions and comorbidities, surgical procedure, and early postoperative therapy are by our opinion the most essential factors. However, muscle mass status, muscle function and myosteatosis are crucial factors in a period of rehabilitation.<sup>65</sup>

Patient with cachexia or with high-risk (<18.5 kg/ m<sup>2</sup>, Child-Pough C)<sup>66</sup> to lose muscle mass should be screened and involved in intensive but personalized nutritional support therapy. Nutritional requirements in end stage liver disease are 35 kcal/ kg per day in non-obese patients (BMI 30 kg/m<sup>2</sup>) and 1,2g/kg per day intake of proteins.66 It is safe to calculate requirements based on the dry weight of a patients.<sup>66</sup> In presence of hepatic encephalopathy (HE), animal protein should be substituted with vegetable protein origin. Randomized control study<sup>67</sup> showed that substitution of animal protein with vegetable protein for a period of six months (30-35 kcal/kg/d,1.0-1.5 g/kg/d protein) improved neuropsychiatric performance in patients with minimal HE and decrease their risk of developing overt HE compared to no intervention. It is unreal to expect to gain muscle mass in cirrhotic patients, but muscle mass preservation should be focus of such nutritional interventions. There are several strategies to prevent muscle mass loss in patients with end stage liver disease. First strategy is nutritional supplementations. Patients should have frequent small meals to avoid prolong fasting period (> 6 h).<sup>66,68,69</sup> Enteral supplements with side branched amino acids should be administered.66,68,69 Snacks rich in carbohydrates should be taken as a late-night snack.66,68,69 Second, physical activity in a form of resistance and endurance exercise, is probably appropriate and beneficial.<sup>70</sup> Micronutrition, especially administration of fatsoluble vitamins<sup>71</sup> and ammonia lowering therapy<sup>72</sup> is also important. Role of immunonutrition in patient with end stage liver disease is not yet established.<sup>66,73</sup> Post-transplant screening is advised in all patients after liver transplantation.66,73

There are several drawbacks to our study. The two most important are the retrospective nature of data collection and the lack of functional assessment of recipients' muscles. Another factor that may influence results is the relatively small number of cases that may affect some results or may not produce statistical significance due to the complexity of the treatment and numerous factors that determine its outcome.

In conclusion radiological assessment of a patient's nutritional status at the third lumbar vertebra represents an objective and reproducible method. It should become a standard screening tool in patients with acute or chronic end-stage liver disease. Due to complexity of liver transplant procedure, liver graft and liver recipients' factors, it is difficult to established impact of a skeletal muscle index and myosteatosis on postoperative outcomes. However, nutritional interventions and physical activity should be part of the clinical pathway in patients with end-stage liver disease waiting for liver transplantation. Prospective randomized controlled studies are not possible due to ethical considerations; hence, standardization and uniformity in definitions, methods, and cutoff points are crucial to producing reliable data in the future.

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# research article

# ADC values as a biomarker of fetal brain maturation

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**Background.** During the period of fetal development, myelination plays a key role and follows specific time and spatial sequences. The water content in the brain is inversely proportional to myelination – the more myelinated the brain, the lower the water content in it. The diffusion of water molecules can be quantitatively assessed using the apparent diffusion coefficient (ADC). We were interested in whether, by determining the ADC values, we could quantitatively evaluate the development of the fetal brain.

**Patients and methods.** The study included 42 fetuses with gestational age 25 to 35 weeks. We manually selected 13 regions on diffusion-weighted images. Statistically significant differences between ADC values were checked using one-way analysis of variance and Tukey's post hoc test. The relationship between the ADC values and the gestational age of the fetuses was then assessed using linear regression.

**Results.** The average gestational age of the fetuses was  $29.8 \pm 2.4$  weeks. ADC values in the thalami, pons and cerebellum differed significantly among each other and from the ADC values in other brain regions. In the thalami, pons and cerebellum, linear regression showed a significant decrease in ADC values with increasing gestational age. **Conclusions.** ADC values change with the increasing gestational age of the fetus and differ among different brain regions. In the pons, cerebellum and thalami, the ADC coefficient could be used as a biomarker of fetal brain maturation since ADC values decrease linearly with increasing gestational age.

Key words: myelination; fetal brain maturation; ADC; biomarker; diffusion-weighted imaging; diffusion

# Introduction

Human brain development is a complex process that begins in the third gestational week and continues well after birth, even into adulthood.<sup>1</sup> In our study, we were interested in the process of myelination and how it can affect apparent diffusion coefficient (ADC) values.

Myelination is the final stage in the development of the white matter of the brain. It begins in the second half of pregnancy, after the proliferation and maturation of oligodendrocyte cells. It also has a characteristic course, from caudal to rostral regions of the brain, from the center outwards, and from dorsal to ventral regions of the brain.<sup>2,3</sup> As early as around the 20<sup>th</sup> week of gestation, microscopic amounts of myelin can be observed, especially in the medulla oblongata and pons. The brainstem is completely myelinated at 29 weeks.<sup>4</sup> Between the 37<sup>th</sup> and 40<sup>th</sup> gestational week, mature myelin is present in the cerebellum and the internal capsule.<sup>4,5</sup> Sensory pathways are myelinated earlier than motor pathways, and occipital areas are myelinated earlier than parietal, temporal and frontal areas. Myelination of the brain also takes place in the postnatal period – it is completed only after the age of 20 when the areas of the corpus callosum and prefrontal brain become fully myelinated.<sup>6-9</sup>

Knowing the exact course of myelination is crucial for detecting pathological changes that affect myelination. In premature infants, hypomyelination may be a predictive factor for motor and cognitive impairments. Myelination can also be affected by many genetic and autoimmune diseases and infections.<sup>10-12</sup>

As the brain matures, the water content changes over time. The amount of water in the brain is inversely proportional to myelination - the more myelinated the brain, the less water it contains. The decrease in water content can be attributed to the accumulation of lipids and proteins and changes in the electrolytic composition of tissues. The reduction in the proportion of water continues even after birth. In addition to the water content, the movement of water molecules in the brain is also affected by the number of cells and the amount of myelin, both of which limit the movement of molecules.<sup>13-17</sup>

With the development of MRI, especially with the advance of diffusion-weighted magnetic resonance imaging (DWI), an opportunity has appeared for the non-invasive assessment of myelination in fetuses. Furthermore, the diffusion of water molecules can now be quantitatively evaluated using the ADC values.

DWI is an extremely useful technique for detecting hyperacute hypoxic-ischemic changes, it can be helpful in other disease processes that affect the movement of water molecules in tissues, e.g., abscesses, tumours etc. and it can also be used to monitor the normal development of the fetal brain.18-23 This technique is already a part of the standard protocol in fetal MR imaging to diagnose anomalies of the central nervous system but, despite previous studies that have addressed this issue, it is still not completely clear whether a quantitative evaluation of fetal brain development using ADC values can be used in clinical practice. We do not yet have reference ADC values that would allow us to compare healthy fetuses with fetuses suspected of developing anomalies of the central nervous system.24-30 Research is therefore increasingly focused on studving ADC values in different areas of the fetal brain.

In our study, we observed how ADC values change during the process of fetal brain maturation. We postulated that the values would depend on the gestational age of the fetus and also on the areas of the brain. The determined ADC values could serve as reference values in daily clinical work. They would be useful in assessing the level of fetal brain maturity and early detection of pathological changes. The purpose of our research was to determine how ADC values change with the gestational age of the fetus. We were interested in how ADC values differ among different brain areas. We also wanted to discover whether the ADC could be used as a biomarker of fetal brain maturation.

Our hypotheses were:

- ADC is a useful biomarker of fetal brain maturation.
- 2. ADC values depend on the age of the fetus and the area of the brain.

# Patients and methods

The retrospective study was conducted at the Clinical Institute of Radiology of the University Medical Center Ljubljana. The National Medical Ethics Committee of the Republic of Slovenia judged that the research was ethically acceptable and gave consent for its implementation (No. 0120-56/2022/3).

#### Patients

We initially selected 59 fetuses that had had an MRI done between 18. 1. 2015 and 4. 3. 2021. Of these, the MRI images of 17 fetuses were excluded since their DWI images had artefacts due to fetal movement. We therefore performed the measurements on MRI images of 42 fetuses. We included fetuses that have been referred for MRI due to suspicious US changes in the central nervous system (CNS), face or neck (wider cisterna magna, suspected agenesis of the corpus callosum, ranula etc.) but in which we did not confirm CNS anomalies with MRI. All included pregnant women underwent amniocentesis, which excluded chromosomal abnormalities and infections. After birth, no signs indicating abnormal development of the central nervous system were found in our group. The gestational age of the fetuses was from 25 to 35 weeks  $(mean 29.8 \pm 2.4 weeks).$ 

### Magnetic resonance imaging

Examinations were performed on a Siemens Aera 1.5 T MR device. The pregnant women did not eat or drink for four hours before the examination and, during the examination, they were in a supine or left decubitus position. They did not receive any medication before the procedure. An abdominal coil with a small, 24 cm field of view and a 192 ×



FIGURE 1. Position of the ROI in the brain of a fetus with a gestational age of 29 weeks. Region of interests (ROIs) were placed in each brain region bilaterally. Markings are visible only on one side. (A) Frontal white matter, (B) parietal white matter, (C) temporal white matter, (D) occipital white matter, (E) thalamus, (F) pons, (G) hemisphere of the cerebellum.

TABLE 1. Intraclass correlation coefficient (ICC) for different regions of interest (ROIs)

ROI	ICC
FWM	0.91
PWM	0.90
TWM	0.75
OWM	0.78
Thalami	0.85
Pons	0.81
Cerebellum	0.92

FWM = frontal white matter; OWM = occipital white matter; PWM = parietal white matter; TWM = temporal white matter

160 matrix was used. A 3D scout sequence was performed to assess the fetal position. Scout sequence is an ultrafast T2-weighted sequence, with slice thicknesses of 6-8 mm, gaps of 1-2 mm, and a large field of view. Our protocol consisted of ultrafast T2-weighted sequences in three planes (axial, coronary, sagittal) with slice thicknesses of 3 and 4 mm and intermediate intervals of 0.3 mm, T1- and T2-gradient sequences in the axial plane (the former with slice thickness 4.5 mm and intermediate intervals 0.5 mm, the latter with slice thickness 3 mm and intermediate intervals 0.3 mm) and DWIsequence in the axial plane (slice thickness 5 mm with intermediate intervals 2 mm). Diffusion was measured in three directions at values of b = 0 s/ $mm^2$ , b = 500 s/mm^2, and b = 1000 s/mm^2.

### ADC value measurements

ADC measurements were performed by two researchers (L.K., T.V.V.). On ADC maps, we manually selected 13 areas (region of interest, ROI) using a Syngo. via program (Siemens Medical Solutions USA, Inc. ©2022): bilaterally in the frontal white matter (FWM), parietal white matter (PWM), temporal white matter (TWM) and occipital white matter (OWM), bilaterally in the white matter of the cerebellum, in both thalami and the central part of the pons. The surface area of the ROI was adapted to the age of the fetus and the anatomical area, with values between 15 and 65 mm<sup>2</sup>.

### Statistical data analysis

Statistical data analysis and graph production were performed using IBM SPSS Statistics (IBM SPSS Statistics for Windows, version 25.0; IBM Corp., Armonk, NY). Interrater reliability was assessed using the intraclass correlation coefficient (ICC) with a two-way mixed model for the average of the measurements.

ICC values below 0.5 indicate low, between 0.5 and 0.75 moderate, between 0.75 and 0.9 good, and above 0.9 excellent reliability. Since the ICC was 0.75 or higher in all measured brain areas, we averaged the measurements of the two researchers. Statistically significant differences between ADC values for different areas of the brain were checked using one-way analysis of variance (ANOVA). Statistically significant differences between ADC values in individual groups were searched for with Tukey's post hoc test. Before the one-way analysis of variance, we checked the homogeneity of the variances with Levene's test, whereby we rejected the null hypothesis that the variances are homogeneous or homoscedastic. The association between the ADC values of the brain regions and the gestational age of the fetuses was then evaluated using linear regression. Before the statistical data analysis, we determined the p-value 0.05 as the threshold.

# Results

ICC values by individual brain areas are shown in Table 1.

The average ADC values for different ROIs are shown in Figure 2. Using one-way analysis of variance, we found that there was a statistically significant difference between the ADC values for different brain areas. The ADC values in the thalami, pons and cerebellum differed significantly from each other and from the ADC values in all other brain areas. There were no significant differences between ADC values in FWM, PWM, TWM and OWM.

In the thalami, pons and cerebellum, linear regression showed a statistically significant decrease in ADC values with increasing gestational age (Figure 3). ADC values also decreased with increasing gestational age in PWM and OWM, but the results were not statistically significant. ADC values increased in FWM and TWM, but the increase in values in these areas was also not statistically significant.

### Discussion

Our study confirmed that the average ADC values in fetuses differ among different brain areas. As shown in Figure 2, the lowest values were measured in the pons, thalami and cerebellum, and the highest in the FWM, PWM, TWM and OWM. These differences can be attributed to the characteristic course of myelination and the number of neurons in individual areas. The amount of neurons in the thalami is greater than in the white matter, so the diffusion of water is more limited. Since myelination proceeds from the caudal to the rostral regions of the brain, from the central regions to the periphery, and from the dorsal to the ventral regions, the pons, thalami and cerebellum are myelinated earlier than the white matter of the cerebral hemispheres, where the myelination process still takes place in the postnatal period. ADC values in fetuses, therefore cannot be compared with values in newborns and children, nor with values in preterm infants of the same gestational age, since large changes in the amount and distribution of water in the brain occur after birth.<sup>2,3</sup>

ADC values in the pons, thalami and cerebellum were much lower than in the white matter of the cerebral hemispheres, which is consistent with previous research. The average values in these areas were comparable with previous studies, while the average ADC values for FWM, PWM, TWM and OWM were slightly lower in our study. The largest deviations can be seen for the FWM area, where in our research we measured an average ADC value of  $1.52 \times 10^3$  mm<sup>2</sup>/s. Han *et al.* ( $1.8 \times 10^{-3}$  mm<sup>2</sup>/s), Schneider *et al.* ( $1.8 \times 10^{-3}$  mm<sup>2</sup>/s), Hoffmann *et al.* ( $1.8 \times 10^{-3}$  mm<sup>2</sup>/s) and Righini *et al.* ( $2.9 \times 10^{-3}$  mm<sup>2</sup>/s) all recorded higher values.<sup>25,27-31</sup> These differences



FIGURE 2. Average apparent diffusion coefficient (ADC) values for different regions of interest (ROIs).



could be attributed to the slightly lower average age of the fetuses in our study and the possible non-linear changing of ADC values, as described below.

OWM is known to be myelinated earlier than PWM, TWM and FWM.<sup>8</sup> We were unable to confirm this with our research. The results of our research showed that ADC values did not differ statistically significantly among these areas. We attribute these results to the fact that the occipital part of the brain in fetuses is thinner than in the rest of the cerebral hemispheres. The ROIs were therefore placed closer to the cerebrospinal fluid than in other areas, which may have resulted in partial coverage of the cerebrospinal fluid signal in the ROI. As a result, measurements may be affected by averaging the values of cerebrospinal fluid and brain parenchyma. This may also explain why the ICCs between the researchers differed predominantly in the areas of OWM and TWM, where the cerebral mantle is also thinner.

In the thalami, pons and cerebellum, linear regression showed a statistically significant decrease in ADC values with increasing gestational age (Figure 3). ADC values also decreased with increasing gestational age in PWM and OWM, but the results were not statistically significant. In FWM and TWM, ADC values increased in our study, but the increase in values was not statistically significant in these areas either. The FWM is the last of all brain areas to be myelinated, the amount of water there being the highest for the longest time, which could be the reason for the measured values in our research.

All the studies published so far have confirmed the decline in ADC values with increasing gestational age in the areas of the cerebellum, thalami and pons.<sup>25,27-31</sup> The results indicate that in these areas of the brain, the amount of water decreases with age, which affects the reduction of ADC values. In our study, ADC values also declined most rapidly in the cerebellum, pons and thalami, which suggests earlier maturation of these regions and is consistent with other studies.

Differences among studies occurred in other brain areas. A decrease in ADC values in PWM and OWM was demonstrated by Han *et al.*, Hoffmann *et al.* and Righini *et al.*, while Cannie *et al.* detected an increase in ADC values in OWM.<sup>27-30</sup> Similar to our study, some other researchers have also detected an increase in ADC values with gestational age in FWM.<sup>48,50,53</sup> Schneider *et al*, on the other hand, measured increasing ADC values in FWM, PWM, TWM and OWM until the 30<sup>th</sup> gestational week, but thereafter the ADC values began to decrease.<sup>31</sup>

The results of Schneider *et al.* are consistent with the course of fetal brain development. During development, the brain consists of several layers - above the ventricular layer, there is an intermediate layer, a subplate and a cortical layer. Wide extracellular spaces are present in the intermediate layer and subplate, which allow nerve cells

to migrate. In these spaces, water molecules can move freely, which could explain the rise in ADC values. Schneider et al. explained the repeated decline in ADC value by a combination of different factors that begin to dominate after the 30<sup>th</sup> week: the subplate and the intermediate layer slowly disappear, the total amount of water decreases and, at the same time, the number of lipids and macromolecules in the intracellular spaces increases. We therefore allow the possibility that the change in ADC values in FWM, PWM, TWM and OWM with increasing gestational age is not linear, but may be better explained by more complex models, for example using a quadratic polynomial curve, which means that the values initially increase and then decrease.31

The multilayered structure of the brain is visible on T2-weighted sequences in fetuses of the gestational age of 20–28 weeks but, with increasing gestational age, the boundaries between the layers are blurred. Due to the poor image resolution of the ADC maps, it is very difficult to distinguish the layers from each other, even in younger fetuses. When manually placing the ROIs, due to the poor resolution on the ADC maps we may also capture areas of the subplate, which later develop into the cerebral cortex, and not the white matter, which could affect the results of the measurements.

The downside of our research was the relatively small number of subjects. A disadvantage is also the probability of measurement errors due to the movement of the fetus during the examination, which was reduced by preparing the mothers for the MRI examination and eliminating poor-quality images. In fetal MRI, measurements are also affected by the small size of the structures, which may result in the capture of the cerebrospinal fluid or subplate in selected cases. ROI drawing may also be challenging due to the poor resolution of the brain layers on the ADC maps.

Another thing to consider before comparing results from different studies is variability in measured ADC values due to the use of different MRI equipment and sequence selections.

# Conclusions

We conclude that ADC values are a reliable indicator of brain maturation in the areas of the pons, cerebellum and thalami. The results of our research are concordant with previous research, which has shown that the values in these areas decrease linearly with increasing gestational age. We also confirmed that the ADC values are higher in the FWM, PWM, TWM, and OWM regions than in the pons, cerebellum and thalami. However, it is still unknown how ADC values in FWM, PWM, TWM and OWM change with gestational age, since results vary among studies. Further research is needed to define more precisely the variation of ADC values in these areas, which would help us to set reference values. Nevertheless, we confirmed our second hypothesis that ADC values in different brain areas differ from each other and also change over time.

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# research article

# Longitudinal monitoring of Apparent Diffusion Coefficient (ADC) in patients with prostate cancer undergoing MR-guided radiotherapy on an MR-Linac at 1.5 T: a prospective feasibility study

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**Background.** Hybrid MRI linear accelerators (MR-Linac) might enable individualized online adaptation of radiotherapy using quantitative MRI sequences as diffusion-weighted imaging (DWI). The purpose of this study was to investigate the dynamics of lesion apparent diffusion coefficient (ADC) in patients with prostate cancer undergoing MR-guided radiation therapy (MRgRT) on a 1.5T MR-Linac. The ADC values at a diagnostic 3T MRI scanner were used as the reference standard.

**Patients and and methods.** In this prospective single-center study, patients with biopsy-confirmed prostate cancer who underwent both an MRI exam at a 3T scanner (MRI<sub>3T</sub>) and an exam at a 1.5T MR-Linac (MRL) at baseline and during radiotherapy were included. Lesion ADC values were measured by a radiologist and a radiation oncologist on the slice with the largest lesion. ADC values were compared before vs. during radiotherapy (during the second week) on both systems via paired t-tests. Furthermore, Pearson correlation coefficient and inter-reader agreement were computed.

**Results.** A total of nine male patients aged  $67 \pm 6$  years [range 60 - 67 years] were included. In seven patients, the cancerous lesion was in the peripheral zone, and in two patients the lesion was in the transition zone. Inter-reader reliability regarding lesion ADC measurement was excellent with an intraclass correlation coefficient of (ICC) > 0.90 both at baseline and during radiotherapy. Thus, the results of the first reader will be reported. In both systems, there was a

statistically significant elevation of lesion ADC during radiotherapy (mean MRL-ADC at baseline was  $0.97 \pm 0.18 \times 10^{-3}$  mm<sup>2</sup>/s vs. mean MRL-ADC during radiotherapy  $1.38 \pm 0.3 \times 10^{-3}$  mm<sup>2</sup>/s, yielding a mean lesion ADC elevation of 0.41  $\pm 0.20 \times 10^{-3}$  mm<sup>2</sup>/s, p < 0.001). Mean MRI<sub>3T</sub>-ADC at baseline was  $0.78 \pm 0.165 \times 10^{-3}$  mm<sup>2</sup>/s vs. mean MRI<sub>3T</sub>-ADC during radiotherapy  $0.99 \pm 0.175 \times 10^{-3}$  mm<sup>2</sup>/s, yielding a mean lesion ADC elevation of  $0.21 \pm 0.96 \times 10^{-3}$  mm<sup>2</sup>/s p < 0.001). The absolute ADC values from MRL were consistently significantly higher than those from MRI<sub>3T</sub> at baseline and during radiotherapy (p < = 0.001). However, there was a strong positive correlation between MRL-ADC and MRI<sub>3T</sub>-ADC at baseline (*r* = 0.798, p = 0.01) and during radiotherapy (*r* = 0.863, p = 0.003).

**Conclusions.** Lesion ADC as measured on MRL increased significantly during radiotherapy and ADC measurements of lesions on both systems showed similar dynamics. This indicates that lesion ADC as measured on the MRL may be used as a biomarker for evaluation of treatment response. In contrast, absolute ADC values as calculated by the algorithm of the manufacturer of the MRL showed systematic deviations from values obtained on a diagnostic 3T MRI system. These preliminary findings are promising but need large-scale validation. Once validated, lesion ADC on MRL might be used for real-time assessment of tumor response in patients with prostate cancer undergoing MR-guided radiation therapy.

Key words: prostate carcinoma; MRI; adaptive radiotherapy; image guidance; MR-Linac; ADC

# Introduction

Radiotherapy (RT) is a curative treatment option for patients with localized prostate cancer.1 MRguided radiotherapy (MRgRT) enables improved soft tissue contrast and enhances accuracy of treatment planning.<sup>2</sup> In this context, the hybrid magnetic resonance 1.5T scanner with a linear accelerator MR-Linac (MRL) is currently being used in centers around the world to perform high-precision MRgRT with daily plan adaptations based on anatomical MR sequences.3,4 Furthermore, functional MRI sequences such as diffusion weighted imaging (DWI) are being additionally taken into account for radiotherapy planning, as they provide valuable "real-time" functional information.5 The apparent diffusion coefficient (ADC) of a tumor lesion has been shown to function as a biomarker for prostate cancer on diagnostic scanners.6 MRL presents a novel opportunity to integrate ADC-values of a tumor lesion into daily plan adaptations and individualize radiotherapy.7 A prerequisite is the clinical translatability of ADC-measurements on MRL to a "gold standard" 3T diagnostic scanner (MRI<sub>3T</sub>).

In a previous study, it was demonstrated that ADC measurements of a region of interest in intraprostatic tumor lesions on MRL correlated with corresponding measurements on a diagnostic 3T MRI scanner (MRI<sub>3T</sub>).<sup>8</sup> In that analysis, the MRIs on both scanners were performed prior to treatment initiation. However, as an initial step to evaluate, whether ADC measurements on an MRL might function as a biomarker enabling response assessment under RT, the longitudinal stability of ADC data gained on an MRL should be examined. The purpose of this study is to longitudinally investigate the dynamics of lesion ADC in patients with prostate cancer undergoing MR-guided radiation therapy on an MR-Linac using the ADC values at a 3T MRI scanner as a reference standard.

### Patients and methods

# Participant sample, study design and MRI technique

All patients included in this prospective study were recruited in the M-base Pro 1.09 or M-base HyPro 2.0 at our institution (ClinicalTrials.gov Identifiers: NCT02724670; NCT03880851). The study was conducted according to the guidelines of the Declaration of Helsinki, and approved by the Institutional Review Board of the medical faculty of Tuebingen University (No. 022/2016BO1, 14.03.2016 and No. 920/2018BO1, 10.07.2019). Informed consent was obtained from all subjects involved in the study. All patients consented to prospectively undergo multiple MRIs on an MRL and additionally on a MRI<sub>3T</sub> at several points prior to and during RT. The aforementioned studies each examine a novel MR-adaptive concept for radiotherapy of primary localized prostate cancer. Between February 2019 and October 2021, 9 patients with biopsy-confirmed prostate cancer and available MRL and MRI<sub>3T</sub> data sets prior to RT and under RT were included. All patients were treated daily on a 1.5T MRL (Elekta Unity<sup>TM</sup>, Philips, Stockholm, Sweden).<sup>10</sup> All patients were treated according to national guidelines with either 39 x 2 Gy per fraction over eight weeks (M-base 1.0 study, n = 3 patients) or 20 x 3 Gy per fraction over four weeks (M-base Hypro 2.0



RT = radiotherapy; MRL = 1.5 T MR-Linac Unity.

FIGURE 1. Flow diagram illustrating the inclusion/ exclusion process.

study, n = 6 patients) and additional neoadjuvant androgen deprivation therapy (ADT) of six months for intermediate risk patients and 24-36 months for high risk patients.<sup>11</sup> The time point of the MRL and MRI<sub>3T</sub> was during week 2 of RT in both treatment protocols. MRI technique, specifications and acquisition parameters of the examinations on both systems have been previously described.<sup>8</sup> Most study participants in this study were used in the prior publication<sup>8</sup>, but only examinations prior to RT were analyzed. No lesion ADC dynamics during RT were reported in the previous study.<sup>8</sup>

### Lesion ADC evaluation

The ADC maps for MRL and  $MRI_{3T}$  for each patient, prior to and during radiotherapy, were independently presented to two readers (reader 1, a board certified radiation oncologist with 8 years of experience reader 2, a radiology resident with 4 years of experience). Both readers placed an elliptic region-of-interest (ROI) within the lesions for each patient in MRL and  $MRI_{3T}$  image sets. A dedicated workstation (GE Healthcare Centricity<sup>TM</sup> PACS RA1000, Milwaukee WI, USA) was utilized for image analysis using a dedicated software (syngo.via, Siemens Healthcare, Erlangen, Germany).

### Statistical analysis

Continuous variables were reported as mean and standard deviation. Paired t-tests were used for pair-wise pre- vs. during-treatment comparisons, as well as MRL vs. MRI-3T. Intraclass correlation coefficient (ICC, two-way, absolute agreement) was used to compute inter-reader agreement. An ICC of less than 0.4 signalizes poor agreement, of 0.40 to 0.59 indicates fair agreement, of 0.60 to 0.74 good agreement, and an ICC of 0.75 to 1.00 signalizes excellent agreement.<sup>12</sup> Pearson Correlation coefficient was used to compare lesion ADC between MRL and MRI<sub>3T</sub>. Level of significance was set at 0.05. Statistical analyses were performed using SPSS (v26.0, IBM-Corp, Armonk, NY, USA).

### Results

A total of nine patients were included. Figure 1 delineates the the inclusion/exclusion process.

Table 1 summarizes patients' characteristics. For each patient, the two imaging examinations prior to and during RT were successfully performed and evaluated.

The mean elapsed time between the baseline MRL exam and the MRL exam during radiotherapy was 26 days  $\pm$  14 days. The mean time difference between MRI<sub>3T</sub> and MRL examinations at baseline was 1.7 days  $\pm$  1.7 days. Similarly, the mean time difference between MRI<sub>3T</sub> and MRL examinations during radiotherapy was was 1.7 days  $\pm$  1.3 days.

Inter-reader reliability regarding lesion ADC measurement was excellent with ICC > 0.90 both at baseline and during radiotherapy (ICC for MRL



**FIGURE 2.** T2-weighted MR images (scan time: 2 minutes) and apparent diffusion coefficient (ADC) maps of a 66-year-old male patient with prostate cancer in the antero-apical region of the transition zone (red arrows) at baseline (left column) and during radiotherapy (right column) as recorded on MR-Linac (MRL) and on standard MRI at 3T (MRI3T). The figure shows similar dynamics of lesion ADC elevation during radiotherapy.

at baseline was 0.927 and during radiotherapy was 0.976; ICC for  $MRI_{3T}$  at baseline was 0.978 and during radiotherapy was 0.998).

For reader 1, in both systems, there was a statistically significant elevation of lesion ADC during radiotherapy (Figure 2). Mean MRL-ADC at baseline was  $0.97 \pm 0.18 \text{ mm}^2/\text{s} vs.$  mean MRL-ADC during radiotherapy  $1.38 \pm 0.3 \text{ mm}^2/\text{s}$ , yielding a mean lesion ADC elevation of  $0.41 \pm 0.20 \text{ mm}^2/\text{s}$ , p <0.001. Mean MRI<sub>3T</sub>-ADC at baseline was  $0.78 \pm 0.165 \text{ mm}^2/\text{s} vs.$  mean MRI<sub>3T</sub>-ADC during radiotherapy  $0.99 \pm 0.175 \text{ mm}^2/\text{s}$ , yielding a mean lesion ADC elevation of  $0.21 \pm 0.96 \text{ mm}^2/\text{s} \text{ p} < 0.001$ .

The ADC values at MRL were consistently significantly higher than  $MRI_{3T}$  at baseline and during radiotherapy (p < 0.01). However, there was a strong positive correlation between MRL-ADC and  $MRI_{3T}$ -ADC at baseline (r = 0.798, p = 0.01) and during radiotherapy (r = 0.863, p = 0.003) (Figure 3).

Similarly, for reader 2, in both systems, there was a statistically significant elevation of lesion ADC during radiotherapy (mean MRL-ADC at baseline was  $1.0 \pm 0.23 \times 10^{-3} \text{ mm}^2/\text{s} vs$ . mean MRL-ADC during radiotherapy  $1.36 \pm 0.30 \times 10^{-3} \text{ mm}^2/\text{s}$ , yielding a mean lesion ADC elevation of  $0.36 \pm 0.17 \times 10^{-3} \text{ mm}^2/\text{s}$ , p <0.001). Mean MRI<sub>3T</sub>-ADC at baseline was  $0.78 \pm 0.17 \times 10^{-3} \text{ mm}^2/\text{s}$  vs. mean MRI<sub>3T</sub>-ADC during radiotherapy  $1.0 \pm 0.183 \times 10^{-3} \text{ mm}^2/\text{s}$ , yielding a mean lesion ADC elevation of  $0.22 \pm 0.129 \times 10^{-3} \text{ mm}^2/\text{s}$  p <0.001).

The ADC values at MRL were consistently significantly higher than  $MRI_{3T}$  at baseline and during radiotherapy (p < 0.001). However, there was a strong positive correlation between MRL-ADC and  $MRI_{3T}$ -ADC at baseline (r = 0.872, p = 0.002) and during radiotherapy (r = 0.788, p = 0.012).

### Discussion

This prospective study compared lesion ADC values in patients with prostate carcinoma undergoing MR-guided radiotherapy on an MRL at 1.5 T to a diagnostic scanner at 3T. Absolute values of lesion ADC measurements differed while dynamics in the context of radiation therapy were comparable between the scanners. In both systems, there was a statistically significant elevation of lesion ADC during radiotherapy with a strong positive correlation of lesion ADC between the scanners.

### ADC changes during radiotherapy

ADC changes of the intraprostatic tumor are to be expected both during radiotherapy and during ADT and the correlation between ADC and prostate cancer aggressiveness has been shown before on diagnostic scanners.<sup>17,18</sup> The mean ADC-values calculated in this study on both scanners are similar to values found in the literature: Tamada



**FIGURE 3.** Scatter plots with a fitted line for ADC values as recorded on MR-Linac and  $MRI_{3T}$  for reader 1. (A) at baseline, (B) during radiotherapy (week 2). These plots illustrate the strong positive correlation between MRL-ADC and  $MRI_{3T}$ -ADC at both time points.

*et al.* reported mean ADC values of (untreated) tumor regions of  $1.02 \pm 0.25 \times 10^{-3} \text{ mm}^2/\text{s}$  for the peripheral zone and of  $0.94 \pm 0.21 \times 10^{-3} \text{ mm}^2/\text{s}$  for the transitional zone of the prostate.<sup>13</sup> Van Schie *et al.* reported median ADC values in the tumor scanned on a diagnostic scanner of  $1.08 \pm 0.39 \times 10^{-3} \text{ mm}^2/\text{s}$  (mean  $\pm$  SD) prior to treatment and assessed changes of ADC prior to a hypofractionated RT and then weekly during RT in 73 patients in a similar manner as performed in our study. The group found a (non-significant) median increase of the ADC-value in the tumor of 7% for patients with concurrent ADT and a median increase of 20% for patients without ADT.<sup>14</sup>

### ADC as biomarker for response assessment and role of MRL

Moreover, ADC values and -changes have been shown to function as biomarkers for RT response

in prostate cancer patients.<sup>6,15</sup> Radiomics approaches seem promising in assessing response to RT, as performed by Abdollahi et al. prior to vs. after RT.16 In all of these studies and in prostate cancer diagnostics, a 3 T MRI scanner has been established as the gold standard for mpMRI.17 MRI<sub>3T</sub> leads to optimal diagnostic images, often aided by suppression of peristalsis via intravenous application of butyl scopolamine or other agents. In contrast, on a 1.5 T MRL, the utilized sequences are optimized for fast and geometrically accurate image acquisition in an online workflow without routine administration of peristalisis suppressing medications or contrast agents. These possible limitations, in addition to technical differences of the hybrid system to diagnostic scanners<sup>18</sup>, pose the question whether an MRL can deliver comparable functional information during RT of prostate cancer.

In principle, the hybrid system offers fertile ground for further plan adaption in prostate carcinoma patients based on mpMRT findings such as ADC values since it offers daily MR-guided plan adaptations. Treatment individualization and plan adaptation under RT are a focus of research in other tumor entities as well.7,19,20 Longitudinal diffusion MRI on a 0.35 T hybrid system was already performed in small series for several other tumor entities with promising results (Yang et al.: three head and neck cancer patients and three sarcoma patients<sup>21</sup>; Shaverdian et al.: three rectal cancer patients<sup>22</sup>). On the 1.5 T MRL, Lawrence et al. report a high ADC repeatability and comparability to a diagnostic 1.5 T scanner for 59 patients with central nervous system tumors.<sup>23</sup> Habrich et al. used a test-retest approach on 11 patients with head and cancers and showed to a high repeatability of ADC measurements on the 1.5 T MRL.24 In the context of prostate carcinoma, Habrich et al. also examined intravoxel incoherent motion (IVIM) and dynamic contrast enhanced (DCE) MRI changes over the course of a moderately hypofractionated RT in 20 patients, also indicating that longitudinal measurements of functional imaging parameters is feasible and could be used for response assessment in the future.25

However, concerning intraprostatic tumor lesions, the verification of longitudinal stability of ADC measurements on an MRL as performed in this study in a comparison to a latest generation 3 T diagnostic scanner has to the best of our knowledge not been performed yet.

In a previous study, we tested the clinical applicability based on qualitative and quantitative parameters of prostate MR images on an MRL against a  $MRI_{3T}$  at one point of time prior to starting RT. We were able to show a promising and comparable result of T2 weighted image quality, and lesion conspicuity and we reported comparable lesion ADC measurements between MRL and  $MRI_{3T}$ <sup>8</sup>

With the current work, we demonstrate longitudinal comparability and reliability between the two system during RT. This represents a necessary basis for future analyses of lesion changes over time on the MRL and confirms its potential for individualized treatment adaptations such as dose painting<sup>26</sup> and response assessment during treatment.

This study has limitations. Firstly, the small sample size of patients who underwent multiple prostate imaging at both devices and the fact that only one time-point during radiotherapy was used for analysis. Multiple time-points during the course of radiotherapy should be analyzed to further validate the stability and comparability of ADC measurements. However, logistic challenges hindered further validation with an MRI<sub>2T</sub> at more than one time point. Secondly, treatment regimens differed in this population (either 20 x 3 Gy oder 39 x 2 Gy, additional neoadjuvant ADT in 3 patients). Thirdly, the DWI acquisition parameters did not fully conform to the published recommendations of the MR-linac consortium, which were published after we had already included the patients in our study and predefinded the technical aspects of the utilized sequences.27

Nonetheless, this study depticts the reality and the challenges of clinical routine and its preliminary findings could be considered novel. Indeed, further prospective studies examining mpMRI data under RT and correlating those with clinical endpoints are desirable to advance individualized radiation treatment.

In conclusion, lesion ADC as measured on MRL increased significantly during radiotherapy and lesion ADC measurements on both systems showed similar dynamics. These preliminary findings are promising but need large-scale validation. Once validated, lesion ADC on MRL might be used as a biomarker for real-time assessment of tumor response in patients with prostate cancer undergoing MR-guided radiation therapy.

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# research article

# Awake craniotomy for operative treatment of brain gliomas - experience from University Medical Centre Ljubljana

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**Background.** Awake craniotomy is a neurosurgical technique that allows neurophysiological testing with patient cooperation during the resection of brain tumour in regional anaesthesia. This allows identification of vital functional (i.e. eloquent) brain areas during surgery and avoidance of their injury. The aim of the study was to present clinical experience with awake craniotomy for the treatment of gliomas at the University Medical Centre Ljubljana from 2015 to 2019.

**Patients and methods.** Awake craniotomy was considered in patients with a gliomas near or within the language brain areas, in all cases of insular lesions and selected patients with lesions near or within primary motor brain cortex. Each patient was assessed before and after surgery.

**Results.** During the 5-year period, 24 awake craniotomies were performed (18 male and 6 female patients; average age 41). The patient's cooperation, discomfort and perceived pain assessed during the awake craniotomy were in majority of the cases excellent, slight, and moderate, respectively. After surgery, mild neurological worsening was observed in 13% (3/24) of patients. Gross total resection, in cases of malignant gliomas, was feasible in 60% (6/10) and in cases of low-grade gliomas in 29% (4/14). The surgery did not have important negative impact on functional status or quality of life as assessed by Karnofsky score and Short-Form 36 health survey, respectively (p > 0.05).

**Conclusions.** The results suggest that awake craniotomy for treatment of gliomas is feasible and safe neurosurgical technique. The proper selection of patients, preoperative preparation with planning, and cooperation of medical team members are necessary for best treatment outcome.

Key words: awake craniotomy; surgery of gliomas; intraoperative neurophysiological testing; primary brain tumours; clinical experiences

# Introduction

Gliomas are one of the most common primary brain tumours and represent 75% of all malignant primary brain tumours in adults.<sup>1</sup> The primary treatment consists of surgical resection, followed by radiotherapy and chemotherapy. Despite the modern treatment, gliomas remain incurable lesions. Glial tumours are classically divided into lowgrade gliomas (LGG, WHO grade II) and malignant gliomas (WHO grades III and IV).<sup>1,2</sup> LGGs are a heterogeneous group, histologically classified into lowgrade astrocytoma, oligodendroglioma or mixed oligoastrocytoma. The average age at presentation is 35 years, and they typically occur in the frontal lobes. In 50 to 80% of patients, the first symptom is an epileptic seizure.<sup>2</sup> On T1-weighted magnetic resonance images (MRI), LGGs appear as hypo- to isointense lesions, whereas on T2-weighted images they are hyperintensive.<sup>2</sup> Although LGGs are more indolent than their high-grade counterparts, they inevitably progress towards malignancy. This malignant transformation is present in 13 to 86% of cases in LGG recurrences.<sup>2,3</sup> With treatment, the median overall survival time is about six to eight years.<sup>3,4</sup> On the other hand, malignant gliomas are more sinister. They are histologically classified into anaplastic astrocytomas (AA, WHO grade III) and glioblastomas (GBM, WHO grade IV).1 For AAs, the average age at presentation is 40, and for GBMs 53 years. They typically present with progressive headaches and neurological deficits. On MRI high grade gliomas usually appear as contrast enhancing lesions with central necrosis and surrounding brain oedema. They have a predilection for cerebral hemispheres. With treatment, the median overall survival time for AAs is two to five years and for GBMs less than two years.<sup>5,6</sup>

For patients with gliomas, overall survival was demonstrated to be related to the histological and molecular subtype of the tumour, the patient's age, the presence of neurological deficit, the Karnofsky Performance Status (KPS) score, and the size of the tumour at presentation.78 In addition, several studies have also clearly demonstrated that the extent of resection (EOR) during surgery has a significant impact on survival.9,10 Namely, in cases of LGGs the resection of more than 90% and less than 90% of the tumour resulted in an 8-year overall survival rate of 91% and 60%, respectively.9 Similarly, in cases of AAs, gross total resection (GTR) and subtotal resection (STR) resulted in a median survival of 58 and 34 months, respectively.<sup>10</sup> And in cases of GBM, GTR and STR resulted in a median survival of 13 and 8 months, respectively.<sup>10</sup>

Gliomas are infiltrative lesions and can arise within or near the functionally the most important brain regions, such as language areas, motor cortex, etc. Over extensive resection in those vital (i.e. eloquent) areas, that directly control function, would inevitably result in permanent neurological deficit and significant postoperative morbidity, with a negative impact on the patient's quality of life. In addition, such significant postoperative neurological worsening also independently reduces the overall survival of those patients.7,8,10,11 Therefore, the goal of the surgical treatment of gliomas is, in addition to maximal, also safe resection - i.e. removal of as much tumour as possible, without causing neurological deficits ("maximal safe resection").

To achieve the goal of maximal safe resection, the intraoperative neurophysiological testing and monitoring is mandatory to identify the precise location of individual brain functions and thus eloquent brain areas during surgery. In cases in which neurophysiological techniques cannot adequately assess brain functions under general anaesthesia and the cooperation of the patient during intraoperative testing is needed an awake craniotomy should be used.<sup>12,13</sup> Awake craniotomy is a neurosurgical technique that allows removal of brain tumour under regional anaesthesia while the patient is awake. It thus allows cooperation of the patient during intraoperative testing and monitoring in order to avoid the injury of eloquent brain areas. For awake craniotomy a variety of anaesthetic methods are used: local anaesthesia (scalp block, infiltration of dura), monitored anaesthesia care (awake-awake-awake technique; scalp block and sedation) or asleep-awake-asleep technique (general anaesthesia and awakening during testing).

In the present article, we presented our protocol, technique and experience with awake craniotomy for glioma surgery at the Department of Neurosurgery, Ljubljana University Medical Centre, from years 2015 to 2019.

### Patients and methods

### Patients

We included all consecutive patients who underwent surgery by awake craniotomy due to gliomas from years 2015 to 2019 at the Department of Neurosurgery of Ljubljana University Medical Centre. The study was approved by the National Medical Ethics Committee of the Republic of Slovenia. All the procedures were performed in accordance with the Declaration of Helsinki. Awake craniotomy was considered in selected patients with the following preoperative morphological images: I) a tumour near or within the language brain areas (Broca's and Wernicke's areas, angular gyrus), II) all cases of insular tumours and III) selected patients with lesions near or within primary motor cortex or corticospinal tract.

Each patient was assessed preoperatively by the anaesthesiologist, neuropsychologist, and neurosurgeon. A collective decision was made whether the patient was suitable for awake craniotomy. The inclusion criteria encompassed a good clinical, physical, and affective condition. The contraindications for awake craniotomy were a non-compliant patient (e.g., due to old age or unfavourable psychosocial factors), the potential for breathing problems during surgery (e.g., known sleep apnoea, significant obesity), and important preoperative dysphasia (i.e., the patient names less than 80% of objects presented at four- second intervals). Informed consent was obtained from all study participants.

### Preoperative planning and evaluation

The preoperative planning consisted of the acquisition and analysis of preoperative morphological and functional images, the selection of surgical approach and trajectory to the lesion, and the planning of the extent of the resection. MRI was performed using a 3-Tesla clinical scanner (Siemens Trio, Siemens). The diagnostic imaging included T1- and T2-weighted sequences. For 3D-imaging, we used a post-contrast T1-weighted 3D-fast spoiled gradient recalled (FSPGR) sequence (a series of 124 images, 1.4 mm thick with a matrix up to 512x512 of 240mm field of view). A fMRI was used to define the primary motor and speech areas in all patients. We used single shot echo planar imaging in a transverse plane (TR 3000/TE 40, a series of 43 images, 3 mm thick with a 64x64 matrix with 200 mm field of view) during which the patient performed a motor task (a selfpaced sequential tapping of the thumb against each finger) or a speech task (verbal fluency and verb generation). A general model-based statistical analysis (SPM 12) was used to measure the extent of cortical activation.

To plan the surgical procedure, we used computer assisted 3D-visualization by neuronavigational software (Stealth Station S7 Surgical Navigation System, Medtronic) and 3D-Slicer software (http:// www.slicer.org).14 The 3D-visualization of the medical images allowed us to perform preoperative 3D-planning, i.e., to interactively present relevant anatomic structures as 3D-objects in virtual space on the computer screen, to define surgical targets, to perform quantitative measurements, and finally to select the most suitable surgical approach or trajectory to the lesion.<sup>15</sup> Interactive 3D-preoperative planning started with defining the tumour model characteristics (size, volume, extension) and their relationship to the models of the cortical surface and functional data. Based on the available data, we planned the position and size of the trepanation opening, the sites of intraoperative electrophysiological testing, and the preliminary EOR.

### Anaesthetic method

We used awake-awake-awake technique of awake craniotomy - i.e. procedural sedation and analgesia with dexmedetomidine in combination with scalp block. Initially deksmedetomidine infusion (200 µg in 50 ml of 0.9% NaCl; rate 0.2-1.5 4 µg/ kg/h) was started to sedate the patient followed by scalp block. Scalp block was performed by local infiltration of the scalp nerves (i.e. n. supratrochlearis, n. supraorbitalis, n. auriculotemporalis, n. zygomaticotemporalis, n. occipitalis minor and major, n. auricularis major) to enabled effective analgesia for skin incision and craniotomy.16 For local infiltration of the nerves we used 1-3 ml of the mixture of levobupivacaine (Chirocaine 5 mg/ml solution, Abbvie Pharmacy) and xylocaine with adrenaline (Xylanaest 2% with epinephrin 1: 200000 solution, Kemofarmacija d.d.). Adrenaline causes vasoconstriction and thereby delays absorption of local anaesthetics, increases duration of anaesthesia and prevents local bleeding after skin incision.

In addition, we introduced therapeutic communication with medical hypnosis leaving patients less sedated, more competent during the entire surgical procedure without stress.<sup>17</sup> Hypnosis session was usually carried out 1 to 3 days before surgery, to gain patients approval and confidence and to teach the patient how to construct an imaginary place where they can feel safe and protected.

Anaesthesia was conducted by two senior anaesthesiologists. The standard intraoperative monitoring was used. All patients had dedicated intravenous and arterial lines, a Foley catheter, and a nasal oxygen catheter. Hypothermia was prevented with use of warming blankets. Cefazolin 2g was used intravenously for perioperative antibiotic prophylaxis.

The patients lay supine with their head rotated approximately 45 degrees to the side. They were encouraged to find the most comfortable position and were generously padded as necessary. The head was fixed in a Mayfield clamp under a scalp block combined with dexmedetomidine. Additional local anaesthesia was applied along the skin incision line and between the dural sheets before the skin and dura incision. Sedation during the procedure was maintained with dexmedetomidine, and painful phases were treated with boluses of remifentanil. Bispectral Index Scale (BIS) was used for sedation monitoring. After the surgical procedure, all patients were transferred to the intensive care unit for overnight observation.

# The operative procedure and neurophysiological testing

Tumour removal was performed in accordance with classical microsurgical techniques. In all cases, microsurgical microscope was employed. During the surgical procedure, frameless neuronavigation, intraoperative neurophysiological testing and augmented malignant tumour visualization with 5-aminolevulinic acid (5-ALA) were used.

For precise intraoperative localization of the tumour, we used neuronavigation with a Stealth Station S7 (Medtronic) navigation station, thus defining the size and position of the trepanation opening and the morphological tumour boundaries on the cortical surface. During tumour removal, neuronavigation was used for approximate depth orientation, and as a help to assess the EOR intraoperatively.

Injury to the eloquent regions was avoided after they had been identified by intraoperative neurophysiological testing. For cortical stimulation, a bipolar electrode was used with biphasic electrical current (50Hz, 0.5 ms, 4 to 10 mA). For subcortical simulation, a monopolar electrode on the tip of aspirator was used, with a train of five pulses in row (a train of five pulses at 200 Hz, 0.5 ms, from 2 to maximum 20 mA). The patient's speech was monitored by an examiner, who talked to the patient and detected potential speech difficulties. During the stimulation of the cortical surface, the patient named objects on pictures or repeated words after the examiner. When stimulating the speech area, the speech changed or ceased. The stimulation of the primary motor area on the cortical surface resulted in motor evoked potentials (MEPs) detected in the corresponding muscles of the patient's body, and discomfort and/or movements, as reported by the patient. Subcortical stimulation was performed to define the distance from the corticospinal tract in patients where the tumour was in its vicinity. During tumour removal, the subcortical white matter was continuously stimulated with a monopolar electrode, and MEPs were monitored. At the beginning of the resection, the stimulation current was set at 20 mA. When MEPs were detected during the progression of the resection, the stimulation current was gradually reduced. When the MEP response was already induced by a 3-5 mA current, the resection in that direction was stopped, due to the proximity of the corticospinal tract.<sup>18</sup>

In cases where the preoperative diagnostic images showed the characteristics of malignant tumours, 5-ALA was used. The fluorescence of the tumour tissue was observed under the operating microscope (OPMI Pentero 900 Microscope, Carl Zeiss).

#### Assessment

The demographic data of the patients were collected before surgery. According to the American Society of Anaesthesiologists, their physical status classification system ASA score was noted and KPS was assessed in all patients. In 12 patients, healthrelated quality of life (HRQoL) was assessed by 36-Item Short Form (SF-36) health survey.<sup>19,20</sup>

During the surgery, we measured duration of anaesthesia, duration of the operative procedure and duration of the neurophysiological testing. Patient cooperation during surgery was assessed by a neurosurgeon and neurophysiologist and graded on scale from 0 to 10 (modified 11-point numerical rating scale, where 0 represented poor cooperation and 10 excellent cooperation). Patient comfort and pain were assessed by the anaesthesiologist. Pain was assessed by visual analogue scale (VAS) from 0–10 (0 meaning no pain, 10 meaning intolerable pain). Comfort was assessed by modified VAS scale from 0–10 (0 being the least and 10 being the most comfortable). Intraoperative complications were recorded.

After surgery, we monitored postoperative neurological status and noted non-neurological complications. The level of effort needed for the whole procedure, as reported by the patients, was assessed by anaesthesiologist 2–5 days after surgery, by modified VAS scale from 0-10 (0 being the least and 10 being the most difficult). KPS was assessed 1 week after surgery and the patients were asked to describe what was the worst experience during the awake craniotomy. A contrast enhanced brain MRI scan was performed the day following the surgery to assess EOR in all patients. In cases of malignant gliomas (AA, GBM) EOR was assessed on T1 weighted contrast enhanced MRI images. In those cases, gross total resection (GTR) was defined as no residual enhancement on postoperative images, near total resection (NTR) was defined as rim enhancement of the resection cavity, and subtotal resection (STR) was defined as residual nodular enhancement. In cases of LGG, EOR was assessed on T2 and FLAIR weighted postoperative MRI images. In those cases, GTR was defined as no hyperintense signal changes on postoperative images, STR was defined as persistence of hyperintense signal around resection cavity, and biopsy



FIGURE 1. Preoperative magnetic resonance images (MRI) of low-grade glioma located in primary motor cortex (A) and anaplastic astrocytoma located in anterior speech area (Broca area) (B). Preoperative imaging included TI weighted contrast enhanced (A1, B1), T2 weighted (A2, B2), FLAIR (A3, B3) and functional magnetic resonance (fMRI): (A4, A5, B4, B5) images. The fMRI demonstrated the hand activation area (yellow/red colour) lateral (A4) and leg activation area behind (A5) the lesion in primary motor cortex. In second case fMRI demonstrated speech activation areas posterior to the lesion on the left side (B4, B5).

resection (BR) when approximately more than 50% of hyperintense signal remained on postoperative images. Length of hospital stay (LOS) was noted.

Three months after the surgery, the KPS score was assessed in all patients and the HRQoL was assessed by SF-36 health survey in the same 12 patients as before surgery. All patients were asked, if they would be willing to undergo awake craniotomy again if necessary and their answers were noted.

### Statistical analysis

The means or ranges of continuous variables are presented, and categorical data are summarized as counts. The differences between the KPS scores before, after and 3 months after surgery were evaluated using One Way ANOVA. The differences between the SF-36 health survey subscales before and 3 months after surgery were evaluated using Wilcoxon test. A p-value of less than 0.05 was considered statistically significant. Data were ana-

#### TABLE 1. Demographics and preoperative data

No. of patients	24
Age (years)	41 ± 11
Weight (kg)	81 ± 12
Height (cm)	176 ± 8
Gender (M/F)	18/6
ASA (I/II/III)	9/15/0
First operation/reoperation	22/2
Tumour size (cm³)	46 ± 27
Tumour location (side):	
Insular (left/right)	4/3
Frontal Central-PMC (left/right)	2/2
Frontal- Broca area (left/right)	6/1
Temporo-frontal (left/right)	3/0
Temporal-Wernicke area (left/right)	3/0

The results are expressed as mean ± SD or number of patients.

ASA = American Society of Anaesthesiologist; F = female; M = male; PMC = primary motor cortex

#### TABLE 2. Intraoperative and early postoperative outcomes

INTRAOPERATIVE DATA	
Duration of anaesthesia (minutes)	278 ± 47
Duration of procedure (minutes)	215 ± 48
Duration of testing (minutes)	73 ± 26
Comfort score (0- least; 10- most)	8 ± 2 (5–10)
Pain score (0- no pain; 10- intolerable)	4 ± 2 (0–5)
Cooperation score (0- poor; 10- excellent)	10 ± 1 (9–10)
Complications (none/seizure/incomplete testing)	13/8/3
EARLY POSTOPERATIVE DATA	
Pain score after procedure (0- no pain; 10- intolerable)	2 ± 1 (0-3)
Pain score ICU (0- no pain; 10- intolerable)	2 ± 1 (0-3)
Level of effort (0- least; 10- most difficult)	3 ± 2 (0-6)
Non-neurological complications	
Wound infection	1
Pulmonary embolism	1
Nausea	2
Pain	2
Neurological complications	
Walking disability	1
POCD and dysphasia	1
Mild hemiparesis, POCD, seizures	1
Tumour histology	
LGG	14
AA	7
GBM	3
Extent of resection	
LGG (GTR/STR/BR)	4/7/3
AA or GBM (GTR/NTR/STR)	6/3/1
Length of stay (days)	6 ± 5

The results are expressed as mean ± SD (range in brackets) or number of patients.

AA = anaplastic astrocytoma; BR = biopsy resection; GBM = glioblastoma; GTR = gross total resection; ICU = intensive care unit; LGG = low grade glioma; NTR = near total resection; POCD = postoperative cognitive decline; STR = subtotal resection

> lysed by SPSS 13.0 software package (IBM Corp., Armonk, NY, USA).

# Results

#### Patients and preoperative data

The demographic and preoperative data are showed in Table 1. During the 5-year study period,

we performed awake craniotomies in 24 patients with glial brain tumours. They were 22 to 60 years old. In all cases, the main presenting symptom was epileptic seizure. In addition, in two patients, a mild dysphasia, and in one a disorientation was present. The main comorbidities in patients were well controlled diabetes and arterial hypertension. Four more patients were considered for awake craniotomy but were later found to be unsuitable and underwent surgery under general anaesthesia, one due to anxiety, one due to morbid obesity, one due to asthma and one due to psychosis.

The preoperative fMRI was successful in all patients and revealed speech dominance on the left side in all but one case in which it was bilateral. In 4 cases with tumour in frontal-central region (i.e., near or within primary motor region) the fMRI was used also to define primary motor regions (Figure 1).

# Intraoperative and early postoperative results

Intraoperative and early postoperative outcomes are presented in Table 2. The longest surgical procedure lasted 5 hours and 20 minutes. Patient cooperation during surgery was in majority of the cases excellent. Discomfort during surgery reported by the patients was generally described as just a little uneasy and/or just starting to bother. Pain perceived during surgery was regarded as moderate. In two patients, however, we observed significant fatigue during surgery that started about 2.5 hours after the start of the procedure, and it was so intense that further neurophysiologic testing was not feasible. During cortical stimulation, we observed epileptic seizure activity in 8 out of 24 patients (33% of the patients). In one of these patients, further testing was not possible, due to limited compliance after the seizure. Neurophysiological testing by cortical stimulation was therefore successful in identifying the cortical language areas or primary motor cortex in all but three cases. Subcortical stimulation was performed in cases with insular and frontocentral located tumours. The corticospinal tract upon stimulation with a 20 mA current or less was detected in 8 out of 11 tested patients (72% of the patients).

After the surgery, the neurological worsening was observed in 3 out of 24 patients (13% of the patients) and the other postoperative complications were present in 6 out of 24 patients (25% of the patients) (Table 2). Postoperative pain was mild in almost all cases. Level of the effort during surgery



FIGURE 2. Preoperative (A) and postoperative (B) T1 weighted contrast enhanced (A1, B1) and T2 weighted (A2, B2) magnetic resonance images (MRI) of glioblastoma located in supramarginal gyrus near Wernicke area. Preoperative images (A) demonstrate rim enhancing central necrotic oval lesion surrounded by oedema. Postoperative images (B) demonstrate post-resection cavity filled with partially haemorrhagic fluid and mild irregular contrast enhancing of resection edge – i.e. near total resection.

experienced by the patients was assessed and reported to be mild to moderate. When asked "what was the worst experience during the awake craniotomy", 15 patients said "nothing", four patients said, "bone cutting", two patients said, "Mayfield clamp placement", and the remaining three patients said, "scalp block", "craniofix bone flap fixation" or "waiting for the end of surgery". GTR was achieved in 29% (4 out of 14 cases) in cases of LGG, and in 60% (6 out of 10 cases) in cases of GBM and AA (Figure 2).

All patents were discharged home. The time of postoperative hospital stay was from 3 to 9 days for most of the patients, and 23 days for one patient.

### Late postoperative results

Three months after surgery all patients were under postoperative oncological treatment with radiotherapy and/or chemotherapy. All patients were still on a sick leave, and none yet returned to work. The average KPS scores after surgery and 3 months after surgery were slightly lower and are shown in Figure 3A. However, the differences between the KPS scores before, after and 3 months



**FIGURE 3.** The Karnowski Performance Scale (KPS) scores (A) and 36-Item Short Form (SF-36) health survey subscale scores (B) before surgery, after surgery and 3 months after surgery. The differences between the KPS scores and SF-36 subscale scores before and after surgery were not statistically significantly different (p > 0.05; n = 24 for KPS; n = 12 for SF-36).

after surgery were not significantly different (p = 0.14). Patient HRQoL was assessed by SF-36 health survey before surgery and 3 months after surgery, the results for each SF-36 subscale are shown in Figure 3B. We found some increase in patient perceived problems with work or other daily activities because of physical health (i.e., "role limitations due to physical problems" SF-36 subscale) three months after surgery in comparison to before surgery, however, the difference was not statistically significant (p = 0.07). The scores in other SF-36 subscales (i.e., physical functioning, bodily pain, general health, vitality, social functioning, role limitations due to emotional problems, mental health) were very similar before and after surgery, and

BP = bodily pain; GH = general health; PF = physical function; MH = mental health; RE = role limitations due to emotional problems; RP – role limitations due to physical problems; SF = social functioning; VT = vitality; 3M = three months

not significantly different (p > 0.1). Therefore, the surgery did not have important negative impact on functional status or quality of life as assessed by KPS and SF-36 health survey, respectively. Three months after surgery, the patients were asked, "if they would be willing to undergo awake craniotomy again if needed". One patient answered "no", one answered, "only if absolutely necessary" and the other 22 patients answered "yes".

# Discussion

At our institution, awake craniotomy for surgical treatment of gliomas was performed in 24 patients in the last 5 years. Our experiences with awake craniotomy are good and suggest that awake craniotomy can be safely performed with low risk of complications or failure rate.

The goal of an awake craniotomy is to provide the surgeon with optimal functional monitoring during the removal of as much of the tumour as possible and thus avoiding damage to critical brain structures. Awake craniotomy is mandatory in patients where neurophysiological testing and monitoring cannot adequately assess function under general anaesthesia, and when the cooperation of the patient during testing is needed. The functions typically monitored during awake craniotomy are mainly higher mental functions, especially speech. Such monitoring allows safer tumour removal, resulting in improved treatment outcome, even for tumours in eloquent brain regions.<sup>12,13,18,21,22</sup>

On the other hand, awake craniotomy is a technically demanding procedure, with more potential intraoperative complications in comparison to the same procedure performed under general anaesthesia.<sup>21</sup> Namely, patient intolerance or non-cooperation can result in too early termination of the surgery, and incomplete or suboptimal resection.<sup>21</sup> Therefore, it is very important to properly select patients for the procedure. In our series, all the patients performed well, and the selection protocol for the patients was shown to be good. During preoperative evaluation, four potential candidates were found to be unsuitable for awake craniotomy. In addition to obesity, potential breathing problems and dysphasia, also patient immaturity, hypertension and alcohol abuse are also some of the risk factors for sedation failure, which may worsen the surgery and postoperative recovery.<sup>23</sup> Anxiety and other psychological problems may also negatively influence the patient's cooperation.<sup>24</sup> The selection of patients should therefore be individualized, and the decision-making process should involve all team members.

A variety of anaesthetic methods are used for awake intracranial procedures. In our institution, we combine scalp block and procedural sedation and analgesia with dexmedetomidine - i.e. awakeawake-awake protocol. This technique proved to be very safe in experienced hands, allows more control with the patient, the anaesthesiologist is by the patients side all the time and can react promptly if something goes wrong, and it usually results in better patient cooperation.25,26 All patients are well-educated regarding all the steps of the surgical procedure to facilitate the intraoperative testing and to decrease their anxiety. In our, and also other studies, the most painful and unpleasant part of the procedure, as reported by the patients, is the placement of the cranial fixation device and trepanation.<sup>27,28</sup> It is important to note that because of inadequate analgesia during cranial fixation, or later due to positioning, the surgical outcome may be compromised.29 The patient's communication with the surgical team and addressing the patient's discomfort are therefore crucial. In the case of the awake-awake-awake protocol, as used in our cases, the duration of the surgery proved important. The cooperation of two of our patients became inadequate after approximately 2.5 hours from the beginning of the surgery. To overcome this problem, we recommend early functional testing and analysis of the functions for which patent's cooperation is needed. In addition, we recommend good preoperative planning and preparation to reduce operation time.

In our study, the patient's perception of awake craniotomy was generally good. Hypnosis was well accepted in our study. Also, it was previously shown that it prolongs the time of patient cooperation during the neurophysiological testing.<sup>30</sup> The main challenges for patients undergoing awake craniotomies include anxiety and fears, terrifying noises and surroundings, immobility, loss of control, the feeling of helplessness and being left alone. In such situations, psychological support might be very helpful and motivates patients to have a sense of control by active participation during surgery instead of being lost in anxiety.<sup>31,32</sup>

The rate of occurrence of epileptic seizures during surgery in our study of 33% was higher compared to other reports, which reported a seizure rate of from 15 to 16.7%.<sup>21,28</sup> At the beginning of the operation, cortical stimulation, especially when used in excess during neuromonitoring, may sometimes provoke epileptic seizures.<sup>21,28</sup> Reported treatment was the iced Ringer solution, which was also successfully used in our patients to stop the seizure. We believe that the higher rate in our series could be attributable to more extensive testing in selected patients. In this regard, it was observed that less stimulation is generally used with increased experience.<sup>28</sup>

The neurological worsening observed postoperatively in 13% of our patients is comparable to the meta-analysis data, which report rates of about 30% for early and 7% for late deficits of all severities after intraoperative stimulation mapping.33 GTR in our study was higher in cases of GBM or AA in comparison to LGG (29% vs. 60%). The comparable GTR rates are also reported in the literature for awake craniotomy glioma surgery.<sup>34,35</sup> In this regard, it is important to note, that although 5-ALA and neuronavigation help to delineate the borders of the tumour tissue, the final edge of the resection is defined by the functional borders, as defined by intraoperative neurophysiological testing. Consequently, in several cases of awake craniotomy total resection of the glioma cannot be achieved due to tumour infiltration of eloquent regions. Based on intraoperative neurophysiological testing, we believe that further resection in our patients could result in significant postoperative functional deficit.

One of the goals of surgical treatment of gliomas is, in addition to maximal resection, preservation of the neurocognitive profile and quality of life.<sup>19,20</sup> Namely, gliomas are currently surgically incurable lesions and potential postoperative neurological deficits further reduce survival time and quality of life of those patients. In our study, the HRQoL assessed three months after surgery was not significantly impaired. We did however observe noticeable patient reported problems with work and daily activities three months after surgery. The later could be related to ongoing postoperative oncological treatment. Also, none of the patients at 3 months after the surgery was yet allowed to return to work, which probably also influenced their perception and the results.

To conclude, our experience suggests that awake craniotomy using our protocol is a feasible and safe surgical procedure. To achieve the best treatment outcome, proper selection of the patients, preoperative preparation with planning, and cooperation of the medical team are necessary.

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# research article

# Cognitive functioning in a cohort of high-grade glioma patients

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**Background.** High grade gliomas are associated with cognitive problems. The aim of the study was to investigate cognitive functioning in a cohort of patients with high grade glioma, according to isocitrate dehydrogenase (IDH) and methyl guanine methyl transferase (MGMT) status and other clinical characteristics.

**Patients and methods.** The patients with the high-grade glioma treated in Slovenia in given period of time were included in study. Postoperatively they completed neuropsychological assessment consisting of Slovenian Verbal Learning Test, Slovenian Controlled Oral Word Association Test, Trail Making Test Part A and B and self-evaluation questionnaire. We analysed results (z-scores and dichotomized results) also according to IDH mutation and MGMT methylation. We examined differences between groups using T-test, Mann-Whitney U,  $\chi^2$  and Kendall's Tau tests.

**Results.** Out of 275 patients in the cohort, we included 90. Forty-six percent of patients were unable to participate due to poor performance status and other conditions related to tumour. Patients with the IDH mutation were younger, with better performance status, larger proportions of grade III tumours and MGMT methylation. In this group cognitive functioning is significantly better in the domains of immediate recall, short delayed recall and delayed recall, and in the fields of executive functioning and recognition. There were no differences in cognitive functioning in regard to MGMT status. Grade III tumours were associated with more frequent MGMT methylation. Self-assessment proved week tool, associated only with immediate recall.

**Conclusions.** We found no differences in cognitive functioning according to MGMT status, but cognition was better when IDH mutation was present. In a cohort study of patients with high-grade glioma, almost half were unable to participate in a study, which points to an overrepresentation of patients with better cognitive functioning in the research.

Key words: cognition; high grade glioma; IDH1 mutation; MGMT methylation

# Introduction

Malignant gliomas are group of aggressive brain tumours, comprising anaplastic astrocytoma (Grade III), anaplastic oligodendrogliomas (Grade III), anaplastic astrocytoma (Grade IV) and glioblastomas (Grade IV).<sup>1</sup> Anaplastic gliomas are still some of the most challenging tumours for patient and caregivers, but also for the therapist. Although there are some cautious advances in this field, there is still a grim outlook for the patients. As a number of patients is ill responding to treatment there are efforts for identifying those who respond well and those who would benefit from a change in treatment strategy. Genetic and epigenetic markers like isocitrate dehydrogenase (IDH) mutations, loss of heterozygosity of 1p/19q(LoH 1p/19q), and methyl guanine methyl transferase (MGMT) promoter methylation have recently helped to stratify patients, removing the mixed histology like anaplastic oligoastrocytoma and introducing Grade IV astrocytoma. In IDH1 mutated patients' survival was markedly longer, as is in anaplastic oligodendrogliomas (with LoH 1p/19q). Prior to widespread genetic testing, it was already clear that patients harbouring methylation of MGMT gene promoter fare better comparing to those without.<sup>2-4</sup>

Brain tumours are also associated with impaired cognitive functioning, due to tumour alone but also due to treatment. Cognitive impairment can manifest already at the time of diagnosis; the prevalence of cognitive deficits varies from 60 to 85% in different studies.<sup>5</sup> The most common are in the fields of verbal memory, executive functioning, psycho-motor speed, but also attention and language.<sup>6-9</sup>

Cognitive functioning is also one of the prognostic factors for survival. Early findings suggested that cognitive decline is preceding radiological progression, which was not confirmed by all studies.<sup>10-12</sup> Further studies confirmed cognitive impairment as independent prognostic factor in newly diagnosed patients, both at baseline and in the period after surgery.<sup>13-16</sup>

It was shown that IDH1 mutation (IDH1-mut) is not only an important prognostic factor, but it is also associated with better cognitive functioning. Many studies have shown that cognitive functioning is better in IDH1-mut patients when compared with IDH1-wildtype (IDH1-wt) patients.<sup>17-19</sup>

Among possible causes of the better cognitive functioning of patients with IDH1-mut is brain plasticity which could be affected negatively by the greater tumour growth rate in IDH1-wt tumours, while remaining intact in less invasive IDH1-mut tumours. Preserved cognitive functioning might also be related to the tumour microenvironment, with more pronounced lymphocyte infiltration and programmed death-ligand 1 (PD-L1) expression in IDH1-wt tumours, or even to differences at the synaptic level.<sup>20</sup>

The effect and possible role of the MGMT methylation status in patients' cognitive functioning is even less clear. Most clinical studies focus on investigating patients with MGMT promoter methylation (MGMT-met), with cognitive function as a secondary outcome.<sup>21</sup> According to one study, the absence of MGMT promoter methylation (MGMT- unmet) predicts greater cognitive deficit when patients are treated with radiochemotherapy.<sup>22</sup> The MGMT-met therefore can be considered a predictive marker for development of cognitive impairment, but further research about its role in cognitive functioning as well as the prognosis needed. Here the challenge is the frequent overlap of MGMT promoter methylation with the IDH1 mutation, which, in conjunction with relatively small number of patients in the high-grade glioma studies, presents difficulties in statistical analysis.

In our study, we examined how the expression of IDH1 mutation and MGMT promoter methylation are linked to the cognitive functioning following the operative treatment in the cohort of all Slovene Grade III and Grade IV glioma patients.

### Patients and methods

### Patients

We analysed the cohort of patients with high grade glioma, treated between March 2019 and December 2021. Their diagnoses (anaplastic astrocytoma, anaplastic oligodendroglioma or glioblastoma) were histological confirmed. Patients were operated in either of the two neurosurgical departments in Slovenia, then they were referred to Institute of Oncology Ljubljana for evaluation regarding the initiation of radiochemotherapy.

At the referral, they consented to be enrolled in the study. Exclusion criteria were histology other than gliomas WHO III/IV, Karnoffsky performance status less than 70% and inability to undergo evaluation (e.g., marked dysphasia). To be included in the study, they had to be 18 years old or older.

The following data were obtained from the medical documentation: age, sex, date of diagnosis, localization of the tumour, type of surgery, extent of surgery, radiotherapy parameters, systemic therapy, use of corticosteroids, histological, genetic and epigenetic characteristics of tumours. All patients also had a molecular and genetic analysis of the tumour tissue performed, so the IDH1 mutations and MGMT promoter methylation status were determined.

#### Cognitive functioning

To asses cognitive functioning, we used psychometric tests in the domains of verbal memory (Slovenian Verbal Learning Test – TBU, measuring immediate recall, short recall, delayed recall and recognition of distracters)<sup>23</sup>, verbal fluency Making Test, Part B – TMT B)<sup>25</sup>, in accordance with the recommendations for use in the studies concerning cognitive functioning of cancer patients.<sup>26</sup> The patients also self-evaluated their cognitive functioning on a 0–10 scale (0 = without problems, 10 = extremely intensive problems present).

# Statistical analysis and ethical consideration

We used descriptive statistics with the means values and standard deviation for the demographic data. The correlation between variables was tested with Pearson's t test or Spearman's rho test.

For each cognitive test, the test scores were analysed either as standardized (z-scores) or as a dichotomized variable: no impairment present (z > -1.5 below the mean of the control group) vs. impairment observed (the patient had a z-score lower than -1.5 or was unable to perform the test at all). At the individual level, we analysed the percentage of impaired patient's results.

We next compared groups of patients with different IDH1 statuses and MGMT methylation, using either a t-test or Mann-Whitney U-test (in case that Kolmogorov-Smirnov test of normality showed statistically significant departure from normality) for interval variables, a  $\chi^2$  test for categorical variables and ordinal variables with Kendall's Tau test. All hypotheses were tested at a 5-percent alpha error rate.

We used the statistical program SPSS, to calculate the power of the test we used G\*Power 3.1.9.7.

Written informed consent was obtained from all the patients before the inclusion in the clinical trial. The study was approved by the Institutional Review Board of the Institute of Oncology Ljubljana and by The National Medical Ethics Committee of the Republic of Slovenia (Approval number 0120-393/2018/10, date 12/12/2018) and was carried out according to the Declaration of Helsinki.

# Results

# Demographics and tumour characteristics

At the time the research was performed, 275 patients were diagnosed with glial tumour. Of those, 90 patients were recruited into the study, representing 33% of all patients. Figure 1 shows reasons



FIGURE 1. Recruitment protocol, N = 275

for patients entering and not entering the study as recorded by oncologists at the time of the first consultation. Of the 51% of patients incapable of participating, in the study the major reason was poor performance status, followed by other tumour and treatment related impairments, representing 46% of ineligible patients, the other common issue was language barrier.

Participating patients were 30 to 84-year-old (median [M] = 58.78 years, standard deviation [SD] = 11.31 years). There were more males than females (57 vs. 33). On average, female patients were older (M = 57.67 years, SD = 9.35 years, 39–74 years) than male patients (M = 59.42 years, SD = 12.33 years, 30–84 years), but the age difference was not statistically significant (p = 0.481).

Of all 90 patients, 78 had Grade IV tumour (all classified as glioblastoma), 8 had anaplastic astrocytoma, and 4 had anaplastic oligodendroglioma. Fifteen patients had IDH1 mutation (7 anaplastic oligodendroglioma, 4 anaplastic astrocytoma, and 4 glioblastoma). MGMT promoter was methylated in 36 patients (4 anaplastic oligodendroglioma, 5 anaplastic astrocytoma, and 27 glioblastoma), whereas in 1 glioblastoma, 2 anaplastic astrocytomas and 1 anaplastic oligodendroglioma we couldn't determine the methylation status.

Patients with Grade III tumours had a statistically better performance status – the Karnoffsky

Variable	Levels	f (%)	Descriptive statistics
Gender	Male	57 (63%)	
	Female	33 (37%)	
	< 50 years	17 (19%)	M = 58.78, SD = 11.31
Age	50–70years	60 (6/%)	min = 30, max = 84
	> 70 years	13 (14%)	
	≤ 9 years	14 (16%)	
Education	10–13 years	48 (53%)	
	14–19 years	26 (29%)	
	≥ 20 years	2 (2%)	
Tumour grade	Grade III	12 (13%)	
	Grade IV	/8 (8/%)	
	Frontal	34 (38%)	
	Parietal	21 (23%)	
lumour location	lemporal	26 (29%)	
	Occipital	4 (4%)	
	central	3 (3%)	
	Diffuse	2 (2%)	
	Right	38 (42%)	
Hemisphere	Leff	44 (49%)	
	Both	8 (9%)	
Company to a s	BIODSA	11 (12%)	
surgery type	Reduction	49 (54%)	
		30 (33%)	
	70	32 (36%)	
Karnoffsky performance status	80	37 (41%)	
	90	18 (20%)	
	100	3 (3%)	
Corticosteroids (Yes/no, mg)	Tes	00 (13%) 01 (07%)	M = 5.55, SD = 4.75
	INO	24 (27%)	min 0, max 24
Padia chamatharany (intention to tract)	Yes	70 (10097)	
Radio-chemomerapy (intention to freat)	No	(100%)	
	< A wooks	0 (0 %) 40 (47%)	M - 5 08 SD - 2 25
Time to beginning of adjuvant treatment (in weeks)	> 7 weeks	30 (33%)	m = 3.70, 3D = 2.23
	E / WOOKS	27 (30%)	11111 J, 1110A 1J
Epilepsy	No	27 (0070) 63 (70%)	
	IDH1 mutation	15 (17%)	
IDH1 mutation	IDH1 wild type	75 (83%)	
	Yes	36 (42%)	
MGMT methylation*	No	50 (58%)	

#### TABLE 1. Demographic and medical data

IDH1 = isocitrate dehydrogenase 1; M = median; MGMT = methyl guanine methyl transferase; SD = standard deviation

performance status was 70 in 2 (17%) patients, 80 in 2 (17%) patients, 90 in 8 (67%) patients with Grade III tumours – when compared with patients with Grade IV tumours (in these group, the Karnoffsky performance status was 70 in 30 patients, 80 in 35 patients, 90 in 10 patients, and 100 in 3 patients; U = 251.000, z = -2.75, p = 0.006). Compared to the group of patients with Grade III tumours, the group with Grade IV tumours had a statistically significantly higher percentage of IDH1-mut tumours (73% vs.

5%,  $\chi^2(1) = 56.077$ , p < 0.001, V = 0.789, 1-b = 0.987) and MGMT promoter methylations (60% *vs.* 36%,  $\chi^2(1) = 7.067$ , p = 0.008, V = 0.280, 1-b = 0.756).

Table 2 shows the structure of the sample according to the expression of IDH1 mutation and MGMT methylation. Five patients had both genetic markers expressed, two thirds of patients with IDH1-mut also had MGMT-met expressed, and among patients with expressed methylation, IDH1-mut tumours were present in 27% of patients. The difference in the proportion of MGMT methylation in the IDH1-mut and IDH1-wt groups was statistically significant,  $\chi^2(1) = 5.333$ , p = 0.021, V = 0.243, 1-b = 0.82).

### **Cognitive functioning**

The overview of cognitive evaluation is presented in Table 3. The achievements were impaired (z <-1.5) in a large proportion of the patients, especially in the field of short recall, executive functions and psycho-motor speed. The impairment was least frequent in the field of recognition and verbal fluency. The results remained similar, regardless of accounting only those who were capable of completing a specific test or the sample as whole – the biggest difference between these two analysis methods is on the TMT A and TMT B tests.

We examined on how many out of 7 tests the patients had an impaired test score (z < -1.5 or unable to finish the test) and found that 11 patients (12%) had 0–1 impaired test score, 26 (29%) patients had 2–4 impaired test scores, and 53 (60%) patients had more than 5 impaired test scores.

On the 10-point self-evaluation scale of cognitive functioning the mean rating was 3.66, SD = 2.81, min = 0, max = 10; 48 (53%) patients selected rating 0–3, 23 (26%) selected rating 4–6, and 19 (21%) selected a rating higher than 7. Correlations between self-assessment and individual tests of cognitive functioning show that self-assessment is weakly but statistically significantly related only to immediate recall (r = -0.280, df = 79, t = 2,57, p = 0.012).

The cognitive functioning in any of the measured fields was not statistically significantly affected by sex, surgery type and the presence or absence of seizures. The test scores did, however, differ with regard to age, education, and performance status. Age was statistically significantly related to 
 TABLE 2. Number of patients with different combinations of IDH1 mutation

 expression and methyl guanine methyl transferase (MGMT) methylation

	MGMT-met	MGMT -unmet	Total
IDH1-mut	5	10	15
IDH1-wt	49	26	75
Total	54	36	90

IDH1-mut = isocitrate dehydrogenase 1 mutation; IDH1-wt = isocitrate dehydrogenase 1 wildtype; MGMT-met = methyl guanine methyl transferase promoter methylation; MGMTunmet = methyl guanine methyl transferase absence of promoter methylation

participant's results in the field of verbal fluency (r = -0.278, t = -2.55, df = 79, p = 0.012), immediate recall (r = -0.409, t = -3.96, df = 79, p < 0.001), short delayed recall (r = -0.388, t = 3.72, df = 79, p < 0.001) and delayed recall (r = -0.333, t = 3.12, df = 79, p = 0.003).

Education was significantly related to results in the fields of verbal fluency ( $r_s = 0.381$ , t = 3.66, df = 79, p < 0.001), immediate recall ( $r_s = 0.334$ , t = 3.13, df = 79, p = 0.002), short delayed recall ( $r_s = 0.285$ , t = 2.63, df = 79, p = 0.010), delayed recall ( $r_s = 0.265$ , t = 2.42, df = 80, p = 0.017) and recognition ( $r_s = 0.264$ , t = 2.42, df = 79, p = 0.018).

Performance status was significantly related to immediate recall ( $r_s = 0.280$ , t = 2.57, df = 79, p = 0.012) and delayed recall ( $r_s = 0.296$ , t = 2.74, df = 79, p = 0.008).

We analysed the disease and demographic data and the results of psychological tests with regard to IDH1 mutation. Compared to IDH1-wt patients, patients with tumours harbouring IDH1-mut were statistically significantly younger, had better performance status and were more likely to have Grade III tumour and MGMT promoter methylation (Table 4). They functioned better in the field of verbal memory (had a better performance in immediate recall, short delayed recall and delayed recall, measured either with z-scores or as dichotomised test scores) and in the field of executive

TABLE 3. Descriptive statistics for star	ndardized test scores (z–values) o	and proportion of impaired	patients in psychological co	anitive functionina test

Domain	Test	% impaired / all	Ν	% impaired / capable	Mean z score	SD of z scores
Visual – motor speed	TMT A	68	68	57	2.89	3.57
Executive function	TMT B	78	46	59	2.80	2.94
Verbal fluency	SCOWA	47	81	41	-1.21	0.88
Memory immediate recall short delayed recall delayed recall recognition	SVLT-ir SVLT-sr SVLT-dr SVLT-recog	64 79 63 60	80	60 76 58 52	-1.83 -2.05 -1.92 -2.73	1.11 1.31 1.35 3.36

SCOWA = Slovenian Controlled Oral Word Association Test; SVLT = Shiraz Verbal LearningTest; TMT A = Trail Making Test, Part A; TMT B = Trail Making Test, Part B

#### TABLE 4. Patient characteristics, regarding isocitrate dehydrogenase 1 (IDH1) mutation

	IDH1-wt (N = 75)	IDH1-mut (N=15)	Result of the statistical test and effect size
Age mean (min/max/SD)	61.50 (31 / 84 / 9.21)	38.75 (30 / 67 / 3.86)	t = -5.97, df = 88, p< 0.001
Sex (female / male)	29 / 46	4 / 11	χ2(1) = 0.775, f = -0.93, p = 0.379
Education level (≤ 9 years /10–13 years / 14–19 years / ≥ 20 years)	11 / 40 / 22 / 2	3 / 8 / 4 / 0	τb(3) = -0.06, z = -0.83, p = 0.547
KPS (70/80/90/100)	31 / 34 / 7 / 3	1/3/11/0	тb(3) = 0.403, z = 18.95, p < 0.001
WHO grade (III / IV)	1 /74	11 /4	$\chi 2(1) = 56.08, f = -0.789, p < 0.001$
Corticosteroids mg (min/max/SD)	2 (0 / 16 / 6)	5 (0 / 24 / 4.5)	t = -1.16, df = 88, p = 0.251
biopsy/reduction/gross tumour resection	9 / 44 / 22	2/5/8	$\chi 2\{2\} = 3.65, V = 0.210, p = 0.161$
Tumour location (frontal / temporal / parietal / occipital / diffuse / central)	26 / 20 / 21 / 4 / 2 / 2	8/1/5/0/1/0	NA
Hemisphere (right / left / both)	21 / 27 / 6	17 / 17 / 2	$\chi^{2}(2) = 1.14, V = 0.113, p = 0.566$
MGMT (yes / no)	10 / 5	26 /49	$\chi 2(1) = 5.33, f = 0.24, p = 0.021$

IDH1-mut = isocitrate dehydrogenase 1 mutation; IDH1-wt = isocitrate dehydrogenase 1 wild type; KPS = Karnoffsky performance status; MGMT = methyl guanine methyl transferase; NA = not available; SD = standard deviation

TABLE 5. Cognitive functioning regarding to isocitrate dehydrogenase 1 (IDH1) mutation

	IDH1-wt		IDH1-wt IDH-mut		Result of the statistical	IDH1-wt	IDH1-mut	Result of the
	N	Mean Z score (SD)	N	Mean Z score (SD)	test	% of impaired	% of impaired	statistical test
TMT A	53	3.12 <sup>1</sup> (3.84)	15	2.06 (2.23)	U = 345.000, z = -0.77, df = 66, p = 0.437	72	46	χ2(1) = 3.67, V = 0.20, p = 0.055
TMT B	33	2.82 <sup>1</sup> (3.11)	13	2.76 (2.57)	U = 221.500, z = -0.17, df = 66, p = 0.864	82	60	χ2(1) = 3.87, V = 0.21, p = 0.050
SCOWA	66	-1.28 (0.87)	15	-0.86 (0.84)	t = -1.69, df = 79, p = 0.095	51	27	χ2(1) = 2.89, V = 0.18, p = 0.089
SVLT-ir	65	-1.98 (1.06)	15	-1.15 (1.12)	t = -2.729, df = 78, p = 0.008	71	33	χ2(1) = 7.60, V = 0.29, p = 0.006
SVLT-sr	65	-2.20 (1.29)	15	-1.37 (1.22)	t = -2.25, df = 78, p = 0.027	84	53	χ2(1) = 7.06, V = 0.28, p = 0.008
SVLT-dr	65	-2.14 (1.27)	15	-0.98 (1.34)	t = -3.13, df = 78, p =0.002	69	33	$\chi^2(1) = 6.98,$ V = 0.28, p = 0.008
SVLT-recog	65	-3.061 (3.54)	15	-1.24 (1.91)	U = 611.000, z = -2.25, df = 78, p = 0.023	29	26	χ2(1) = 3.00, V = 0.18, p = 0.083

<sup>1</sup> the distribution is significantly non-normal

IDH1-mut = isocitrate dehydrogenase 1 mutation; IDH1-wt = isocitrate dehydrogenase 1 wildtype; SCOWA = Slovenian Controlled Oral Word Association Test; SD = standard deviation; SVLT = Shiraz Verbal Learning Test; SVLT-delayed recall; SVLT-ir = SVLT immediate recall; SVLT-recog = SVLT recognition; SVLT-sr = SVLT short delayed recall; IMT A = Trail Making Test, Part A; TMT B = Trail Making Test, Part B

functioning (measured with dichotomised test scores) (Table 5).

Patients with IDH1-mut had on average a statistically significantly lower number of impaired tests results than patients with IDH1-wt (M = 2.93, SD = 2.25vs. M = 4.93, SD = 1.99; U = 286.000, z = -3.04, p = 0.002). 5 (33%) patients with IDH1-mut tumours and 6 (8%) patients without IDH1-mut had at most one impaired result on cognitive tests; impaired results on 2–4 tests had 5 (33%) *vs.* 21 (28%). Impaired scores on more than 5 tests had 5 (33%) *vs.* 48 (64%).

	MGMT-unmet (N=54)	MGMT-met (N = 36)	Result of the statistical test and effect size
Age mean (min/max/SD)	58.94 (31 / 84 / 10.42) 1	58,53 (30 / 78 / 12.67)	t = -0.17, df = 88, p = 0.86
Sex (female / male)	19 / 35	14 / 22	$\chi^{2}(1) = 0.13, f = 0.04, p = 0.721$
Education level (≤9 years /10–13 years / 14–19 years / ≥ 20 years)	8 / 19 / 11 / 0	9 / 29 / 15 / 2	ть (3) = -0.03, z = -1.41, р = 0.776
KPS (70/80/90/100)	20 / 23 / 9 / 2	12 / 14 / 9 /1	τb (3) =0.06, z = 2.82, p = 0.541
WHO grade (III / IV)	3 / 51	9 / 27	$\chi^{2}(1) = 7.07, f = -0.28, p = 0.008$
Corticosteroids mg (min/max/SD)	5.67 (0 /24 / 5,04)	5.48 (0 / 16 / 4.22)	t = 0.18, df = 88, p = 0.857
Biopsy/reduction/gross tumour resection	9 / 31 / 14	2 / 18 / 16	$\chi^{2}(2) = 4.62, V = 0.23, p = 0.099$
Tumour location (frontal / temporal / parietal / occipital / diffuse / central)	26 / 20 / 21 / 4 / 2 / 2	8/1/5/0/1/0	NA
Hemisphere (right / left / both)	28 / 40 / 7	10 / 4 / 1	$\chi 2(2) = 4.46, V = 0.223, p = 0.107$
IDH1 (yes / no)	5 / 49	10 / 26	$\chi^2(1) = 5.33, f = 0.24, p = 0.021$

TABLE 6. Patient characteristics regarding methyl guanine methyl transferase (MGMT) methylation

IDH1 = isocitrate dehydrogenase 1; MGMT-met = methyl guanine methyl transferase promoter methylation; MGMT-unmet = methyl guanine methyl transferase absence of promoter methylation; KPS = Karnoffsky performance status; SD = standard deviation

We found no differences between groups with regard to self-evaluation of cognitive functioning problems; the mean rating was 3.60, SD = 2.81, in patients with IDH1-mut tumours *vs*. 3.67, *SD* = 2.95 in patients with IDH1-wt (U = 579.000, z = 0.18, p = 0.857); 40 (53%) patients with IDH1-wt tumour

gave a self-assessment of 0-3 vs. 8 (53%) patients with IDH1-mut, score 4-6 was given by 18 (24%) vs. 5 (33%) patients and a score above 7 17 (23%) vs. 2 patients (13%).

We also compared demographic characteristics in patients with and without MGMT promoter

TABLE 7. Cognitive functioning regarding methyl guanine methyl transferase (MGMT) methylation	

	м	MGMT-met		GMT-unmet	Pesult of the	MGMT-met	MGMT – unmet	Result of the
-	N	Mean Z score (SD)	N	Mean Z score (SD)	statistical test	% of impaired	% of impaired	statistical test
TMT A	26	2.67 (3.01)	42	3.031 (3.90)	U = 530.000, z = -0.20, df = 67, p = 0.840	69	66	$\chi^{2}(1) = 0.08,$ V = 0.03, p = 0.782
TMT B	20	3.22 (2.30)	26	2.481 (3.37)	U = 334.000, z = 1.64, df = 45, p = 0.101	86	74	$\chi^{2}(1) = 1.88,$ V = 0.14, p = 0.170
SCOWA	33	-1.25 (0.87)	48	-1.181 (0.89)	U = 756.000, z = -0.35, df = 70, p = 0.729	50	44	$\chi^2(1) = 0.27,$ V = 0.05, p = .605
SVLT-ir	32	-1.85 (1.27)	48	-1.82 <sup>1</sup> (1.01)	U = 771.000, z = 0.03, df = 78, p = 0.975	64	67	$\chi^2(1) = 0.01,$ V = 0.01, p = 0.928
SVLT-sr	32	-2.03 (1.32)	48	-2.06 (1.32)	t = 0.09, df = 78, p = 0.926	75	81	$\chi^{2}(1) = 0.54,$ V = 0.08, p = 0.460
SVLT-dr	32	-1.98 (1.52)	48	-1.89 (1.25)	t = -0.30, df = 78, p = 0.763	66	61	x2(1) = 0.29, V = 0.06, p = 0.592
SVLT- recog	32	-2.731 (4.24)	48	-2.72 <sup>1</sup> (2.71)	U = 784.000, z = 0.828, df = 78, p = 0.407	58	61	$\chi^2(1) = 0.07,$ V = 0.03, p = 0.792

<sup>1</sup>the distribution is significantly non-normal

MGMT-met = methyl guanine methyl transferase promoter methylation; MGMT-unmet = methyl guanine methyl transferase absence of promoter methylation; SD = standard deviation; SVLT = Shiraz Verbal Learning Test; SVLT-dr = SVLT delayed recall; SVLT-ir = SVLT immediate recall; SVLT-recog = SVLT recognition; SVLT-sr = SVLT short delayed recall; TMT A = Trail Making Test, Part A; TMT B = Trail Making Test, Part B
methylation. The patients differed in the tumour grade. Despite the predominance of Grade IV tumours in our sample, the methylated phenotype was more prevalent in Grade III patients (25% *vs.* 5%,  $\chi$ 2(1) = 7.067, *V* = 0.28,  $1 - \beta$  = 0.757, *p* = 0.008). In all other demographic characteristics, the groups were comparable.

In the cognitive functioning, there were no differences in mean z-scores or dichotomized test scores between patients with methylated and unmethylated promoter MGMT (Table 7).

There were no statistically significant differences in self-evaluation of cognitive functioning problems; the mean number of impaired results were 4.50 (SD = 1.90) in patients with MGMT un-methylated tumours *vs.* 4.29 (SD = 1.75), U = 1100.000, z = 1.51, p = 0.251.

There were also no differences in the number of tests in which patients achieved an impaired result (U = 1052.500, z = 0.67, p = 0.501). With the mean 4.69 (SD = 2.31) and 4.54 (SD = 2.07) had 5 (14%) patients with MGMT methylated tumours and 6 (11%) patients MGMT unmethylated tumours at most one impaired result, 2–4 impaired results had 9 (25%) *vs.* 17 (31%) patients, and on more than 5 tests the results were impaired in 22 (61%) *vs.* 31 (57%) patients.

### Discussion

High-grade glioma patients are experiencing a number of cognitive functioning problems. In our study we focused on the period following the surgical treatment and before commencement of systemic treatment.

The majority of cognitive problems we found were in the fields of executive functions, visualmotor speed and verbal memory, especially immediate and short delayed recall, as well delayed recall. There were the least problems in the field of verbal fluency, but even here more than 40% of patients had an impaired result. Among participating patients, only 12% had an impaired result in up to one measured field, while 60% had impaired results in the majority of the measured domains.

The analysis of cognitive test scores expressed as z-values gave conclusions comparable to the ones obtained with the analysis of dichotomized scores. The use of dichotomized scores enabled us to also include in the analyses the results of patients who were unable to complete some tests and so avoiding the overrepresentation of patients with better cognitive functioning in the analyses. These results are in accordance with other studies examining cognitive functions in high-grade glioma patients, but it is noticeable that in our study the proportion of patients presenting with the "impaired" result is higher, possibly due to the fact that our study included the entire cohort of high-grade glioma patients. When comparing the results of different studies, it is necessary to consider the use of different criteria for impairment, with the otherwise dominant criterion z< -1.5.<sup>5</sup>

In comparison with IDH1-wt patients, patients with IDH1-mut (17%), were significantly younger, had better performance status and more often they had Grade III tumour. This is in line with previous studies.<sup>27</sup>

Additionally, the cognitive functioning of patients with IDH1-mut was statistical significantly better in verbal memory and executive functions. Immediate recall, short-delayed and long-delayed recall differ statistically significantly in the analysis of interval variables as well as in the analysis of dichotomized variables. Executive functions measured with the TMT B test only in the analysis of dichotomized variables, which may be the result of the fact that a larger proportion of patients were unable to complete this test, therefore, they are not included in the analysis of interval variables. Patients with IDH1-mut tumours achieved impaired results on significantly lower number of tests. These findings are in line with findings of the previous studies.<sup>8,19</sup>

According to the MGMT promoter methylation status, the groups did not differ statistically significantly in demographic data. A statistically significant difference was found in the expression of MGMT methylation according to the grade of the tumour (75% patients with grade III *vs.* 34% with grade IV).<sup>28</sup> We did not find differences in any of the analysed fields of cognitive functioning and also not in the number of tests in which patients achieved an impaired result.

We intended to include all patients with the diagnosis of high-grade glioma in the observed period in Slovenia. Given that, after surgery in one of the two centres in Slovenia, all newly diagnosed patients with gliomas are referred to our institution for evaluation regarding further treatment; it gives us an insight into the entire population of patients with glioma. Data collected on the entire cohort of patients revealed that a large proportion of high-grade glioma patients is unable to participate in the studies of cognitive functioning. In our case 46% of patients were unable to participate due to poor performance status or other somatic factors. The finding that a large proportion of patients are unable at all to participate in cognitive functioning studies additionally indicates an overrepresentation of patients with better cognitive functioning in research. From this point of view the cohort study design corresponds better to the everyday clinical practice with the patients with high grade glioma.

Patients' self-assessments on a 1–10 scale did not correlate with the results of the tests used and probably should not be used for any assessment of cognitive functioning; we only found a weak correlation between self-assessment on a 10-point scale and objective assessment. With otherwise different methodology, foreign studies also came to similar results - there is no or weak correlation between subjective assessment and psychological tests.<sup>29</sup>

The limitation of our study is lack of data on cognitive functioning prior to surgical treatment. Thus, in the study we did not include eventual differences between patients with IDH1 mutated and wildtype tumours, which may be present even before surgery<sup>19,30</sup>, which would also be important in the light of research findings regarding the different dynamics of cognitive decline after surgery.<sup>31</sup>

Another point worth mentioning is that several papers showed that epilepsy and the use of antiepileptics is an important factor of neurocognitive functioning. But in our sample, the use of antiepileptics could not be analysed as virtually every patient has received them following surgery even those without history of seizures; though in these cases they were weaned from antiepileptics at the beginning of oncological treatment.

Our study took place during the coronavirus pandemics. Despite this, oncological treatment was not interrupted nor delayed, but in our study, it was connected with the increase of patients refusing to participate and with longer time from surgery to the start of treatment due to infections.

It is worth to mention that targeting this population is beyond single institution capabilities. While the cohort study corresponds better to the clinical practice, on the other hand the low number of the mutations, especially in IDH1, is hampering the statistical analysis. When conducting our study, we noted a distinctive lack of prospective data regarding patients in suboptimal performance status, thus overestimating cognitive functioning of high-grade glioma patients. Even as we observed the patients in WHO performance status of 2 the number of cognitive patients rose markedly. It is true that the single centre study is limited in its power to demonstrate effect the genetic and molecular changes exert on cognitive functioning in real life scenarios, the reason being rightly, that outside the trials where cognitive functioning is one of secondary outcomes to survival and time to progression where treatment compliance effectively excludes patients with more pronounced impairments and in reality the cognitive impairment is more widespread in our patients than reported previously, which should be taken into account in designing further studies.

Our study has finished recruiting, but the longitudinal part of the follow up is continuing, thus giving us the chance to determine the impact of genetic and epigenetic changes on cognitive functioning in patients surviving longer and maybe even determining if cognition can be used as predictive marker for progression.

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## research article

# Changes in the quality of life of early breast cancer patients and comparison with the normative Slovenian population

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**Background.** We aimed to identify changes in quality of life after breast cancer treatment and compare them with the normative population data for the Slovenian population.

**Patients and methods.** A prospective, single-group, cohort design was used. A total of 102 early breast cancer patients treated with chemotherapy at the Institute of Oncology Ljubljana were included. Of those, 71% returned the questionnaires after one-year post-chemotherapy. The Slovenian versions of the European Organisation for Research and Treatment of Cancer (EORTC) QLQ C30 and BR23 questionnaires were used. Primary outcomes were a comparison of global health status/quality of life (GHS) and C30 Summary Score (C30-SumSc) at baseline and one-year post-chemotherapy with the normative Slovenian population. The exploratory analysis evaluated the differences in symptoms and functional scales of QLQ C-30 and QLQ BR-23 between baseline and one-year post-chemotherapy.

**Results.** At baseline and one-year post-chemotherapy, C30-SumSc of patients was lower than the predicted C30-SumSc from the normative Slovenian population by 2.6 points (p = 0.04) and 6.5 points (p < 0.001), resp. On the contrary, GHS was not statistically different from predicted either at baseline or after one year. Exploratory analysis revealed that one-year post-chemotherapy compared to the beginning of chemotherapy, patients had statistically significantly and clinically meaningful lower scores in body image and cognitive functioning, and increased symptom scores for pain, fatigue, and arm symptoms.

**Conclusions.** The C30-SumSc is reduced one- year post-chemotherapy. Early interventions should be directed toward the prevention of the decline of cognitive functioning and body image, and to alleviate fatigue, pain, and arm symptoms.

Key words: breast cancer; chemotherapy; quality of life; cognitive dysfunction; fatigue

### Introduction

Global cancer statistics for 2020 estimated 2,261,419 new cases of breast cancer worldwide, which represents 11.7% of all cancers. It became the most common cancer in humans, surpassing lung cancer incidence.<sup>1</sup> Breast cancer survivors represent a large group of long-term cancer survivors with different health issues during and after treatment. According to Slovenian Cancer Registry data in 2019, breast cancer survivors (19.455), represent 14.3% of all cancer survivors (136.500).<sup>2</sup> Half of the Slovenian breast cancer cases are diagnosed in women in the 20–65 age group, which means they are active in their professional careers and family life.

Patients with early breast cancer receive multimodal cancer treatment (surgical and/or systemic treatment including chemotherapy, targeted therapy, and endocrine therapy, and/or radiotherapy). The treatment they receive greatly affects their quality of life (QoL). For example, surgery and radiation therapy could cause local side effects, like breast and arm pain, and arm lymphoedema, however, systemic therapy could have numerous acute or long-lasting systemic side effects (nausea, neuropathy, cardiotoxicity, fatigue, cognitive dysfunction etc.). Thus, comprehensive cancer therapy affects many functional or symptom scales of QoL. However, families and employers expect patients to recover fully in a short time.

Nowadays, QoL becomes also more and more important in terms of drug development. With the validated quality of life questionnaires (QLQ), specifically the European Organisation for Research and Treatment of Cancer (EORTC) core questionnaire (QLQ C30) and breast module (QLQ BR23)<sup>3,4</sup>, we can monitor the impact of treatment on patient-reported outcomes (PROs), like global health status/QoL and summary score, and compare different modules and symptoms over time.5 What is the QoL at the transition from treatment to survivorship where a woman is expected to be back to work? Arm symptoms and fatigue, as well as cognitive and physical dysfunction and work-related variables (e.g., physical demands at work), interfered with the ability to perform work. Schmidt et al. found associations between depressive symptoms, arm symptoms, lower education, and younger age with an impaired return to work after one year.6 Self-reported reasons that hinder the return to work were fatigue and cognitive problems.<sup>6,7</sup> Additionally, social and personal factors influence the functioning and working ability of individuals.

Identifying dysfunctions that disable patients after breast cancer treatment and comparing QoL of patients with the normative population data<sup>8,9</sup> could help caregivers provide survivors with more optimal care. They may benefit from specific interventions.

The aim of our study was to prospectively evaluate PROs in the cohort of early breast cancer patients at the start of chemotherapy and one year after the end of chemotherapy and to compare them with normative data for the Slovenian population. In our explorative analysis, we aimed to determine which functional and symptom scales appeared different one year after chemotherapy.

### Patients and methods

### **Participants**

Our current study cohort consisted of early breast cancer patients including all subtypes who had taken part in our previous prospective non-randomized cohort study evaluating the impact of mobile app use for symptom management on PROs during chemotherapy treatment. The inclusion criteria in the aforementioned study were patients with early breast cancer, treated with chemotherapy, possessing an Android-based smartphone for symptom reporting, and willing to fill in paper and pencil questionnaires reporting their quality of life while receiving treatment.<sup>10</sup>

In the current prospective study, we included 102 patients who had signed informed consent for the former study and were willing to fill in the additional QLQ C30, QLQ BR23, and socioeconomic questionnaires one year after the end of chemotherapy. Our first aim was to evaluate and compare pre-treatment and post-treatment PROs with normative data for the Slovenian population.<sup>11</sup> This reference data on QLQ-C30 dimensions was obtained on 1231 healthy Slovenian individuals. Our second aim was to compare the post-treatment health-related quality of life (HRQoL) with the pre-treatment one. This data has not been reported yet.

#### Study design

A prospective, single-group, cohort design was used combining data from a former two-arm trial with new follow-up data collected one year after the end of chemotherapy.<sup>10</sup> Patients who were admitted for treatment with chemotherapy at the Institute of Oncology Ljubljana between December 2017 and September 2018 were eligible (Figure 1). Inclusion criteria were breast cancer stage I-III, treatment with neoadjuvant or adjuvant chemotherapy, and proficiency in using an Androidbased smartphone. Exclusion criteria were stage IV breast cancer, a lack of mobile device proficiency or using non-Android-based smartphones, and not understanding Slovenian. In addition to chemotherapy treatment, patients were treated with anti-HER2 therapy (in case of HER2 positivity), surgery, endocrine therapy in case of hormone-receptor-



FIGURE 1. Consort diagram.

positive disease, according to ESMO guidelines, and radiation therapy, if indicated.<sup>12</sup> The patient's demographic characteristics and type of treatment were collected from patient charts. Ethical approval for this study had been obtained from the Ethics Committee of the Institute of Oncology Ljubljana (ERID-EK-43 and ERID-EK-0080/2019). All patients had given their written informed consent.

### Instruments

We used the Slovenian version of EORTC QLQ C30 and QLQ BR23 questionnaires. QLQ C30 is a core questionnaire which includes 30 items, consisting of 5 functional scales (cognitive, emotional, physical, social and role functioning), 9 symptom scales, and two questions which include the patient's assessment of global health status/quality of life (GHS). QLQ BR23 has 23 questions comprising four functional scales (body image, sexual functioning, sexual enjoyment, future perspectives) and symptoms regarding the treatment of breast cancer (systemic therapy side effects, arm and breast symptoms and others). Socio-economic questionnaires, used routinely for surveys at the Slovenian Cancer Registry, included questions about age, gender, social class, employment, marital status, education, and place of residence.

#### Outcome measures

Our primary outcomes were GHS and C30 Summary Score (C30-SumSc), derived from EORTC QLQ C30. Symptoms and functional scales of QLQ C30 and QLQ BR23 were used in the exploratory analysis only. The EORTC Quality of Life 
 TABLE 1. Clinical characteristics of participants at beginning of the study and after one-year post-chemotherapy

Characteristic	At inclusion-before chemotherapy (n = 102) n (%)	One year after end of chemotherapy (n = 71) n (%)
Tumour stage T1 T2 T3	6 (45.1) 43 (42.2) 13 (12.7)	32 (44.4) 33 (45.8) 7 (9.7)
Lymph node stage N0 N1 N2 N3	43 (42.2) 38 (37.3) 12 (11.8) 9 (8.8)	31 (43.1) 30 (41.7) 9 (12.5) 2 (2.8)
Tumour subtype Luminal A-like Luminal B-like Luminal B HER2 positive HER2 positive Triple-negative	12 (11.8) 47 (46.1) 19 (18.6) 8 (7.8) 16 (15.7)	7 (9.7) 35 (48.6) 14 (19.4) 6 (8.3) 10 (13.9)
Type of surgery Breast-conserving surgery + Sentinel node biopsy Breast-conserving surgery + Axillary dissection Mastectomy + Sentinel node biopsy Mastectomy + Axillary dissection	37 (36.3) 14 (13.7) 23 (22.5) 28 (27.5)	28 (38.9) 11 (15.3) 15 (20.8) 18 (25.0)
Breast reconstruction None Deep inferior flap Tissue expander, followed by silicone implant	78 (76.5) 13 (12.7) 11 (10.8)	55 (76.4) 10 (13.9) 7 (9.7)
Chemotherapy type Anthracyclines and taxanes Anthracyclines only Taxanes only CMF	70 (68.7) 19 (18.6) 10 (9.8) 3 (2.9)	51 (70.8) 13 (18.1) 6 (8.3) 2 (2.8)
Anti-HER2 therapy	27 (26.4)	20 (27.8)
Adjuvant endocrine therapy	78 (76.5)	57 (79.2)
Radiotherapy	81 (79.4)	59 (81.9)

CMF = cyclophosphamide, methotrexate, fluorouracil; HER2 = human epidermal growth factor receptor 2

Scoring Manual was followed.<sup>13</sup> All scales had values from 0 to 100, where 100 represented the best GHS, the best functioning, or the worst symptoms. The C30-SumSc, which ranged from 0 (worst) to 100 (best), was calculated from 13 out of 15 EORTC QLQ C30 scales (the GHS and financial difficulties scale were excluded) in accordance with Giesinger *et al.* and instructions from the EORTC.<sup>5,14</sup> The patient's assessment of the clinical significance of changes in QLQ C30 and QLQ BR23 scores were interpreted as "slight" change either for better or for worse when the mean change in scores was about 5 to 10 points; "moderate" change for about 10 to 20 points; and "severe" change greater than

20 points. An established threshold for a clinically meaningful difference in QoL was previously set to 10 points.<sup>15</sup>

Data for GHS and C30-SumSc data for the normative Slovenian population were obtained from recently published work by Velenik *et al.*<sup>11</sup> We computed each patient's predicted normative values for C30-SumSc and GHS (co-primary outcomes) according to this external reference.<sup>11</sup> Using the patient's age (categorized as 18–39, 49–59, 60+) and self-rated social class (lower, middle, higher), the predicted normative value represented the patient's scores if she had not had cancer.

#### Statistical analysis

Categorical variables were summarized with frequencies and percentages. Numerical variables were described with means and standard deviations (or medians and interquartile ranges if distributions were asymmetric).

We compared the mean C30-SumSc and GHS of our patients with the normative general Slovenian population.<sup>11</sup> The mean C30-SumSc and GHS at the start of chemotherapy and one-year post-chemotherapy were compared with the corresponding mean of the normative values using two-tailed one-sample t-tests as the variability for the normative values could not be considered (the normative values were computed from the estimates from the article,<sup>11</sup> standard errors of the estimates were not reported).

As a part of the exploratory analysis, we performed a comparison of the GHS, C30-SumSc and QLQ C30 and QLQ BR23 scales between inclusion (start of chemotherapy) and after one year in a smaller group (72 patients) that had available data on both times. For statistical comparison of scales based on at least two questions, we calculated the average difference and 95% confidence intervals ([After 1 year] – [At inclusion]) with two-tailed paired t-tests and 95% confidence intervals (CIs). For scales based on one question, we performed Wilcoxon's test of predicted ranks.

For all scales but C30-SumSc and GHS (primary outcomes), the corresponding p-values were adjusted using the Holm method to control the family-wise error rate as so many hypotheses were tested. Corrected p-values allow a conclusion per population, but uncorrected ones do not.

An (adjusted) p-value smaller than 0.05 was considered statistically significant. Analyses were performed using R statistical software (version 3.6.3)<sup>16</sup> and SPSS v.24.0 (IBM Corporation).

		Predicted	At inclusion		At 1 year		[1 year] - [At inclusi	on]
	n	mean	Mean (95% CI)	р	Mean (95% CI)	р	Mean (95% CI)	р
C-30 SumSc	70	90.9	88.5 (86.1, 90.8)	0.04	82 (78.4, 85.5)	< 0.001	-6.5 (-9.6, -3.4)	< 0.001
GHS	71	72.7	71.1 (66.7, 75.6)	0.50	69.6 (65, 74.2)	0.19	-1.5 (-6.6, 3.5)	0.55

TABLE 2. Patient-reported outcomes presented by EORTC C30 Summary Score (C-30 SumSc) and global health status/quality of life (GHS) at inclusion (beginning of chemotherapy), one-year post-chemotherapy, and the difference among both times

EORTC = European Organisation for Research and Treatment of Cancer

### Results

### **Participants**

At the beginning of chemotherapy, we included 102 patients (Table 1, left column). These patients were compared with the normative Slovenian population regarding baseline GHS and C30-SumSc. Seventy-two patients (71%) returned questionnaires at one-year post-chemotherapy (Table 1, right column). The median age of this cohort was 51.5 years. 44% of patients were T1 and 43% were node-negative. Regarding subtype, 49% were luminal B-like, 19% luminal HER2+, 8% HER2+ nonluminal, 14% were triple negative and 10% were luminal A-like. The most common type of surgery was breast-conserving surgery with sentinel node biopsy (39%). All patients were treated with chemotherapy (adjuvant (69%) or neoadjuvant (31%)), 28% had anti-HER2 treatment, and 79% had adjuvant endocrine therapy. 82% of patients received adjuvant radiation therapy. Patients' socioeconomic characteristics are available in Supplementary Table 1. For the calculation of predicted normative values of GHS and C30-SumSc, gender, age, and social class were used, according to Velenik et al.<sup>11</sup> Their model was based on 1231 persons, of them 612 (49.7%) were females. The age distribution of females was: 30.7% in cohort 18-39 years, 42.8% in cohort 40-59 years, and 26.5% in cohort 60-90 years. Self-rated social status of females was: 30.9% belonged to the lower, 57.4% to the middle, and 11.8% to the upper social class.11

### **Primary outcomes**

At inclusion (before the start of chemotherapy), C30-SumSc of our patients was statistically significantly lower than the predicted C30-SumSc in the general Slovenian population, namely by 2.6 points (p = 0.04). After one year, compared to the start of chemotherapy, patients' mean C30-SumSc decreased by 6.5 points, which was statistically



BR-23 = breast module 23 questionnaire; EORTC: European Organisation for Research and Treatment of Cancer

\*Modules whose p-value is statistically significant (p < 0.05);

**FIGURE 2.** Difference of the global health status/quality of life (GHS), C-30 Summary Score (C-30 SumSc), and functional and symptoms scales of EORTC C-30 and BR-23 questionnaires.

significant (p < 0.001), from the patient perspective as a slight change for worse, but not clinically meaningful change (Table 2, Figure 2 - first line). On the contrary, GHS was not statistically or clinically significantly different from predicted either at inclusion or after 1 year (Table 2, Figure 2 - second line).

#### **Exploratory** analysis

The exploratory analysis (Figure 2) revealed that patients had significantly lower functioning one year after chemotherapy compared to the beginning of chemotherapy in 3 functional scales: in body image and cognitive functioning, the difference in our sample was > 10 points (clinically meaningful change), and in physical functioning under 10 points (clinically not meaningful). Similarly, pain, fatigue, and arm symptoms score significantly increased by more than 10 points (clinically meaningful), and systemic therapy side effects increased by less than 10 points (clinically not meaningful).

### Discussion

At the start of chemotherapy, early breast cancer patients had the same mean GHS as predicted from the general Slovenian population. C30-SumSc was statistically significantly lower, although this was not clinically significant since the difference was less than 10 points.

GHS in breast cancer patients one year after the end of chemotherapy was on average as good as before the beginning of chemotherapy. C30-SumSc was statistically significantly worse, from the patient's perspective as a slight change for worse, although deterioration of 6.5 points is not considered clinically important. In the exploratory analysis, we found significant deterioration in some functional scales and increased symptoms in breast cancer patients one-year post-chemotherapy compared to the pre-chemotherapy state. Cognitive functioning, body image, and physical functioning significantly deteriorated. Among symptoms, increased arm symptoms, pain, fatigue, and systemic therapy side effects were self-reported (Figure 2).

Patients in our study did not perceive deterioration of GHS by a cancer diagnosis or cancer treatment. That means that patients perceived good overall well-being, as they had not been ill. A similar finding for GHS in patients 1–15 years post-diagnosis was found by others.<sup>7-9,17</sup> On the other hand, our patients reported a slight deterioration of C30-SumCs one-year post-chemotherapy, probably due to the toxicities of multimodality treatment. Ferreira *et al.* noted similar persistent deterioration of C30-SumSc after two years in premenopausal patients treated with chemotherapy and postmenopausal patients treated with endocrine therapy.<sup>18</sup> Deterioration of C30-SumSc is not only due to treatment but could be also due to the progression of cancer. C30 Sum-Sc has recently been shown as an independent prognostic factor for overall survival in several cancers.<sup>19</sup>

In our explorative analysis, we found a detrimental effect of cancer treatments (either chemotherapy, endocrine therapy, surgery, or radiotherapy) on specific functional and symptom scales, evaluated with QLQ C30 and QLQ BR23. Patients reported clinically meaningful deterioration in cognitive functioning and body image from baseline to one-year post-chemotherapy. Deficits in cognitive, role, social, and emotional functioning, particularly in young patients, were also reported by others 1-10 years after surgery.8,20,21 Compared to the general population, researchers found significantly lower mean scores for cognitive and social functioning, role functioning and emotional functioning, physical functioning and body image, and future perspective between 5-15 years post-therapy.79,17,22 That means that the consequences of treatment could be life-long. Interestingly, some serum markers of systemic inflammation were found to be statistically significantly higher in cancer survivors treated with chemotherapy even 20 years after chemotherapy and were associated with lower cognitive performance.23 Breast reconstruction, however, improved physical functioning and body image compared to breast-conserving surgery; the same applies to social functioning and future perspective.24,25

In addition to detrimental effects on functional scales, our exploratory analysis showed significantly increased symptom scores after one year compared to baseline: fatigue, arm symptoms, pain, and, to a lesser degree, the systemic therapy side effects. In addition to these symptoms, other researchers reported insomnia or sleep disturbances, breast symptoms and financial difficulties, dyspnoea, hot flashes, sexual problems, and polyneuropathy.<sup>7-9,17,20</sup> Some differences in symptoms, however, were described only as trivial, with small clinical relevance.<sup>17</sup>

We suppose that pain and arm symptoms are related to higher nodal burden and consequently performed axillary dissection and irradiation. However, perceived fatigue, pain, and arm symptoms could also reflect less personal engagement in avoiding or managing these symptoms. Sixtynine percent of our patients had primary surgery, followed by adjuvant chemotherapy, and 31% had the opposite sequence of treatment. In view of this information, we would expect that arm symptoms (from the BR-23 questionnaire) will be greater at the beginning (at inclusion in the study) than 1-year post-chemotherapy. But it turned out the opposite. We can explain this finding by the fact that arm symptoms are scores from three items, namely pain (not only pain in the arm, but also pain in the shoulder), swelling of the arm, and difficulties in the mobility of the arm. The swelling of the arm usually occurs with a delay. Swelling is more common when axillary dissection is performed (in our case 35.3% of patients) than when removing only sentinel lymph nodes. Radiotherapy, which was delivered to 81.9% of our patients, could contribute

to swelling and pain as well. Symptom pain of the C30 questionnaire is about pain anywhere and is made up of two questions, whether the pain is present and the question if it affects every day functioning. Generally, chemotherapy, especially taxanes, received by 79.1% of our patients, also contributes to the pain. Sensory polyneuropathy, not only hurts but often impedes normal functioning (walking, fine motoric). Additional pain could be contributed to adjuvant endocrine therapy (tamoxifen mainly affects large joints, and aromatase inhibitors affect small joints). Yoon *et al.* found variation in symptom reporting influenced by race/ethnicity and other sociodemographic characteristics, and several comorbid conditions.26

Returning to work is a significant milestone for breast cancer survivors.<sup>7</sup> Von Ah *et al.* found that everyday cognition correlates with work engagement. What do these findings mean for cancer survivors in the setting of clinical practice? Cognitive dysfunction and fatigue are the most important issues for patients, especially if they are employed.

Cognitive dysfunction after chemotherapy ("chemo brain") is described as the impairment of memory, attention, executive functions, and processing speed.<sup>27,28</sup> Recently it has also been reported for hormonal therapy (tamoxifen and nonsteroid aromatase inhibitors), targeted therapy, immunotherapy, and due to cancer itself, combined in terminus "cancer-related cognitive dysfunction".28 Subjective cognitive problems were reported by half of breast cancer patients after chemotherapy, but only 15-25% had an objective cognitive decline.28 Despite the mild-to-moderate severity of cognitive dysfunction, it represents an important issue for patients.27 It is especially true for patients who are employed.78,26 Impaired cognitive functioning in our patients one-year post-chemotherapy could be related to treatment with chemotherapy and surgery (general anesthesia) as well as adjuvant endocrine treatment (85% of patients).

Among symptoms, fatigue is reported most regularly in all studies. Fatigue is a subjective feeling of lack of energy, of physical, emotional, and/or cognitive tiredness or exhaustion related to cancer and/or cancer treatment, and interferes with usual functioning.<sup>29</sup> It is a multidimensional symptom that accompanies patients while receiving chemotherapy and can last many years after chemotherapy.<sup>27</sup> As with cognitive dysfunction, it could be associated with the type of treatment (surgery, chemotherapy, endocrine therapy, targeted therapy, or radiotherapy).

The rehabilitation of cancer survivors should be diverse, according to the needs of the individual patient. For example, physical functioning and fatigue could be improved with regular exercise.<sup>30</sup> Arm symptoms could be managed with physical rehabilitation and more specifically lymphoedema treatment, elastic compressive gloves, and painkillers. Pain (in the breast, arm, joints, peripheral polyneuropathy) should be appropriately managed by a pain specialist. Cognitive rehabilitation could include cognitive rehabilitation programs, physical activity, or relaxation programs.<sup>27</sup> Hot flashes and sexual issues could be managed by a gynecologist. With fewer symptoms and better symptom scales patients would probably have better cognitive and role functioning and a better body image. In order to improve the QoL of cancer survivors, a pilot study on the comprehensive rehabilitation of breast cancer patients is underway at our institute. Identifying problems early probably allows an earlier targeted approach, thus leading to better patient functioning, an earlier return to work, and less absenteeism in the workplace. Evaluation of the results of comprehensive rehabilitation on improving functional and symptom scales is eagerly awaited.

### Strengths of the study

Firstly, this is a prospective cohort study of healthrelated quality of life, using validated questionnaires and tools, such as GHS and C30-SumSc, recommended by the EORTC. Many studies perform only cross-sectional data analysis. Secondly, we performed a comparison with our normative population to obtain information about what patients' scores would be without cancer.

### Limitations of the study

The first limitation is the small sample size and that we did not have a baseline value of items in the questionnaires before any cancer treatment. Secondly, we included only patients that were proficient in using smartphones, and thus probably inadvertently chose a subset of the population that is highly motivated, healthier, and with middle social status. However, those patients were supported by a mobile app for coping with symptoms, which would probably be even heavier without the app. Thirdly, we included only Androidbased smartphone users, which represented 80% of smartphones in Slovenia at that time. However, the app for IOS had not yet been made available. An additional weakness of our study is that the aspects of depression and anxiety, which affect cognitive functioning and fatigue, were not involved. Comorbidities were also not assessed - these are also significant predictors of symptoms, especially amongst those receiving chemotherapy.

## Conclusions

Patients with early breast cancer had similar GHS before chemotherapy as the normative Slovenian population, and it did not deteriorate with treatment. One year after chemotherapy, C30-SumSc deteriorated compared to that before chemotherapy. Early interventions should be directed toward the prevention of the decline of cognitive functioning and body image, and to alleviate fatigue, pain, and arm symptoms.

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## research article

# Association between *PIK3CA* activating mutations and outcomes in early-stage invasive lobular breast carcinoma treated with adjuvant systemic therapy

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**Background.** The aim of the study was to evaluate the independent prognostic role of *PIK3CA* activating mutations and an association between *PIK3CA* activating mutations and efficacy of adjuvant endocrine therapy (ET) in patients with operable invasive lobular carcinoma (ILC).

Patients and methods. A single institution study of patients with early-stage ILC treated between 2003 and 2008 was performed. Clinicopathological parameters, systemic therapy exposure and outcomes (distant metastasis-free survival [DMFS] and overall survival [OS]) were collected based on presence or absence of PIK3CA activating mutation in the primary tumor determined using a quantitative polymerase chain reaction (PCR)-based assay. An association between PIK3CA mutation status and prognosis in all patient cohort was analyzed by Kaplan-Meier survival analysis, whereas an association between PIK3CA mutation and ET was analyzed in estrogen receptors (ER) and/or progester-one receptors (PR)-positive group of our patients by the Cox proportional hazards model.

**Results.** Median age at diagnosis of all patients was 62.8 years and median follow-up time was 10.8 years. Among 365 patients, PIK3CA activating mutations were identified in 45%. PIK3CA activating mutations were not associated with differential DMFS and OS (p = 0.36 and p = 0.42, respectively). In patients with PIK3CA mutation each year of tamoxifen (TAM) or aromatase inhibitor (AI) decreased the risk of death by 27% and 21% in comparison to no ET, respectively. The type and duration of ET did not have significant impact on DMFS, however longer duration of ET had a favourable impact on OS.

**Conclusions.** PIK3CA activating mutations are not associated with an impact on DMFS and OS in early-stage ILC. Patients with PIK3CA mutation had a statistically significantly decreased risk of death irrespective of whether they received TAM or an AI.

Key words: invasive lobular carcinoma; PIK3CA mutation; endocrine therapy; genomics of invasive lobular carcinoma

### Introduction

Breast cancer represents a spectrum of heterogeneous diseases with different clinical behaviour and response to specific systemic therapy. Invasive lobular carcinoma (ILC) is the second most frequent subtype of breast cancer, representing around 10% of all breast cancer cases.<sup>1</sup>

It has been demonstrated that ILC is a special disease entity that differs from the more common invasive breast carcinomas such as invasive ductal carcinoma (IDC). These differences include risk factors, histological and clinical characteristics, transcriptional signatures and genomic profiles.<sup>2-4</sup> ILCs usually arise in postmenopausal women, are larger in size at the time of diagnosis due to insidious nature of its growth, but are typically of lower histological grade. The majority of ILC tumors express hormone receptors such as estrogen receptors (ER), progesterone receptors (PR) but they less commonly exhibit human epidermal growth factor receptor-2 (HER-2) overexpression or amplification.<sup>5</sup> Data about prognosis of ILC vary substantially; studies have demonstrated better<sup>6,7</sup>, the same<sup>8</sup>, and worse long-term overall survival (OS) in comparison to unselected invasive breast cancers.9

Additionally, it has been reported that ILC is less responsive to chemotherapy (ChT).<sup>10-12</sup> However, these tumors respond well to endocrine therapy (ET) given their biological profile.<sup>13</sup> Results of an important retrospective study clearly showed an OS benefit of adjuvant ET for patients with ILC.<sup>14</sup>

Desmedt et al. conducted the largest genomic study of ILC and found that mutations in PIK3CA (phosphatidylinositol, catalytic unit), HER and ESR1 (estrogen receptor 1) genes are more frequently present in ILC tumors that in other invasive breast cancers. Furthermore, in their study, approximately 50% of ILC tumors harboured PIK3CA-PTEN-AKT1 signaling alterations. Of note, PIK3CA mutation was associated with lower histological grade and lower Ki-67 (proliferating) index.15 Mutations of PIK3CA gene are the most common somatic genetic alterations in ER-positive breast cancer and are associated with favorable breast cancer characteristics such as smaller tumor size, lower grade, ER positivity and increasing age.16

Investigators of the BIG 1-98 study which compared letrozole monotherapy and letrozole switching strategy to tamoxifen (TAM) monotherapy reported that postmenopausal patient population with ILC may derive greater benefit from letrozole (AIs) than patients with IBC, NOS (invasive breast carcinoma, no otherwise specified).<sup>17</sup> Furthermore, additional post-hoc analysis of the BIG 1-98 study showed that irrespective of the histological sub-type of breast cancer tumors with *PIK3CA* mutation derive greater benefit from letrozole than from tamoxifen.<sup>18</sup> There are no data about the exact number of ILC patients with present *PIK3CA* mutation in the BIG 1-98 study; however in the large meta-analysis by Zardavas *et al.* there were 366 out of 951 (39%) patients who had *PIK3CA* mutated ILC.<sup>16</sup>

In this study, we aimed to evaluate the independent prognostic role of *PIK3CA* activating mutations and an association between *PIK3CA* activating mutations and efficacy of ET in patients with operable ILC. We hypothesized that the presence of *PIK3CA* activating mutations in primary ILC is associated with longer distant metastasisfree survival and overall survival and greater benefit of AIs and extended ET as compared to standard 5-year treatment with tamoxifen.

### Patients and methods

#### Patients

After obtaining approval from the institutional review committee and ethical approval of the Ministry of Health of the Slovenian Republic (#0120-323/2019), we performed a retrospective analysis of a cohort of patients with early-stage ILC identified from a pathology database at the Institute of Oncology Ljubljana. Eligible patients were treated between January 1<sup>st</sup> 2003 and December 31<sup>st</sup> 2008, thereby allowing at least 10 years of follow-up at the time of data analysis.

# Clinicopathological characteristics and determination of the *PIK3CA* mutation

Formalin-fixed and paraffin embedded (FFPE) and hematoxylin and eosin stained tumor slides of all included patients were reviewed by an experienced breast pathologist (BGK) to ensure that the diagnosis of ILC and its subtype(s) were correct. We collected the following clinicopathological parameters from each patient's from the existing pathology reports: age at diagnosis, subtype of ILC, tumor size, nodal status, grade, mitotic count, ER and PR expression, HER-2 overexpression or amplification, lymphovascular invasion (LVI) and perineural invasion (PNVI). Ki-67 labeling index and tumor infiltrating lymphocytes (TILs) were additionally determined retrospectively by a sin-

Characteristic	All patients (n = 365; 100%)	PIK3CA mutated (n = 164; 45%)	<i>PIK</i> 3CA non-mutated (n = 201; 55%)
Median age (range) (yrs)	62.8 (33-90)	63.1	62.4
Median tumor size (mm)	21	19	21
Nodal status NO N1 N2 N3	209 (57) 84 (23) 26 (7) 46 (13)	100 (61) 37 (22) 1 (7) 16 (10)	109 (54) 47 (23) 15 (8) 30 (15)
Tumor grade G1 G2 G3	52 (14) 270 (74) 43 (12)	20 (12) 134 (82) 10 (6)	32 (16) 136 (68) 33 (16)
IHC* subtype ER+/PR+/HER2- ER+/PR-/HER2- HER2 + ER-/PR-/HER2-	299 (82) 39 (11) 20 (6) 7 (2)	136 (46) 14 (36) 9 (45) 2 (29)	159 (54) 25 (64) 11 (55) 5 (71)
Median Ki-67 (range) (%)	3 (1-50)	2.5 (1-50)	3 (1-40)
Mitotic score M1 M2 M3	284 (78) 55 (15) 26 (7)	138 (84) 19 (12) 7 (4)	146 (73) 36 (18) 19 (9)
Presence of LVI	24 (7)	4 (2)	20 (10)
Median TILs (range) (%)	3 (1-50)	3	3
Median follow-up time (range) (yrs)	10.8 (0.1–18.6)	10.8	10.7

#### TABLE 1. Characteristics of the included patients and their tumors

ER = estrogen receptor; HER = 2-human epidermal growth factor receptor-2; \*IHC = immunohistochemically defined subtype; PR = progesterone receptor; LVI = lymphovascular invasion; TILs = tumor-infiltrating lymphocytes

78 patients had PIK3CA mutation on exon 9, 76 patients on exon 20; five patients had dual PIK3CA mutation on exon 9 and five patients had both, exon 9 and exon 20 PIK3CA mutations

gle breast cancer pathologist as these biomarkers were not assessed routinely in years of the cohort inception. Tumor grading was classified according to the Bloom-Richardson-Elston classification.<sup>19</sup> ER and PR expression and HER-2 status were determined using the American Society of Clinical Oncology/College of American Pathologists guidelines.<sup>20,21</sup> Proliferation index Ki-67 was estimated with DAKO, Glostrup antibody MIB1 according to recommendations from the International Ki-67 in Breast Cancer Working Group.<sup>22</sup> For the evaluation of TILs the recommendations of the 2014 International TILs Working Group were followed.<sup>23</sup> *PIK3CA* status (wild-type or mutated) was determined for each patient. DNA isolation from the FFPE tumor samples was performed by macrodissection of tumor tissue from tumor slices containing at least 70% tumor cells. Isolation was performed using the MagMAX FFPE DNA/RNA Ultra Kit (Applied Biosystems, Thermo Fisher

TABLE 2. Distribution of systemic therapy in all patients and according to PIK3CA mutation status

Systemic therapy	All pts N (%)	PIK3CA mutated pts N (%)	PIK3CA non-mutated pts N (%)
None ET only ChT only ET and ChT	12 (3) 249 (68) 13 (4) 91 (25)	5 (3) 115 (70) 5 (3) 39 (24)	7 (3) 134 (67) 8 (4) 52 (26)
None ET	25 (7)	10 (6)	15 (7)
ET with TAM	106 (29)	45 (27)	61 (30)
ET with Als	127 (35)	54 (33)	73 (37)
Sequence TAM-AI	107 (29)	55 (34)	52 (26)
Median duration (range) of ET	5 (0.2–16.7)	5 (0.4–16.7)	5 (0.2–11.2)

Als = aromatase inhibitors; ChT = chemotherapy; ET = endocrine therapy; pts = patients, TAM = tamoxifen (TAM)

Scientific, Austin, Tx, USA) according to the manufacturer's instructions. Isolated DNA was used to identify five common mutations in the *PIK3CA* gene using the PIK3CA Mutation Analysis Kit (EntroGen, Inc. Woodland Hills, CA, USA) according to the manufacturer's protocol. This is a quantitative polymerase chain reaction (PCR) based assay that detects five hot spot mutations in the *PIK3CA* gene (NM\_006218.2): c.1624G > A p.(Glu542Lys), c.1633G > A p.(Glu545Lys), c.1633G > C p.(Glu545Gln), c.3140A > G p.(His1047Arg) and c.3140A > T p.(His1047Leu). These five hot spot mutations represent around 80% of all *PIK3CA* mutations.<sup>24</sup>



#### Systemic treatment

We collected all data about systemic therapy for each patient included into the study such as whether the patient received ChT, ET and HER-2-targeted therapy. We also collected data about the type of ChT (cyclophosphamide – metotrexate-5-fluorouracil regimen (CMF), anthracyclinebased chemotherapy, anthracyclines and taxanes, taxanes without anthracyclines), type of ET (tamoxifen monotherapy, AI monotherapy or a switching approach [TAM-AI]) and duration of ET (up to 5 years or more than 5 years).

### Statistical analysis

The outcomes of interest were distant metastasisfree survival (DMFS) and overall survival (OS) defined as time from diagnosis (date of surgery) to distant relapse or death (whichever occurred first) and as time from diagnosis to death from any cause, respectively. The last date of a follow-up was October 31st 2021. Data were analyzed using R version 4.1.2 (Vienna, Austria). Descriptive statistics were used to describe patient characteristics. Age and duration of therapy were measured in years, tumor size was measured in millimeters, Ki-67 index was measured as a proportion of positive tumor cells and logarithmised and for the number of positive axillary lymph nodes the square root was taken of in order to normalize the distribution of the variables and improve model fit. DMFS and OS were estimated using Kaplan-Meier analysis. Log-rank tests were performed to compare the survival of PIK3CA mutated and PIK3CA non-mutated cohorts of patients. Four Cox proportional hazard models were used to assess the impact of ET on survival of ER and/or PR-positive PIK3CA mutated patients: a simple and an advanced model FIGURE 1. Consort diagram.

for each of DMFS and OS. The simple Cox model regressed on only three variables; age at diagnosis, prior duration of tamoxifen and prior duration of AI therapy. The advanced model regressed on additional variables which are considered known or possible prognostic factors: tumor size, nodal status, histological grade, Ki-67 index, PR expression and location of PIK3CA mutation (exon 9 or exon 20), respectively. To avoid immortal time bias, any prior duration of tamoxifen and any prior duration of AI therapy were considered as time-dependent variables, while all other variables were known at a patients' entry in the study (at the time of surgery). Finally, adjustment for multiple significance testing was performed using Holm's method. An adjusted p-value  $\leq 0.05$  was considered statistically significant.

### Results

### Patients and their tumors

Among an initial cohort of 428 patients, we excluded 24 patients who were treated in other cancer centers in Slovenia, nine patients who had de novo metastatic lobular cancer, eight patients who received neoadjuvant ChT, five patients with other histological subtypes of breast cancer (IBC, NOS, ILC and IBC, NOS), five patients with very small tumors (less than 5 mm in size) and five patients whose biopsies were from years before 2003. Two patients were lost very early in the follow up as they moved out of country, so they were excluded from the analysis. *PIK3CA* mutation status could





not be determined in five cases due to technical issues with the samples. This resulted in an analytic cohort of 365 patients (Figure 1; Consort diagram, for patient selection schema). Characteristics of included patients and their tumors are presented in Table 1. Among all patients, 164 (45%) patients had *PIK3CA* mutated ILC. Of these 78 (48%) patients had *PIK3CA* mutations in the helical domain (exon 9) and 76 (46%) patients had the mutation present in the kinase domain (exon 20). Five patients (1%) had dual *PIK3CA* mutations on exon 9 and five patients (1%) had *PIK3CA* mutations on both, exon 9 and 20. As shown in Table 1, *PIK3CA* mutated and *PIK3CA* non-mutated cohort of patients were well balanced according to prognostic tumor characteristics.

Systemic therapy exposure of all included patients is shown in Table 2. As expected, the majority of patients received ET with either TAM (106, 29%), AIs (127, 35%) or a switch approach TAM-AI (107, 29%). Ninety-one (25%) patients received ChT and ET and only 13 (4%) patients received ChT alone. Among 25 patients who did not receive any ET, 10 patients were *PIK3CA* mutated. ET agents were well balanced between *PIK3CA* mutated and *PIK3CA* non-mutated patients as shown in Table 2. Median duration of ET was 5 years in both groups of patients. 191 (52%) patients had extended ET and the longest duration of extended ET was 16.7 years.

# An association between *PIK3CA* mutation status and disease outcome

Median OS was 15.7 years for patients with *PIK3CA* mutation and 14.6 years for patients without *PIK3CA* mutation. Furthermore, median DMFS

Characteristic	HR	95% CI	Adj p
Age	0.99	0.96-1.02	1.0
Duration of TAM	0.92	0.75-1.14	1.0
Duration of Als	1.07	0.90-1.28	1.0

Adj p = adjusted p value; Als = aromatase inhibitors; Cl = confidence interval, HR = hazard ratio; TAM = tamoxifen,

for *PIK3CA* mutated and for *PIK3CA* non-mutated ILC patients was 15.6 and 13.4 years, respectively. The presence of *PIK3CA* mutation in early-stage ILC patients was not associated with differential OS (p = 0.42, Figure 2) or DMFS (p = 0.36, Figure 3). Overall, 81 (22%) patients developed distant metastases, of these 48 (24%) were *PIK3CA* non-mutated and 33 (16%) were *PIK3CA* mutated. Twenty (26%) patients of those who developed distant metastases had *PIK3CA* mutation on exon 20, 12 (15%) had *PIK3CA* mutation on exon 9 and only one (5%) patient had both, exon 9 and 20 *PIK3CA* mutation.

### An association between type and duration of ET and disease outcome in patients with *PIK3CA* mutation

# The effect of the type of systemic ET and its duration on DMFS

Patients who received AIs in comparison to those who received tamoxifen were on average 10 years older at the time of diagnosis, therefore a Cox proportional hazards model was used for adjustment. In a simple model, neither type of ET nor its duration and patient age at the time of diagnosis were associated with the risk of relapse (Table 3). In advanced Cox proportional hazards model only a higher number of positive axillary lymph nodes increased the risk of distant relapse (HR 1.64, adj.p < 0.001; see Table 4).

# The effect of the type of systemic ET and its duration on OS

We found that each year of aging increased the risk of death by 5%. Each year of treatment with tamoxifen decreased the risk of death by 27% in comparison to no ET for a patient of the same age (Table 5). Similarly, each year of treatment with AIs decreased the risk of death by 21% compared to no ET for a patient of the same age (Table 5).

In the advanced Cox proportional hazards model age at the time of diagnosis, grade 3 and higher number of positive axillary lymph nodes were all associated with higher risk of death (HR 1.05, adj. p = 0.014, HR 5.5, adj. p = 0.040 and HR 1.58, adj. p < 0.001, respectively). We also found that longer duration of ET with either tamoxifen or an AI had a favorable impact on OS (p = 0.010 for tamoxifen and p = 0.010 for an AI, respectively). In a time-dependent Cox analysis each year of prior treatment with tamoxifen decreased the risk of death by 32% in comparison to no prior ET for a patient with the same characteristics. Similarly, to tamoxifen, each year of prior AI therapy decreased the risk of death by 27% compared to no prior ET for a patient with the same characteristics (Table 6).

### Discussion

ILC of the breast is a distinct entity with unique clinical, histological and molecular characteristics that differ from more common invasive breast cancer subtypes. The majority of older studies demonstrated that the outcome of ILC patients was better, however more recent data have suggested ILC has worse outcome compared to invasive ductal carcinoma, especially in the long term. It seems that delay and difficulty in early diagnosis, acquired resistance to conventional therapy and risk of late relapse pose challenges in management of patients with ILC.<sup>25</sup>

In this retrospective study our main goal was to evaluate the association between the *PIK3CA* mutational status and prognosis in patients with operable ILC. We found that *PIK3CA* mutation status has no association with either DMFS or OS. The median OS was 15.7 years for patients with *PIK3CA* mutation and 14.6 years for patients with *PIK3CA* wild type ILC.

*PIK3CA* mutations are the most frequent somatic genetic alterations in ER+/HER-2– breast cancer.<sup>18</sup> Prior data in early-stage disease have demonstrated a favorable disease outcome in patients harboring *PIK3CA* mutations.<sup>16</sup> At least to some extent this could be explained by a relative mutual exclusion of other somatic genetic alterations which are associated with higher proliferation and increased risk of distant relapse such as *TP53* mutations and amplifications of *MYC* (MYC proto - oncogene ) gene.<sup>18</sup> Furthermore, recent work has shown that ultra-low risk breast cancers have higher expression scores for the *PIK3CA*-mutation-associated genes.<sup>26</sup> 
 TABLE 4. Advanced Cox proportional hazards model for

 DMFS in the PIK3CA mutated patient cohort

Characteristic	HR	95% CI	Adj p
Age	1.01	0.97-1.05	1.0
Tumor size	0.99	0.57-1.71	1.0
Grade G1 G2 G3	Ref 0.91 1.03	0.26-3.22 0.16-6.83	1.0 1.0
Ki-67	1.35	0.93-1.95	1.0
PR	1	0.99-1.02	1.0
PIK3CA status Other than exon 20 Only exon 20	Ref 2.19	1.01-4.80	0.582
No. of positive axillary LNs	1.64	1.30-2.06	< 0.001
Duration of TAM	1.02	0.81-1.29	1.0
Duration of Als	1.06	0.87-1.28	1.0

Adj p = adjusted p value; Als = aromatase inhibitors; Cl = confidence interval; HR = hazard ratio; LNs = lymph nodes; No. = number; PR = progesterone receptor; TAM = tamoxifen

Previous data exploring the prognostic effect of specific somatic mutations in ER+/HER-2– earlystage breast cancer have shown that *PIK3CA* and *MAP3K1* (mitogen-activated protein kinase 1) mutations co-associate and patients with tumors harboring both mutations have a more favorable clinical course than those with only one gene mutation or without any of these two mutations.<sup>27</sup> This may suggest that *PIK3CA* mutation alone is not prognostic in general breast cancer patient population, nor is it in ILC specifically.

The majority of first- and second-generation gene-expression profiles that have been used for molecular prognostication in early-stage breast cancer were developed initially in invasive ductal carcinomas and therefore their use in ILC is uncertain. However recent data have shown they could also be informative for prognostication in ILC patients. ILC tumors are quite homogenous clinically

TABLE 5. Simple Cox proportional hazards model for OS in the PIK3CA mutated patient cohort

Characteristic	HR	95% CI	Adj p
Age	1.05	1.02-1.07	< 0.001
Duration of TAM	0.73	0.61-0.88	0.002
Duration of Als	0.79	0.67-0.93	0.005

Adj p = adjusted p value; Als = aromatase inhibitors; CI = confidence interval; HR = hazard ratio; TAM = tamoxifen



FIGURE 3. Kaplan-Meier graph showing association between PIK3CA mutation and distant metastasis-free survival

especially relating to classical prognostic features. The majority are grade 2, ER and PR positive, HER-2 negative and have a relatively low proliferative activity.<sup>28</sup> In contrast, it has been shown recently that a 194-gene signature called LobSig may be the best in prognosticating ILC tumors. LobSig outperformed Nottingham Prognostic Index (NPI), Prosigna ROR, Oncotype Dx and Genomic Grade Index (GGI) in a multivariate Cox proportional hazards model, especially in grade 2 ILC moderate NPI cases.<sup>29</sup> The authors reported that ILCs that were associated with a high-risk score were enriched for mutations in *HER-2, HER-3, TP53* (TP53 tumor suppressor gene), *ROS1* (ROS proto-

TABLE 6. Advanced Cox proportional hazards model for OS in the PIK3CA mutated patient cohort

Characteristic	HR	95% CI	Adj p
Age	1.05	1.02-1.08	0.014
Tumor size	1.38	0.90-2.10	0.84
Grade G1 G2 G3	Ref 1.41 5.52	0.59-3.38 1.67-18.18	1.0 0.04
Ki-67	0.94	0.72-1.22	1.0
PR	1	0.99-1.01	1.0
PIK3CA status Other than exon 20 Only exon 20	Ref 1.32	0.79-2.21	1.0
No. of positive axillary LNs	1.58	1.32-1.88	< 0.001
Duration of TAM	0.68	0.55-0.86	0.01
Duration of Al	0.73	0.60-0.88	0.01

Adj p = adjusted p value; Als = aromatase inhibitors; CI = confidence interval; HR = hazard ratio; No. = number; PR = progesterone receptor; TAM = tamoxifen oncogene 1, receptor tyrosine kinase) and *AKT1* (AKT serin - threonin protein kinase 1) genes. In contrast, those ILCs that had a good outcome (a low-risk score) had relatively few genomic alterations and interestingly, *PIK3CA* mutations were more common in the latter group than in the high risk ILC group. Based on all data discussed above it seems that *PIK3CA* mutation alone may not be an optimal genetic alteration to stratify ILC patients into better and worse prognostic group but rather an integration of several concurrent genetic alterations might outperform *PIK3CA* mutation in an individual tumor.

We also aimed to explore the predictive effect of PIK3CA mutation on benefit from ET. Efficacy of AIs as compared to tamoxifen have previously been explored and reported.<sup>18</sup> In the analysis from the randomized BIG 1-98 trial, the authors demonstrated a greater magnitude of benefit with an AI letrozole in comparison to tamoxifen for patients with PIK3CA mutated early-stage breast cancer (HR 0.18; 95% CI 0.06 - 0.50). Additionally, the same study demonstrated that letrozole was associated with a significantly reduced risk of disease - free survival event (DFS) in patients with luminal A and luminal B ILC concluding the magnitude of benefit from AI was greater in patients with ILC versus invasive ductal carcinomas.<sup>30</sup> In our study we did not find any substantial differences between the effect of tamoxifen and AIs in PIK3CA mutated early-stage ILC patients when compared to patients who did not receive any adjuvant therapy. We found that each year of treatment with tamoxifen decreased the risk of death by 27% in comparison to no ET for a patient of the same age. Similarly, each year of AI therapy decreased the risk of death by 21% compared to no ET for a patient of the same age. In addition, in an advanced Cox model we found similar results. The duration of any ET, either with tamoxifen or an AI, was statistically significantly associated with OS. In patients with PIK3CA mutation each year of tamoxifen and AIs treatment decreased the risk of death by 32% and 27% in comparison to a patient with the same tumor characteristics who did not receive any ET, respectively. However, we did not find any associations between type and duration of ET and DMFS. Only a higher number of positive axillary lymph nodes increased the risk of distant relapse of ILC in our cohort.

An interesting study evaluating the relationship of *PIK3CA* mutations and response to shortterm neoadjuvant AI therapy demonstrated that the presence of *PIK3CA* mutation did not preclude a response to AIs. In this study suppression of Ki-67 proliferating index, which is a validated intermediate endpoint biomarker for endocrine sensitivity was used as a surrogate marker of response.<sup>31</sup> Furthermore, another study showed that patients with *PIK3CA* mutated early-stage breast cancer either treated with tamoxifen or untreated had improved outcome in comparison to *PIK3CA* non-mutated patients.<sup>32</sup> These data were concordant with previous findings from in vitro study showing that *PIK3CA* mutated cell lines were more sensitive to tamoxifen compared to *PIK3CA* wild type cell lines.<sup>33</sup>

Our study has several limitations. First, due to the retrospective nature of this study our findings need to be interpreted with caution and possibly validated in the prospective setting. Second, our cohort of ILC patients is very likely molecularly heterogeneous which limits the generalizability of our findings. Third, longer duration of ET in this study is associated with significantly improved OS but not DMFS. This indicates that the impact of immortal time bias may persist despite the use of time-dependent Cox model in our analysis. Fourth, we used a PCR based assay which detects five hot spot mutations in the PIK3CA gene representing about 80% of all PIK3CA activating mutations. Results could be different if we used an alternative method for determination of all PIK3CA mutations such as next generation sequencing (NGS). Finally, the biggest limitation of our study is that despite the use of Cox models confounding by indication for treatment might still have an impact on our results.

In conclusion, the presence of *PIK3CA* mutation was not associated with differential DMFS or OS in patients with operable ILC. Adjuvant ET with either tamoxifen or an AI significantly decreased the risk of death in *PIK3CA* mutated ILC cohort. Furthermore, there was no significant difference in efficacy between the two classes of endocrine agents. Longer duration of ET with either tamoxifen or AIs decreases the risk of death in ILC patients with *PIK3CA* mutation. Future prospective studies based on molecular analyses will hopefully give us more exact answer about optimal endocrine agent for specific subpopulations of patients with early-stage ILC.

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## research article

# Subpleural fibrotic interstitial lung abnormalities are implicated in non-small cell lung cancer radiotherapy outcomes

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**Background.** The relationship between interstitial lung abnormalities (ILAs) and the outcomes of lung cancer radiotherapy is unclear. This study investigated whether specific ILA subtypes are risk factors for radiation pneumonitis (RP). **Patients and methods.** This retrospective study analysed patients with non-small cell lung cancer treated with radical-intent or salvage radiotherapy. Patients were categorised into normal (no abnormalities), ILA, and interstitial lung disease (ILD) groups. The ILA group was further subclassified into non-subpleural (NS), subpleural non-fibrotic (SNF), and subpleural fibrotic (SF) types. The Kaplan–Meier and Cox regression methods were used to determine RP and survival rates and compare these outcomes between groups, respectively.

**Results.** Overall, 175 patients (normal, n = 105; ILA-NS, n = 5; ILA-SNF, n = 28; ILA-SF, n = 31; ILD, n = 6) were enrolled. Grade  $\geq$ 2 RP was observed in 71 (41%) patients. ILAs (hazard ratio [HR]: 2.33, p = 0.008), intensity-modulated radio-therapy (HR: 0.38, p = 0.03), and lung volume receiving 20 Gy (HR: 54.8, p = 0.03) contributed to the cumulative incidence of RP. Eight patients with grade 5 RP were in the ILA group, seven of whom had ILA-SF. Among radically treated patients, the ILA group had worse 2-year overall survival (OS) than the normal group (35.3% vs 54.6%, p = 0.005). Multivariate analysis revealed that the ILA-SF group contributed to poor OS (HR: 3.07, p =0.02).

**Conclusions.** ILAs, particularly ILA-SF, may be important risk factors for RP, which can worsen prognosis. These findings may aid in making decisions regarding radiotherapy.

Key words: interstitial lung abnormality; subpleural fibrosis; non-small cell lung cancer; radiotherapy; radiation pneumonitis; survival analysis

## Introduction

Lung cancer is one of the most common and deadliest cancers worldwide, with non-small cell lung cancer (NSCLC) accounting for approximately 85% of all cases.<sup>1,2</sup> Radiotherapy is a typical non-surgical curative treatment for localised NSCLC.<sup>3</sup> Recently, the integration of immunotherapy with chemoradiation has revolutionised the treatment of locally advanced NSCLC. For instance, the PACIFIC trial reported a 5-year overall survival (OS) rate of 42.9% and progression-free survival (PFS) rate of 33.1% among individuals who received treatment with durvalumab, an anti-programmed cell deathligand 1 antibody, after chemoradiotherapy for stage III NSCLC.<sup>4</sup> However, radiation pneumonitis (RP) is a major concern with this treatment strategy. Patients with grade  $\geq 2$  RP (according to the Common Terminology Criteria for Adverse Events [CTCAE]) from prior chemoradiotherapy cannot use durvalumab.<sup>5</sup> Additionally, RP causes respiratory insufficiency that severely affects the quality of life, leading to poor prognosis and even death.<sup>6</sup> Risk factors should be identified before treatment to avoid grade 2 or more severe RP.

Various risk factors for RP have been reported, such as age, tumour size, and tumour location.<sup>7</sup> Interstitial lung disease (ILD) is a significant predisposing condition. Radiotherapy for lung cancer complicated by ILD induces a high RP rate and borders on being contraindicated.8 In contrast, the influence of interstitial lung abnormalities (ILAs) on RP risk remains unclear. Studies investigating the relationship between ILAs and RP are limited, and their results are inconsistent; additionally, no consensus has been reached.9,10 ILAs are specific computed tomography (CT) findings that are potentially compatible with ILD in patients without clinical indication of the disease. ILAs had been originally described as non-dependent abnormalities affecting more than 5% of any lung zone; however, they overlapped with other disease concepts.11 In 2020, the Fleischner Society clarified the definition of ILAs and further classified them into three subtypes-namely, non-subpleural (NS), subpleural non-fibrotic (SNF), and subpleural fibrotic (SF).12 Nevertheless, there are no reports describing lung cancer radiotherapy's effect on these newly defined ILAs.

Therefore, in this study, we retrospectively reviewed the data of patients with NSCLC who underwent radiotherapy and conducted a survival analysis to investigate how the novel ILA subtypes relate to RP risk.

### Patients and methods

### Patients

We retrospectively reviewed the medical records of patients with NSCLC treated with radiotherapy between January 2010 and November 2021. We included patients treated with radical-intent radiotherapy for locally advanced NSCLC or with salvage radiotherapy for locoregional recurrence postoperatively. Of the 188 consecutive patients who met these criteria. 9 who received stereotactic body radiation therapy for salvage and 4 who had a short follow-up duration (< 6 months) were excluded. We collected information from the remaining 175 patients' medical records, including age, sex, Eastern Cooperative Oncology Group performance status, smoking history, blood sampling, pulmonary function tests, pathology, and imaging data. Patients treated with radical-intent radiotherapy were staged according to the 8<sup>th</sup> edition of the Union for International Cancer Control tumour-node-metastasis classification. This study was approved by the Ethics Committee of Aichi Medical University (approval no. 2021-545) with an opt-out approach regarding the analysis before this study. All procedures involved in the study adhered to the principles of the Declaration of Helsinki. The requirement for the acquisition of informed consent from patients was waived owing to the retrospective nature of this study.

### Radiotherapy

Patients were immobilised in the supine position using an external vacuum-type body mould and/ or thermoplastic body mask, and a CT scan with a 2-mm slice thickness was conducted for treatment planning. Respiratory motion was confirmed by obtaining CT images in both the expiratory and inspiratory phases or during shallow breathing. Both the target volume and normal organ structures were contoured using treatment planning systems (CMS XiO, Elekta, St Louis, MO, USA; Eclipse, Varian Medical Systems, Palo Alto, CA, USA; or Maestro, MIM Software, Inc., Cleveland, OH, USA). The clinical target volume (CTV) was defined as a 0-5-mm expansion of the primary tumour and metastatic lymph nodes. To account for respiratory migration, a 5-15-mm margin was added to the CTV to define the planning target volume (PTV). Radiotherapy was delivered by 6- or 10-MV x-rays from linear accelerators (Clinac iX or TrueBeam STx, Varian Medical Systems, Palo Alto, CA, USA). Overall, 60 Gy in 30 fractions was delivered to the PTV, and 119 (68%) patients received prophylactic elective nodal irradiation of 40 Gy in 20 fractions to the lymph node area. In exceptional cases, 11 (6%) patients received dose escalation up to 64-70 Gy.

Additionally, six (3%) patients underwent hypofractionated radiotherapy of up to 2.7–3 Gy per fraction to shorten the duration of treatment. The irradiation methods used were three-dimensional conformal or intensity-modulated radiotherapy

#### TABLE 1. Patient characteristics

Characteristic	Normal (n = 105)	ILA (n = 64)	ILD (n = 6)	p
Age (years)	71 (41–90)	72 (60–86)	74 (63–86)	0.01
Sex (men)	82 (78%)	52 (81%)	6 (100%)	0.70
Performance status	-	-	-	0.42
0	53 (50%)	37 (58%)	4 (66%)	-
1	41 (39%)	19 (30%)	1 (17%)	-
2	7 (7%)	7 (11%)	1 (17%)	-
3	4 (4%)	1 (1%)	0 (0%)	-
Pack year	45 (0–171)	47 (0–122)	48.5 (20–84)	0.98
Pulmonary function test				
%VC (%)	99.1 (41.8–144.2)	103.0 (41.8–147.5)	86.9 (55.7–98.8)	0.08
FEV1 (L)	1.9 (0.6–3.6)	2.2 (0.9–3.5)	2.0 (1.5–2.1)	0.12
FEV1/FVC (%)	69.2 (34.1–98.1)	69.8 (36.0–85.0)	74.8 (62.2–86.8)	0.36
<b>KL-6</b> (U/mL)	282 (151–3957)	370 (172–896)	393 (204–859)	0.65
Pathology	-	-	-	0.01
Adenocarcinoma	59 (56%)	21 (33%)	2 (33%)	-
Squamous cell carcinoma	40 (38%)	38 (59%)	4 (67%)	-
Others	6 (6%)	5 (8%)	0 (0%)	-
Lower-lobe primary lesion (radical-intent)	19 (22%)	14 (33%)	3 (75%)	0.20
TNM classification (radical-intent)				
T classification	-	-	-	0.53
1	13 (15%)	3 (7%)	0 (0%)	-
2	23 (26%)	12 (28%)	2 (50%)	-
3	19 (22%)	13 (30%)	0 (0%)	-
4	32 (37%)	15 (35%)	2 (50%)	-
N classification	-	-	-	0.32
0	7 (9%)	8 (19%)	0 (0%)	-
1	16 (18%)	8 (19%)	1 (25%)	-
2	42 (48%)	16 (37%)	2 (50%)	-
3	22 (25%)	11 (26%)	1 (25%)	-
Stage	-	-	-	0.40
II A	3 (3%)	1 (2%)	0 (0%)	-
II B	5 (6%)	6 (14%)	0 (0%)	-
III A	34 (39%)	15 (35%)	3 (75%)	-

Characteristic	Normal (n = 105)	ILA (n = 64)	ILD (n = 6)	p
III B	36 (41%)	14 (33%)	0 (0%)	-
III C	9 (11%)	7 (16%)	1 (25%)	-
Concurrent chemotherapy	65 (62%)	33 (52%)	2 (33%)	0.20
Carboplatin + paclitaxel	30 (46%)	18 (55%)	2 (100%)	-
Cisplatin + docetaxel	22 (34%)	8 (24%)	0 (0%)	-
Cisplatin + vinorelbine	13 (20%)	7 (21%)	0 (0%)	-
Durvalumab	17 (16%)	9 (14%)	0 (0%)	0.83
PD-L1 (positive)	36 (34%)	23 (36%)	0 (0%)	0.99
Mutation	-	-	-	0.99
EGFR	8 (7%)	5 (8%)	1 (17%)	-
ALK	6 (6%)	2 (3%)	1 (17%)	-
None/unknown	91 (87%)	57 (89%)	4 (66%)	-
Radiotherapy method	-	-	-	0.08
3DCRT	80 (76%)	40 (63%)	5 (83%)	-
IMRT	25 (24%)	24 (37%)	1 (17%)	-
ENI	77 (73%)	38 (59%)	4 (67%)	0.06
Hypofractionated radiotherapy	3 (3%)	3 (5%)	0 (0%)	0.67
Dose escalation	6 (6%)	5 (8%)	0 (0%)	0.75
Lung dose				
V5 (%)	34.5 (4.3–90.8)	40.3 (5.8–95.7)	34.6 (10.6–66.8)	0.18
V10 (%)	27.1 (2.7–67.7)	32.4 (3.7–81.4)	27.8 (8.8–49.9)	0.19
V20 (%)	19.8 (1.1–44.8)	22.0 (1.0–48.0)	22.6 (7.3–33.0)	0.59
Mean (Gy)	10.8 (1.7–23.5)	11.8 (2.0–19.6)	12.3 (3.8–18.0)	0.53
Median follow-up (years)	1.8 (0.1–12.1)	1.3 (0.1–9.9)	0.9 (2.1–3.9)	0.06
Median follow-up: survivors (years)	2.9 (0.6–12.1)	1.9 (0.6–9.9)	0.9 (0.9–0.9)	0.51

Data are presented as median (range) or number (%). The  $p\mbox{-values}$  represent comparisons between the normal and ILA groups.

ALK = anaplastic lymphoma kinase; EGFR = epidermal growth factor receptor; ENI = elective nodal irradiation; FEV1 = forced expiratory volume in 1 second; FEV1/FVC = forced expiratory volume % in 1 second; ILAs = interstitial lung abnormalities; ILD = interstitial lung disease; IMRT = intensity-modulated radiotherapy; KL-6 = Krebs von den Lungen-6; n = total number of patients; PD-L1 = programmed cell death-ligand 1; TNM = turnour-node-metastasis; VC = vital capacity percentage; Vx = percentage of lung volume receiving > x Gy; 3DCRT = three-dimensional conformal radiotherapy%

Case	Age	ILA subcategory	Radiotherapy method	Concurrent chemotherapy	Durvalumab	Lung V20 (%)	Mean lung dose (Gy)	Days to death
1	64	SF	IMRT	Yes	No	19.2	12.8	104
2	73	SF	3DCRT	Yes	No	21.4	13.9	210
3	73	SF	IMRT	No	No	30.6	15.7	123
4	76	SF	IMRT	Yes	Yes	34.1	17.9	155
5	77	SNF	3DCRT	No	No	33.2	16.5	155
6	79	SF	IMRT	Yes	No	31.5	17.3	160
7	79	SF	3DCRT	No	No	19.4	9.7	40
8	80	SF	3DCRT	No	No	27.6	15.2	99

#### TABLE 2. Clinical details of eight patients with grade 5 radiation pneumonitis

ILAs = interstitial lung abnormalities; IMRT = intensity-modulated radiotherapy; SF = subpleural fibrotic; SNF = subpleural non-fibrotic; V20 = percentage of lung volume receiving > 20 Gy; 3DCRT = three-dimensional conformal radiotherapy

(IMRT). Two-step IMRT was used for the entire period or only as boost irradiation of 20 Gy in 10 fractions.

The dose constraints were as follows: the goals for the global and spinal maximum doses were < 107% (< 125% allowed) and < 50 Gy (< 52 Gy allowed), respectively, whereas the goals for the lung volumes were 5 Gy (V5) < 60%, V20 < 25%, and mean lung dose < 12 Gy. Vx refers to the percentage of the lung volume receiving > x Gy. The goal for the mean heart dose was < 20 Gy. Allowance values for lung and heart doses were not set; however, every effort was made to reduce them as much as possible.

#### Chemotherapy and immunotherapy

Patient age, general condition, and organ function determined the appropriateness of concurrent chemotherapy and its regimen. The most commonly used regimen was weekly carboplatin/ paclitaxel, and cisplatin/docetaxel or cisplatin/vinorelbine was also administered. Durvalumab has been available to patients at our institution since October 2018. We performed CT after concurrent chemoradiation therapy was completed to ensure that there was no disease progression or grade  $\geq 2$ RP before administration. Durvalumab was intravenously administered at a dose of 10 mg/kg every 2 weeks for 1 year. If grade 2 RP appeared, the administration was suspended until the patient recovered to grade 1. We stopped administration in cases of serious adverse events, such as grade  $\geq 3$ RP or confirmed disease progression.

# ILA classification and treatment outcomes

ILAs were defined according to the Fleischner Society classification.<sup>12</sup> The grouping was primarily performed by two physicians: a chest physician engaged in ILDs and a diagnostic radiologist engaged in chest radiology. They reviewed medical records including past history, family history, and reasons for imaging studies before reviewing CT images. Next, they used diagnostic chest CT images taken before treatment for grouping. All patients were classified into the following three groups: normal (no abnormalities), ILA, and ILD. The ILA group was further subdivided into NS, SNF, and SF groups. This process was performed independently by a chest physician and a diagnostic radiologist without discussion and was later collated. Grouping of any discrepant cases was finalized by consultation among the two, with another radiologist acting as an intermediary. Details of the CT protocols used for grouping are as follows. SOMATOM Definition AS/AS+/Flash (Siemens Healthcare, München, Germany) and Light Speed VCT VISION (General Electric Healthcare, Milwaukee, Wisconsin, USA) CT scanners were used. Slice thickness was 2-2.5-mm. Tube voltage was 120 kVp. Tube current was auto exposure control. Scan mode was helical acquisition. Pitch factor was 0.8–1.5. Rotation time was 0.3–0.5.

We measured the time to the event from the date of radiotherapy commencement. Toxicity was graded according to the CTCAE version 5.0. Grade 2 RP was considered an event with steroid administration for chest symptoms; administration

Parameter	Univariate analysis		Multivariate analysis	Multivariate analysis		
raiameter	HR (95% CI)	р	HR (95% CI)	р		
ILA	2.95 (1.81–4.82)	<0.001	2.33 (1.18–4.61)	0.01		
Age (years)	1.02 (0.99–1.05)	0.14	0.99 (0.95–1.03)	0.78		
Sex (men)	1.02 (0.57–1.84)	0.94	-	-		
Performance status (0,1 vs. 2,3)	1.17 (0.56–2.44)	0.68	-	-		
Pack year	1.01 (0.99–1.01)	0.27	-	-		
%VC (%)	0.99 (0.98–1.01)	0.96	-	-		
FEV1 (L)	1.01 (0.64–1.55)	0.99	-	-		
FEV1/FVC (%)	0.99 (0.97–1.01)	0.67	-	-		
<b>KL-6</b> (U/mL)	1.00 (0.99–1.01)	0.09	1.00 (0.99–1.01)	0.13		
Pathology (adenocarcinoma)	0.58 (0.35–0.96)	0.04	0.85 (0.42–1.72)	0.65		
Lower-lobe primary lesion	1.22 (0.69–2.12)	0.49	-	-		
T classification (T4 vs. others)	0.81 (0.47–1.39)	0.44	-	-		
N classification (positive)	1.18 (0.51–2.72)	0.70	-	-		
Concurrent chemotherapy	1.17 (0.72–1.88)	0.53	-	-		
Durvalumab	1.32 (0.72–2.40)	0.37	-	-		
PD-L1	1.11 (0.54–2.25)	0.78	-	-		
Mutation	1.24 (0.62–2.49)	0.54	-	-		
Radiotherapy method (IMRT)	0.69 (0.39–1.19)	0.18	0.38 (0.16–0.91)	0.03		
ENI	1.06 (0.65–1.75)	0.81	-	-		
Lung dose						
V5 (%)	2.14 (0.67–6.82)	0.19	*	*		
V10 (%)	3.93 (0.85–18.2)	0.08	*	*		
V20 (%)	34.8 (3.05–396.4)	0.004	54.8 (1.52–1977.0)	0.03		
Mean (Gy)	1.10 (1.04–1.17)	<0.001	*	*		

TABLE 3. Univariate and multivariate analyses of the cumulative incidence of grade ≥ 2 radiation pneumonitis

CI = confidence interval; ENI = elective nodal irradiation; FEV1 = forced expiratory volume in 1 second; FEV1/FVC = forced expiratory volume % in 1 second; HR = hazard ratio; ILAs = interstitial lung abnormalities; IMRT = intensity-modulated radiotherapy; KL-6 = Krebs von den Lungen-6; N = node; PD-L1 = programmed cell death-ligand 1; RP = radiation pneumonitis; T = tumour; %VC = vital capacity percentage; Vx = percentage of lung volume receiving > x Gy; vs. = versus

\* Variables with high multicollinearity were excluded.

of general cough suppressants was not included. Survival analysis was performed for patients under radical treatment.

### Statistical analyses

All statistical analyses were performed using EZR version 1.55 (Saitama Medical Center, Jichi Medical University, Saitama, Japan) based on R and R commanders.<sup>13</sup> Patient characteristics were compared using Fisher's exact test for categorical variables and Student's t-test or the Mann–Whitney U test for continuous variables. Additionally, the Kaplan–Meier method was employed to estimate the cumulative incidence of RP and survival rates,

and comparisons were performed using Gray's or log-rank test with post-hoc Bonferroni analyses for multiple comparisons. Finally, a Cox proportional hazards model was used for univariate and multivariate (stepwise elimination) analyses to determine factors contributing to RP and survival rates. Statistical significance was set at p < 0.05. Factors demonstrating p < 0.2 in the univariate analysis were included in the multivariate analysis. Receiver operating characteristic (ROC) curves were used to evaluate the relationship between RP and lung V20. The cut-off point was determined based on the Youden index, and well-balanced sensitivity and specificity values were obtained.<sup>14</sup>

Characteristic	Normal (n = 87)	ILA-SF ( $n = 24$ )	р
Age (years)	69 (41–90)	73 (60–82)	0.09
Performance status	-	-	0.39
0	41 (47%)	12 (50%)	-
1	36 (41%)	8 (33%)	-
2	6 (7%)	4 (17%)	-
3	4 (5%)	0 (0%)	-
Pack year	45 (0–171)	53 (0–122)	0.38
<b>KL-6</b> (U/mL)	294 (151– 1607)	399 (208–705)	0.91
Pathology	-	-	0.09
Adenocarcinoma	46 (53%)	7 (29%)	-
Squamous cell carcinoma	35 (40%)	14 (58%)	-
Others	6 (7%)	3 (13%)	-
Lower-lobe primary lesion	19 (22%)	6 (25%)	0.79
T classification	-	-	0.36
1	13 (15%)	1 (4%)	-
2	23 (26%)	10 (42%)	-
3	19 (22%)	5 (21%)	-
4	32 (37%)	8 (33%)	-
Concurrent chemotherapy	58 (67%)	13 (54%)	0.33
Durvalumab	15 (17%)	1 (4%)	0.19
Mutation	8 (13%)	3 (18%)	0.70
Median follow-up: survivors (years)	2.8 (0.6–12.1)	1.5 (0.7–6.0)	0.46

TABLE 4. Characteristics of patients treated with radical-intent radiotherapy

Data are presented as median (range) or number (%).

ILA-SF = subpleural fibrotic interstitial lung abnormalities; KL-6 = Krebs von den Lungen-6; n = total number of patients

### **Results**

#### Patient characteristics

The final analysis included 175 patients, with the normal, ILA, and ILD groups comprising 105, 64, and 6 patients, respectively. Table 1 summarises patient characteristics.

The p-values represent comparisons between the normal and ILA groups. The normal group was younger and had more patients with adenocarcinomas than the ILA group. The median follow-up period of the 70 surviving patients was 2.5 (range, 0.6–12.1) years. The 64 patients with ILA were subcategorised into NS, SNF, and SF subtypes comprising 5, 28, and 31 patients, respectively. Examples of the ILA subcategories are shown in Figure 1. The two specialists (a chest physician and a diagnostic radiologist) matched on 125 of the 175 patient classifications (72%), and the remaining cases were grouped by discussion among the three physicians.

#### **Radiation pneumonitis**

Grade  $\geq$  2 RP was identified in 71 (41%) patients. Of the 105 patients in the normal group, 21 (20%), 8 (8%), and 1 (1%) exhibited grades 2, 3, and 4, respectively. Of the 64 patients in the ILA group, grades 2, 3, 4, and 5 were observed in 19 (30%), 8 (13%), 2 (3%), and 8 (13%) individuals, respectively. Of the six patients in the ILD group, 2 (33%) and 3 (50%) showed grades 2 and 3, respectively. Seven of the eight patients with grade 5 RP were in the ILA-SF group, and one was in the ILA-SNF group (details shown in Table 2).

Figure 2 shows the cumulative incidence of grade  $\geq$  2 RP by group or subgroup. The incidence of RP was significantly higher in the ILA group than in the normal group (p < 0.001), particularly between the SNF (p = 0.014) and SF groups (p < 0.001) and the normal group. Table 3 presents the results of the univariate and multivariate analyses.

ILAs (hazard ratio [HR]: 2.33, p = 0.01), IMRT (HR: 0.38, p = 0.03), and lung V20 (HR: 54.8, p = 0.03) were significantly associated with the cumulative incidence of grade  $\geq 2$  RP in the multivariate analyses.

ROC analysis using V20 showed intermediate grade  $\geq$  2 RP predictive accuracy in the normal group; the area under the curve (AUC) was 0.72 (95% confidence interval [CI]: 0.60-0.83). The sensitivity and specificity for a cut-off value of 21% for V20 were 76% and 67%, respectively. Conversely, the predictive accuracy in the ILA group was low, with an AUC of 0.59 (95% CI: 0.45-0.75); furthermore, the sensitivity and specificity for a cut-off value of 19% were 76% and 56%, respectively. During follow-up after radiotherapy, five patients received molecular-targeted therapies, and two had grade  $\geq$  2 RP. However, it was not until 2 years and 10 years after radiotherapy that the two patients, respectively, began receiving molecular-targeted therapies, which had already cured their RP.

#### Survival

The 2-year OS and PFS rates for the 134 radically treated patients were 47.8% (95% CI: 38.5–56.4%) and 21.2% (95% CI: 14.4–28.9%), respectively. Patients in the ILA group had worse 2-year OS than those in the normal group (35.3% *vs.* 54.6%, p = 0.005); however, the multivariate analysis showed



FIGURE 1. Examples of interstitial lung abnormality subcategories. (A) non-subpleural, (B) subpleural non-fibrotic, and (C) subpleural fibrotic. White arrows point to lesions.

no significant difference. No significant difference was found in the 2-year PFS between the ILA and normal groups (17.3% vs 24.1%, p = 0.26).

The characteristics of the patients treated with radical-intent radiotherapy are summarised in Table 4. The parameters to be entered into the multivariate analysis are listed separately for the normal and ILA-SF groups.

No significant bias was found between the two groups; however, only one patient in the ILA-SF group received durvalumab. Patients in the ILA-SF group had significantly worse 2-year OS (29.2% vs 54.6%, p < 0.001) and PFS (9.7% vs 24.1%, p = 0.009) rates than those in the normal group. Table 5 shows the results of the univariate and multivariate analyses for the OS and PFS rates.

The multivariate analysis revealed that ILA-SF was the only independent adverse factor for OS (HR: 3.07, 95% CI: 1.17–8.10, p = 0.02) and that it also tended to influence PFS (HR: 1.95, 95% CI: 0.91–4.14, p = 0.08). In contrast, younger age, adenocarcinoma, concurrent chemotherapy, and durvalumab treatment contributed to the prolongation of OS and/or PFS.

### Discussion

To the best of our knowledge, this is the first study to classify patients with NSCLC according to the Fleischner Society ILA subtypes and to demonstrate subtype-associated risk with respect to radiotherapy outcomes. In our analysis, ILAs were considered risk factors for grade  $\geq$  2 RP. Among radically treated patients, the ILA group showed significantly worse 2-year OS than the normal



**FIGURE 2.** Cumulative incidence of grade  $\geq 2$  radiation pneumonitis by group (A) and subgroup (B).

ILAs = interstitial lung abnormalities; ILD = interstitial lung disease; NS = non-subpleural; SF = subpleural fibrotic; SNF = subpleural non-fibrotic

group. This was particularly true for the ILA-SF group, which also independently contributed to OS in the multivariate analysis.

To date, only a few studies have examined the relationship between ILAs and RP. A previous study retrospectively analysed the association between RP and original ILA scores in 145 patients with NSCLC.9 The ILA scores were rated as follows: 0, no interstitial lung change; 1, ILAs without honeycombing (ground-glass attenuation, fine reticular opacity, and microcysts); and 2, honeycombing. They concluded that abnormalities, with or without honeycombing, were predictors of RP. Several reports indicate that ILA is a risk factor for RP in patients with small -cell lung cancer.15,16 Conversely, another study concluded that ILAs did not independently contribute to RP.10 This discrepancy may be due to the ambiguous previous definition of ILAs. Moreover, previous studies have

		Overall	survival	Progression-free survival				
Parameter	Univariate		Multivariate		Univariat	e	Multivariate	
	HR (95% CI)	Р	HR (95% CI)	р	HR (95% CI)	р	HR (95% CI)	р
ILA-SF	2.59 (1.51–4.43)	<0.001	3.07 (1.17–8.10)	0.02	1.88 (1.16–3.05)	0.01	1.95 (0.91–4.14)	0.08
Age (years)	1.02 (0.99–1.04)	0.09	0.95 (0.91–0.99)	0.04	1.01 (0.98–1.03)	0.59	-	-
Sex (men)	1.21 (0.70–2.10)	0.49	_	-	0.91 (0.58–1.45)	0.71	-	-
Performance status (0,1 vs. 2,3)	1.90 (1.07–3.39)	0.03	0.82 (0.19–3.38)	0.78	2.81 (1.66–4.78)	<0.001	1.35 (0.46–3.93)	0.59
Pack year	1.01 (1.00–1.01)	0.04	1.01 (0.99–1.02)	0.14	1.00 (0.99–1.01)	0.67	-	-
%VC (%)	0.99 (0.98–1.01)	0.56	-	-	0.99 (0.98–1.01)	0.51	-	-
FEV1 (L)	0.84 (0.55–1.29)	0.43	-	-	0.92 (0.62–1.36)	0.68	-	-
FEV1/FVC (%)	0.99 (0.97–1.02)	0.92	-	-	1.01 (0.98–1.02)	0.75	-	-
<b>KL-6</b> (U/mL)	1.00 (0.99–1.01)	0.11	1.00 (0.99–1.01)	0.34	1.01 (1.00–1.02)	0.03	1.00 (0.99–1.01)	0.40
<b>Pathology</b> (adenocarcinoma)	0.46 (0.31–0.69)	<0.001	0.18 (0.08–0.44)	<0.001	0.54 (0.36–0.79)	0.002	0.34 (0.18–0.65)	0.001
Lower-lobe primary lesion	1.60 (1.02–2.53)	0.04	1.03 (0.39–2.68)	0.95	1.09 (0.72–1.65)	0.69	-	-
<b>T classification</b> (T4 vs. others)	1.15 (0.74–1.79)	0.53	-	-	1.47 (0.99–2.17)	0.05	1.53 (0.78–2.99)	0.21
N classification (positive)	1.02 (0.49–2.12)	0.95	-	-	0.88 (0.48–1.60)	0.67	-	-
Concurrent chemotherapy	0.51 (0.33–0.80)	0.004	0.19 (0.07–0.56)	0.002	0.60 (0.41–0.89)	0.01	0.65 (0.31–1.30)	0.22
Durvalumab	0.22 (0.08–0.60)	0.003	0.43 (0.11–1.69)	0.22	0.34 (0.18–0.65)	0.001	0.40 (0.17–0.93)	0.03
PD-L1 (positive)	0.77 (0.39–1.50)	0.44	-	-	1.03 (0.59–1.79)	0.92	-	-
Mutation	0.76 (0.47–1.22)	0.26	-	-	0.96 (0.64–1.42)	0.83	-	-
Radiotherapy method (IMRT)	0.75 (0.43–1.30)	0.31	-	-	0.86 (0.55–1.34)	0.50	-	-
ENI	0.83 (0.49–1.38)	0.47	-	-	0.99 (0.64–1.56)	0.99	-	-
Lung dose								
V5 (%)	0.45 (0.12–1.72)	0.24	-	-	0.56 (0.19–1.66)	0.30	-	-
V10 (%)	0.37 (0.06–2.27)	0.29	-	-	0.44 (0.10–1.92)	0.28	-	-
V20 (%)	0.36 (0.02–5.27)	0.46	-	-	0.23 (0.02–2.27)	0.21	-	-
Mean (Gy)	0.98 (0.93–1.04)	0.48	-	-	0.97 (0.93–1.02)	0.29	-	-

#### TABLE 5. Univariate and multivariate analyses for overall and progression-free survival rates

CI = confidence interval; ENI = elective nodal irradiation; FEV1 = forced expiratory volume in 1 second; FEV1/FVC = forced expiratory volume % in 1 second; HR = hazard ratio; ILA-SF = subpleural fibrotic interstitial lung abnormalities; IMRT = intensity-modulated radiotherapy; KL-6 = Krebs von den Lungen-6; PD-L1 = programmed cell death-ligand 1; %VC = vital capacity percentage; Vx = percentage of lung volume receiving > x Gy.

tended to confuse smoking-related centrilobular nodularity and pleuroparenchymal fibroelastosis with ILAs.<sup>19,20</sup> These disease concepts are explicitly excluded from the novel definition of ILAs. Awareness of the improved definition of ILAs is essential for correct diagnosis, which should be made before radiotherapy to guide treatment strategy. Grade  $\geq 2$  RP occurred at a rate as high as 71 (41%) patients in this study. We believe that one of the important factors was the large number of patients with ILA included, 64 (37%), as a characteristic of the institution. If the presence of ILA had been accurately recognised in advance, countermeasures could have been taken. The leading treatment-related factor that predicts RP is lung V20.<sup>19,20</sup> V20 should be further reduced when radiotherapy is administered to patients with ILAs. Moreover, the use of IMRT should be considered along with techniques such as virtual lung block and end-inspiration irradiation.<sup>21,22</sup> However, the ROC analysis in the ILA group showed low predictive accuracy for grade  $\geq$ 2 RP using V20 (AUC = 0.59). This finding implies that reducing the lung dose in patients with ILAs may not necessarily preclude severe RP.

ILA subtype divisions demonstrated notable differences. The ILA-SF group had the highest cumulative incidence of grade  $\geq$  2 RP, corroborat-

ing previous studies that have shown ILA-SF to be 6.6 times more likely to progress than other subtypes.<sup>23</sup> Contrarily, no difference was observed in the cumulative incidence of grade  $\geq$  2 RP between the ILA-NS and normal groups. The course of ILA-NS is usually non-progressive, suggesting a favourable prognosis.<sup>21</sup> ILA-NS may not be a risk factor for RP; nonetheless, we emphasise that there were few patients with ILA-NS in this study; therefore, we cannot mention its clinical significance. Therefore, this subtype should be investigated in more eligible patients in the future.

No consensus exists that patients with ILAs have a poor prognosis after radiotherapy. However, studies have reported that the outcomes of surgery and chemotherapy for patients with lung cancer and ILAs are poor.<sup>24,25</sup> In this study, all eight patients with grade 5 RP were in the ILA group, and seven of these patients were in the ILA-SF category. We believe that the severity of RP worsened the prognosis of the ILA group, particularly that of patients with the ILA-SF subtype. The advent of durvalumab has greatly improved the prognosis of NSCLC; however, it is difficult to administer in the long term, particularly in patients with ILA-SF. Death sometimes results from severe RP rather than cancer; therefore, the indication for radiotherapy should be carefully considered.

Our study had some limitations, particularly its retrospective and single-institution design. Additionally, other factors, such as emphysema, chronic obstructive pulmonary disease, and biomarkers (e.g., transforming growth factor-beta), may contribute to RP.7 These factors, which we did not consider in this study, may be confounders. Furthermore, many of our patients were elderly and frail and were treated with radiotherapy alone. We also included several cases from the period before the emergence of durvalumab. These differ from modern standard treatments and may have implications for survival analysis. Retrospective studies on cases with durvalumab have been reported recently<sup>26</sup>, and high-quality future prospective trials are warranted

## Conclusions

The novel definition of ILAs and ILA subtypes may be important in determining risk for RP following radiotherapy in patients with NSCLC. RP symptoms can be severe, especially in patients with ILA-SF, and may worsen prognosis. This study suggests that recognising the presence of and categorising ILAs before treatment is vital and useful in clinical decision-making.

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## research article

# The influence of BCL2, BAX, and ABCB1 gene expression on prognosis of adult de novo acute myeloid leukemia with normal karyotype patients

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**Background.** Deregulation of the apoptotic process underlies the pathogenesis of many cancers, including leukemia, but is also very important for the success of chemotherapy treatment. Therefore, the gene expression profile of main apoptotic factors, such as anti-apoptotic *BCL2* (B-cell lymphoma protein 2) and pro-apoptotic *BAX* (BCL2-associated X), as well as genes involved in the multi-drug resistance (ABCB1), could have significant impact on the prognosis and could be used as targets for specific therapy.

**Patients and methods.** We analyzed the expression of *BCL2*, *BAX*, and *ABCB1* in bone-marrow samples collected at diagnosis from 51 adult patients with acute myeloid leukemia with normal karyotype (AML-NK) using real-time polymerase chain reaction method, and examined their prognostic potential.

**Results.** Increased expression of *BCL2* (*BCL2*<sup>+</sup>) was associated with the presence of chemoresistance (p = 0.024), while patients with low *BAX* expression were more prone to relapse (p = 0.047). Analysis of the combined effect of *BCL2* and *BAX* expression showed that 87% of patients with *BAX/BCL2*<sup>low</sup> status were resistant to therapy (p = 0.044). High expression of *ABCB1* was associated with *BCL2*<sup>+</sup> status (p < 0.001), and with absence *FLT3-ITD* mutations (p = 0.019). **Conclusions.** The present analysis of *BCL2*, *BAX*, and *ABCB1* gene expression profiles is the first study focusing solely on *AML*-NK patients. Preliminary results showed that patients with high *BCL2* expression are likely to experience resistance to chemotherapy, and may benefit from specific anti-BCL2 treatment. Further investigations conducted on a larger number of patients could elucidate actual prognostic significance of these genes in AML-NK patients.

Key words: acute myeloid leukemia with normal karyotype; BCL2; BAX; BCL2/BAX ratio; ABCB1; prognosis

## Introduction

Acute myeloid leukemia (AML) is a malignant hematological disease that occurs as a result of differentiation arrest, uncontrolled proliferation and diminished apoptosis of myeloid progenitor cells. It is the most common acute leukemia in adults, accounting for about 80% of all cases.<sup>1</sup> Despite remarkable progress in uncovering the moleculargenetic changes underlying the pathogenesis of AML, a little has changed in the initial treatment of patients which is still based on the classification of patients into risk groups according to pretreatment karyotype analysis.<sup>2</sup> The largest karyotype based risk group is AML with normal karyotype (AML-NK), representing almost 50% of *de novo*  adult AML cases. AML-NK is a highly heterogeneous group with respect to genetic abnormalities and clinical outcome of the patients, but the whole group is still stratified into intermediate risk group. Some of molecular markers such as mutations in fms-related tyrosine kinase-3 (FLT3), nucleophosmin (NPM1), CCAAT/enhancer binding protein alpha (CEBPA) and runt-related transcription factor 1 (RUNX1) gene have made an impact on prognosis of AML-NK patients, and have already been included into the revised World Health Organization (WHO) classification of myeloid neoplasms and acute leukemia, and European LeukemiaNet (ELN).<sup>2,3</sup> However, there is a constant need for the introduction of new molecular markers that have significant impact on the prognosis of patients.

Since diminished apoptosis is one of the hallmark traits of leukemic cells, research focused on the analysis of the expression profile of the main participants in the apoptotic process can be of great importance in the detection of new prognostic-relevant molecular markers of AML. Also, since the mechanism of action of cytotoxic drugs used in the treatment of AML involves activation of apoptotic process, the expression pattern of apoptotic factors could have an impact on the occurrence of resistance. Namely, multi drug resistance (MDR) is a main clinical obstacle to successful cancer treatment. Resistance to chemotherapy treatment in AML is still a major cause for initial treatment failure and relapse of the disease, and it is caused by multifactorial mechanisms involving genetic factors.4,5 Two main mechanisms of MDR are: pump (transport) resistance, associated with increased expression of proteins involved in drug efflux, and non-pump (apoptotic) resistance, associated with increased activity of anti-apoptotic system.6 Gene expression of the ATP-binding cassette (ABC) superfamily of membrane transporters (ABCB1 formerly known as MDR1 gene) is a marker of pumpresistance, while markers of non-pump resistance are levels of expression of BCL2 and BAX genes.7-9 These markers could be considered as pharmacotranscriptomics markers and their analysis in AML patients could be a basis for the assessment of their role in tumor resistance to several groups of drugs. The inhibitors of these markers might effectively reverse MDR in AML patients.<sup>10</sup>

The process of apoptosis is under the control of two distinct but interconnected pathways, intrinsic and extrinsic. Activation of intrinsic pathway is under the control of the BCL family of proteins. B-cell lymphoma 2 (BCL2) family of proteins include both pro-apoptotic (BAX, BAK) and antiapoptotic members (BCL2, BCL-XL, MCL1).<sup>11</sup> BCL2 is the best-known member of BCL2-familly, with an anti-apoptotic function. Its high expression has been reported throughout the evolution of AML, at presentation, relapse and also during treatment resistance. Moreover, increased BCL2 correlated with failure to achieve complete remission (CR) and with shorter overall survival (OS) of AML patients, making it an important therapeutic target.12,13 Indeed, these findings led to the design of potent and selective BCL2-inhibitor, venetoclax. This modern BCL2-inhibitor is used in combination with classical therapy improving outcome in patients that are ineligible for intensive chemotherapy.14

BCL2-associated X (BAX) is a pro-apoptotic protein, transcriptionally activated by the tumor suppressor p53. BAX is essential in the final stages of apoptotic process and its activation leads to release of cytochrome c from mitochondria and direct cell death.<sup>15</sup> Some studies found that high expression of BAX is good prognostic marker in AML, while others failed to prove its prognostic significance.<sup>16-20</sup>

Because of the existing inconsistencies in assessment of the individual impact of BCL2 and BAX expression level on AML prognosis, researchers have resorted to BAX/BCL2 ratio analysis.<sup>9,19</sup> Namely, BAX and BCL2 regulate apoptotic process by binding to each other and thus forming heterodimers. The BAX/BCL2 ratio determines the cell fate after apoptotic stimuli has been received.

The overexpression of ABCB1 gene is considered to be independent factor for the occurrence of multi-drug resistance in AML. ABCB1 gene is located at chromosome 7q21.31, and it encodes 120 kb permeability glycoprotein (P-gp), a member of ATP-binding cassette (ABC) superfamily of transporter proteins, also called adenosine triphosphate binding cassette transporter B1 (ABCB1). P-gp is an efflux pump, transporting toxic substances out of the cell.<sup>21,22</sup> By decreasing intracellular concentrations of drugs P-gp confers resistance to a large number of therapeutics used in clinical oncology. Also, P-gp has a drug-independent role in AML causing the inhibition of apoptosis in AML blast cells via modulation of a sphingomyelin-ceramide pathway.23

In order to show how the expression level of main apoptotic factors, such as *BCL2* and *BAX*, can influence the occurrence of resistance, and whether their influence is independent from the impact of multi drug resistance (*ABCB1*) gene expression

level, in this study we investigated the expression pattern of these genes, and examined the possibility of their mutual influence on the prognosis in AML-NK patients. In doing so, the expression level of these genes was analyzed in the context of other already established prognostic molecular markers. In this way, we aimed to determine how the expression pattern of these genes can be used for a more precise stratification of AML-NK patients into risk groups.

### Patients and methods

#### Patients and therapy protocol

Bone marrow (BM) samples from the 51 newly diagnosed AML-NK patients (25 females, 26 males; median age 51 years, range 23–62 years) were collected at Clinic of Hematology, Clinical Center of Serbia. Research was conducted in accordance with the ethical standards of the World Medical Association's Declaration of Helsinki. The study was approved by the Ethics Commit-tee of the Clinical Center of Serbia (No. 110/11), and written informed consent was obtained for all patients.

Diagnostic procedures comprised cytomorphology, cytogenetics, and immunophenotyping of BM. Morphologic diagnosis was made according to the French-American-British (FAB) classification.<sup>24</sup> Conventional G-band karyotyping was employed for cytogenetic analysis.<sup>25</sup> Immunophenotyping by flow cytometry (FACS Calibur, BD Biosciences, USA) was carried out systematically in the whole group of patients according to standard protocols based on European LeukemiaNet (ELN), Work Package 10 (WP10) criteria.<sup>26</sup>

All patients received induction and consolidation chemotherapy with daunorubicin and cytarabine according to the protocol 3 + 7, followed by three consolidation cycles of high/intermediate doses of cytarabine.<sup>2</sup> Patients aged  $\leq 55$  years underwent allogeneic stem cell transplantation (SCT), in total 15 (25.42%) patients. Definitions of CR, overall survival (OS), disease free survival (DFS) and resistance were established by proposed criteria.<sup>27</sup>

# Gene expression and mutational analyses

Bone marrow mononuclear cells (BMMCs) from AML-NK patients and from 14 healthy controls (BM donors, 8 males and 6 females, median age 31 years), were purified on *Ficoll-Paque*<sup>TM</sup> Plus (GE Healthcare, Buckinghamshire, UK) density

gradient, suspended in TRI Reagent (Ambion, Thermo Fisher Scientific, Waltham, MA, USA) and total RNA was extracted according to manufacturer's instructions. In brief, mononuclear cells where first homogenized in TRI Reagent, and then separation phase was initiated by adding chloroform and subsequent certification. Total RNA was precipitated from the aqueous phase using isopropyl alcohol, pelleted and washed in 70%-75% ethanol. One microgram of total RNA was used for the cDNA synthesis using RevertAid Reverse Transcriptase (Invitrogen, Thermo Fisher Scientific, Waltham, MA, USA). Real time-PCR was performed on 7900HT Fast Real-Time PCR System (Applied Biosystems). For expression analvsis of ABCB1 SYBR®Green chemistry was used and PCR reaction (10 µl) consisted of 1µl of cDNA (50 ng RNA equivalent) SYBR™ Green PCR Master Mix (Applied Biosystems, Foster City, CA, USA), primers in final concentration 400 nM (ABCB1 forward 5'-GTC TAC AGT TCG TAA TGC TGA CGT and ABCB1 reverse 5'-TGT GAT CCA CGG ACA CTC CTA C). In the case of BCL2 and BAX, expression analysis was done as previously described.28 For all target genes GAPDH gene was used as endogenous control, and all reactions were run in duplicate. Relative quantification analysis was performed using comparative ddCt method, using healthy controls as calibrator.29,30

Detection of *FLT3-ITD* and *NPM1* mutations were analyzed as previously described.<sup>31,32</sup>

### Statistical analysis

Data are presented as medians with range, means  $\pm$  SD, or as absolute numbers with percentages. Differences in continuous variables were analyzed using Mann-Whitney *U* test for distribution between 2 groups. Analyses of frequencies were performed using Fisher exact test. Survival probabilities were estimated by the Kaplan-Meier method, and differences in survival distributions were evaluated using the LogRank test.

The statistical analyses were performed using the SPSS computer software 21.0 (IBM). For all analyses, the P values were 2-tailed, and P < 0.05was considered statistically significant.

### Results

In the study, we analyzed the expression of *BCL2*, *BAX* and *ABCB1* gene, in a cohort of 51 newly diagnosed patients with AML-NK. Clinical and bio-

TABLE 1. Clinical characteristics for de novo acute myeloid leukemia with normal karyotype (AML-NK) patients stratified by the level of BCL2, BAX gene expression and BAX/BCL2 ratio

	BCL2				BAX			BAX/BCL2		
Parameter	Total n=51	BCL2+ n=25	BCL2- n=26	Р	BAX+ n=25	BAX- n=26	Р	BAX/BCL2high n=25	BAX/BCL2 <sup>low</sup> n=26	Р
Sex										
Male (%)	26 (51)	10 (38)	16 (62)	0.165	16 (62)	10 (38)	0.095	16 (62)	10 (38)	0.095
Female (%)	25 (49)	15 (60)	10 (40)		9 (36)	16 (64)		9 (36)	16 (64)	
Age* (years)	51 (23-62)	53 (27-62)	49 (23-62)	0.137	49 (27-62)	52.5 (23-62)	0.597	48 (23-62)	54 (27-62)	0.111
WBC count* (x10 <sup>9</sup> /L)	22 (1-349)	7 (1-184)	22.5 (2-349)	0.159	22 (1-184)	22 (0.2-349)	0.808	29 (1-349)	6 (1-184)	0.041
<b>HB*</b> (g/L)	99 (66-131)	103 (82-131)	98 (66-128)		97 (66-131)	105 (78-128)		98 (66-128)	103 (82-131)	
> 80 (g/L)	45 (88)	25 (56)	20 (44)	0.023	22 (49)	23 (51)	1.000	19 (53)	26 (47)	
< 80 (g/L)	6 (12)	0	6 (100)		3 (50)	3 (50)		0	6 (100)	0.010
Plts* (x10%/L)	55 (8-422)	60 (8-422)	53.5 (8-169)	0.528	55 (17-169)	54.5 (8-422)	0.497	52 (8-169)	60 (8-422)	0.685
<b>LDH</b> *(U/L)	321 (1-2904)	175 (153-1992)	590.5 (1-2904)	0.010	386 (153-1992)	306.5 (1-2904)	0.816	605 (1-2904)	75 (153-1992)	0.002
PB blast* (%)	14 (0-98)	15 (0-98)	11 (0-97)	0.737	11 (0-92)	16 (0-98)	0.623	11 (0-97)	15 (0-98)	0.865
BM blasts* (%)	62 (30-97)	57 (30-90)	66.5 (32-90)	0.531	63 (30-97)	61 (31-90)	0.756	70 (30-90)	57 (31-97)	0.341
CD34 (%)				0.095			0.404			0.050
present	24 (47)	15 (63)	9 (38)		10 (42)	14 (58)		8 (33)	16 (67)	
absent	27 (53)	10 (37)	17 (363)		15 (56)	12 (44)		17 (63)	10 (37)	
FAB (%)				0.006			0.239			0.002
MO	4 (8)	4 (100)	0		1 (25)	3 (75)		0	4 (100)	
M1	5 (10)	4 (80)	1 (20)		3 (60)	2 (40)		1 (20)	4 (80)	
M2	18 (35)	10 (56)	8 (44)		7 (39)	11 (62)		6 (33)	12 (67)	
M4	17 (33)	3 (18)	14 (82)		8 (47)	9 (53)		14 (82)	3 (18)	
M5	7 (14)	4 (57)	3 (43)		6 (86)	1 (14)		4 (57)	3 (43)	
<b>CR</b> (%)				0.404			0.264			0.577
success	28(55)	12 (43)	16 (57)		16 (57)	12 (43)		15 (54)	13 (46)	
failure	23(45)	13 (57)	10 (43)		9 (39)	14 (61)		10 (43)	13 (57)	
Resistance (%)				0.024			0.703			0.044
yes	8 (16)	7 (88)	1 (12)		3 (38)	5 (62)		1 (13)	7 (87)	
no	43 (84)	18 (42)	25 ( 58)		22 (51)	21 (49)		24 (56)	19 (44)	
Relapse (%)				1.000			0.047			0.137
yes	17 (61)	7 (41)	10 (59)		7 (41)	10 (59)		7 (41)	10 (59)	
no	11 (39)	5 (45)	6 (55)		9 (82)	2 (18)		8 (73)	3 (27)	
FLT3- ITDmutations (%)				0.324			1.000			0.199
present	12 (24)	4 (33)	8 (67)		6 (50)	6(50)		8 (67)	4 (33)	
absent	39 (76)	21 (54)	18 (46)		19 (49)	20 (51)		17 (44)	22 (56)	
NPM1 mutations (%)				0.237			0.144			0.144
present	17 (33)	6 (35)	11 (65)		11 (65)	6 (35)		11 (65)	6 (35)	
absent	34 (67)	19 (56)	15 (44)		14 (41)	20 (59)		14 (41)	20 (59)	

BM = bone marrow; CR = complete remission; HB = hemoglobin; FAB = French-American-British classification; PB = peripheral blood; Plts = platelets; WBC = white blood cell count

\*median (range)

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logical characteristics of the patients are shown in Table 1.

#### **BCL2** expression

Median expression level of *BCL2* in cohort of 51 AML-NK patients at diagnosis was 1.22 (range 0.13–8.97), which was not significantly different compared to healthy controls (median 1.00, range 0.21–1.59) (P = 0.148). When *BCL2* median expression level detected among AML-NK patients (1.22) was applied as a cut-off value, 49% of patients exhibited high *BCL2* expression, and were marked as *BCL2*<sup>+</sup> (Table 1).

Examining the association of BCL2 expression level with clinical characteristics of the patients, we have found that BCL2<sup>+</sup> patients had lower LDH levels (P = 0.010) and higher hemoglobin level (P = 0.023) (Table 1.). Also, BCL2<sup>+</sup> patients primarily belonged to the M0/M1 FAB group of patients (P = 0.006). The presence of *BCL2*<sup>+</sup> status was not associated with mutations in *FLT3-ITD* and *NPM1* gene (P = 0.324 and P = 0.237, respectively).

When we analyzed the prognostic impact of high BCL2 expression in our cohort of AML-NK patients we have found that BCL2+ status was associated with the presence of resistant disease, since 88% of resistant patient had elevated BCL2 expression (P = 0.024). The CR rate among our group of patients was 55%. Among BCL2+-positive patients CR rate was lower (48%), but this was not significantly different compared to BCL2<sup>-</sup> group (62%) (P = 0.404). Survival analysis indicated that BCL2<sup>+</sup> patients had longer duration of CR compared to BCL2<sup>-</sup> patients (11 months vs. 9.3 months), but this difference showed no statistical significance after survival analysis was performed (LogRank = 0.46, P = 0.831). A similar result was obtained when analyzing the impact of BLC2 status on OS (BCL2<sup>+</sup>, 6 months vs.  $BCL2^{-}$ , 8 months; LogRank = 2.030, P = 0.154).

### **BAX** expression

Median expression level of pro-apoptotic *BAX* gene in our cohort of AML-NK patients was 0.92 (range 0.27–2.64), which was not significantly different compared to healthy controls (median 1.09, range 0.41–1.55) (P = 0.704). Based on the *BAX* median expression level the patients were divided into *BAX*<sup>+</sup> and *BAX*<sup>-</sup> group. There were no significant associations between *BAX* expression level and clinical and molecular characteristics of the patients.

Analysis of the potential prognostic impact of *BAX* status showed that *BAX*<sup>-</sup> patients had lower CR rate compared to *BAX*<sup>+</sup> group, but without statistical significance (P = 0.264) (Table 1). The negative impact of low *BAX* expression was also reflected in the fact that *BAX*<sup>-</sup> patients were more prone to relapse (P = 0.047). Also, *BAX*<sup>-</sup> patients had lower DFS (*BAX*<sup>-</sup>, 8 months *vs. BAX*<sup>+</sup>, 11 months; LogRank = 0.020, P = 0.889), and lower OS (*BAX*<sup>-</sup>, 5 months *vs. BAX*<sup>+</sup>, 7 months; LogRank = 0.020, P = 0.888) but it was not statistically significant.

# Combined BCL2 and BAX expression (BAX/BCL2 ratio)

The possible cumulative effect of both *BCL2* and *BAX* gene expression level was also analyzed using *BAX/BCL2* ratio. In AML-NK group median *BAX/BCL2* ratio was 0.62 (range 0.11–7.77), while in healthy samples it was 0.91 (range 0.59–3.69). We haven't found significant difference between *BAX/BCL2* values among patient and healthy control group (P = 0.185). When median *BAX/BCL2* value detected in AML-NK patients (0.62) was applied as a cut-off value for discriminating *BAX/BCL2* <sup>high</sup> and *BAX/BCL2* <sup>low</sup> group, 49% of patients had *BAX/BCL2* <sup>high</sup> status.

Regarding the clinical characteristics of the patients, *BAX/BCL2* <sup>high</sup> status was associated with higher number of WBC (P = 0.041), hemoglobin levels lower than 80 g/L (P = 0.010), higher LDH level (P = 0.002), with M4 FAB group (P = 0.002), and with absence of CD34 (P = 0.050). *BAX/BCL2* <sup>high</sup> status was not significantly associated with mutations in *FLT3-ITD* and *NPM1* gene (P = 0.199 and P = 0.144) (Table 1).

The prognostic significance of *BAX/BCL2* ratio was evident only in terms of the presence of primary resistance, where *BAX/BCL2*<sup>low</sup> status patients were in 87% resistant to therapy (P = 0.044). Survival analysis didn't show any significant difference in DFS and OS duration between *BAX/BCL2*<sup>high</sup> and *BAX/BCL2*<sup>low</sup> groups of patients (LogRank = 0.139, P = 0.710 and LogRank = -0.004, P = 0.951, respectively).

#### ABCB1 expression

In our cohort of AML-NK patients median expression level of *ABCB1* gene was significantly lower compared to healthy controls (0.16, range 0.00–13.74 *vs.* 1.02, range 0.29–5.27, respectively) (P = 0.025). According to median *ABCB1* expression level, we have divided patients into *ABCB1*<sup>+</sup> (25
Parameter	Total n=51	MDR1+ n=26	MDR1 <sup>.</sup> n=25	Р
Sex				0.051
Male (%)	26 (51)	17 (65)	9 (35)	
Female (%)	25 (49)	9 (36)	16 (64)	
Age* (years)	51 (23-62)	53 (23-62)	49 (23-62)	0.396
WBC count* (x10 <sup>9</sup> /L)	22 (1-349)	7 (1-184)	26 (0-349)	0.071
Hemoglobin* (g/L)	99 (66-131)	106 (78-124)	96 (66-131)	0.191
> 80 (g/L)	45 (88)	24 (53)	21 (47)	
< 80 (g/L)	6 (12)	1 (17)	5 (83)	
Platelets* (x10°/L)	55 (8-422)	42 (8-422)	69.5 (16-169)	0.129
LDH *(U/L)	321 (1-2904)	175 (1-2904)	553.5 (175-1992)	0.028
PB blast* (%)	14 (0-98)	14 (0-98)	13.5 (0-87)	0.900
BM blasts* (%)	62 (30-97)	57 (30-90)	65 (33-97)	0.565
CD34 (%)				0.025
present	24 (47)	16 (67)	8 (33)	
absent	27 (53)	9 (33)	18 (67)	
FAB (%)				<0.001
MO	4 (8)	4 (100)	0	
M1	5 (10)	5 (100)	0	
M2	18 (35)	11 (61)	7 (39)	
M4	17 (33)	3 (18)	14 (82)	
M5	7 (14)	2 (29)	5 (71)	
remission (%)				0.781
success	28(55)	13 (46)	15 (54)	
failure	23(45)	12 (52)	11 (48)	
Resistance (%)				1.000
yes	8 (16)	4 (50)	4 (50)	
no	43 (84)	21 (49)	22 (51)	
Relapse (%)				0.460
yes	17 (61)	9 (53)	8 (47)	
no	11 (39)	4 (36)	7 (64)	
FLT3-ITD mutations (%)				0.019
present	12 (24)	2 (17)	10 (83)	
absent	39 (76)	23 (59)	16 (41)	
NPM1 mutations (%)				0.075
present	17 (33)	5 (29)	12 (71)	
absent	34 (67)	20 (59)	14 (41)	
BCL2+	25 (49)	20 (80)	5 (20)	<0.001
BCL2	26 (51)	5 (24)	21 (76)	
BAX/BCL2 <sup>high</sup>	25(49)	5 (20)	20 (80)	
BAX/BCL2 <sup>low</sup>	26 (51)	20 (77)	6 (23)	<0.001

 TABLE 2. Clinical characteristics for de novo acute myeloid leukemia with normal karyotype (AML-NK) patients stratified by the level of MDR1 gene expression

BM = bone marrow; FAB = French-American-British classification; PB = peripheral blood; WBC = white blood cell count

\*median (range)

patients) and *ABCB1*<sup>-</sup> group (26 patients). We have found that *ABCB1*<sup>+</sup> patients had lower LDH levels (P = 0.028), and were predominantly found in M0/ M1 FAB group (P<0.001). Furthermore, *ABCB1*<sup>+</sup> status was associated with the absence of *FLT3-ITD* (P = 0.019), as well as, with the presence of CD34 antigen (P = 0.050) (Table 2).

Interestingly, *ABCB1* status was not associated with the occurrence of resistance (P = 1.000), nor did it affect the CR rate (P = 0.781). Also, expression level of *ABCB1* did not affect DFS and OS duration (LogRank = 0.037, P = 0.848 and LogRank = 0.951, P = 0.329, respectively).

In addition, patients with high expression of *ABCB1* predominantly had high expression of *BCL2*, and therefore were frequently found in *BAX/BCL2* <sup>low</sup> group (P < 0.001).

When we performed substratification of AML-NK patients based on the presence of *FLT3-ITD* and *NPM1* mutations into 3 risk groups (favorable *NPM1*<sup>+</sup>-11 patients, poor *FLT3-ITD*<sup>+</sup>-12 patients, and intermediate *FLT3-ITD*<sup>-</sup>/*NPM1*<sup>-</sup>-28 patients), we have found that *ABCB1*<sup>+</sup> status was predominant in the *FLT3-ITD*<sup>-</sup>/*NPM1*<sup>-</sup> group, because 71% of *FLT3-ITD*<sup>-</sup>/*NPM1*<sup>-</sup> patients had high *ABCB1* expression (P = 0.001). Analyzing the potential prognostic significance of *ABCB1* expression in this group of 28 patients, prominent impact was observed only in survival analysis for OS where *ABCB1*<sup>+</sup> patients had shorter survival of 5 months, compared to *ABCB1*<sup>-</sup> patients with 10 months (LogRank = 3.447, P = 0.063).

### Discussion

Loss of control in the process of programmed cell death is one of the basic events in the malignant transformation and the development of various types of tumors, including AML. For this reason, many of the participants in apoptosis are recognized as targets for the design and application of therapeutics. Aberrant expression of genes that control apoptosis, like BCL2-familly members, represent a recurrent feature of leukemic cells that can lead to increased cell survival and chemotherapy resistance.<sup>11,33,34</sup> In this study we analyzed the expression pattern of two BCL2-family member genes, *BCL2* and *BAX*, as well as *BAX/BCL2* ratio in order to elucidate their influence on prognosis of AML-NK patients.

We have found that the expression level of *BCL2* among *de novo* AML patients was not different compared to healthy controls, and showed

extremely heterogeneous pattern, with wide range of detected values. Similar finding was reported by others.<sup>11,35</sup> Also, consistent with some other previously published findings, in our study *BCL2*<sup>+</sup> status was not a predictor for reduced CR rate, and did not influence DFS and OS.<sup>9,36-38</sup> However, in our cohort of patients a statistically significant association between *BCL2*<sup>+</sup> status and the existence of resistance was shown. We believe that this finding might be important because *BCL2*<sup>+</sup> patients may benefit from specific anti-BCL2 therapy. Bilbao-Sieyro *et al.*<sup>38</sup> came to the same conclusion after they reported that increased *BCL2* expression found in CR and relapsed samples (but not in diagnosis samples) was associated with poor DFS and OS.

Furthermore, we have found that high expression of BCL2 was detected among patients with FAB M0/M1 subtypes. This is not surprising, given the fact that the expression of BCL2 is differentiation stage specific, being at its highest in immature myeloid progenitors, and decreasing at the final stages of differentiation.35 This finding is very important when anti-BCL2 therapy (venetoclax) is used in the treatment of AML. Namely, it was shown that AML patients belonging to FAB M4/ M5 subtype can exhibit resistance to this specific therapy. It is assumed that the cause of this resistance lies in the lack of therapeutic target i.e. BCL2, since leukemic cells belonging to these AML subtypes originate from more differentiated hematopoietic cells, having lower, or non-existent BCL2 expression.39,40

Our study showed significant association between decreased BAX expression level and higher relapse rate. This finding is similar with already published data, but it has to be said that the influence of BAX expression level on prognosis in AML was predominantly studied through its association with other apoptotic genes, like BCL2 (BAX/ BCL2 ratio).9,17,19,20,41,42 In our study, BAX/BCL2low ratio was significantly associated with the presence of the resistance. Other studies showed that increased BAX/BCL2 ratio was associated with increased CR rate,<sup>18,41</sup> while patients with low BAX/ BCL2 had shorter OS.18 Following the example of Del Poeta et al.<sup>41</sup> we also tried to prove the association of BAX/BCL2<sup>high</sup> ratio with NPM1-/FLT3-ITD+ mutational status, but without any success since the presence of these mutations were not associated with BCL2 and BAX expression level when analyzed individually.

Of note is that the methodology used in the referenced studies was different ranging from flowcytometry, western-blot, to RT-PCR and RNA-seq, therefore their results cannot be entirely comparable. Also, the analysis focusing on only two apoptotic factors, *BCL2* and *BAX*, represents only a simplification of the real situation, where other proand anti-apoptotic members of the BCL2-familly interact with each other and determine the final fate of the leukemic cell.

When we analyzed expression pattern of ABCB1 gene known to be involved in the chemoresistance, we observed some similarity with the results obtained through BCL2 and BAX expression analysis. Thus, similar to BCL2 expression, ABCB1 expression analysis showed that ABCB1<sup>+</sup> status was preferably found in M0/M1 FAB subgroup of patients. This was not surprising considering the fact that ABCB1 expression is dependent on the differentiation stage, i.e. that the highest ABCB expression was observed among cells with immature immunophenotype.43 In line with this was the finding that ABCB1+ status was associated with CD34<sup>+</sup> status. This is also due to the fact that CD34 expression is present in pluripotent hematopoietic cells, and it's down-regulated during differentiation process, as it is the case with ABCB1 expression.44-46

In our study, we observed mutual exclusion between *FLT3-ITD*<sup>+</sup> and *NPM1*<sup>+</sup> status, and high expression of *ABCB1*. Similar finding was reported by others, and in the case of *FLT3-ITD*<sup>+</sup> patients it is assumed to be a consequence of a loss of *ABCB1* expression under increased proliferative activity caused by the presence of a *FLT3-ITD* mutation.<sup>47-50</sup>

In our study overexpression of ABCB1 was not associated with occurrence of chemoresistance, CR rate, and the duration of DFS and OS. This can be explained by the presence of age-dependent association between high expression of ABCB1 and adverse prognosis. Namely, the clinical relevance of ABCB1 expression is diminished or completely lost among young adult AML patients, and particularly in pediatric AML patients.45,51-53 In our study median age of AM-NK patients was 51 years, and 61% of older patients (> 51 years) were ABCB1<sup>high</sup>. Based on this, we can say that our cohort of patients is represented by younger adults, in whom the association between ABCB1 expression and chemoresistance/adverse outcome, is not so evident. However, it may be that the analysis of a larger number of patients could provide a more accurate statistical power for significance.

Also, in addition to the expression of *ABCB1*, the resistance in AML can be influenced by other members of the ABC-transporter family, or even by the expression pattern of some other prognostically

significant genes.<sup>55-57</sup> Some studies have shown that contribution to the resistance, and to the overall prognosis is defined by co-expression patterns of many different ABC-transporters, and not by their individual influence.<sup>54,55,58</sup> It is assumed that some of the ABC transporters have overlapping specificity to a range of substrates, and that their coexpression is responsible for chemoresistance. This is particularly evident in the study by Marzac C *et al.*<sup>54</sup> where resistant disease among AML patients increased from 21% to a 100% depending of number of overexpressed ABC transporter genes (0 to 3).

In conclusion, our study showed that occurrence of resistance was associated with increased expression of BCL2, while patients with low BAX expression were more prone to relapse. Combined impact of these two genes analyzed through BAX/ BCL2 ratio showed that AML-NK patients with BAX/BCL2<sup>low</sup> status were resistant to chemotherapy. Also, in our cohort of patients ABCB1 expression level was not a predictor of resistant disease, but we have found association between ABCB1+ status and the absence of NPM1 and FLT3-ITD mutations, molecular markers with an already established prognostic significance in AML-NK. However, we were unable to demonstrate that ABCB1 expression could contribute to a more accurate risk stratification in these patients.

This is the first study in which the expression of BCL2, BAX, BAX/BCL2 ratio and ABCB1 was examined solely in AML-NK group of patients in which the prognostic influence of cytogenetic aberration, either unfavorable or favorable, could be excluded. This cytogenetically homogenous group of patients are extremely heterogeneous regarding their outcome, and that is why it would be of great importance if expression pattern of some of these genes should prove to be significant for prognosis and response to therapy. Since the research on pharmacotranscriptomics markers in AML is deficient, this study which includes the gene expression analysis involved in both mechanisms of multidrug resistance (apoptotic-dependent and efflux pump-dependent) has provided new data on these potential components of algorithm for individualized, personalized treatment of AML patients.

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## research article

# CD56-positive diffuse large B-cell lymphoma: comprehensive analysis of clinical, pathological, and molecular characteristics with literature review

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**Background.** Diffuse large B-cell lymphoma (DLBCL) is the most common non-Hodgkin lymphoma. The expression of CD56 in DLBCL is highly unusual. Little is known about its incidence and clinical importance. So far, no genetic profiling was performed in CD56 positive DLBCL.

**Patients and methods.** Tissue microarrays have been constructed, sectioned, and stained by H&E and immunohistochemistry for 229 patients with DLBCL diagnosed 2008–2017. For CD56 positive cases, clinical data was collected including age at diagnosis, stage of the disease, International Prognostic Index (IPI) score, treatment scheme and number of chemotherapy cycles, radiation therapy, treatment outcome, and possible relapse of the disease. Overall survival (OS) and progression-free survival (PFS) were calculated. For four patients, RNA was extracted and targeted RNA (cDNA) sequencing of 125 genes was performed with the Archer FusionPlex Lymphoma kit.

**Results.** CD56 expression was found in 7 cases (3%). The intensity of expression varied from weak to moderate focal, to very intensive and diffuse. All patients had *de novo* DLBCL. The median age at the time of diagnosis was 54.5 years. Five of them were women and 2 males. According to the Hans algorithm, 6 patients had the germinal centre B cells (GBC) type and one non-GBC (activated B-cell [ABC]) type, double expressor. Genetic profiling of four patients according to Schmitz's classification showed that 1 case was of the BN2 subtype, 1 of EZB subtype, 2 were unclassified. The six treated patients reached a complete response and did not experience progression of the disease during the median follow-up period of 80.5 months.

**Conclusions.** We report on one of the largest series of CD56+DLBCL with detailed clinicopathological data and for the first time described genetical findings in a limited number of patients. Our results show that CD56 expression is rare, but seems to be present in prognostic favourable subtypes of DLBCL not otherwise specified (NOS) as tested by immunohistochemical or genetic profiling.

Key words: diffuse large B-cell lymphoma not otherwise specified; CD56; immunohistochemistry; genetic profiling; prognosis

## Introduction

CD56, also known as the neural cell adhesion molecule (NCAM), is a member of the immunoglobulin superfamily that plays important functional roles during nervous system development, differentiation, and immune surveillance. In addition to neurons and glial cells, CD56 is normally also expressed in neuroendocrine tissues and some cells of the hematopoietic system like NK cells and activated T lymphocytes.<sup>1</sup> In the hematopathology service, it is mainly used as a marker of NK cells and their neoplastic counterparts. Its aberrant expression is useful as a proof of clonal plasma cell proliferation, while it can also be used as prognostic marker in plasmacytoma, as well as in acute myeloid leukemia (AML) and acute lymphoblastic leukaemia (ALL).<sup>2-5</sup>

Diffuse large B-cell lymphoma (DLBCL) is the most common lymphoma, representing approximately one third of all non-Hodgkin lymphomas.<sup>2</sup> Cases of DLBCL that do not fit the distinctive clinical presentation, tissue morphology, neoplastic cell phenotype, and/or pathogen-associated criteria of other subtypes of DLBCL are termed "DLBCL not otherwise specified (DLBCL NOS)" and represent 80-85% of all DLBCL cases.<sup>2</sup> The WHO 2016 classification of hematopoietic neoplasms<sup>2</sup> requires that the neoplastic cells in DLBCL NOS be further defined based on whether they are derived from germinal centre B cells or activated B-cells as identified by gene expression profiling (GEP) or are germinal centre B cells (GBC) or non-GBC as identified by immunohistochemical (IHC) analyses. In general, DLBCL NOS is an aggressive disease with an overall long-term survival rate in patients treated with standard chemotherapy regimens of ~60%.7.8 Patients with activated B-cell (ABC) DLBCL and non-GBC variants have significantly worse prognoses than patients with the GBC variant.6 Expression of markers in DLBCL NOS neoplastic cells that have clinical significance as prognostic or predictive factors include CD5, MYC, BCL2, BCL6, CD20, CD19, CD22, CD30, PD-L1, and PD-L2.<sup>2,6</sup> For example, 5–10% of DLBCL NOS cases express CD5 and have a very poor prognosis that is not improved by even aggressive treatment regimens, while the expression of CD30 represents a favourable prognostic indicator.<sup>2</sup>

Very little is known about the incidence and clinical importance of CD56 expression in DLBCL. In the last 30 years, the literature has only a few case reports or small series of CD56+ DLBCL with conflicting results on its importance.<sup>10-18</sup> It could have a prognostic value; however, since new target drugs are becoming available and among them is also anti-CD56 antibody, CD56 could serve as a potential target for the treatment of patients who do not respond to standard therapeutic schemes.

The purpose of this study was to evaluate CD56 expression in DLBCL in our series, to estimate its

relationship to epidemiological factors, to roughly estimate its value as a prognostic marker, and to describe, for the first time the molecular findings in a subset of cases.

## Patients and methods

### **Specimens**

Data bases of the Department of Pathology Institute of Oncology Ljubljana (IOL) have been searched for all cases of DLBCL diagnosed between 2008 and 2017. Only the cases in which appropriate amount of material was present that could allow the construction of tissue microarrays (229) have been chosen for the study. Tissue microarrays have been constructed, sectioned, and stained by H&E and immunohistochemistry for the Hans algorithm as previously described.<sup>19</sup> Also, for the cases that were CD56 positive, flow cytometric and/or immunocytochemical staining results and data were retrieved and re-analysed from the database of the Department of Cytopathology.

### **Patients**

For selected patients, clinical data was collected including age at diagnosis, stage of the disease, IPI score, treatment scheme and number of cycles, potential radiation therapy, outcome and possible relapse of the disease were also noted. Overall survival (OS) and progression-free survival (PFS) were calculated. Subjects were censored at their last visit to the IOL and for those who finished follow-up at IOL, a vital status from the Cancer Registry of the Republic of Slovenia. All procedures followed in this evaluation were in accordance with the ethical standards of the responsible committee on human experimentation (Ethical Committee of Institute of Oncology Ljubljana, approval number: ERID-KESOPKR-23 and the Ethical Committee of the Republic of Slovenia, approval number: 58/02/15) and the Helsinki Declaration of 1975, as revised in 2000.

### Immunohistochemistry

 $3-4 \mu m$  thick, formalin-fixed paraffin-embedded sections of constructed TMAs were used for immunohistochemical staining with the monoclonal antibody CD56. Staining was performed on the Ventana Benchmark platform using the MRQ 42 clone (Cell Marque) in dilution 1:200.

# Flow cytometric analysis and immunocytochemistry

The preparation of FNAB (fine needle aspiration biopsy) lymph node sample, cell counting, sample preparations for flow cytometric immunophenotyping, acquisition of cells with flow cytometer and measurement result analysis were performed as previously described.<sup>20</sup> Monoclonal antibodies against CD45, CD19, CD20, CD3, CD10, CD5, CD23, FMC7,  $\kappa$  and  $\lambda$  LCs (BD Biosciences, New Jersey, U.S.) were used. The samples were acquired using a four-colour flow cytometer FACSCalibur (BD Biosciences, New Jersey, U.S.), a six-colour flow cytometer FACSCanto II (BD Biosciences, New Jersey, U.S.) or a ten-colour FACSCanto X (BD Biosciences, New Jersey, U.S.). The measurement results were analysed using CellQuest (BD Biosciences, New Jersey, U.S.) or BD FACSDiva software (BD Biosciences, New Jersey, U.S.). For immunocytochemical staining, methanol and Delaunay-fixed cytospines were prepared. Stainings were carried out on the Ventana Benchmark Ultra platform using antibodies against CD56, CK AE1/AE3, CK18 (DAKO), CD20 (Cell Marque, Rocklin, California, U.S.), synaptophysin (Termo Scientific, Waltham, Massachusetts, U.S.), CD3 and TTF-1 (Leica Biosystems, Nussloch, Germany).

### Molecular analysis - NGS sequencing

RNA was extracted from 4 paraffin-embedded tissue samples was extracted using the MagMAX<sup>TM</sup> FFPE DNA/RNA Ultra Kit (ThermoFisher, Waltham, MA, USA). Samples were treated with DNase, during the extraction process. Targeted RNA (cDNA) sequencing of 125 genes was performed with the Archer FusionPlex Lymphoma kit (Invitae-ArcherDX, San Francisco, CA, USA). The final NGS library was quantified using the KAPA Library Quantification Kit (KAPA Biosystems, Merck, Ljubljana, Slovenia) and pair-end sequenced on a MiSeqDx system (Illumina, San Diego, CA, USA). The trimmed FASTQ file was uploaded to Archer Analysis software Version 6.0.3.2, which performed variant and fusion calls along with the determination of cell of origin (ABC or GCB). Variants were considered true positive if the frequency of the variant allele was above 10%, with minimum coverage of 100x.20 All variants reported in GnomAD were excluded. Fusions were considered true positive if the fusion event was covered with a minimum of 5 unique reads and the percentage of reads supporting the event was above 10%.21,22



FIGURE 1. (A) Morphology of diffuse large B-cell lymphoma (DLBCL), CD56+; H&E, 20x; (B) Strong expression of CD56 in DLBCL not otherwise specified (NOS) (tissue microarray), 4x; (C) weak to moderate CD56 expression in DLBCL NOS (tissue microarray), 4x.

### Statistical analysis

For numeric and demographic variables descriptive statistics were used (median, range, standard deviation, percentage). Overall survival and progression-free survival were calculated using the Kaplan-Meier method. Statistical analyses were performed using IBM SPSS Statistics, version 26.

## Results

Among 229 DLBCL, NOS cases included in the study, CD56 expression was found in 7 cases (3%). The intensity of CD56 expression varied from moderate focal to very intensive and diffuse positive reaction (Figure 1). Reanalysis of the five cases in which fine needle aspiration biopsy (FNAB) of the lymph node was performed prior to surgical biopsy and histological examination showed that CD56 was not included in routine flow-cytometry



TABLE 1. Clinicopathological characteristics of patients with CD56 positive diffuse large B-cell lymphoma (DLBCL), review of the literature with our series

Publication	Coun	No of pat	Case No	Sex Age	GC type**	Non-GC type	LN	Extranodal disease and site	Clinical stage	IPI	LDH	Surg	CT and No. of cycles	RT	Response	FU
Kern 199323	USA	1	1	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Muroi 199817	Jap	2	1 2	M,49 F,62	Yes	Yes	Yes Yes	Liver, Spleen, Pericard. Ef. Liver	NA NA	NA NA	NA NA	No No	CHOP, NAX CHOP, NAX	No No	PR? PR?	NA NA
Sekita 199918	Jap	1	1	M,16	Yes		Yes		I	NA	NA	No	CHOP, 6x	No	CR	10 m
Hammer 1998 <sup>15</sup>	USA	4	1 2 3 4	M,51 M,69 M,76 M,54	NA NA NA	NA NA NA	Yes No Yes Yes	Stomach	NA NA NA	NA NA NA	NA NA NA		NA NA NA	NA NA NA	NA NA NA	NA NA NA
Otsuka 2004 <sup>14</sup>	Jap	2	1 2	NA NA	Yes Yes		NA NA	NA NA	NA NA	NA NA	NA NA	NA NA	NA NA	NA NA	NA NA	NA NA
Weisberger *2006 <sup>11</sup>	USA	10	1 2 3 4 5 6 7 8 9 10	M,41 M,52 M,54 M,83 M,49 F,57 F,69 M,77 M,84 M,77	Yes Yes Yes Yes Yes Yes Yes Yes	Yes	No Yes No Yes Yes Yes No	lleocecal valve SpineAbdomen Brain	NA NA NA NA NA NA NA	NA NA NA NA NA NA NA	NA NA NA NA NA NA NA NA	NA NA NA NA NA NA NA	NA NA NA NA NA NA NA NA	NA NA NA NA NA NA NA	NA NA NA NA NA NA NA NA	NA NA NA NA NA NA NA
Isobe 200713	Jap	3	1 2 3	M,80 F,87 M,73	Yes Yes Yes		Yes No Yes	Ascites Ileum Ileum	NA NA NA	NA NA NA	NA NA NA	No Yes Yes	THP-COP, 3x No R-CHOP, 6x	No No No	NR CR CR	DOD 22 m 22 m
Chen 201016	Ch	1	1	NA	NA	NA	NA	NA	NA		NA	NA	NA	NA	NA	NA
Gomyo 2010 <sup>9</sup>	Jap	7	1 2 3 4 5 6 7	M,29 F,60 F,22 M,64 M,63 M,50 F,45	Yes Yes Yes Yes	Yes Yes Yes	Yes No Yes No No No	Spleen WR WR PI. Ef, Adr. gl, Submand. gl Nasal cavity Intra-extradural mass Subcutis	IIIB IIA IIA IIA IA IA IVA	HI L H L HI	↑ N ↑ N	No No No No No	R-CHOP, oPBSCT R-CHOP 3x CHOP 3x CHOP 5x RCHOP 5x Res+CHOP 4x R-CHOP 8x	No Yes No Yes Ye sNo	CR CR CR CR CR RCR	A, 24 m A, 50 m D, 4 m (pneumonia) A, 43 m A, 70 mA, 5 m
Stacchini 2012 <sup>12</sup>	lt	5	1 2 3 4 5	M,72 M,15 M,71 M,60 M,21	Yes Yes Yes Yes	Yes	Yes Yes No No	Spleen, Stomach,Pancr. Stomach, Liver Nasopharynx	NA NA NA NA	NA NA NA NA	NA NA NA NA	NA NA NA NA	NA NA NA NA	NA NA NA NA	NA NA NA NA	NA NA NA AWD 12m
Gu 201310	SK	1	1	F,5		Yes		WR	Ι		Ν	Yes	COPAD, 6x	No	CR	NA
Liu 20208	Ch	1	1	M,14	Yes, DH		Yes	Nasopharynx	IV	NA	ţ	No	CTX+CP R-Hyper-CVAD AB R-DA-EPOCH, 6x IT DM+CTB,4x	No	CR	NA
Gasljevic 2022	Slo	7	1 2 3 4 5 6 7	F,56 F,51 M,57 M,56 F,53 F,30 F,79	Yes Yes Yes Yes	Yes, DE Yes NA	Yes Yes Yes Yes Yes	Skeletal muscle Small bowel Spleen, Liver, Adrenal gland	IA IA IVB IIA IVB IVB	0 0 0 3 3 5	N ↑ N ↑	Yes No No Yes No No	R-CHOP, 3x R-CHOP, 3x R-CHOP, 6x R-EPOCH,6x +IT,2x CHOP, 3x R-CHOP,8x No	No No No Yes Yes No	CR CR CR CR CR CR NA	A ,63 m A, 73 m A, 55 m A, 40 m A, 62 m A, 182 m DOD

A = alive; aPBSCT = autologous peripheral blood stem cell transplantation; AWD = alive with disease; Ch = China; CHOP = cyclophosphamide, doxorubicin hydrocloride, vincristine sulfat, prednisone; Coun = country; CP = prednisone; CR = complete response; CT = chemotherapy; CTX = cyclophosphamide; D = dead; DA-EPOCH = etoposide, doxorubicin, vindesine, dexamethasone, cyclophosphamide; D = double expressor; DOD = dead of disease; F = female; FU = follow-up; GI = gland; Hyper-CVAD AB = A: cyclophosphamide, vindesine, liposomal doxorubicin, dexamethason, B: methotrexate, cytarabine; IPI = International Prognostic Index; It = Italy; IT = intratechal; Jap = Japan; LN = lymph nodes; M = male; m = months; N = normal; NA = not available; NR = no response; Pancr = pancreas; PI. E = pleural effusion; PR = partial response; R = rituximab; res = resection; RT = radiotherapy; SK = South Korea; SIo = Slovenia; Submand = submandibular; THP-COP = pirarubicin, cyclophosphamide, vincristine sulfat, prednisone; WR = Waldeyers ring

\* only histologically proven cases are considered

\*\* on the basis of the CD10 positivity

work-out. There was only one case<sup>23</sup> (case 1 in Table 1) in which immunocytochemistry for CD56 was stained since tumour cells showed co-expression of cytokeratin and the diagnosis of metastatic neuroendocrine carcinoma has been made.

All patients had *de novo* DLBCL. The median age at the time of diagnosis was 54.5 years (range 30–57). Five of them were women and 2 males. Five patients were diagnosed with DLBCL, GC type, 2 with DLBCL non-GC (ABC) type, one being a dou-

TABLE 2. Genetic profile of CD56 positive diffuse large B-cell lymphoma (DLBCL) samples

Case number in Table 2	COO IHC	COO AFPL	fusion	variants			VAF (%)	variant classiification	Schmitz et al., 2018 <sup>32</sup> classification				
				gene	nucleotide change	amino acid change							
1	GCB	GCB	ND	RANBP1	NM_002882.3:c.23A>G	NP_002873.1:p.(His8Arg)	13,7	Uncertain significance	unclassified				
2	GCB	GCB	ND	ND	ND	ND	ND	ND	unclassified				
				CD79B	NM_000626.2:c.587A>T	NP_000617.1:p.(Tyr196Phe)	49,0	Pathogenic					
		GCB GCB						CD79B	NM_000626.2:c.568A>G	NP_000617.1:p.(Met190Val)	50,1	Uncertain significance	
3	GCB		ND	EZH2	NM_001203247.1:c.1922A>G	NP_001190176.1:p.(Tyr641Cys)	53,7	Pathogenic	EZB				
				MYD88	NM_001172567.1:c.656C>G	NP_001166038.1:p.(Ser219Cys)	37,7	Uncertain significance					
					SH3BP5	NM_004844.4:c.460G>A	NP_004835.2:p.(Ala154Thr)	19,3	Uncertain significance				
	100			CD79B	NM_000626.2:c.587A>C	NP_000617.1:p.(Tyr196Ser)	25,9	Pathogenic	DUO				
<b>4</b> AB	ABC	ABC	IGH-BCL6	SH3BP5	NM_004844.4:c.460G>A	NP_004835.2:p.(Ala154Thr)	12,6	Uncertain significance	BN2				

AFPL = Archer SusionPlex lymphoma; COO= cell of origin; IHC = immunohistochemical analyses; ND = not detected; VAF = variant allele freqency;

ble expressor (DE). One patient refused staging and treatment and died shortly after being diagnosed and was therefore excluded from survival analysis.

Among the six patients who received treatment, three patients were in clinical stage 1, one in stage 2 while two were in clinical stage 4. Only patients in clinical stage 4 had constitutional symptoms. Four patients had disease localised in the lymph nodes while two of them also had extranodal infiltrates – one in the pectoral muscles and the other in the renal fascia and small bowel. Three patients had elevated LDH levels, in fact, both patients in clinical stage 4B and one in stage 2A. Those patients in stage 4 had the IPI score 3 and others had the IPI score 0.

Three patients underwent surgical procedure and were later treated with adjuvant 3 cycles of CHOP (cyclophosphamide, doxorubicin, vincristine, prednisone) and R-CHOP (rituximab, cyclophosphamide, doxorubicin, vincristine, prednisone). Other 3 patients were treated with 6 or 8 cycles of R-CHOP. Two patients were also treated with adjuvant radiotherapy after completion of systemic treatment. The patient with non-GC type DE of DLBCL was treated with 6 cycles of R-EPOCH (rituximab, etoposide, cyclophosphamide, doxorubicin, vincristine, prednisone) together with 2 doses of intrathecally administered methotrexate and cytosine arabinoside for central nervous system prophylaxis.

The 6 treated patients reached a complete response and did not experience progression of the disease during the follow-up period, meaning that 5-year PFS and OS are 100%. Median follow-up was 80.5 months (range 42–197).

The clinicopathological characteristics of our cohort together with all cases reported in the literature are shown in Table 1. Genetic profiling of 4 patients was performed as described in *Patients and methods,* and the results are presented in Table 2.

## Discussion

CD56 expression in DLBCL NOS is very rare. Its incidence is reported to be 0.5 to 7% of DLBCLs, but is actually unknown since CD56 is generally not included in the immunohistochemical or flow cytometric panel for the diagnosis of DLBCL.<sup>10-18</sup> In our series of patients with DLBCL NOS expression of CD56 was present in 3% of patients and varied in intensity from weak to very strong and diffuse. In one of those cases, that phenomenon resulted in an incorrect diagnosis of lymph node metastasis of the neuroendocrine tumour. In fact, in the general pathology service the main use of CD56 is to prove neuroblastoma and neuroendocrine differentiation in tumours of different origin while in hematopathology service it is used as a marker of NK cells, as a proof of clonal plasma cell proliferation, and as a prognostic marker in plasmacytoma, acute myeloid leukemia (AML), and acute lymphoblastic leukemia (ALL).2-5 Since neuroendocrine carcinomas could be unevenly and weakly positive or even negative for cytokeratins<sup>24</sup>, it is of the greatest importance for the pathologist to be aware that strong expression of CD56 could be present al254

so in some entities that are by definition not CD56 positive.

Throughout the papers published so far, there has been much speculation about this phenomenon with regard to its expression in special clinicopathological settings and its possible prognostic value. From an epidemiological point of view, some authors<sup>9</sup> suggested that it could be related to racial and/or geographical factors since, at the time of the publication of the paper, almost 50% of all reported cases were reported from Japan. Thorough analysis of all the cases with available information shows that 18 out of 45 cases (40%) have arisen in the population of far east (Japan, Korea, China; Table 1), while 27 (60%) were reported in the western population, Caucasians mainly (USA, Italy, Slovenia; Table 1). These results suggest that CD56+DLBCL is not related to racial / ethnic factors opposite to some other CD56 positive lymphoproliferative diseases such as NK/T cell lymphoma, nasal type.<sup>2</sup> The age distribution is very wide with cases described in paediatric/ adolescent population as well as in the older patient most of the patients being in 6-7th decade of life. In our series, the vast majority of patients were middle aged, in the beginning of the sixth decade. The distribution of gender showed that among the far east patients, somewhat higher number of men are reported (6 female vs. 9 males; for 3 cases there is no information about gender) while in the western world there is a predominance of males (7 females vs. 19 males; 26% vs. 74%). However, our series shows contradictory results in which most patients (70%) are women, so it can be assumed that the higher incidence reported in males so far could be only a mere coincidence.

There are two main biologically distinct molecular subtypes of DLBCL: GCB and ABC. ABC DLBCL is associated with substantially worse outcomes when treated with standard chemoimmunotherapy. Based on gene expression studies, Hans et al.25 developed an algorithm to discriminate GBC from non-GBC types in regard to immunohistochemical expression of CD10, bcl6 and MUM1 with cutoff of 30%. In addition to GCB and ABC subtypes, double-hit lymphomas and double-expressor lymphomas, which overexpress myc and bcl2 protein, are aggressive DLBCLs and are also associated with a poor prognosis. On the basis of immunohistochemical results, a few authors9,11-13 found a relation of CD56 expression to DLBCL of GBC origin. Of the 45 summarized cases, for 8 cases there was no information about immunophenotype. Twenty-eight out of 36 (76%) were of GBC

type and the remaining 24% were of non-GBC (ABC) type. One reported case<sup>8</sup> was double hit lymphoma with translocations of *MYC* and *bcl-6*, while in our series one DLBCL of non-GC (ABC) type DLBCL showed so-called double expressor profile with expression of bcl2 and myc protein expression being > 30%. Somewhat lower percentage of GBC types are reported in Eastern patients compared to the Western (10/15 and 17/21 or 75% *vs.* 81%). This finding could be related to the previously recognized and reported lower frequency of the DLBCL GBC subtype in Asian countries.<sup>26</sup>

In addition, it has been suggested that CD56 expression in DLBCL could be related to a more frequent extranodal presentation associated to the adhesive properties of CD56.9,11 In neural cells, it mediates cell-to-cell adhesion by CD56 molecules of adjacent cells binding together.27 It may be involved in homophilic adhesion for NK and T cells due to the C2-set Ig regions and fibronectin regions within its extracellular domain.<sup>28</sup> However, its function with respect to B-cell ontogeny is unclear. The expression of CD56 has been detected in a human pluripotent stem cell.28 A subset of very early precursor B cells has the innate capacity for CD56 expression that is down-regulated and extinguished later in differentiation. It has been shown that lymphomagenesis is a stepwise process progression of which is enabled by accumulation of genetic events.8 In follicular or mantle cell lymphoma, for example<sup>30</sup>, first events such as t(14,18) and t(11,14) namely, do occur in progenitor B cells. Drawing parallels to this, we could assume that CD56+ DLBCL could arise from the precursor B-cell that, for whatever reason, did not downregulate CD56 expression and then collected additional mutations that resulted in lymphoma development. Some authors9,11-13 underlined frequent extranodal infiltrates in CD56+DLBCL with spleen, stomach, ileum, and nasal cavity being most frequently involved. Of 40 cases with available information, 16 (40%) presented with isolated lymphadenopathy while 24 (60%) had extranodal infiltrates with or without lymphadenopathy (14 vs. 10). Four of our patients presented with isolated lymphadenopathy while two had extranodal disease, which is concordant with majority of our patients having limited stage disease and were therefore treated adjuvantly after surgery.

The expression of CD 56 can be used as a prognostic marker in certain hematopathological entities; it can predict the occurrence of brain infiltration in ALL<sup>5</sup>, the aggressiveness of multiple myeloma<sup>3</sup>, and relapsed AML.<sup>4</sup> So far, its prognostic importance in DLBCL has not been confirmed. All of our patients achieved complete remission, and remained in remission which can be at least partially attributed to low IPI scores and low clinical stages; however, two patients with clinical stage 4 also achieved and maintained complete remission. None of our patients had a high IPI score of 4 or 5 which are known to have the lowest survival.<sup>31</sup> In most of them, DLBCL was of GCB subtype, which also carry a better prognosis.<sup>24</sup>

Schmitz et al.32 classified DLBCL cases according to genetic findings into 4 categories, namely MCD (based on the cooccurrence of MYD88<sup>L265P</sup> and CD79B mutations), BN2 (based on bcl6 fusions and NOTCH2 mutations), N1 (based on NOTCH1 mutations) and the EZB group (based on EZH2 mutations and *bcl2* translocations). These subtypes differed phenotypically and in response to immunochemotherapy, with favourable survival in the BN2 and EZB groups. Genetic profiling of four patients from our series according to Schmitz classification<sup>32</sup>, showed that 1 case was of BN2 subtype, one belongs to the EZB group, while two were unclassified. Although data are limited and demand testing in larger cohorts of patients, so far it can be concluded that CD56 expression is more often present in cases of DLBCL NOS with prognostically favourable genetical findings.

CD56 is expressed in some aggressive tumour types such as small lung cell carcinoma and neuroblastoma. To date, it has been used as a target molecule for antibody-based immunotherapy in phase I and II clinical trials for small cell lung carcinoma<sup>33</sup>; a favourable safety profile has been demonstrated. That led to the development of CAR-T therapy directed against CD56 in neuroblastoma. In the xenograft neuroblastoma model, anti-CD56 therapy led to the tumour burden control but had only modest effect on survival.34 More studies are needed in regard to neuroblastoma therapy and other CD56 positive tumours but CD56 could eventually serve as a potential target for the treatment of CD56+ DLBCL patients who do not respond to the standard therapeutic schemes.

In conclusion, here we report one of the largest series of CD56+DLBCL with detailed clinicopathological data and for the first time described genetic findings in a limited number of patients. Our results show that CD56 expression is rare but seems to be present in prognostic favourable subtypes of DLBCL NOS as tested by immunohistochemical or genetic profiling.

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Authors' contributions: GG and VKP conceived the idea, designed the study, collected the data, and wrote the initial draught of the article. LB and BJN collected and analysed the data and revised the manuscript. SN and VŠD performed the molecular testing, interpreted the data, and revised the manuscript.

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## research article

# Quantitative dynamic contrast-enhanced parameters and intravoxel incoherent motion facilitate the prediction of TP53 status and risk stratification of early-stage endometrial carcinoma

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**Background.** The aim of the study was to investigate the value of dynamic contrast-enhanced magnetic resonance imaging (DCE-MRI) and intravoxel incoherent motion (IVIM) in differentiating TP53-mutant from wild type, low-risk from non-low-risk early-stage endometrial carcinoma (EC).

**Patients and methods.** A total of 74 EC patients underwent pelvic MRI. Parameters volume transfer constant ( $K_{ep}$ ), rate transfer constant ( $K_{ep}$ ), the volume of extravascular extracellular space per unit volume of tissue ( $V_e$ ), true diffusion coefficient (D), pseudo-diffusion coefficient (D\*), and microvascular volume fraction (f) were compared. The combination of parameters was investigated by logistic regression and evaluated by bootstrap (1000 samples), receiver operating characteristic (ROC) curves, calibration curves, and decision curve analysis (DCA).

**Results.** In the TP53-mutant group, K<sup>trans</sup> and K<sub>ep</sub> were higher and D was lower than in the TP53-wild group; K<sup>trans</sup>, V<sub>e</sub>, f, and D were lower in the non-low-risk group than in the low-risk group (all P < 0.05). In the identification of TP53-mutant and TP53-wild early-stage EC, K<sup>trans</sup> and D were independent predictors, and the combination of them had an optimal diagnostic efficacy (AUC, 0.867; sensitivity, 92.00%; specificity, 80.95%), which was significantly better than D (Z = 2.169, P = 0.030) and K<sup>trans</sup> (Z = 2.572, P = 0.010). In the identification of low-risk and non-low-risk early-stage EC, K<sup>trans</sup>, V<sub>e</sub>, and f were independent predictors, and the combination of them had an optimal diagnostic efficacy (AUC, 0.947; sensitivity, 83.33%; specificity, 93.18%), which was significantly better than D (Z = 3.113, P = 0.002), f (Z = 4.317, P < 0.001), K<sup>trans</sup> (Z = 2.713, P = 0.007), and V<sub>e</sub> (Z = 3.175, P = 0.002). The calibration curves showed that the above two combinations of independent predictors, both have good consistency, and DCA showed that these combinations were reliable clinical prediction tools.

**Conclusions.** Both DCE-MRI and IVIM facilitate the prediction of TP53 status and risk stratification in early-stage EC. Compare with each single parameter, the combination of independent predictors provided better predictive power and may serve as a superior imaging marker.

Key words: early-stage endometrial carcinoma; dynamic contrast-enhanced MRI; intravoxel incoherent motion; p53 status; risk stratification

Parameters	T1WI	T2WI	DWI	IVIM	DCE-MRI
Sequence	2D-FSE	2D-FSE	2D-SS-EPI	2D-SS-EPI	3D-LAVA
Orientation	Oblique Axial	Oblique Axial	Oblique Axial	Oblique Axial	Oblique Axial
TR/TE (ms)	659/12.3	6000/95	3708/74.3	2000/80.7	3.5/1.7
FOV (cm <sup>2</sup> )	40 × 40	40 × 40	40 × 40	40 × 40	36 × 36
Matrix	288 × 192	320 × 320	96 × 128	128 × 192	288 × 192
Flip angle (°)	160	160	90	90	15
Slice thickness (mm)	6	6	6	6	6
No. of sections	20	20	20	Based on lesion's size	26
NEX	1	1	1, 4	1, 1, 1, 1, 1, 1, 2, 4, 4, 6	0.73
Fat suppression	/	STIR	STIR	STIR	FLEX
b-values (s/mm²)	/	/	0, 800	0, 20, 40, 80, 160, 200, 400, 600, 800, 1000	/
Respiratory compensation	Free	Free	Free	Free	Free
Scan time	1 min 56 s	48 s	1 min 04 s	3~6min	6 min 08 s (40 phases)

#### TABLE 1. Imaging protocol parameters

DCE-MRI = dynamic contrast-enhanced magnetic resonance imaging; DWI = diffusion-weighted imaging; FOV = field of view; FLEX = FLEXible; FSE = fast spin echo; IVIM = intravoxel incoherent motion; LAVA = liver acquisition with volume assessmeNT; NEX = number of excitations; SS-EPI = single shot echo planar imaging; STIR = short-inversion time(TI) recovery; TR/TE = repetition time/echo time; T1WI = T1-weighted imaging; T2WI = T2-weighted imaging

## Introduction

Endometrial carcinoma (EC) is a common malignant tumor of the female reproductive system worldwide, and approximately 80% of newly diagnosed EC patients are in the early stage (International Federation of Gynecology and Obstetrics (FIGO) stage IA, IB).1 The TP53 is an important suppressor gene that is deeply involved in tumorigenesis and can control cell growth, apoptosis and regulate angiogenesis. Several studies have shown that high expression of TP53 is closely associated with poor prognosis in EC patients.<sup>2,3</sup> Risk stratification based on the histologic subtype, grade, FIGO stage, and lymphovascular space invasion (LVSI) is the primary basis for determining treatment strategies for early-stage EC.4 For nonlow-risk (intermediate-, high-intermediate-, and high-risk) patients, lymphadenectomy (LND) is required in addition to the standard treatment of total hysterectomy with bilateral salpingo-oophorectomy, since it can significantly improve patient benefit. But for low-risk patients, LND is not recommended as it is likely to lead to complications and increased care costs.5 Currently, preoperative biopsy and routine magnetic resonance imaging (MRI) are the primary means of obtaining the TP53 status and risk stratification information of EC, respectively.6 However, biopsy may not be sufficient for a reliable diagnosis due to shortcomings such as unstable sampling depending on operator experience, inadequate sampling, and invasiveness.<sup>78</sup> At the same time, conventional T1-weighted imaging (T1WI) and T2-weighted imaging (T2WI) not only fail to reflect the TP53 status, histological subtype, and grade information of the lesion but also likely to have a poor to moderate pooled sensitivity in detecting high-risk factors, including deep myometrial invasion and cervical stromal infiltration, due to the presence of adenomyosis and leiomyomas and the loss of the junctional zone.<sup>9,10</sup> Therefore, finding a noninvasive and effective means to assess the TP53 status and risk stratification in early-stage EC is of great benefit to patients.

Dynamic contrast-enhanced MRI (DCE-MRI) is a promising quantitative MRI sequence that can detect blood supply in biological tissues by analyzing the dynamic distribution of contrast agents through pharmacokinetic models.<sup>11,12</sup> Intravoxel incoherent motion (IVIM) can also be used to reflect blood perfusion, and compared to DCE-MRI, it not only eliminates the need for contrast agents but also provides additional information on the diffusion of water molecules within the lesion.<sup>13-16</sup> Recently, some authors have used IVIM and DCE-MRI for EC-related studies. For example, Satta *et al.* and Fu *et al.* applied IVIM and DCE-MRI to assess the grade, stage, and other histopathological features of EC and showed that some of the derived parameters helped to identify the histopathological features of EC.<sup>17,18</sup> Zhang *et al.* and Meng *et al.* used IVIM<sup>19,20</sup>, while Ye *et al.*<sup>12</sup> used DCE-MRI for the preoperative risk assessment of EC, and their results showed that some parameters of DCE-MRI or IVIM could play a positive role in the risk stratification prediction of EC. However, not only did none of these studies address TP53 status but also risk stratification was assessed either by applying only one of the IVIM or DCE-MRI techniques or the subjects were not early-stage EC.

The purpose of this study was to investigate the contributory value of quantitative parameters derived from DCE-MRI and IVIM in differentiating TP53-mutant from TP53-wild, low-risk from nonlow-risk early-stage EC, offering a potential reference for the clinical management of early-stage EC.

## Patients and methods

### **Study patients**

This prospective study was complied with ethical committee standards and approved by the ethics committee of the First Affiliated Hospital of Xinxiang Medical University (NO. EC-022-002) and informed consent was taken from all individual participants. From January 2021 to April 2022, 114 female patients underwent pelvic MRI due to suspected EC by clinical examination, ultrasound (US), or computed tomography (CT). Forty participants were excluded during this study: 1) 7 patients were diagnosed with an endometrial polyp, atypical hyperplasia, or other non-EC diseases; 2) 16 patients had FIGO stage  $\geq$  II; 3) 4 patients received radiotherapy or neoadjuvant chemotherapy; 4) 3 patients had claustrophobia or other diseases that prevented them from completing all the sequences; 5) 6 patients had inadequate DCE-MRI or IVIM imaging quality for analysis due to severe artifacts, and 6) 4 patients decided to perform histological analysis and treatment in other institutes. Ultimately, 74 patients were enrolled in the study (Figure 1).

### **MRI** protocols

A 1.5 T MR system (Optima MR360, Waukesha, WI, USA) with a 12-channel phased-array body coil was used in this study. The imaging protocol included oblique axial (perpendicular to the long axis of the uterus) T1WI, T2WI, DWI, IVIM, and DCE-MRI. For DWI and DCE-MRI sequences, the



FIGURE 1. Flowchart of the present study.

EC = endometrial carcinoma

scans covered the anterior superior iliac spine to the symphysis pubis. For IVIM (b = 0, 20, 40, 80, 160, 200, 400, 600, 800, and 1000 s/mm<sup>2</sup>), to minimize scan time, the scan was limited to the lesion area (determined by an experienced radiologist from the DWI images), and its location, layer thickness, and layer spacing were consistent with the corresponding layer of DWI.<sup>18</sup> DCE-MRI was performed by a three-dimensional liver acquisition with volume acceleration (3D-LAVA) sequence with 40 phases (time resolution, 9s), and gadopentetate dimeglumine (Gd-DTPA, Bayer Pharmaceutical, Berlin, Germany) was injected intravenously with an automatic injector (0.2 mL/kg, 3.0 mL/s). The protocol details are provided in Table 1.

### Image postprocessing

All images were transferred to the Advantage Workstation (version 4.7), and the IVIM and DCE-MRI images were analyzed within the workstation

#### TABLE 2. Clinicopathologic features of the patients

Variable	Data
Age (mean ± SD) (years)	54.00 ± 7.91
Maximum diameter (mean $\pm$ SD) (mm)	25.10 (13.76, 42.58)
FIGO stage n (%)	
IA	44 (59.46)
IB	30 (40.54)
Histologic subtype n (%)	
Adenocarcinoma	67 (90.54)
Non-adenocarcinoma	7 (9.46)
Clear-cell	3 (4.06)
Undifferentiated carcinoma	2 (2.70)
Carcinosarcoma	2 (2.70)
Lymphovascular space invasion n (%)	
Positive	10 (6.76)
Negative	64 (93.24)
Histologic grade n (%)	
Grade 1	54 (72.98)
Grade 2	10 (13.51)
Grade 3	10 (13.51)
Risk stratification n (%)	
Low	44 (59.46)
Intermediate	20 (27.03)
High-intermediate	0 (0.00)
High	10 (13.51)
TP53 expression	
Mutant	21 (28.38)
Wild	25 (33.78)
No result	28 (37.84)

 $\mathsf{FIGO}$  = International Federation of Gynecology and Obstetrics;  $\mathsf{SD}$  = standard deviation

using vendor-provided software named MADC and GenIQ, respectively. The IVIM parameters were calculated by the following formula:

$$S_{b}/S_{0} = (1 - f) \times \exp(-b \times D) + f \times \exp(-b \times D^{*})$$
[1]

where  $S_0$  was the signal intensity at the b value of 0;  $S_b$  was the signal intensity at the b value denoted by the subscript; D was the true diffusion coefficient of a water molecule; D\* was the pseudodiffusion coefficient due to microcirculation; and f was the microvascular volume fraction, indicating the fraction of diffusion related to microcirculation.<sup>11</sup> The DCE-MRI perfusion parameters were quantitatively calculated based on the Tofts model. The arterial input function (AIF) was obtained from the internal iliac artery. The imaging parameter K<sup>trans</sup>, known as the volume transfer constant, represents the diffusion of contrast medium from the vessel to the extravascular extracellular space (EES); K<sub>ep</sub>, known as the rate transfer constant, represents the diffusion of contrast medium from the EES to the vessel; and V<sub>e</sub> represents the volume of EES per unit volume of tissue <sup>13</sup>; thus, K<sub>ep</sub> = K<sup>trans</sup>/V<sub>e</sub>.

For regions of interest (ROI), first, images of DCE-MRI and IVIM were co-registered, and then on the DCE-MRI images of the phase with the clearest lesion display<sup>21</sup>, ROIs were delineated layer by layer for all slices containing the tumor, and these ROIs were manually drawn along the inside margin of the primary tumor, avoiding areas with cystic degeneration, necrosis, apparent signs and hemorrhage artifacts, and blood vessels. Subsequently, all completed ROIs were automatically copied to the pseudo-color maps of the DCE-MRI and IVIM-derived parameters to calculate the mean values based on the volume of interest (VOI). All of these procedures were completed independently by two radiologists with 7 and 15 years of experience who were blinded to each other's results and the patient's clinicopathological data.

### Histopathologic analysis

All lesion specimens were obtained surgically, and the median interval from pelvic MRI examination to surgery was 12 days (1-25 days). The specimens were processed by an experienced pathologist. The histological subtype, grade, and LVSI were confirmed by hematoxylin/eosin (HE) staining. The stage was estimated with the FIGO staging system.<sup>22</sup> According to the European Society for Medical Oncology (ESMO) clinical practice guidelines, low-risk patients were classified into the lowrisk group, while intermediate-risk, high-intermediate-risk, and high-risk patients were classified into the non-low-risk group.<sup>4</sup> The TP53 status was evaluated by immunohistochemical (IHC) staining, where non-staining was viewed as the wild group, and faint, moderate, and strong staining was viewed as the mutant group. Ultimately, risk stratification was evaluated in all 74 patients, and TP53 status was evaluated in 46 patients (28 patients declined IHC for financial or other reasons).



**FIGURE 2.** (A-I) A 53-year-old woman with low-risk endometrial carcinoma (EC) (arrowheads, endometrial type, grade 2, stage IA, lymphovascular space invasion (LVSI) negative, and TP53-wild). (J-R) A 56-year-old woman with non-low-risk (intermediate) EC (arrowheads, endometrial type, grade 1, stage IB, LVSI negative, and TP53-mutant). (A, J) Sagittal T2-weighted imaging maps; (B, K) Oblique axial pseudo colored maps of volume transfer constant ( $K_{ren}$ ); (C, L) Oblique axial pseudo colored maps of rate transfer constant ( $K_{ren}$ ); (C, L) Oblique axial pseudo colored maps of rate transfer constant ( $K_{ren}$ ); (C, L) Oblique axial pseudo colored maps of rate transfer constant ( $K_{ren}$ ); (D, M) Oblique axial pseudo colored maps of the volume of extravascular extracellular space per unit volume of tissue ( $V_e$ ); (E, N) Oblique axial colored maps of true diffusion coefficient (D); (F, O) Oblique axial colored maps of pseudo-diffusion coefficient (D\*); (G, P) Oblique axial colored maps of microvascular volume fraction (f), and (H, Q) Histopathological images (magnification = 100), and (I, R) Immunohistochemical image (magnification = 200).

### Statistical analysis

All data were analyzed with Stata version 16.0 (Stata Corp) and MedCalc version 15.0 (MedCalc Software). P < 0.05 was considered statistically significant. The interobserver consistency of two radiologists was classified using the intraclass correlation coefficient (ICC) as poor (ICC < 0.40), fair (0.40 ≤ ICC < 0.60), good (0.60 ≤ r < 0.75), or excellent (ICC ≥ 0.75).<sup>23</sup> The Shapiro–Wilk test was employed to check the normality of the data. The Mann–Whitney U test and the independent samples t-test were used for nonnormally distributed

data (median and interquartile range) and normally distributed data (mean  $\pm$  standard deviation), respectively. The area under the receiver operating characteristic (ROC) curve (AUC) was employed to quantify the diagnostic efficacy of different parameters, and the differences were assessed using DeLong analysis. The combination of parameters was investigated by logistic regression, evaluated by bootstrap (random number set 123, repeated sampling 1000 times, backward strategy, bounded by a value of 0.1), calibration curves, and decision curve analysis (DCA).<sup>24</sup>

#### TABLE 3. Comparison of different parameters

Parameters	D (×10 <sup>-3</sup> mm²/s)	D* (×10 <sup>-3</sup> mm²/s)	f (%)	K <sup>trans</sup> (min <sup>-1</sup> )	V <sub>e</sub>	K <sub>ep</sub> (min <sup>-1</sup> )
Risk stratification						
High-risk (n = 10)	0.63 (0.40, 0.73)	58.40 (40.10, 88.73)	$1.64 \pm 0.60$	0.35 (0.15, 0.43)	0.30 ± 0.07	1.23 (0.48, 1.54)
High-intermediate-risk (n = 0)	/	/	/	/	/	/
Intermediate-risk (n = 20)	0.55 (0.40, 0.81)	52.00 (26.88, 74.33)	1.74 ± 0.96	0.37 (0.29, 0.47)	0.33 ± 0.14	1.24 (0.82, 1.98)
Low-risk (n = 44)	0.86 (0.64, 1.16)	44.35 (21.93, 95.33)	2.43 ± 1.08	0.61 (0.43, 1.14)	$0.58 \pm 0.25$	1.53 (0.79, 2.21)
P-value	<b>0.033</b> °	0.464 °	<b>0.012</b> °	< 0.001 °	< 0.001 °	0.191 °
P-value (High vs Intermediate)	0.880 b	0.248 b	0.735 °	0.397 b	0.532 °	0.307 b
P-value (High vs Low)	0.009 b	0.238 b	<b>0.004</b> °	< 0.001 b	<b>0.001</b> °	0.099 b
P-value (Intermediate vs Low)	0.001 b	0.937 <sup>b</sup>	0.014 °	< 0.001 b	< 0.001 °	0.582
Low-risk (n = 44)	0.86 (0.64, 1.16)	44.35 (21.93, 95.33)	2.43 ± 1.08	0.61 (0.43, 1.14)	$0.58 \pm 0.25$	1.53 (0.79, 2.21)
Non-low-risk (High + Intermediate, n = 30)	0.58 (0.40, 0.77)	55.25 (34.63, 72.78)	1.71 ± 0.84	0.37 (0.28, 0.45)	$0.32 \pm 0.12$	1.23 (0.82, 1.87)
z/t value	- 3.793	-0.523	3.234	-5.109	5.304	-1.233
P-value	< 0.001 b	0.601 b	0.002 °	< 0.001 b	< 0.001 °	0.218 b
TP53 expression						
Mutant (n = 21)	0.72 ± 0.31	43.70 (16.30, 90.75)	2.30 ± 1.09	0.67 (0.41, 1.14)	0.32 (0.25, 0.91)	1.67 (1.17, 2.09)
Wild (n = 25)	0.91 ± 0.29	50.60 (26.90, 82.75)	2.20 ± 1.02	0.43 (0.37, 0.49)	0.49 (0.36, 0.76)	0.90 (0.58, 1.55)
Z/t value	-2.155	-0.518	0.321	-2.073	-0.783	-3.165
P-value	0.037 °	0.604 <sup>b</sup>	0.750 °	0.038 b	0.434 <sup>b</sup>	<b>0.002</b> <sup>b</sup>

The bold typeface in the table indicates the comparison with statistical significance.

° Comparisons were performed by Analysis of variance (ANOVA) test; <sup>b</sup> comparisons were performed by Mann-Whitney U test; <sup>c</sup> comparisons were performed by independent t test.

### Results

### **Basic information**

The clinicopathological and imaging characteristics are shown in Table 2 and Figure 2, respectively.

### Interobserver consistency

The D, D\*, f, K<sup>trans</sup>, V<sub>e'</sub>, and K<sub>ep</sub> measured by 2 radiologists had excellent consistency, and the ICCs were 0.864 (95% CI: 0.788 - 0.913), 0.799 (95% CI: 0.696 - 0.867), 0.855 (95% CI: 0.729 - 0.918), 0.868 (95% CI: 0.799 - 0.915), 0.834 (95% CI: 0.748 - 0.892), and 0.828 (95% CI: 0.739 - 0.888), respectively. The average results were used for the ultimate analysis.

### Differences in parameters

The  $K^{\text{trans}}$  and  $K_{\text{ep}}$  were higher and D was lower in the TP53-mutant group than in the TP53-wild group (P = 0.038, 0.002, and 0.037, respectively), f, D\*, and V<sub>e</sub> were not significantly different between the two groups (P = 0.750, 0.604, and 0.434, respectively). The K<sup>trans</sup>, V<sub>e</sub>, f, and D values were lower in the nonlow-risk group than in the low-risk group (P < 0.001, < 0.001, 0.002, and < 0.001, respectively), K<sub>ep</sub> and D\* were not significantly different between the two groups (P = 0.218 and 0.601) (Table 3, Figure 3).

### **Regression analyses**

In the identification of TP53-mutant and TP53-wild early-stage EC, the potential related factors such as age, tumor size, risk stratification, FIGO stage, sub-type, grade, LVSI, D, D\*, f, K<sup>trans</sup>, V<sub>e</sub>, and K<sub>ep</sub> were all enrolled in regression analysis. Univariate analysis demonstrated that grade, D, K<sup>trans</sup>, and K<sub>ep</sub> were all risk predictors (P all < 0.1), while multivariate analysis showed that only D and K<sup>trans</sup> were independent predictors (P = 0.003, 0.016).



**FIGURE 3.** Plots show individual data points, averages, and standard deviations of true diffusion coefficient (D) (**A**, **G**), pseudo-diffusion coefficient (D\*) (**B**, **H**), microvascular volume fraction (f) (**C**, **I**), volume transfer constant (K<sup>trans</sup>) (**D**, **J**), the volume of extravascular extracellular space per unit volume of tissue (V<sub>e</sub>) (**E**, **K**), and rate transfer constant (K<sub>ep</sub>) (**F**, **L**) in low-risk and non-low-risk groups (**A**-**F**), TP53-mutant and TP53-wild groups (**G**-**L**). Individual points are averages of values calculated by 2 readers. \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, and • P > 0.005.

In the identification of non-low-risk and lowrisk early-stage EC, potential risk-related factors such as age, tumor size, TP53 status, D, D\*, f, K<sup>trans</sup>,  $V_e$ , and  $K_{ep}$  were all enrolled in regression analysis. Univariate analysis demonstrated that tumor size, D, f, K<sup>trans</sup>, and  $V_e$  were all risk predictors (P all < 0.1), while multivariate analysis showed that only f, K<sup>trans</sup>, and  $V_e$  were independent predictors (P = 0.036, 0.003, and 0.024, respectively) (Table 4).

# Diagnostic performance of different parameters

In the differentiation of TP53-mutant and TP53wild early-stage EC, the combination of independent predictors (K<sup>trans</sup> and D) showed the optimal diagnostic efficacy (AUC = 0.867; sensitivity, 92.00%; specificity, 80.95%; P < 0.001), which was significantly better than D (AUC = 0.694, Z = 2.169,



FIGURE 4. Receiver operating characteristic (ROC) curves, (A) shows each parameter and the combination of independent predictors for differentiation of TP53-mutant and TP53-wild early-stage endometrial carcinoma (EC); (B) shows each parameter and the combination of independent predictors for differentiation of low-risk and non-low-risk early-stage EC.



FIGURE 5. In the prediction of TP53 status, receiver operating characteristic curves (A), calibration curves (B), and decision curve analysis (C) of the validation model.

P = 0.030), and K<sup>trans</sup> (AUC = 0.679, Z = 2.572, P = 0.010). However, the difference between the combination of independent predictors and K<sub>ep</sub> (AUC = 0.773) was not significant (AUC = 0.773, Z = 1.272, P = 0.203) (Figure 4A, Table 5).

In the differentiation of low-risk and non-low-risk early-stage EC, the combination of independent predictors (f, K<sup>trans</sup>, and V<sub>e</sub>) showed the optimal diagnostic efficacy (AUC = 0.947; sensitivity, 83.33%; specificity, 93.18%; P < 0.001), which was significantly better than D (AUC = 0.761, *Z* = 3.113, P = 0.002), f (AUC = 0.688, *Z* = 4.317, P < 0.001), K<sup>trans</sup> (AUC = 0.852, *Z* = 2.713, P = 0.007), and V<sub>e</sub> (AUC = 0.808, *Z* = 3.175, P = 0.002) (Figure 4B, Table 5).

### Validation

Bootstrapped samples were used to validate the combination of independent predictors. The ROC and the calibration curve indicated that the validation models not only had high accuracy in identifying TP53-mutant and TP53-wild early-stage EC (AUC, 0.815; 95% CI, 0.782 - 0.846, Figure 5A), and low-risk and risk early-stage EC (AUC, 0.922; 95% CI, 0.895 - 0.940, Figure 6A), but also highly had good consistency (Figure 5B, 6B). Also, DCA showed that the above combinations of independent predictors were both reliable clinical decision tools (Figure 5C, Figure 6C).

## Discussion

# Prediction of TP53 status and risk stratification of early-stage EC by IVIM

The parameter D of IVIM can reflect the diffusion movement of water molecules in the tissue, and usually, the more obvious the restriction of water molecule diffusion, the smaller the D value.<sup>13</sup> In this study, the D value of the TP53-mutant group was significantly lower than that of the TP53-wild group, which was similar to the results of Wang et al. in the field of epithelial ovarian cancer<sup>25</sup>, suggesting that D values can be used to predict TP53 status of early-stage EC. Presumably, the reason was that TP53-mutant has a faster rate of cell proliferation than TP53-wild, which easily impedes the diffusion of water molecules, resulting in a lower D value.26 In addition, D could also be used to assess the risk stratification of early-stage EC in the present study, which was consistent with previous studies.<sup>17,19</sup> The reason may be that there were differences in histological grade, FIGO stage, and lymph node metastasis between the low-risk

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and non-low-risk early-stage EC, resulting in different degrees of influence on the diffusion of water molecules and ultimately leading to significant differences in D values between the two groups.<sup>18,20</sup>

D\* was a perfusion parameter of IVIM that is mainly correlated with the velocity of blood flow within the microcirculation.13 Previous publications have demonstrated that D\* values with poor stability and repeatability could not effectively evaluate histopathological information of earlystage EC due to the influence of the scanning parameters, the ROI determination method, the signal-to-noise ratio (SNR), and other factors.<sup>17-20</sup> In this study, there was no statistically significant difference in D\* between the TP53-mutant and TP53wild groups, and the low-risk and the non-low-risk groups, which was consistent with the above research, further proving that the D\* value was unable to play a role in the assessment of TP53 status and risk stratification in early-stage EC.

As another perfusion parameter derived from IVIM, f was mainly related to the microvascular density of the tissue.<sup>13,27</sup> A study by Zhang et al. involving 53 participants showed that although high-risk early-stage EC is metabolically active and rich in neovascularization, due to the dense tissue structure and more necrotic tissue, its overall internal microvascular density is instead reduced compared to that of low-risk early-stage EC, so the f value decreases.<sup>20</sup> This trial was conducted on a larger sample size of patients (n = 74) and obtained results consistent with those of Zhang et al.19 Further analysis also identified the f value as an independent predictor for discriminating between low-risk and non-low-risk early-stage EC. However, there were also studies that have shown conflicting results of f values in the assessment of lesions. For example, the study by Meng et al. showed that high-risk early-stage EC had higher f values than low-risk early-stage EC.<sup>20</sup> Similarly, in the assessment of gliomas, the study of Bai et al. showed that low-grade gliomas had higher f values than high-grade gliomas<sup>14</sup>, while Shen *et al*. concluded that high-grade gliomas have greater f values.<sup>16</sup> We speculate that the above phenomenon may be caused by the variations in scanning equipment and b-value settings<sup>28</sup>, as well as the shortcoming that the f value itself is susceptible to T2 contribution and relaxation effects.<sup>29</sup> In addition, the results of this study also showed that the f was similar to D\* and could not differentiate TP53-mutant from TP53-wild early-stage EC, which to some extent suggests that the use of IVIM



FIGURE 6. In the prediction of risk stratification, receiver operating characteristic curves (A), calibration curves (B), and decision curve analysis (C) of the validation model.

perfusion parameters to assess the TP53 status of early-stage EC may still need further exploration.

### Prediction of TP53 status and risk stratification of early-stage EC by DCE-MRI

K<sup>trans</sup> is the most significant perfusion-related parameter in DCE-MRI, mainly reflecting the transfer rate of the contrast agent from the vessel to the EES.<sup>30</sup> Previous studies have shown that the more neovascularization in the tissue and the greater the permeability, the greater the K<sup>trans</sup> value.<sup>31</sup> In terms of TP53 status assessment, the present study found a significantly higher K<sup>trans</sup> value in the TP53-mutant group compared with the TP53wild group, which we suggest may be related to the ability of TP53 gene overexpression to promote angiogenesis.<sup>2,3</sup> In terms of risk stratification assessment, several studies have shown that EC with aggressive characteristics, such as grade 3,

Paramotors	Univariate Analyses	Payalua	Multivariate Analyses	P-value
l'ulumeters	OR for 1 SD (95% CI)	P-Value	OR for 1 SD (95% CI)	r-value
Low vs non-low risk				
Age (year)	1.462 (0.894–2.388)	0.130	/	/
Tumor size (mm)	1.055 (1.003–1.110)	0.038	1.083 (0.979–1.197)	0.123
TP53 mutant	1.506 (0.407–5.578)	0.540	/	/
D (×10 <sup>-3</sup> mm²/s)	0.089 (0.021–0.373)	0.001	0.144 (0.015–1.334)	0.088
D* (×10 <sup>-3</sup> mm <sup>2</sup> /s)	0.867 (0.533-1.412)	0.567	/	/
f (%)	0.419 (0.226–0.776)	0.006	0.292 (0.093–0.921)	0.036
K <sup>trans</sup> (min <sup>-1</sup> )	0.009 (0.001-0.153)	0.001	0.001 (0.000-0.089)	0.003
V <sub>e</sub>	0.173 (0.069–0.432)	< 0.001	0.130 (0.022–0.766)	0.024
K <sub>ep</sub> (min <sup>-1</sup> )	0.642 (0.367–1.126)	0.122	/	/
TP53 mutant vs wild				
Age (year)	0.855 (0.465–1.548)	0.605	/	/
Tumor size (mm)	1.175 (0.649–2.127)	0.594	/	/
Subtype	77.708 (0.001–100.5)	0.999	/	/
Grade	2.099 (0.957-4.602)	0.064	1.961 (0.816–4.717)	0.132
Risk stratification	1.506 (0.407–5.578)	0.540	/	/
FIGO stage	1.360 (0.739–2.505)	0.323	/	/
LVSI	802.578 (0.001–1150.5)	0.999	/	/
D (×10 <sup>-3</sup> mm <sup>2</sup> /s)	2.063 (1.016–4.191)	0.045	8.274 (2.066–33.136)	0.003
D* (×10 <sup>-3</sup> mm <sup>2</sup> /s)	1.020 (0.567–1.835)	0.948	/	/
f (%)	0.906 (0.504–1.629)	0.742	/	/
K <sup>trans</sup> (min <sup>-1</sup> )	0.487 (0.236–1.003)	0.051	0.155 (0.034–0.710)	0.016
V <sub>e</sub>	1.008 (0.560–1.812)	0.979	/	/
K <sub>ep</sub> (min <sup>-1</sup> )	0.501 (0.244-1.032)	0.061	1.172 (0.425–3.234)	0.759

#### TABLE 4. Logistic regression analyses

D = true diffusion coefficient; D\* = pseudo-diffusion coefficient; f = microvascular volume fraction; FIGO = international federation of gynecology and obstetrics; CI = confidence interval;  $K_{ep}$  = rate transfer constant;  $K^{trans}$  = volume transfer constant; LVSI = lymphovascular space invasion; OR = odds ratio;. SD = standard deviation;  $V_e$  = volume of extravascular extracellular space per unit volume of tissue

The bold typeface in the table indicates the logistic regression analyses with statistical significance.

In the analysis of the high- and low-risk group, the TP53 mutant data were analysed only for these patients who had the p53 gene test. The remaining parameters, such as diameter, were analysed for all 74 patients.

advanced FIGO stage, and non-endometrioid subtype, grows quickly without sufficient neoangiogenesis (i.e., blood support), resulting in tissue hypoxia. Hypoxia will lead to tissue necrosis and the formation of hypoperfused areas, thus eventually causing a decrease in overall tumor perfusion and a decrease in K<sup>trans</sup> values.<sup>12,17,32,33</sup> In this work, the K<sup>trans</sup> value was significantly lower in the non-lowrisk group than in the low-risk group, which was consistent with the above findings and further demonstrates that the K<sup>trans</sup> value can play a role in the risk stratification of early-stage EC.

 $K_{ep}$  was designed to reflect the transfer rate of the contrast agent from the EES into vessels, so similar

to K<sup>trans</sup>, its size was closely related to the number of new vessels and vascular permeability.<sup>29</sup> In this study, since TP53 overexpression can promote angiogenesis<sup>2,3</sup>, the K<sub>ep</sub> value of the TP53-mutant group was significantly higher than those of the TP53wild group, and the diagnostic efficacy was 0.773. However, the K<sub>ep</sub> value did not show significant value in the identification of different risk stratifications, which was not consistent with the study of Ye *et al.*<sup>12</sup> We speculated that this may be because the study by Ye *et al.* included both early-stage (stage I) and advanced-stage (stage II, III, and IV) EC, whereas the present study population included only early-stage EC, which reduced the differences

Parameters	AUC (95% CI)	P-value	Cutoff	Sensitivity	Specificity	Comparison with combined diagnosis
Low vs non-low risk						
D (×10 <sup>-3</sup> mm²/s)	0.761 (0.648–0.853)	< 0.001	0.691	73.33%	72.73%	Z = 3.113, P = 0.002
D* (×10 <sup>-3</sup> mm <sup>2</sup> /s)	0.536 (0.416-0.653)	0.598	/	/	/	/
f (%)	0.688 (0.569–0.790)	0.003	1.240	36.67%	93.18%	Z = 4.317, P < 0.001
K <sup>trans</sup> (min <sup>-1</sup> )	0.852 (0.750-0.924)	< 0.001	0.487	90.00%	68.18%	Z = 2.713, P = 0.007
V <sub>e</sub>	0.808 (0.700–0.890)	< 0.001	0.401	83.33%	70.45%	Z = 3.175, P = 0.002
K <sub>ep</sub> (min-1)	0.585 (0.652–0.849)	0.204	/	/	/	/
Combined diagnosis 1	0.947 (0.869–0.986)	< 0.001	/	83.33%	93.18%	/
TP53 mutant vs wild						
D (×10 <sup>-3</sup> mm²/s)	0.694 (0.541–0.821)	0.019	0.605	92.00%	47.62%	Z = 2.169, P = 0.030
D* (×10 <sup>-3</sup> mm²/s)	0.545 (0.391–0.692)	0.498	/	/	/	/
f (%)	0.535 (0.382–0.648)	0.388	/	/	/	/
K <sup>trans</sup> (min <sup>-1</sup> )	0.679 (0.525–0.809)	0.036	0.499	80.00%	61.90%	Z = 2.572, P = 0.010
V <sub>e</sub>	0.568 (0.413–0.713)	0.675	/	/	/	/
K <sub>ep</sub> (min <sup>-1</sup> )	0.773 (0.626–0.884)	< 0.001	1.557	80.00%	66.67%	Z = 1.272, P = 0.203
Combined diagnosis 2	0.867 (0.734–0.949)	< 0.001	/	92.00%	80.95%	/

TABLE 5. Predictive performance of different parameters

AUC = area under the receiver operating characteristic (ROC) curve; D = true diffusion coefficient; D\* = pseudo-diffusion coefficient; f = microvascular volume fraction;  $K_{ap}$  = rate transfer constant;  $K^{trans}$  = volume transfer constant;  $V_{a}$  = volume of extravascular extracellular space per unit volume of tissue

The combined diagnosis 1 represents f + Ktrans + Ve; the combined diagnosis 2 represents D + Ve

in patients between the different groups and ultimately resulted in nonfunctional K<sub>en</sub> values.

V<sub>a</sub> is a parameter in DCE-MRI that can reflect the volume of EES. In the present study, there was no significant difference in V<sub>e</sub> between the TP53mutant and TP53-wild groups, which may be related to the fact that TP53 overexpression promotes both cell proliferation and angiogenesis, resulting in difficulty in significant changes in EES.2,3 In terms of risk stratification assessment, V<sub>a</sub> values in the non-low-risk group were significantly smaller than those in the low-risk group, which was similar to the results of previous studies<sup>17,34</sup>, and we speculated that the reason for this result may lie in the fact that the non-low-risk group had greater invasiveness and therefore greater cell density, tighter tissue structure, and smaller EEC compared with the low-risk group. However, some studies have also concluded that V<sub>a</sub> was difficult to use in the evaluation of diseases such as EC and breast cancer.<sup>12,35</sup> This may be related to the fact that V<sub>a</sub> is less stable and susceptible to factors such as lesion edema and microcystic changes.<sup>36</sup> In a follow-up study, we will expand the sample size and further explore the role of V<sub>e</sub> in EC assessment to obtain more convincing results.

### Diagnostic performance comparison

The diagnostic efficacy of the combination of independent predictors and each individual parameter was compared in this study, and the results showed that the diagnostic efficacy of the former was significantly higher than that of the latter, which may be because the combination of independent predictors concentrates the advantages of different parameters and therefore can reflect the lesion characteristics more comprehensively and accurately. Therefore, we suggest that the combined application of IVIM and DCE-MRI in clinical routine may provide a more reliable basis for the TP53 status and risk stratification prediction of early-stage EC when conditions permit.

# Correlation of risk stratification with TP53 mutation

In this study, TP53 mutation and risk stratification in early-stage EC were included in each other's regression analysis, and the results showed that neither was a predictor of the other. Although the small sample size may have affected the reliability of the above results to a certain extent, it indicates to some extent that the TP53 status in early-stage EC is not significantly correlated with risk stratification. In the future, as the sample size increases, we will conduct more in-depth studies on the relationship between the two, with a view to obtaining more accurate results.

This study has several limitations. First, our study was designed at a single institution with a relatively small number of patients, especially since some patients forgo immunohistochemical testing for financial reasons, which may have led to selection bias. Second, due to the small sample size, this study did not set up a separate validation set but used the bootstrap (1000 samples) method to validate the combination of independent predictors, which may have reduced the reliability of the experimental results. Third, areas of cystic degeneration, necrosis, apparent signs and hemorrhage artifacts, or vessels were avoided in the delineation of the ROI, which may influence the determination of some parameters. Finally, the machine used in this study was a 1.5 T MRI, and its imaging quality and parameter reliability may be inferior to those of a 3.0 T MRI.

## Conclusions

Both DCE-MRI and IVIM facilitate the prediction of TP53 status and risk stratification in early-stage EC. Comparison with each single parameter, the combination of independent predictors provided better predictive power and may serve as a superior imaging marker.

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# Two-stage hepatectomy in resection of colorectal liver metastases – a singleinstitution experience with case-control matching and review of the literature

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**Background.** Two-stage hepatectomy (TSH) has been proposed for patients with bilateral liver tumours who have a high risk of posthepatectomy liver failure after one-stage hepatectomy (OSH). This study aimed to determine the outcomes of TSH for extensive bilateral colorectal liver metastases.

**Patients and methods.** A retrospective review of a prospectively maintained database of liver resections for colorectal liver metastases was conducted. The TSH group was compared to the OSH group in terms of perioperative outcomes and survival. Case-control matching was performed.

**Results.** A total of 632 consecutive liver resections for colorectal liver metastases were performed between 2000 and 2020. The study group (TSH group) consisted of 15 patients who completed TSH. The control group included 151 patients who underwent OSH. The case-control matching-OSH group consisted of 14 patients. The major morbidity and 90-day mortality rates were 40% and 13.3% in the TSH group, 20.5% and 4.6% in the OSH group and 28.6% and 7.1% in the case-control matching-OSH group, respectively. The recurrence-free survival, median overall survival, and 3- and 5-year survival rates were 5 months, 21 months, 33% and 13% in the TSH group; 11 months, 35 months, 49% and 27% in the OSH group; and 8 months, 23 months, 36% and 21%, respectively, in the case-control matching-OSH group, respectively.

**Conclusions.** TSH used to be a favourable therapeutic choice in a select population of patients. Now, OSH should be preferred whenever feasible because it has lower morbidity and equivalent oncological outcomes to those of completed TSH.

Key words: colorectal cancer; liver metastases; hepatectomy; future liver remnant; posthepatectomy liver failure; survival analysis

## Introduction

Colorectal cancer is the third most diagnosed cancer worldwide.<sup>1</sup> At diagnosis, the disease has spread to the liver in 15% to 25% of patients, and

another 25% develop colorectal liver metastases metachronously.<sup>2</sup> Liver resection remains the only potentially curative treatment option for these patients.<sup>2</sup> Despite the ability of the liver to regenerate after significant tissue loss, a future liver remnant, which contributes 25–30% of the total liver volume, has been the minimal requirement in patients with a noncirrhotic liver.<sup>2</sup> Therefore, major hepatectomies are associated with a high risk of posthepatectomy liver failure.<sup>3</sup> Innovative approaches have been developed to improve colorectal liver metastases resectability, i.e., two-stage hepatectomy (TSH).<sup>2,4</sup> Their initial phase can be portal vein embolization or intraoperative selective portal vein ligation. The novelist approach is the associating liver partition and portal vein ligation for staged hepatectomy (ALPPS) procedure.<sup>2</sup>

This study aimed to determine the feasibility and safety of TSH for patients with extensive bilateral colorectal liver metastases by comparing perioperative and long-term outcomes between TSH and one-stage hepatectomy (OSH) groups.

## Patients and methods

### Study population

A retrospective review of a prospectively obtained database of 632 consecutive liver procedures for colorectal liver metastases at the Clinical Department of Abdominal and General Surgery of the University Medical Centre Maribor in Slovenia was performed. This department is a specialised referral centre for hepato-pancreato-biliary surgery. The study period was from 1 January 2000 until 31 December 2020.

Before the surgery, patients consented to their anonymous data being used for research. Therefore, their records were anonymised and deidentified before analysis. Ethical approval for this study was obtained from the National Medical Ethics Committee of the Republic of Slovenia (0120-455/2020/3). All methods were performed following the relevant guidelines and regulations.

### Inclusion and exclusion criteria

The inclusion criteria were patients with bilateral colorectal liver metastases who:

- completed TSH or
- underwent their first OSH for colorectal liver metastases,
- the TSH group was formed from patients who underwent portal vein embolization or portal vein ligation, as proposed by Regimbeau.<sup>5</sup>

The exclusion criteria were as follows:

• explorative laparotomies without liver resections,

- repeated liver resections,
- patients with unilateral colorectal liver metastases,
- radiofrequency ablation (RFA) or its combinations with liver resections.

### Definitions

Routinely available clinical characteristics were analysed, including patient demographics, performance status defined according to the American Society of Anaesthesiologists Classification (ASA classification)<sup>6</sup>, application of neoadjuvant chemotherapy, preoperative carcinoembryonic antigen (CEA) level, and presence of extrahepatic disease. Primary colorectal tumour variables included the tumour location and nodal invasion. Liver metastasis variables included synchronous/metachronous metastases and the number and size of metastases.

Patients were presented at the multidisciplinary team meeting.<sup>2</sup> Bilateral colorectal liver metastases were resected in a single procedure when both the volume and function of the future liver remnant were considered sufficient. The parenchyma-sparing principle of liver surgery for colorectal liver metastases was applied.7 The types of liver resections were classified according to the Brisbane terminology.8 Major liver resections involved three or more adjacent liver segments, including conventional major resections (left/extended left hepatectomies, right/extended right hepatectomies, central hepatectomies).8 The analysis of future liver remnant consisted of computed tomography (CT) volumetry, laboratory liver tests (prothrombin time and albumins), and the indocyanine green clearance test.<sup>2</sup>

Specimens were analysed by a gastrointestinal histopathologist who assessed the resection margin. The histological surgical margins for malignant lesions were defined as microscopically negative (R0) or positive (<1 mm, R1).<sup>7</sup> In addition, the Clinical Risk Score devised by Fong *et al.* was applied.<sup>9</sup>

### Two-stage hepatectomy

Portal vein embolization, intraoperative selective portal vein ligation, or the ALPPS procedure were performed when the analysis suggested an insufficient future liver remnant.<sup>2</sup> Portal vein embolization was followed by atrophy of the embolized hemiliver and hypertrophy of the other hemiliver.<sup>2</sup> TSH with portal vein ligation was performed when the intraoperative findings were unfavourable.<sup>4</sup> In the first stage, the metastasectomy of one hemiliver



**FIGURE 1.** The study flowchart. The study period covers 1 January 2000 to 31 December 2020.

ALPPS = associating liver partition and portal vein ligation for staged hepatectomy; CCM-OSH = case-control matching one-stage hepatectomy; CLMs = colorectal liver metastases; OSH = one-stage hepatectomy; PVE = portal vein embolization; PVL = portal vein ligation; RFA = radiofrequency ablation; TSH = two-stage hepatectomy

was performed along with portal vein ligation for the other hemiliver.<sup>4</sup> The effect was similar to that of preoperative portal vein embolization.<sup>10</sup> The second stage followed a few weeks later and consisted of a major hepatectomy.<sup>2,4</sup> ALPPS was performed with the same rationale; the difference was the addition of liver parenchyma transection in the first stage.<sup>11</sup>

RFA has been applied where radical liver resection has been infeasible due to the proximity of large vessels.<sup>2</sup> Therefore, RFA has been applied intraoperatively as an independent procedure or adjunct to liver resection.<sup>2</sup> RFA has also been used as a percutaneous procedure. However, these patients were excluded from the analyses.

### Follow-up

Patients were followed-up at the outpatient clinic at periodic intervals. The follow-up protocol consisted of a CEA level, a chest radiograph or CT, an abdominal ultrasound, CT, or magnetic resonance imaging every three months for the first two years and every six months afterwards.<sup>2</sup>

### Study endpoints

# Primary outcomes – overall survival and recurrence-free survival

The primary outcome was overall survival (OS). It was defined as the interval between the date of liver resection (the second stage in the TSH group) of colorectal liver metastases and the date of death or the last follow-up in surviving patients.

The second primary outcome was recurrencefree survival (RFS). It was calculated from the date of liver resection (the second stage in the TSH group) to the date of any detected recurrence or the last follow-up in patients without recurrence.

#### Secondary outcomes – morbidity and mortality

Morbidity was reported according to the Clavien-Dindo (CD) classification.<sup>12</sup> Major morbidity was defined as  $CD \ge 3a$ . Mortality rates were reported as the number of patients who died within 90 postoperative days.

Posthepatectomy haemorrhage, bile leakage, and liver failure were graded according to the International Study Group of Liver Surgery (ISGLS).<sup>13-15</sup>

### Statistical analysis

IBM SPSS for Windows Version 28.0 (IBM Corp., Armonk, NY, USA) was used for the statistical analysis. Percentages are reported to one decimal place. A P value  $\leq 0.05$  was considered statistically significant.

Categorical variables are displayed as numbers with percentages. The differences between categorical variables were tested using the chi-square or Fisher-Freeman Halton test when more than two categories were present. Continuous variables were expressed as medians (minimum-maximum, interquartile range) and analysed with the Mann-Whitney test since the distribution analysis showed the non-normal distribution of data.

Survival data for median OS and RFS are presented as Kaplan-Meier curves, and groups were compared by a log-rank test. The results are expressed in months as the median (95% confidence interval (95% CI)). Survival tables were used for 3and 5-year OS and RFS, given in percentages. TABLE 1. Clinical characteristics and perioperative outcomes of the 166 patients

Clinical characteristics	OSH (n=151)	TSH (n=15)	P value
Male sex °	109 (72.2%)	13 (86.7%)	0.365
Age (years) <sup>b</sup>	62 (34–84; 14)	64 (45–75; 12)	0.819
ASA score ≥ 3 °	32 (21.2%)	2 (13.3%)	0.701
Primary tumour location <sup>c</sup>			
Right colon	27 (17.9%)	1 (6.7%)	
Left colon	61 (40.4%)	6 (40.0%)	0.177
Rectum	61 (40.4%)	7 (46.7%)	0.166
> 1 primary tumour	2 (1.3%)	1 (6.7%)	
Primary tumour nodal invasion a	99 (66.0%)	10 (66.7%)	1.000
Synchronous liver metastases a	81 (53.6%)	11 (73.3%)	0.179
Number of liver metastases <sup>b</sup>	3 (1–19; 3)	5 (2–12; 6)	0.001
Size of liver metastases (cm) <sup>b</sup>	4 (0.6–20; 3)	5 (1.5–11; 5.5)	0.183
Neoadjuvant chemotherapy °	79 (52.3%)	13 (86.7%)	0.013
Preoperative CEA level (ng/mL) <sup>b</sup>	14 (1–1359; 47.5)	12 (2–1312; 60)	0.464
Extrahepatic disease °	27 (17.9%)	3 (20.0%)	1.000
Clinical risk score 3–5 °	92 (60.9%)	10 (66.7%)	0.875
Major liver resection <sup>a</sup>	58 (38.4%)	15 (100%)	<0.001
Atypical resection	29 (19.2%)	0 (0.0%)	/
Segmentectomy/segmentectomy & atypical resection	3 (2.0%)/14 (9.3%)	0 (0.0%)	/
Bisegmentectomy/bisegmentectomy & atypical resection	10 (6.6%)/37 (24.5%)	0 (0.0%)	/
Right/extended right hepatectomy	28 (18.5%)/6 (4.0%)	13 (86.7%)/2 (13.3%)	/
Left/extended left hepatectomy	6 (4.0%)/3 (2.0%)	0 (0.0%)	/
Trisegmentectomy	6 (4.0%)	0 (0.0%)	/
Trisegmentectomy & atypical resection	5 (3.3%)	0 (0.0%)	/
Central resection	4 (2.6%)	0 (0.0%)	/
R0 resection <sup>a</sup>	118 (78.1%)	10 (66.7%)	0.492
CD≥3a °	31 (20.5%)	6 (40.0%)	0.161
90-day mortality °	7 (4.6%)	2 (13.3%)	0.189
ISGLS haemorrhage grade C °	2 (1.3%)	0 (0.0%)	1.000
ISGLS bile leakage grade C °	5 (3.3%)	1 (6.7%)	1.000
ISGLS liver failure – any grade °	40 (26.5%)	12 (80.0%)	<0.001
Grade A °	16 (10.6%)	5 (33.3%)	0.034
Grade B °	19 (12.6%)	6 (40.0%)	0.014
Grade C °	5 (3.3%)	1 (6.7%)	1.000
Hospital stay (days) <sup>b</sup>	10 (5–63; 7)	14 (8–158; 11)	0.028

° Categorical variable reported as n (%), chi-square test; ° continuous variable, non-normal distribution, reported as median (minimum-maximum, interquartile range), Mann-Whitney test; ° categorical variable with more than two groups, reported as n (%), Fisher-Freeman-Halton test;

ASA = American Society of Anaesthesiologists; CEA = carcinoembryonic antigen; CD = Clavien-Dindo classification; ISGLS = International Study Group of Liver Surgery; OSH = one-stage hepatectomy; TSH = two-stage hepatectomy

Case-control matching was performed for 15 patients from the TSH group.<sup>16</sup> Patients from the OSH group (controls) were selected based on the

variables that were statistically significant in a bivariate analysis. The sampling was performed without replacement and with maximising execu-

Clinical characteristics	CCM-OSH (n = 14)	TSH (n = 15)	P value
Male sex °	11(78.6%)	13(86.7%)	1.000
Age (years) <sup>b</sup>	60 (53–78; 13)	64 (45–75; 12)	0.463
ASA score ≥ 3 °	2 (14.3%)	2 (13.3%)	1.000
Primary tumour in right colon °	2 (14.3%)	1 (6.7%)	1.000
Primary tumour in left colon a	10 (71.4%)	6 (40%)	0.125
Primary tumour in rectum a	2 (14.3%)	7 (46.7%)	0.063
> 1 primary tumour °	0 (0.0%)	1 (6.7%)	1.000
Primary tumour nodal invasion a	10 (71.4%)	10 (66.7%)	1.000
Synchronous liver metastases a	11(78.6%)	11(73.3%)	1.000
Number of liver metastases <sup>b</sup>	5 (2–12; 6)	5 (2–12; 6)	0.317
Size of liver metastases (cm) <sup>b</sup>	4.6 (1–20; 7)	5 (1.5–11; 5.5)	0.463
Neoadjuvant chemotherapy °	13 (92.9%)	13 (86.7%)	1.000
Preoperative CEA level (ng/mL) <sup>b</sup>	9 (1–261; 76)	12 (2–1312; 60)	0.975
Extrahepatic disease °	1 (7.1%)	3 (20.0%)	1.000
Clinical risk score 3–5 °	13 (92.9%)	10 (66.7%)	0.250
Major hepatectomy a	14(100%)	15 (100%)	1.000
Right/extended right hepatectomy	8 (57.1%)/2 (14.3%)	13 (86.7%)/2 (13%)	/
Left hemihepatectomy	1 (7.1%)	0 (0.0%)	/
Trisegmentectomy & atypical resection	3 (21.4%)	0 (0.0%)	/
R0 resection °	8 (57.1%)	10 (66.7%)	1.000
CD ≥ 3a °	4 (28.6%)	6 (40.0%)	0.688
90-day mortality °	1 (7.1%)	2 (13.3%)	1.000
ISGLS haemorrhage grade C ª	0 (0.0%)	0 (0.0%)	1.000
ISGLS bile leakage grade C °	1 (7.1%)	1 (6.7%)	1.000
ISGLS liver failure – any grade °	10 (71.4%)	12 (80%)	1.000
Grade A ª	4 (28.6%)	5 (33.3%)	1.000
Grade B a	5 (35,7%)	6 (40.0%)	1.000

#### TABLE 2. Clinical characteristics of the matched groups

<sup>a</sup> Categorical variable reported as n (%), McNemar test; <sup>b</sup> continuous variable, nonnormal distribution, reported as the median (minimummaximum, interquartile range), Wilcoxon signed ranks test

1 (7.1%)

16 (6-63; 13)

ASA = American Society of Anaesthesiologists; CCM-OSH = case-control matching one-stage hepatectomy; CEA = carcinoembryonic antigen; CD = Clavien-Dindo classification; ISGLS = International Study Group of Liver Surgery; TSH = two-stage hepatectomy

tion performance modality. Matched patients were assigned to the case-control matching-OSH group. The statistical analysis of continuous variables was performed with the Wilcoxon signed ranks test. The analysis of categorical variables was performed with the McNemar test.<sup>16</sup> Survival was estimated as described previously.

## Results

The study population was stratified into two groups. The study group TSH consisted of 15 patients who completed TSH. The control group OSH included 151 patients. The study flowchart is shown in Figure 1.

1 (6.7%)

14 (8-158; 11)

1.000 0.406

Grade C ª

Hospital stay (days)<sup>b</sup>

#### TABLE 3. Survival analysis

	Overall	OSH (n = 151)	TSH (n = 15)	P value					
Median OS (months) [95% CI]	35 [30-40]	35 [31–39]	21 [17–25]	0.063					
3-year OS	48%	49%	33%	0.107					
5-year OS	26%	27%	13%	0.107					
RFS (months) [95% CI]	11 [8–14]	11 [9–13]	5 [2-8]	0.138					
3-year RFS	14%	15%	13%	0.070					
5-year RFS	10%	10%	7%	0.070					
After case-control matching									
	Overall	CCM-OSH (N=14)	TSH (N=15)	P value					
Median OS (months) [95% CI]	23 [19–27]	23 [5-41]	21 [17.0–25.0]	0.575					
3-year OS	34%	36%	33%	0.743					
5-year OS	17%	21%	13%	0.743					
RFS (months) [95% CI]	7 [4–10]	8 [1–15]	5 [2-8]	0.888					
3-year RFS	14%	14%	13%	0.498					
5-year RFS	3%	0%	7%	0.498					

CI = confidence interval; CCM = case-control matching; OS = overall survival; OSH = one-stage hepatectomy; RFS = recurrence-free survival; TSH = two-stage hepatectomy

### **Clinical characteristics of patients**

### Morbidity and mortality

This study included 166 patients: 151 in the OSH group and 15 in the TSH group. Their clinical characteristics and perioperative outcomes are summarised in Table 1.

### **Case-control matching**

To reduce the bias and equilibrate the number of group members, case-control matching was conducted. Patients from the OSH group (controls) were selected based on the predictors that were statistically significant in bivariate analysis (Table 1): number of liver metastases, neoadjuvant chemotherapy, and extent of liver resection.

Case-control matching returned 14 controls among the OSH group, annotated as case-control matching-OSH. All three variables were statistically significant in the case-control matching model (P < 0.001). The Wilcoxon signed ranks test for the median of differences between before and after matching was insignificant (P = 0.317).

### Analyses after case-control matching

After case-control matching, the TSH and OSH groups were compared (Table 2).

Perioperative morbidity and 90-day mortality rates are provided in Table 1 and Table 2.

In the OSH group, seven (4.6%) patients died postoperatively. The causes of death were sepsis



FIGURE 2. Overall survival after case-control matching (TSH vs. case-control matching-OSH groups), P = 0.575.

CCM-OSH = case-control matching one-stage hepatectomy; TSH = two-stage hepatectomy

Authors	Year	Study period	N of patients	Major liver resection (%)	R0 resection (%)	Morbidity (%)	Mortality (%)	Median follow-up (months)	Median RFS (months)	3-y RFS (%)	5-y RFS (%)	Median OS (months)	3-y OS (%)	5-y OS (%)
Adam et al., France⁴	2000	1992–1999	13	62	NR	45	15	22	NR	31	31	44	35	NR
Tanaka et al., Japan <sup>17</sup>	2007	1992-2004	22	67	87	23	0	NR	NR	6	NR	NR	33	NR
Wicherts et al., France <sup>24</sup>	2008	1992–2007	41	76	NR	59	7	24	NR	26	13	39	60	42
Narita et al., France <sup>25</sup>	2011	1996-2009	61	95	NR	54	0	30	NR	15	8	40	59	32
Turrini et al., France²	2012	2000-2010	34	91	100	20	6	41	NR	24	14	44	59	35
Omichi et al., Japan <sup>27</sup>	2022	2013-2019	32	NR	78	22	0	17	6	NR	NR	41	61	NR
The present study, Slovenia	2023	2000-2020	15	100	67	40	13	174	7	13	7	21	33	13
Multicentre studies														
Tsai et al., USA and Portugal <sup>28</sup>	2010	1994–2008	35	80	NR	26	5	NR	NR	NR	NR	16	58	NR
Regimbeau et al. LiverMetSurvey registry <sup>5</sup>	2017	2000-2014	625	NR	58	25	9	84ª	4]°	43	23	40a	45	23
Petrowsky et al. ALPPS registry <sup>29</sup>	2020	2009–2019	510	100	73	21	5	38	11	19	12	37	52	27
Chavez et al. Five centres in the USA <sup>22</sup>	2021	2000-2016	196	76	92	23	5	28	NR	19	18	50	64	44

TABLE 4. Literature review of surgical outcomes and survival after two-stage hepatectomy for colorectal liver metastases

° = mean

ALPPS = associating liver partition and portal vein ligation for staged hepatectomy; N = number; NR = not reported; OS = overall survival; RFS = recurrence-free survival; USA = United States of America

(n = 1), cardiorespiratory failure (n = 1), multiorgan failure (n = 3), and posthepatectomy liver failure (n = 2). In the TSH group, two (13.3%) patients died postoperatively. One patient suffered from colonic perforation, was reoperated on several times, and died of multiorgan failure. The second patient died of cardiorespiratory failure after acute myocardial infarction. In the case-control matching-OSH group, the patient (7.1%) died of posthepatectomy liver failure.

### Survival analysis

Patients were followed until their death or until 31 December 2022. The median follow-up was 174 (95% CI 113–235) months. A summary of the survival analysis is provided in Table 3 and Figure 2.

The RFS, median OS, and 3- and 5-year survival rates were 5 months, 21 months, 33% and 13% in the TSH group; 11 months, 35 months, 49% and 27% in the OSH group; and 8 months, 23 months, 36% and 21% in the case-control matching-OSH group, respectively.

## Discussion

The main finding of our research is that the TSH group had a similar survival to that of the case-control matching-OSH group (median OS: 21 *vs.* 23 months), while the major morbidity rate was lower in the case-control matching-OSH group (40% *vs.* 28.6%).

The first TSH was performed on the proposition that a liver resection where some tumour tissue remains in place could be justified if it could be removed by second liver resection.<sup>4</sup> The time during surgeries was intended for liver hypertrophy, which was enhanced by portal vein embolization or portal vein ligation.<sup>4,17</sup>

Our first TSH was performed in 2005, and 23 patients with the most difficult patterns of colorectal liver metastases were allocated for this demanding treatment (Figure 1).<sup>18-20</sup> Only 15 patients who finished both stages were eligible for this study. This figure is among the lowest, especially compared to the most recent multicentre studies, but close to those in earlier studies.<sup>2</sup> Regimbeau *et al.*  and Chavez *et al.* published large multicentre studies.<sup>5,22</sup> Their study periods overlap with previous analyses from included centres, as shown in some reviews (Table 4).<sup>21,23</sup>

The diversity of first-step procedures challenges further analysis.<sup>30</sup> Nevertheless, this research adheres to the criteria by Regimbeau *et al.*<sup>5</sup> except for one case of completed ALPPS.

The clinical characteristics of patients in the OSH and TSH groups differed only in the median number of colorectal liver metastases (3 *vs.* 5) and the rate of chemotherapy treatment (52.3% *vs.* 86.7%). Both characteristics denote an extensive tumour burden in the TSH group.<sup>27</sup>

The concept of parenchyma-sparing liver surgery for colorectal liver metastases was established approximately 30 years ago.<sup>7</sup> This explains the large group of one-stage hepatectomies (n = 151), even in the case of bilateral colorectal liver metastases, and the lower rate of major hepatectomies in this group (38.4%) (Tables 1 and 2). In the TSH group, the rate of major hepatectomies was 100%, and the difference from the OSH group was statistically significant. The reported rates of major liver resections are given in Table 4.

There was a significant difference in posthepatectomy liver failure when comparing the TSH (80%) and OSH groups (26.5%) (P < 0.001) (Table 1). However, this difference disappeared after casecontrol matching because the matched group was selected based on neoadjuvant chemotherapy, the extent of liver resection and the number of liver metastases. In the case-control matching-OSH group, 71% of patients had any grade of posthepatectomy liver failure (Table 2), and one patient died of it. However, the reported rates of posthepatectomy liver failure are from 2.6 to 16%.5,25,27-28 This wide range may also be due to several definitions of it.<sup>31</sup> The most commonly used definitions were the following: peak bilirubin > 7 mg/dL<sup>32</sup>, the "50-50" criteria (50% of normal for the prothrombin index and 50 µmol/L for bilirubin on postoperative day 5)33, and the definition by the ISGLS used in this study.14

The high rate of major morbidity (40% in the TSH group) reflects the burden of demanding twostage procedures. On the other hand, 4 (28.6%) patients suffered from major morbidity in the casecontrol matching-OSH group, and the difference between these two groups was statistically insignificant (P = 0.688). The 90-day mortality in our TSH group (13%) did not exceed the reported 15% (Table 4). TSH aims to improve the survival of patients by resecting all tumoral tissue and enabling sufficient future liver remnant. Our last TSH was performed in 2016, a year before Torzilli *et al.* published results of enhanced OSH as a safe alternative to TSH for multiple bilateral deep-located colorectal liver metastases.<sup>34</sup>

The prognosis was thought to depend on the size and number of colorectal liver metastases.<sup>2</sup> Whether the resection of colorectal liver metastases could achieve R0, survival was the same regardless of the number of lesions.<sup>2</sup> However, Fong *et al.* showed that the prognosis depends on the combination of survival factors, i.e., Clinical Risk Score.<sup>9</sup>

There was no significant difference (P = 0.063) in the median OS between the OSH (35 months) and TSH groups (21 months). The rate of R0 resections and the high Clinical Risk Score did not differ. After case-control matching, the OS in the case-control matching-OSH group was 23 months. However, the median OS in recent reports is longer (37–50 months) (Table 4).

Our study showed an insignificant difference in the 3-year RFS between the TSH group (13%) and the case-control matching-OSH group (14%). The 3-year RFS in our study was similar to that reported by Narita *et al.* and Chavez *et al.*, but much shorter than the 43% reported by Regimbeau *et al.* (Table 4).<sup>5,22,25</sup>

Limitations of our study could explain these differences in survival. First, this was a single-institution, retrospective study covering a wide period. We had a small group of patients who underwent TSH. Furthermore, the operative technique and use of portal vein embolization have changed over time; thus, it is difficult to apply this study to other modern scenarios. In addition, the interpretation of data and their comparison to other reports was difficult because the TSH group consisted of various first-stage procedures.

To conclude, parenchyma-sparing surgery is a principle of liver surgery for colorectal liver metastases. TSH used to be a safe and favourable therapeutic choice in a select population of patients because it could prevent posthepatectomy liver failure and enable good oncological results. Now, OSH should be preferred whenever feasible because it has lower morbidity and equivalent oncological outcomes as completed TSH.

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# Elektroskleroterapija z bleomicinom (BEST) za zdravljenje žilnih malformacij. Poročilo študijske skupine Mednarodne mreže za izmenjavo praks o elektrokemoterapiji (InspECT)

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**Izhodišča.** Biomedicinske aplikacije elektroporacije širimo s področja onkologije na področja cepljenja, zdravljenja aritmij in tudi na zdravljenja žilnih malformacij. Bleomicin široko uporabljamo kot sklerotizirajoče sredstvo pri zdravljenju različnih žilnih malformacij. Uporaba električnih pulzov ob hkratni uporabi bleomicina poveča učinkovitost zdravila, kar dokazuje elektrokemoterapija z bleomicinom pri zdravljenju tumorjev. Enak princip upoštevamo pri elektroskleroterapiji z bleomicinom (*angl. bleomycin electrosclerotherapy*, BEST). Zdi se, da ta način omogoča učinkovito zdravljenje žilnih malformacij z nizkim pretokom (venskim in limfnim) in morda celo malformacij z visokim pretokom (arteriovenskim). Čeprav je bilo do zdaj objavljenih le nekaj poročil, se kirurška stroka zanj zanima in vse več centrov uporablja metodo BEST pri zdravljenju žilnih malformacij. V okviru konzorcija Mednarodne mreže za izmenjavo praks o elektro-kemoterapiji (*angl. International Network for Sharing Practices on Electrochemotherapy*, InspECT) je bila ustanovljena posebna delovna skupina za razvoj standardnih operativnih postopkov za BEST in spodbujanje kliničnih preizkušanj.

Zaključki. S standardizacijo zdravljenja in uspešnim zaključkom kliničnih preskušanj, ki dokazujejo učinkovitost in varnost pristopa, je mogoče doseči kakovostnejše rezultate in klinični izhod bolezni.
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## Korelacija srednjega difuzijskega koeficienta (ADC) in vrednost maksimalnega standardiziranega privzema (SUVmax) pri difuzijsko obteženem magnetno resonančnem slikanju (MRI) in 18F-FDG-PET/CT pri otrocih z Hodgkinovim limfomom. Raziskava izvedljivosti

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Izhodišča. Želeli smo ugotoviti, ali lahko slikanje z magnetno resonanco (MRI) deluje kot nadomestna diagnostična metoda, pri kateri bolnik ni izpostavljen sevanju. glede na (18)F-fluorodeoksiglukozo (FDG) pozitronsko emisijsko tomografijo/računalniško tomografijo (PET/CT) pri otrocih s histološko potrjenim Hodgkinovim limfomom (HL) pred zdravljenjem. Analizirali smo potencialno korelacijo med difuzijskim koeficientom (angl. apparent diffusion coefficient, ADC) pri MRI in največjo standardizirano vrednostjo privzema (SUVmax) pri FDG-PET/CT.

**Bolniki in metode.** Retrospektivno smo analizirali 17 bolnikov s histološko potrjenim HL (6 žensk, 11 moških; srednja starost 16 let, razpon: 12–20 let). Bolnikom smo pred začetkom zdravljenja naredili preiskavi s MRI in PET/CT z (18)F-FDG. Zbrali smo podatke slikanja z F-FDG PET/CT in korelacijske zemljevide ADC pri MRI. Za vsako HL-lezijo sta dva neodvisna ocenjevalca določila vrednost SUVmax in korelacijsko povprečje ADC.

**Rezultati.** Pri 17 bolnikih smo ugotovili skupno 72 lezij HL in med moškimi in ženskami ni bilo pomembne razlike v številu lezij (srednja vrednost pri moških 15, razpon 12–19 let; pri ženskah 17, razpon: 12–18 let; p = 0,021). Povprečen razmik med MRI in PET/CT preiskavo je bil 5,9 ± 5,3 dni. Ujemanje med ocenjevalci, ocenjeno s korelacijskim koeficientom znotraj razreda (*angl. intraclass correlation coefficient*, ICC), je bilo odlično (ICC = 0,98; 95 % interval zaupanja [CI]: 0,97–0,99). Korelirani SUVmax in srednji ADC vseh 17 bolnikov (ROI n = 72) sta pokazala močno negativno korelacijo -0,75 (95 % CI: -0,84, -0,63; p = 0,001). Analiza je pokazala razliko v korelaciji ocenjevanih področij. Korelirani SUVmax in srednji ADC sta pokazala močno korelacijo pri pregledih vratu in prsnega koša (vrat: -0,83, 95 % CI: -0,93, – -0,63; p < 0,0001; prsni koš: -0,82, 95 % CI: -0,91, – -0,64 , p < 0,0001) in dobro korelacijo pri abdominalnih pregledih -0,62 (95 % CI: -0,83, -0,28; p = 0,001).

Zaključki. SUVmax in srednji ADC sta pokazala močno negativno korelacijo pri pediatričnih lezijah HL. Ocena lezij se je zdela trdna. Rezultati kažejo, da lahko zemljevidi ADC in srednji ADC nadomestijo PET/ CT pri analizi aktivnosti bolezni pri pediatričnih bolnikih s HL. To bi lahko zmanjšalo število preiskav PET/CT in zmanjšalo izpostavljenost otrok sevanju.

## Biopsija neopredeljenih sumljivih intrahepatičnih lezij z računalniškotomografskim vodenjem. Označevanje z lipiodolom pred posegom izboljša uspešnost biopsije

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**Izhodišča.** Jetrne biopsije, vodene z računalniško tomografijo (CT), običajno izvajamo brez uporabe kontrastnega sredstva (KS). Uporaba KS pa je koristna predvsem pri zahtevnih vbodnih poteh in zahtevnih lokacijah lezij. Namen raziskave je bil oceniti natančnost CT vodenih biopsij jetrnih lezij brez uporabe KS, z uporabo intravenskega KS ali pa z označenjem lezij z intraarterijsko aplikacijo lipiodola.

Bolniki in metode. Retrospektivno smo ovrednotili 607 bolnikov (358 moških [59,0 %]; povprečna starost 61 let; standardna deviacija [SD] ± 12,04) s suspektnimi jetrnimi lezijami, pri katerih smo izvedli CT vodeno biopsijo. Uspešne biopsije so bile tiste, pri katerih histološko nismo videli tipičnega jetrnega tkiva ali pa nespecifičnih jetrnih sprememb. Glede na način izvedbe CT vodene biopsije, smo bolnike razdelili v tri skupine in jih med seboj primerjali: skupina brez KS, skupina z lipiodolom in skupina z intravenskim KS. Ugotavljali smo tehnično uspešnost in tudi dejavnike, ki bi lahko vplivali na uspešnost biopsije ter zaplete. Rezultate smo analizirali z uporabo Wilcoxon-Man-Whitneyjevega t-testa, hi-kvadrat testa in testa Spearman-Rho.

**Rezultati.** Celokupni delež uspešnih biopsij je bil 73,1 %, Uspešnost pa je bila značilno pri lezijah, označenih z lipiodolom (79,3 %), v primerjavi s skupino brez KS (73,8 %) in skupino z intravenskem KS (65,2 %) (p = 0,037). Pri manjših lezijah (premer < 20 mm) je označevanje z lipiodolom še v večji meri izboljšalo uspešnost biopsij (71,2%) v primerjavi s skupino brez KS (65,5 %) in skupino z intravenskim KS (47,7 %) (p = 0,021). Jetrna ciroza (p = 0,94) in etiologija lezij (p = 0,78) nista vplivala na stopnjo uspešnosti biopsij med skupinami. Med posegi ni prišlo do pomembnejših zapletov.

Zaključki. Označevanje sumljivih jetrnih lezij z lipiodolom pred biopsijo znatno poveča uspešnost biopsij in je še posebej koristno za biopsije manjših lezij s premerom manj kot 20 mm. Dodatno, pri lezijah, ki jih ne vidimo s CT-jem brez uporabe kontrasta, je označevanje z lipiodolom boljše kot uporaba intravenskega kontrasta. Entiteta tarčne lezije ne vpliva na stopnjo uspešnih biopsij. Radiol Oncol 2023; 57(2): 168-177. doi: 10.2478/raon-2023-0025

## Radiološka ocena indeksa skeletne mišičnine in miosteatoze ter njun vpliv na pooperativne zaplete po presaditvi jeter

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**Izhodišča.** Presaditev jeter pri bolnikih z akutno ali kronično jetrno odpovedjo predstavlja možnost ozdravitve in izboljša kvaliteto življenja. Vpliv prehranskega status na pooperativni potek po presaditvi jeter še ni jasno določen. Z raziskavo smo skušali ugotoviti napovedno vrednost indeksov skeletne mišičnine in miosteatoze na rezultate zdravljenja s presaditvijo jeter.

**Bolniki in metode.** Retrospektivno smo zbrali podatke 138 polnoletnih bolnikov, ki so imeli prvo ortotopno presaditev jeter. Z analizo slik računalniške tomografije na nivoju tretjega ledvenega vretenca smo izračunali indeks skeletne mišičnine in miosteatoze. Analizirali smo njun vpliv na pooperativni potek po presaditvi jeter.

**Rezultati.** Nizke vrednosti indeksa skeletne mišičnine so bile prisotne pri 63 % moških in 28,9 % ženskih prejemnikov jeter. Miosteatoza je bila prisotna pri 45 (32,6 %) bolnikih. Moški prejemniki z visokim indeksom skeletne mišičnine so imeli daljšo ležalno dobo v enoti intenzivne terapije (P < 0.025) v primerjavi z nizkim indeksom. Indeks skeletne mišičnine pri ženskah pa ni imel vpliva na ležalno dobo v enoti intenzivne terapije (P = 0,544), prav tako ne prisotnost miosteatoze ne glede na spol (P = 0,161). Indeksa skeletne mišičnine in miosteatoza nista vplivala na čas hospitalizacije (moški, P > 0,05 ter ženske, P = 0,843), stopnjo pooperativnih zapletov (moški, P = 0,883 ter ženske, P = 0,113), okužb (moški, P = 0,293 ter ženske, P = 0,285; P = 0,173) in zavrnitve presadka (moški, P = 0,875 ter ženske, P = 0,135).

Zaključki. V pričujoči raziskavi indeksa skeletne mišičnine in miosteatoza nista imela vpliva na pooperativne zaplete po presaditvi jeter. Uporaba CT analize sestave telesa ter določitev specifičnih parametrov so ključni za pridobitev verodostojnih podatkov v prihodnosti. Radiol Oncol 2023; 57(2): 178-183. doi: 10.2478/raon-2023-0022

## Vrednost koeficienta ADC kot biološkega označevalca zorenja možganovine ploda

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**Izhodišča.** Pri razvoju plodovih možganov ima pomembno vlogo mielinizacija, ki poteka v točno določenem zaporedju. Količina vode v možganih je sorazmerna z mielinizacijo – bolj kot so možgani mielinizirani, manjša je vsebnost vode v njih. Difuzijo vodnih molekul lahko kvantitativno ocenimo s pomočjo difuzijskega koeficienta (angl. apparent diffusion coefficient, ADC). Zanimalo nas je, ali lahko z določanjem vrednosti ADC kvantitativno ocenimo razvoj možganovine ploda.

**Bolniki in metode.** V retrospektivno raziskavo smo vključili 42 plodov gestacijske starosti med 25 in 35 tedni. Na sekvencah difuzijsko poudarjenega magnetnoresonančnega slikanja smo ročno izbrali 13 področij, v katerih smo izmerili vrednosti ADC. Statistično značilne razlike med vrednostmi ADC smo preverili s pomočjo enosmerne analize variance in Tukeyevim post hoc testom. Povezavo med vrednostmi ADC možganskih področij in gestacijsko starostjo plodov smo nato ocenili s pomočjo linearne regresije.

**Rezultati.** Povprečna gestacijska starost plodov je bila 29,8  $\pm$  2,4 tednov. Vrednosti ADC v talamusih, ponsu in malih možganih so se statistično značilno razlikovale med seboj ter od vrednosti ADC v vseh preostalih možganskih področjih. V talamusih, ponsu in malih možganih je linearna regresija pokazala statistično značilen upad vrednosti ADC z naraščajočo gestacijsko starostjo. V raziskavi smo upoštevali stopnjo značilnosti p = 0,05.

Zaključki. Vrednosti ADC se z naraščanjem gestacijske starosti ploda spreminjajo, razlikujejo se tudi med različnimi možganskimi področji. V ponsu, malih možganih in talamusih je koeficient ADC uporaben biološki označevalec zorenja možganovine ploda, saj se vrednosti ADC z naraščanjem gestacijske starosti linearno znižujejo. Radiol Oncol 2023; 57(2): 184-190. doi: 10.2478/raon-2023-0020

## Longitudinalno spremljanje difuzijskega koeficienta (ADC) pri bolnikih z rakom prostate, ki jih zdravimo z magnetnoresonančno vodeno radioterapijo na napravi MR-Linac z 1,5 T. Prospektivna raziskava izvedljivosti

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**Izhodišča.** Hibridni magnetnoresonančni (MR) linearni pospeševalniki (angl. linear accelerator, MR-Linak) bi lahko omogočili individualno neposredno prilagajanje radioterapije (RT) z uporabo kvantitativnih sekvenc MR, kot je difuzijsko tehtano slikanje. Namen raziskave je bil proučiti dinamiko difuzijskega koeficienta (angl. apparent diffusion coefficient, ADC) lezij pri bolnikih z rakom prostate, pri katerih smo z 1,5 T obteženim MR-Linakom izvajali RT z MR vodenjem. Kot referenčni standard smo uporabili vrednosti ADC na diagnostičnem MR s 3T.

**Bolniki in metode.** V prospektivno raziskavo smo vključili bolnike z biopsijsko potrjenim rakom prostate, ki smo jim opravili preiskavo s 3 T obteženem MR, (MR3T), in preiskavo na 1,5 T obteženem MR-Linak (MRL) pred in med RT. Vrednosti ADC lezij sta izmerila radiolog in radioterapevt na rezini z največjo lezijo. Vrednosti ADC na obeh sistemih smo primerjali s pomočjo parnih t-testov. Izračunali smo Pearsonov korelacijski koeficient in soglasje med odčitovalcema.

**Rezultati.** Vključili smo 9 bolnikov, starih 67 ± 6 let (razpon od 60 do 67 let). Pri 7 bolnikih je bila rakava sprememba v perifernem območju, pri 2 bolnikih pa v prehodnem območju. Zanesljivost med odčitovalcema glede merjenja ADC lezije je bila odlična, s koeficientom znotraj razreda (*angl. intraclass correlation coefficient*, ICC) > 0,90 pred in med RT, tako navajamo rezultati prvega odčitovalca. Pri obeh sistemih je prišlo do statistično značilnega zvišanja ADC lezij med RT (povprečno MRL-ADC pred RT 0,97 ± 0,18 x 10–3 mm<sup>2</sup>/s v primerjavi s MRL-ADC med RT 1,38 ± 0,3 x 10–3 mm<sup>2</sup>/s, kar pomeni povprečno zvišanje ADC lezij za 0,41 ± 0,20 x 10–3 mm<sup>2</sup>/s; p < 0,001). Povprečna vrednost MR3T-ADC pred RT je bila 0,78 ± 0,165 x 10–3 mm<sup>2</sup>/s v primerjavi s povprečno vrednostjo MR3T-ADC med RT 0,99 ± 0,175 x 10–3 mm<sup>2</sup>/s, kar pomeni povprečno povišanje ADC lezije za 0,21 ± 0,96 x 10–3 mm<sup>2</sup>/s,;p < 0,001). Absolutne vrednosti ADC iz MRL so bile pred in med RT stalno pomembno višje od vrednosti iz MR3T (p < = 0,001). Kljub temu je bila med MRL-ADC in MR3T-ADC pred (r = 0,798, p = 0,01) in med RT (r = 0,863, p = 0,003) močna pozitivna korelacija.

Zaključki. ADC lezij, izmerjen na MRL, se je med RT znatno povečal, meritve ADC lezij na obeh sistemih pa so pokazale podobno dinamiko. To kaže, da bi ADC lezij, izmerjen na MRL, lahko uporabljali kot biološki označevalec za oceno odziva na zdravljenje. Nasprotno pa so absolutne vrednosti ADC, izračunane z algoritmom proizvajalca MRL, pokazale sistematična odstopanja od vrednosti, pridobljenih na diagnostičnem sistemu MR3T. Pričujoče preliminarne ugotovitve so obetavne, vendar jih je potrebno potrditi z večjo raziskavo. Po potrditvi, bi lahko ADC lezij na MRL uporabljali za oceno tumorskega odziva v realnem času pri bolnikih z rakom prostate, ki jih zdravimo z MR vodenim obsevanjem.

## Kraniotomija pri budnem bolniku med operativnim zdravljenjem možganskih gliomov. Izkušnje iz Univerzitetnega kliničnega centra Ljubljana

Žele T, Velnar T , Koritnik B, Bošnjak R, Markovič-Božič J

**Izhodišča.** Kraniotomija pri budnem bolniku (*ang. awake craniotomy*) je nevrokirurška tehnika, ki omogoča intraoperativno nevrofiziološko testiranje s sodelovanjem bolnika med odstranjevanjem možganskega tumorja v regionalni anesteziji. To omogoči prepoznavanje vitalno najpomembnejših (*i.e.* elokventnih) možganskih področij pri operaciji in zmanjša možnost njihove poškodbe. Namen raziskave je bil predstaviti izkušnje s kraniotomijo pri budnem bolniku med operativnim zdravljenjem gliomov v Univerzitetnem kliničnem centru Ljubljana od 2015 do 2019.

**Bolniki in metode.** Kandidati za kraniotomijo v budnem stanju so bili bolniki z glialnim tumorjem znotraj ali v bližini govornih možganskih področji, tumorjem v področju inzule in tumorjem znotraj ali v bližini primarne motorične skorje. Bolnike smo klinično ocenili pred in po operaciji.

**Rezultati.** V 5-letnem obdobju smo opravili 24 kraniotomij pri budnem bolniku (18 moških in 6 ženskih; povprečna starost 41 let). Njihovo sodelovanje med operacijo smo pri večini bolnikov ocenili kot »odlično«, stopnjo neugodja kot »blažje neugodje« in bolečino kot »zmerno«. Po operaciji smo opažali blago nevrološko poslabšanje pri 13 % bolnikov (3/24). Popolno resekcijo smo lahko naredili pri malignih gliomih v 60 % (6/10) pri nizkomalignih gliomih pa v 29 % (4/14). Primerjava predoperativnega in pooperativnega funkcionalnega stanja bolnika z lestvico po Karnovskem in kvalitete življenja z anketnim vprašalnikom kratke oblike 36 (*angl. Short-Form 36 health survey*, SF-36) je pokazala, da operacija ni pomembno negativno vplivala na telesno zmogljivost ali kakovost življenja bolnikov (p > 0,05).

Zaključki. Izkušnjah iz terciarnega centra kažejo, da je kraniotomija pri budnem pacientu izvedljiva in varna nevrokirurška tehnika. Izbira ustreznih bolnikov, natančno načrtovanje in predoperativna priprava ter timski pristop so ključni pri doseganju najboljšega poteka operacije in uspeha tovrstnega zdravljenja.

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## Kognitivno funkcioniranje v kohorti bolnikov z visokomalignimi gliomi

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**Izhodišča.** Visoko maligni gliomi so povezani s kognitivnimi motnjami. V raziskavi smo želeli preučiti kognitivno funkcioniranje v kohorti bolnikov z malignimi gliomi, glede na stanje izocitratdehidrogenaze (IDH) in metil gvanin metil transferaze (MGMT) ter druge klinične značilnosti.

**Bolniki in metode.** V raziskavo smo vključili bolnike z visoko malignimi gliomi, zdravljenimi v opazovanem času. Pooperativno smo naredili nevropsihološko oceno in pri tem uporabili Slovenski test verbalnega učenja, Slovenski test črkovne fluentnosti, Test psihomotorične hitrosti (*angl. Trail Making Test, Part A and B*) ter samoocenjevalni vprašalnik. Rezultate smo analizirali kot vrednost 'z' (*angl. z-score*) in dihotomizirano glede na stanje IDH in MGMT. Razlike med skupinami smo proučevali z uporabo T-testa ter testov Mann-Whitney U, χ2 in Kendall's Tau.

**Rezultati.** Od 275 bolnikov v kohorti smo jih lahko vključili 90. Med njimi 46 % bolnikov ni bilo sposobnih sodelovati zaradi slabega stanja zmogljivosti ali dejavnikov, povezanih z boleznijo. Bolniki, kjer je bila prisotna mutacija IDH, so bili mlajši in bolj zmogljivi, imeli so več tumorjev gradusa III in več metilacij MGMT. V tej skupini je bilo kognitivno funkcioniranje statistično značilno boljše na področjih neposrednega verbalnega priklica, kratkoodloženega in dolgoodloženega priklica, kot tudi na področjih izvršilnih funkcij ter prepoznavanja. Glede na stanje MGMT pa razlik nismo našli. Tumorji gradusa III so bili povezani s pogostejšo metilacijo. Samoocena se je pokazala kot šibko orodje, povezano le z neposrednim priklicom.

Zaključki. Glede na stanje MGMT nismo našli razlik v kognitivnem funkcioniranju, je pa bilo boljše ob prisotnosti IDH mutacije. V naši kohorti bolnikov z visokomalignimi gliomi jih skoraj polovica ni bila sposobna sodelovanja in jih nismo vključili v raziskavo, kar kaže na veliko zastopanost prognostično ugodnejših bolnikov v raziskavah.

## Spremembe v kakovosti življenja pri bolnicah z zgodnjim rakom dojk in primerjava z normativno slovensko populacijo

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**Izhodišča.** Želeli smo proučiti spremembe v kakovosti življenja bolnic, zdravljenih zaradi raka dojk, in primerjati njihovo kakovost življenja z normativnimi podatki slovenske populacije.

**Bolniki in metode.** Izvedli smo prospektivno kohortno raziskavo. Vključili smo 102 bolnici z zgodnjim rakom dojk, ki smo jih zdravili na Onkološkem inštitutu Ljubljana s kemoterapijo. Eno leto po zaključeni kemoterapiji je izpolnilo vprašalnike 71 % vseh bolnic. Uporabili smo slovensko različico vprašalnikov EORTC QLQ C30 in BR23. Primarni cilj je bila primerjava globalnega zdravstvenega stanja/kakovosti življenja in skupnega rezultata C30 (*angl. C30-Summary Score, C30-SumSc*) pred kemoterapijo in eno leto po zaključeni kemoterapiji z normativno slovensko populacijo. V eksplorativni analizi smo proučili razlike v simptomih in funkcionalnih lestvicah QLQ C-30 in QLQ BR-23 med izhodiščnim stanjem in stanjem eno leto po koncu kemoterapije.

**Rezultati.** Izhodiščni C30-SumSc bolnic je bil za 2,6 točke (p = 0,04) nižji od predvidenega C30-SumSc populacije, po enem letu po zaključku kemoterapije je bil za 6,5 točke (p <0,001) nižji od predvidenega C30-SumSc populacije. Nasprotno pa se globalno zdravstveno stanje bolnic ni statistično razlikovalo od napovedi iz populacije niti izhodiščno niti po enem letu. Eksploratorna analiza je pokazala, da so imele bolnice eno leto po kemoterapiji v primerjavi z začetkom kemoterapije statistično značilne in klinično pomembne nižje ocene pri telesni podobi in kognitivnem funkcioniranju ter višje ocene simptomov za bolečino, utrujenost in simptome roke.

Zaključki. C30-SumSc je po enem letu po kemoterapiji znižan. Zgodnje intervencije je potrebno usmeriti v preprečevanje kognitivnega upada in telesne podobe ter v zmanjšanje utrujenosti, bolečine in simptomov roke.

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## Pomen *PIK3CA* aktivirajočih mutacij za izhod bolezni in sistemsko zdravljenje operabilnega invazivnega lobularnega karcinoma dojke

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Izhodišča. Namen raziskave je bil ovrednotiti vpliv PIK3CA aktivirajočih mutacij za izhod bolezni in učinkovitost doponilnega endokrinega zdravljenja pri bolnicah z operabilnim invazivnim lobularnim karcinomom.

**Bolniki in metode.** V raziskavo smo vključili bolnice z zgodnjim invazivnim lobularnim karcinomom dojke, ki smo jih na Onkološkem inštitutu Ljubljana zdravili med leti 2003 in 2008. S pomočjo metode Kaplan-Meier smo izračunali čas do prvega oddaljenega razsoja bolezni in celokupno preživetje v celotni skupini bolnic z ozirom na mutacijski status *PIK3CA* v primarnem tumorju dojke. Povezanost med *PIK3CA* aktivirajočo mutacijo in učinkovitostjo dopolnilnega endokrinega zdravljenja pa smo analizirali s pomočjo Coxovih modelov v skupini bolnic, ki so imele v tumorju pozitivne estrogenske in progesteronske receptorje.

**Rezultati.** Srednja starost vseh bolnic ob diagnozi je bila 62,8 let in srednji čas opazovanja 10,8 let. Med 365 bolnicami, ki smo jih vključili v raziskavo, smo odkrili *PIK3CA* aktivirajočo mutacijo pri 45 %. Ugotovili smo, da prisotnost *PIK3CA* mutacije v primarnem ILC dojke ne vpliva na čas do prvega oddaljenega razsoja bolezni (p = 0,36) in ne na celokupno preživetje (p = 0,42). Pri bolnicah s prisotno aktivirajočo *PIK3CA* mutacijo je vsako leto zdravljenja s tamoksifenom zmanjšalo tveganje za smrt za 27 %, pri bolnicah zdravljenih z zaviralci aromataze pa za 21 % v primerjavi z bolnicami, ki niso prejele endokrinega zdravljenja. Vrsta in trajanje endokrinega zdravljenja nista imela vpliva na čas do prvega oddaljenega razsoja bolezni, je pa podaljšano endokrino zdravljenje imelo ugoden vpliv na celokupno preživetje.

Zaključki. Prisotnost aktivirajoče mutacije PIK3CA v primarnem operabilnem ILC dojke ne vpliva na čas do prvega oddaljenega razsoja bolezni in tudi ne na celokupno preživetje. Bolnice s prisotno PIK3CA aktivirajočo mutacijo so imele statistično pomembno zmanjšanje tveganja smrti ne glede na to, ali so v dopolnilnem hormonskem zdravljenju prejele tamoksifen ali zaviralce aromataze.

## Subplevralne fibrozne intersticijske nepravilnosti v pljučih vplivajo na izid radioterapije nedrobnoceličnega pljučnega raka

Ito M, Katano T, Okada H, Sakuragi A, Minami Y, Abe S, Adachi S, Oshima Y, Ohashi W, Kubo A, Fukui T, Ito S, Suzuki K

**Izhodišča.** Povezava med intersticijskimi pljučnimi nepravilnostmi in rezultati zdravljenja pljučnega raka z radioterapijo je nejasna. Namen raziskave je bil ugotoviti, ali so specifični podtipi intersticijskih pljučnih nepravilnosti dejavniki tveganja za radiacijski pnevmonitis.

Bolniki in metode. V retrospektivni raziskavi smo analizirali bolnike z nedrobnoceličnim pljučnim rakom, ki smo jih zdravili z radikalno ali rešilno radioterapijo. Bolnike smo razdelili v skupino normalnih (brez nepravilnosti), skupino z intersticijskimi pljučnimi nepravilnostmi in skupino z intersticijsko pljučno boleznijo. Skupino z intersticijskimi pljučnimi nepravilnostmi smo nadalje razdelili na nesubpleuralno, subpleuralno nefibrotično in subpleuralno fibrotično skupino. Za določitev radiacijskega pnevmonitisa in preživetja ter primerjavo teh rezultatov med skupinami smo uporabili Kaplan-Meierjevo in Coxovo regresijsko metodo.

**Rezultati.** V raziskavo smo vključili 175 bolnikov: normalni, n = 105; intersticijske pljučne nepravilnostinesubpleuralno, n = 5; intersticijske pljučne nepravilnosti-subpleuralno nefibrotično, n = 28; intersticijske pljučne nepravilnosti-subpleuralno fibrotično, n = 31; intersticijska pljučna bolezen, n = 6. Radiacijski pnevmonitis stopnje  $\ge 2$  smo opazili pri 71 bolnikih (41 %). Intersticijske pljučne nepravilnosti (razmerje tveganj [HR]: 2,33; p = 0,008), radioterapija z modulirano intenzivnostjo (HR: 0,38; p = 0,03) in prostornina pljuč, ki je prejela 20 Gy (HR: 54,8; p = 0,03), so prispevali h kumulativni pojavnosti radiacijskega pnevmonitisa. V skupini intersticijske pljučne nepravilnosti je bilo 8 bolnikov z radiacijskim pnevmonitisom 5. stopnje, od tega jih je imelo 7 subpleuralno fibrotično obliko. Med radikalno zdravljenimi bolniki je imela skupina intersticijske pljučne nepravilnosti slabše 2-letno celokupno preživetje kot normalna skupina (35,3 % proti 54,6 %; p = 0,005). Multivariatna analiza je pokazala, da je skupina z intersticijskimi pljučnimi nepravilnostmi-subpleuralno fibrotičnimi prispevala k slabšemu celokupnemu preživetju (HR: 3,07; p = 0,02).

Zaključki. Intersticijske pljučne nepravilnosti, zlasti subpleuralne fibrotične, so lahko pomembni dejavniki tveganja za radiacijski pnevmonitis, ki lahko poslabšajo napoved poteka bolezni. Te ugotovitve lahko pomagajo pri odločanju glede radioterapije.

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## Vpliv izražanja genov *BCL2*, *BAX* in *ABCB1* na napoved poteka bolezni pri odraslih bolnikih z *de novo* akutno mieloično levkemijo z normalnim kariotipom

Pravdić Z, Suvajdžić Vuković N, Gasić V, Marjanović I, Karan-Djurašević T, Pavlović S, Tosić N

**Izhodišča.** Deregulacija apoptotičnega procesa je v ozadju patogeneze številnih vrst raka, vključno z levkemijo, in je zelo pomembna tudi za uspeh kemoterapevtskega zdravljenja. Zato bi lahko profil izražanja genov glavnih apoptotičnih dejavnikov, kot sta antiapoptotični *BCL2* (protein 2 limfoma celic B) in proapoptotični *BAX*I (BCL2-associated X), ter genov, vključenih v odpornost na več zdravil (*ABCB1*), pomembno vplival na napoved poteka bolezni. Uporabili bi ga lahko tudi kot tarčo za specifično zdravljenje.

**Bolniki in metode.** Z metodo verižne reakcije s polimerazo v realnem času smo analizirali izražanje *BCL2, BAX*I in *ABCB1* v vzorcih kostnega mozga, ki smo jih zbrali ob diagnozi pri 51 odraslih bolnikih z akuto mieloično levkemijo z normalnim kariotipom (AML-NK), in preučili njihovo napovedno moč.

**Rezultati.** Povečano izražanje *BCL2* (*BCL2*<sup>+</sup>) je bilo povezano s kemorezistenco (p = 0,024), medtem ko so bili bolniki z nizkim izražanjem *BAX* (*BAX*<sup>nizko</sup>) bolj nagnjeni k ponovitvi bolezni (p = 0,047). Analiza skupnega učinka izražanja *BCL2* in *BAX*I je pokazala, da je bilo 87 % bolnikov s statusom *BAX/BCL2*<sup>nizko</sup> odpornih na zdravljenje (p = 0,044). Visoko izražanje *ABCB1* je bilo povezano s statusom *BCL2*<sup>+</sup> (p < 0,001) in odsotnostjo mutacij *FLT3-ITD* (p = 0,019).

Zaključki. Pričujoča analiza profilov izražanja genov BCL2, BAXI in ABCB1 je prva raziskava, ki obravnava izključno bolnike z AML-NK. Preliminarni rezultati so pokazali, da so bolniki z visokim izražanjem BCL2 v večji meri odporni na kemoterapijo in bi jim lahko koristilo specifično zdravljenje proti BCL2. Z nadaljnjimi raziskavami na večjem številu bolnikov bi lahko pojasnili dejanski napovedni pomen teh genov pri bolnikih z AML-NK.

## CD56-pozitivni difuzni velikocelični limfom B. Celovita analiza kliničnih, patoloških in molekularnih značilnosti s pregledom literature

Gašljević G, Boltežar L, Novaković S, Šetrajčič-Dragoš V, Jezeršek-Novaković B, Kloboves-Prevodnik V

**Izhodišča.** Difuzni velikocelični limfom B (angl. diffuse large B-cell lymphoma, DLBCL) brez dodatnih oznak (angl. not otherwise specified, NOS) je najpogostejši ne-Hodgkinov limfom. Izražanje CD56 na celicah DLBCL-NOS, je zelo neobičajno. O pojavnosti izražanja in njegovem kliničnem pomenu pri DLBCL je malo znanega. Pri takšnih primerih še niso naredili genetskega profila.

**Bolniki in metode.** V raziskavo smo vključili 229 bolnikov s histološko potrjenim DLBCL-NOS, ki so bili diagnosticirani na Onkološkem inštitutu Ljubljana v obdobju 2008–2017. Iz tkivnih blokov vseh bolnikov smo izdelali tkivne mreže in naredili tkivne rezine za barvanje s hematoksilin eozinom in imunohistokemična barvanja. Za vse primere DLBCL-NOS, kjer smo na limfomskih celicah imunohistokemično dokazali izražanje CD56, smo pridobili klinične podatke, vključno s starostjo ob diagnozi, stadijem bolezni, mednarodnim napovednim indeksom (*angl. International Prognostic Index*, IPI), shemo zdravljenja in številom krogov kemoterapije, zdravljenjem z radioterapijo, izidom zdravljenja in morebitno ponovitvijo bolezni. Izračunali smo celokupno preživetje in preživetje brez napredovanja. Pri štirih bolnikih s CD56 pozitivnim DLBCL-NOS smo izolirali RNA in opravili ciljno sekvenciranje RNA (cDNA) 125 genov s kompletom Archer FusionPlex Lymphoma.

**Rezultati.** Izražanje CD56 smo ugotovili v 7 od 229 primerov (3%). Stopnja izražanja je bila zmerna žariščna do zelo intenzivna in difuzna. Vsi bolniki so imeli na novo nastal DLBCL-NOS. Srednja starost bolnikov ob diagnozi je bila 54,5 let, med njimi je bilo pet žensk in 2 moška. Po Hansovem algoritmu je imelo 6 bolnikov podtip GBC in en podtip ne-GBC (ABC), dvojni ekspresor. Genetski profil štirih bolnikov, določen po Schmitzovi klasifikaciji, je pokazal, da je bil en primer podtipa BN2, en podtipa EZB, dva pa sta bila nerazvrščena. Pri vseh 6 zdravljenih bolnikih smo dosegli popoln odgovor na zdravljenje in nismo ugotovili napredovanja bolezni v srednjem obdobju spremljanja 80,5 mesecev.

Zaključki. Naša serija CD56 pozitivnih DLBCL-NOS je ena izmed največjih do sedaj objavljenih, v kateri so podrobno opisane klinično-patološke značilnosti. Prav tako je to prva serija CD56 pozitivnih DLBCL-NOS, pri kateri smo določili genetski profil. Rezultati kažejo, da je izražanje CD56 v DLBCL-NOS redko, vendar prisotno v napovedno ugodnih imunohistokemičnih in genetskih podtipih DLBCL-NOS.

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## Kvantitativni dinamični kontrastni parametri in znotrajvokselno nekoherentno gibanje omogočajo napovedovanje statusa TP53 in opredelitev tveganja pri začetnem endometrijskem karcinomu

Wang H, Yan R, Li Z, Wang B, Jin X, Guo Z, Liu W, Zhang M, Wang K, Guo J, Han D

**Izhodišča.** Namen raziskave je bil raziskati vrednost dinamičnega kontrastnega magnetnoresonančnega slikanja (DCE-MRI) in znotrajvokselnega inkoherentnega gibanja (IVIM) za razlikovanje med mutiranim TP53 in nemutiranim, nizkim in visokim tveganjem pri začetnem endometrijskem karcinoma.

**Bolniki in metode.** Pri 74 bolnikih z endometrijskim karcinomom smo naredili MRI medenice. Primerjali smo parametre: konstanta volumskega prenosa (Ktrans), konstanta hitrosti prenosa (Kep), volumen ekstravaskularnega zunajceličnega prostora na enoto volumna tkiva (Ve), pravi difuzijski koeficient (D), psevdodifuzijski koeficient (D\*) in mikrovaskularni volumski delež (f). Kombinacijo parametrov smo analizirali z logistično regresijo in ovrednotili z metodo zagonskega traku (*angl. bootstrap*) (1000 vzorcev), ROC krivuljami, kalibracijskimi krivuljami in analizo krivulje odločanja (DCA).

**Rezultati.** VskupinizmutiranimTP53stabilaKtransinKepvišja, DpanižjikotvskupinizdivjimtipomTP53; Ktrans, Ve, f in D so bili nižji v skupini z visokim tveganjem kot v skupini z nizkim tveganjem (vsi P < 0,05). Pri prepoznavanju začetnega endometrijskega karcinoma z mutiranim TP53 in nemutiranim TP53 sta bila Ktrans in D neodvisna napovedna dejavnika, njuna kombinacija pa je imela optimalno diagnostično učinkovitost (AUC 0,867; občutljivost 92 %; specifičnost 80,95 %), kar je bilo pomembno boljše od D (Z = 2,169; P = 0,030) in Ktrans (Z = 2,572, P = 0,010). Pri prepoznavanju začetnega endometrijskega karcinoma z nizkim in visokim tveganjem so bili neodvisni napovedni kazalci Ktrans, Ve in f, njihova kombinacija pa je imela optimalno diagnostično učinkovitost (AUC 0,947; občutljivost 83,33 %; specifičnost 93,18 %), kar je bilo bistveno boljše od D (Z = 3,113; P = 0,002), f (Z = 4,317; P < 0,001), Ktrans (Z = 2,713; P = 0,007) in Ve (Z = 3,175; P = 0,002). Umeritvene krivulje so pokazale, da imata obe zgornji kombinaciji neodvisni napovedni dejavnikov dobro skladnost, DCA pa je pokazala, da sta ti kombinaciji zanesljivi klinični napovedni orodji.

Zaključki. Tako DCE-MRI kot IVIM olajšata napoved statusa TP53 in opredelitev tveganja pri začetnem endometrialnem karcinomu. V primerjavi s posameznimi parametri je imela kombinacija neodvisnih napovednih dejavnikov boljšo napovedno moč in lahko služi kot boljši slikovni označevalec.

## Dvostopenjska hepatektomija pri resekciji jetrnih metastaz raka debelega črevesa in danke. Izkušnje terciarne ustanove s primerjavo primerov in kontrol ter pregled literature

Turk S, Plahuta I, Magdalenič T, Španring T, Laufer K, Mavc Ž, Potrč S, Ivanecz A

**Izhodišča.** Dvostopenjsko hepatektomijo so uvedli pri bolnikih z bilateralnimi jetrnimi tumorji, ki imajo visoko tveganje za jetrno odpoved po enostopenjski hepatektomiji. Namen raziskave je bil analizirati dvostopenjske hepatektomije pri obsežnih obojestranskih jetrnih zasevkih raka debelega črevesa in danke.

**Bolniki in metode.** Retrospektivno smo pregledali prospektivno vodene podatkovne baze jetrnih resekcij zaradi zasevkov raka debelega črevesa in danke. Skupino dvostopenjskih hepatektomij smo primerjali s skupino enostopenjskih hepatektomij glede pooperativnih izidov in preživetja. Izvedli smo ujemanje primerov in kontrol (*angl. case-control matching*).

**Rezultati.** Med leti 2000 in 2020 smo naredili 632 jetrnih resekcij zaradi zasevkov raka debelega črevesa in danke. Skupina z dvostopenjsko hepatektomijo je obsegala 15 bolnikov, kontrolna skupina z enostopenjskih hepatektomijo pa je vključeval 151 bolnikov. Skupina enostopenjskih hepatektomij, kjer smo izvedli ujemanje primerov je vsebovala 14 bolnikov. Huda obolevnost in 90-dnevna smrtnost sta bili v skupini z dvostopenjsko hepatektomijo 40 % in 13,3 %; v skupini z enostopenjsko hepatektomijo pa 20,5 % in 4,6 % ter v skupini z enostopenjsko hepatektomijo in hkratno ujemanje primerov 28,6 % oziroma 7,1 %. Preživetje brez bolezni, srednje celokupno preživetje ter 3- in 5-letni preživetji so v skupini dvostopenjskih hepatektomij pa 11 mesecev, 35 mesecev, 21 mesecev, 33 % in 13 %; v skupini enostopenjskih hepatektomij pa 11 mesecev, 35 mesecev, 26 % in 27 % ter v skupini z enostopenjsko hepatektomijo in hkratno ujemanje primerov 8 mesecev, 23 mesecev, 36 % in 21 %.

Zaključki. Dvostopenjska hepatektomija je bila dokaj varen in učinkovit postopek zdravljenja. Prednost enostopenjske hepatektomije pa je, da z njo lahko dosegamo ob enakovrednih onkoloških rezultatih nižjo hudo obolevnost po operaciji.

Radiol Oncol 2023; 57(2); I-XV.



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Reference: 1. Povzetek glavnih značilnosti zdravila Verzenios, zadnja odobrena verzija. 2. ZZZS, Spremembe liste zdravil\_2023\_03\_07

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Lilly



## Za lajšanje bolečine in oteklin v ustni in žrelu, ki so posledica radiomukozitisa

Bistvene informacije iz Povzetka glavnih značilnosti zdravila

Tantum Verde 1,5 mg/ml oralno pršilo, raztopina Tantum Verde 3 mg/ml oralno pršilo, raztopina

Sestava: 1,5 mg/ml: 1 ml raztopine vsebuje 1,5 mg benzidaminijevega klorida, kar ustreza 1,34 mg benzidamina. V enem razpršku je 0,17 ml raztopine. En razpršek vsebuje 0,255 mg benzidaminijevega klorida, kar ustreza 0,2278 mg benzidamina. Sestava 3 mg/ml: 1 ml raztopine vsebuje 3 mg benzidaminijevega klorida, kar ustreza 2,68 mg benzidamina. V enem razpršku je 0,17 ml raztopine. En razpršek vsebuje 0,51 mg benzidaminijevega klorida, kar ustreza 0,4556 mg benzidamina. Terapevtske indikacije: Samozdravljenje: Lajšanje bolečine in oteklin pri vnetju v ustni votlini in žrelu, ki so lahko posledica okužb in stanj po operaciji. Po nasvetu in navodilu zdravnika: Lajšanje bolečine in oteklin v ustni votlini in žrelu, ki so posledica radiomukozitisa. Odmerjanje in način uporabe: Uporaba: 2- do 6-krat na dan (vsake 1,5 do 3 ure). Odmerjanje 1,5 mg/ml; Odrasli: 4 do 8 razprškov 2- do 6-krat na dan. Pediatrična populacija: Mladostniki, stari od 12 do 18 let: 4-8 razprškov 2- do 6-krat na dan. Otroci od 6 do 12 let: 4 razprški 2- do 6-krat na dan. Otroci, mlajši od 6 let: 1 razpršek na 4 kg telesne mase; do največ 4 razprške 2- do 6-krat na dan. Odmerjanje 3 mg/ml: Odrasli: 2 do 4 razprški 2- do 6-krat na dan. Pediatrična populacija: Mladostniki, stari od 12 do 18 let: 2 do 4 razprški 2- do 6-krat na dan. Otroci od 6 do 12 let: 2 razprška 2- do 6-krat na dan. Otroci, mlajši od 6 let: 1 razpršek na 8 kg telesne mase; do največ 2 razprška 2- do 6-krat na dan. Starejši bolniki, bolniki z jetrno okvaro in bolniki z ledvično okvaro: niso potrebni posebni previdnostni ukrepi. Trajanje zdravljenja ne sme biti daljše od 7 dni. Način uporabe: Za orofaringealno uporabo. Zdravilo se razprši v usta in žrelo. Kontraindikacije: Preobčutljivost na učinkovino ali katero koli pomožno snov. Posebna opozorila in previdnostni ukrepi: Pri nekaterih bolnikih lahko resne bolezni povzročijo ustne/žrelne ulceracije. Če se simptomi v treh dneh ne izboljšajo, se mora bolnik posvetovati z zdravnikom ali zobozdravnikom, kot je primerno. Uporaba benzidamina ni priporočljiva za bolnike s preobčutljivostjo na salicilno kislino ali druga nesteroidna protivnetna zdravila. Pri bolnikih, ki imajo ali so imeli bronhialno astmo, lahko pride do bronhospazma. Pri takih bolnikih je potrebna previdnost. To zdravilo vsebuje 13,6 mg alkohola (etanola) v enem razpršku (0,17 ml), kar ustreza manj kot 0,34 ml piva oziroma 0,14 ml vina. Majhna količina alkohola v zdravilu ne bo imela nobenih opaznih učinkov. To zdravilo vsebuje metilparahidroksibenzoat (E218). Lahko povzroči alergijske reakcije (lahko zapoznele). To zdravilo vsebuje manj kot 1 mmol (23 mg) natrija v enem razpršku (0,17 ml), kar v bistvu pomeni 'brez natrija'. Zdravilo vsebuje aromo poprove mete z benzilalkoholom, cinamilalkoholom, citralom, citronelolom, geraniolom, jzoevgenolom, linalolom, evgenolom in D-limonen, ki lahko povzročijo alergijske reakcije. Zdravilo z jakostjo 3 mg/ml vsebuje makrogolglicerol hidroksistearat 40. Lahko povzroči želodčne težave in drisko. Medsebojno delovanje z drugimi zdravili in druge oblike interakcij: Študij medsebojnega delovanja niso izvedli. Nosečnost in dojenje: O uporabi benzidamina pri nosečnicah in doječih ženskah ni zadostnih podatkov. Uporaba zdravila med nosečnostjo in dojenjem ni priporočljiva. Vpliv na sposobnost vožnje in upravljanja strojev: Zdravilo v priporočenem odmerku nima vpliva na sposobnost vožnje in upravljanja strojev. Neželeni učinki: Neznana pogostnost (ni mogoče oceniti iz razpoložljivih podatkov): anafilaktične reakcije, preobčutljivostne reakcije, odrevenelost, laringospazem, suha usta, navzea in bruhanje, oralna hipestezija, angioedem, fotosenzitivnost, pekoč občutek v ustih. Neposredno po uporabi se lahko pojavi občutek odrevenelosti v ustih in v žrelu. Ta učinek se pojavi zaradi načina delovanja zdravila in po kratkem času izgine. Način in režim izdaje zdravila: BRp-Izdaja zdravila je brez recepta v lekarnah in specializiranih prodajalnah. Imetnik dovoljenja za promet: Aziende Chimiche Riunite Angelini Francesco A.C.R.A.F. S.p.A., Viale Amelia 70, 00181 Rim, Italija Datum zadnje revizije besedila: 05. 04. 2022

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#### IMFINZI podaljša preživetje in obenem ohranja kvaliteto življenja bolnikov z razsejanim drobnoceličnim rakom pljuč<sup>1,2</sup>

Po treh letih je bilo živih 3x več bolnikov zdravljenih z zdravilom Imfinzi v kombinaciji z EP kot bolnikov zdravljenih samo z EP<sup>1</sup>



#### SKRAJŠAN POVZETEK GLAVNIH ZNAČILNOSTI ZDRAVILA

🔻 Za to zdravilo se izvaja dodatno spremljanje varnosti. Tako bodo hitreje na voljo nove informacije o njegovi varnosti. Zdravstvene delavce naprošamo, da poročajo o katerem koli domnevnem neželenem učinku zdravila.

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<text> 1. Paz-Ares L, Chen Y, Reinmuth N, et al. Durnalumab + tremelimumab + platinum-etoposide in first-line extensive-stage SCLC (ES-SCLC): 3-year overall sunviral update from the phase 3 CASPIAN study; ESMO Open. 2022 Apr;7[2]:10.408. 2. Goldman JM, Garassino MC, Chen Y, et al. Patient-eported outcomes with first-line durvalumab plus platinum-etoposide in extensive-stage small-cell lung cancer (CASPIAN): a randomized, controlled, open-label, phase III study; Lung Cancer. 2020;149:46-52.

## CALQUENCE Moč zaupanja

#### Visoka selektivnost za BTK z omejeno zunajtarčno aktivnostjo<sup>1</sup>

#### PODATKI NEPOSREDNE PRIMERJAVE: CALQUENCE V PRIMERJAVI Z IBRUTINIBOM<sup>2</sup>

ZNAČILNO NIŽJA POJAVNOST ATRIJSKE FIBRILACIJE/UNDULACIJE KATERE KOLI STOPNJE PRI CALQUENCE V PRIMERJAVI Z IBRUTINIBOM PRI BOLNIKIH S KLL, KI SO PREDHODNO PREJEMALI VSAJ ENO ZDRAVLJENJE. Primarni opazovani dogodek, neinferiorno preživetje brez napredovanja bolezni (PFS - progression-free survival), je bil dosežen.

#### SKRAJŠAN POVZETEK GLAVNIH ZNAČILNOSTI ZDRAVILA

Za to zdravilo se izvaja dodatno spremljanje varnosti. Tako bodo hitreje na voljo nove informacije o njegovi varnosti. Zdravstvene delavce naprošamo, da poročajo o katerem koli domnevnem neželenem učinku zdravila.

#### Calquence 100 mg trde kapsule

Calquence 100 mg filmsko obložene tablete

SESTAVA: Ena trda kapsula vsebuje 100 mg akalabrutiniba. Ena filmsko obložena tableta vsebuje 00 mg akalabrutiniba (v obliki akalabrutinibijevega maleata). Akalabrutinib je selektiven zaviralec Brutonove tirozin-kinaze. <u>INDIKACIJE</u>: Zdravilo Calquence je kot monoterapija ali v kombinaciji z obinutuzumabom indicirano za zdravljenje odraslih bolnikov s predhodno nezdravljeno kronično limfocitno levkemijo. In kot monoterapija za zdravljenje odraslih bolnikov s kronično limfocitno levkemijo, ki so predhodno prejemali vsaj eno zdravljenje. <u>ODMERJANJE IN NAČIN UPORABE</u>: Ievkemijo, ki so prednodno prejemali vsaj eno zdravijenje. <u>ODmetkolanje in Norkolanje in Vakcija U porabo</u> zdravljenje Zdravljenje s tem zdravljom mora uvesti in nadzorovati zdravnik, ki ma izkušnje z uporabo zdravil za zdravljenje raka. Priporočeni odmerek je 100 mg akalabrutiniba dvakrat na dan. Zdravljenje je treba nadaljevati do napredovanja bolezni ali nesprejemljive toksičnosti. Informacija o priporočeni prilagoditve odmerka zdravila calgunece v primeru neželenih učinkov = 3. stopnje in pri uporabi z zaviralci ali induktorji CYP3A in zdravili za gmanjšanje izločanja želočanja želodčne kisline najdate v povzetku glavnih značilnosti zdravila. Starejšim bolnikom odmerka ni treba prilagoditi. Kliničnih študij pri bolnikih z okvaro ledvic niso izvedli. Bolnike z blago ali zmerno okvaro ledvic so zdravili v kliničnih študijah zdravila Calquence. Bolnikom z blago ali zmerno okvaro odmerka ni treba prilagoditi. Pri bolnikih s hudo okvaro ledvic se zdravilo Calquence lahko uporabi le, če koristi odtehrajo veganje; te bolnike je treba skrbno spremljati glede znakov toksičnosti. Podatkov o bolnikih s hudo okvaro ledvic in bolnikih na dializi ni. Bolnikom z blago ali zmerno okvaro jeter odmerka ni treba prilagoditi. Vendar je treba bolnike z zmerno okvaro jeter natančno nadzorovati glede znakov toksičnosti. Zdravila Calquence ni priporočljivo uporabljati pri bolnikih s hudo okvaro jeter. Bolniki s hudini srčno-žilnimi boleznimi niso bili vključeni v klinične študije zdravila Calquence. Varnost in učinkovitost zdravila Calquence pri otrocih in mladostnikih v starosti od 0 do 18 let nista bili dokazani. Zdravilo Calquence je namenjeno za peroralno uporabo. Kapsule ali tablete je treba zaužiti cele z vodo, vsak dan ob približno istem času, s hrano ali brez nje. Kapsul ali tablet se ne sme gristi, raztapljati ali odpirati. KONTRAINDIKACIJE: Preobčutlijvost za zdravilno učinkovino ali katerokoli pomožno snov OPOZORILA IN PREVIDNOSTNI UKREPI: Krvavitve: Pri bolnikih s hematološkimi malignomi, ki so bili OPOZORILA IN PREVIDNOSTNI UKREPI: Krvavitvze: Pri bolnikih s hematološkimi malignomi, ki so bili zdravljeni z zdravilom Calquence v monoterapiji ali v kombinaciji z obinutuzumabom, so se pojavile večje krvavitve, vključno s krvavitvami v osrednjem živčevju in gastrointestinalnimi krvavitvami, v nekaterih primerih s smrtnim izidom. Ti dogodki so se pojavili tako pri bolnikih s trombocitopenijo kot tudi pri tistih brez nje. Na splošno pa so bile krvavitve manj hudi dogodki, ki so vključevali podplutbe in petehije. Bolniki, ki prejemajo antitrombotična zdravila, imajo večje tveganje za krvavitve. Varfarina ali drugih antagonistov vitamina K se ne sme uporabljati sočasno z zdravilom Calquence. Razmislite o koristih in tveganjih zadržanja zdravila Calquence vsaj 3 dni pred kirurškim posegom in so njem Okužbe. Pći holnikih s hematolskimi majenzomi ki so bili zdravilajni z zdravilom Calquence. po njem. <u>Okužbe:</u> Pri bolnikih s hematološkimi malignomi, ki so bili zdravljeni z zdravilom Calquence v monoterapiji ali v kombinaciji z obinutuzumabom, so se pojavile resne okužbe (bakterijske, virusne ali glivične), vključno s smrtnimi primeri. Te okužbe so se večinoma pojavile brez nevtropenije 3. ali 4. stopnje. <u>Reaktivacija virusov:</u> Pri bolnikih, ki so prejemali zdravilo Calquence, so poročali o primerih reaktivacije hepatitisa B. Med uporabo zdravila Calquence v okviru predhodnega ali sočasnega imunosupresivnega zdravljenja so poročali o primerih progresivne multifokalne levkoencefalopatije (PML), vključno s primeri s smrtnim izidom. Zdravniki morajo PML upoštevati v diferencialni diagnostiki pri bolnikih, ki imajo nove nevrološke, kognitivne ali vedenjske znake ali simptome, oziroma se jim ti znaki ali simptomi poslabšajo. V primeru suma na PML je treba zdravljenje z zdravilom Calquence prekiniti, dokler PML ni izključena. <u>Citopenije</u>: Pri bolnikih s hematološkimi malignomi, ki so bili zdravljeni z zdravilom Calquence v monoterapiji ali v kombinaciji z obinutuzumabom, so se med zdravljenjem pojavile citopenije 3. ali 4. stopnje, vključno z nevtropenijo, anemijo in trombocitopenijo. Drugi primarni malignomi: Pri bolnikih s hematološkimi malignomi, ki so bili zdravljeni z zdravilom

Calquence v monoterapiji ali v kombinaciji z obinutuzumabom, so se pojavili drugi primarni malignomi, vključno s kožnim in nekožnim rakom. O kožnem raku so poročali pogosto. Atrijska fibrilacija: Pri bolnikih s hematološkimi malignomi, ki so bili zdravljeni z zdravilom Calquence v monoterapiji ali v kombinaciji z obinutuzumabom, se je pojavila atrijska fibrilacija/undulacija. MEDSEBOJNO DELOVANJE Z DRUGIMI ZDRAVILI: Sočasna uporaba močnih zaviralcev CYP3A in a Calquence lahko poveča izpostavljenost akalabrutinibu in tako poveča tveganje za toksične . Nasprotno pa lahko sočasna uporaba induktorjev CYP3A zmanjša izpostavljenost akalabrutinibu in tako pomeni tveganje za nezadostno učinkovitost. Sočasni uporabi z močnimi akatabi udinijo un tako pomeni veganje za nezadostno udinkovati, socasni uporabi z indcini zaviralci CYP3A se je treba izogniti. Če bo uporaba teh zaviralcev kratkotrajna (npr. antiinfektivna zdravila do sedem dni), je treba zdravljenje z zdravilom Calquence prekiniti. V primeru uporabe zmernega zaviralca CYP3A je treba bolnike skrbno nadzorovati glede znakov tokšičnosti. Sočasni uporabi z močnimi induktorji CYP3A4 se je treba izogibati zaradi tveganja za nezadostno učinkovitost. Tablete akalabrutiniba je mogoče jemati sočasno z zdravili za zmanjšanje izločanja želodčne kisline (zaviralci protonske črpalke, antagonisti receptorjev H2, antacidi), za razliko od kapsul akalabrutiniba, pri katerih je privzem z zdravili za zmanjšanje izločanja kisline moten. Ker zaviralci protonske črpalke učinkujejo dolgo časa, je mogoče, da časovna ločitev odmerkov zaviralcev protonske črpalke ne odpravi medsebojnega delovanja, zato se je treba sočasni uporabi s kapsulami akalabrutiniba izogniti. NEŽELENI UČINKI: Med bolniki, ki so prejemali zdravilo <u>Calquence v monoterapiji</u>, so bili najpogosteje poročani neželeni učinki katere koli stopnje okužba, glavobol, driska, podplutbe, mišično-skeletne bolečine, navzea, utrujenost, kašelji in izpuščaj. Najpogosteje poročani neželeni učinki ≥ 3. stopnje so bili okužba, levkopenija, nevtropenija in anemija. Med bolniki, ki so prejemali zdravilo <u>Calquence</u> <u>v okviru kombiniranega zdravljenja</u>, so bili najpogosteje poročani neželeni učinki katere koli stopnje v okviru kombiniranega zdravljenja, so bili najpogosteje poročani neželeni učinki katere koli stopnje okužba, mišično-skeletne bolečine, driška, glavobol, levkopenija, nevtropenija, kašelj, utrujenost, atrtalgija, navzea, omotica in zaprtje. Najpogosteje poročani neželeni učinki ≥ 3. stopnje so bili levkopenija, nevtropenija, okužba, trombocitopenija in anemija. Med bolniki, zdravljenimi z monoterapijo zdravila Calquence, so o prenehanju zdravljenja zaradi neželenih učinkih poročali pri 9,3 % bolnikov. Ti glavni neželeni učinki so vključevali pljučnico, trombocitopenijo in driško. O zmanjšanju odmerka zaradi neželenih učinkov so poročali pri 4,2 % bolnikov. Ti glavni neželeni učinki so vključevali reaktivacijo hepatitisa B, sepso in drisko. Med bolniki, zdravljenimi z dravljonim Calquence vabliki kombinizanega dravljenja ce o prenehanju zdravljenja zaradi neželenih učinkom Calquence. v obliki kombiniranega zdravljenja, so o prenehanju zdravljenja zaradi neželenih učinkov poročali pri 10,8 % bolnikov. Ti glavni neželeni učinki so vključevali pljučnico, trombocitopenijo in drisko. O zmanjšanju odmerka zaradi neželenih učinkov so poročali pri 6,7 % bolnikov. Ti glavni neželeni učinki so vključevali nevtropenijo, drisko in bruhanje. POSEBNA NAVODILA ZA SHRANJEVANJE: Posebna avodila za shranjevanje niso potrebna. <u>VRSTA IN VSEBINA OVOJNINE: Calquence 100 mg trde</u> kapsule: Alumini/aluminijski pretisni omoti s simboli sonca/lune, vsebujejo 6 ali 8 trdih kapsul. Škatle s 56 ali 60 kapsulami. <u>Calquence 100 mg filmsko obložene tablete: Aluminijalaminijska pakiranja</u> pretisnih omotov s simboli sonca/lune, vsebujejo 8 ali 10 filmsko obloženih tablet. Škatle s 56 ali 60 tabletami. Na trgu morda ni vseh navedenih pakiranj. <u>NAČIN IZDAJANJA ZDRAVILA:</u> Rp/Spec -Predpisovanje in izdaja zdravila je le na recept s posebnim režimom. <u>DATUM REVIZIJE BESEDILA:</u> februar 2023 (SI-2882) IMETNIK DOVOLJENJA ZA PROMET: AstraZeneca AB, S-151 85, Sodertalje, Švedska Pred predpisovanjem, prosimo, preberite celoten povzetek glavnih značilnosti zdravila. Dodatne informacije so na voljo pri družbi AstraZeneca UK Limited, Podružnica v Sloveniji, Verovškova 55, Ljubljana.

Reference: 1. Barf, T. et al. (2017). Acalabrutinib (ACP-196): a covalent Bruton tyrosine kinase inhibitor with a differentiated selectivity and in vivo potency profile. Journal of Pharmacology and Experimental Therapeutics, 363(2), 240-252. **2**. Byrd, J. C., Hillmen, P., Ghia, P., Kater, A. P., Chanan-Khan, A., Furman, R. R., ... & Jurczak, W. (2021, July). Acalabrutinib versus ibrutinib in previously treated chronic lymphocytic leukemia: results of the first randomized phase III trial. American Society of Clinical Oncology (ASCO).

BTK = Brutonova tirozin-kinaza; KLL = kronična limfocitna levkemija

Samo za strokovno javnost. Datum priprave besedila: april 2023 SI-3038





Dodatne informacije so na voljo pri družbi Astrazeneca UK Limited, Podružnica v Sloveniji, Verovškova 55, Ljubljana. Tel. (01) 51 35 600

ODOBRENO PO VSAJ ENI PREDHODNI TERAPIJI NA PODLAGI ANTI-HER21



NEPRIMERLJIVO PREŽIVETJE\*

67%

78.5%

28.8

POSTAVLJA NOVE STANDARDE ZDRAVLJENJA **RAZSEJANEGA HER2+ RAKA DOJK<sup>2</sup>** 

Terapija prvega izbora za 2L v smernicah ESMO in NCCN<sup>4,5</sup>

Zdravilo ENHERTU se uporablja v monoterapiji in je v raziskavi DESTINY-Breast03 dokazalo neprimerljivo podaljšanje PFS v primerjavi s trenutnim standardom zdravljenja (T-DM1). Poročali so o primerih intersticijske pljučne bolezni (ILD) in pnevmonitisa. Za diagnozo je ključno prepoznavanje simptomov, zato je bolnike treba spremljati in pričeti z zdravljenjem ob prvih znakih ILD.<sup>1,2</sup>

#### SKRAJŠAN POVZETEK GLAVNIH ZNAČILNOSTI ZDRAVILA

Va to zdravilo se izvaja dodatno spremljanje varnosti. Tako bodo hitreje na voljo nove informacije o njegovi varnosti. Zdravstvene delavce naprošamo, da poročajo o katerem koli domnevnem neželenem učinku zdravila ENHERTU 100 mg prašek za koncentrat za raztopino za infundiranje

Estrance i o ing priorite a tenenomie la internamie SESTAVE: Ena viala praska za koncentrat za raztopino za infundiranje vsebuje 100 mg trastuzumab derukstekana. Pro tekonstituciji ena viala s 5 ml raztopine vsebuje 20 mg/ml trastuzumab derukstekana. Irastuzinab derukstekana. Irastuzumab nevelo per karczepilyteka usata traspetinia bazik kovalentno veza na DXA, ki je derivat kestakekana in zavira-lec topicomeraze I. Na vsako molekulo protitelesa je vezanih približno 8 molekul derukstekana. Porziverajnoj el istidiorije v kordet monobraza, polisorbat 80. TERAPEVTSKE INDIKACLIE: <u>Bak dojk</u>: HR2- pozitiven rak dojk Zravio Enhertu kot monoterapija je indicirano za zdravljenje odraslih bolnikov z neresektabilimi ali metastatskim HER2- pozitivnim rakom dojk, ki so pred tem že prejeli kemoterapijo v pristonosti metastazi pa se je pri njih bolezne ponovila meta dajuvantno kemoterapija je indicirano za zdravljenje odraslih bolnikov z neresektabilimi ali metastatskim rakom dojk, na predovalim HER2, razivi od travita zdravljenje odraslih bolnikov z neresektabilimi ali metastatskim rakom dojk, ki so pred tem že prejeli kemoterapijo v pristonosti metastazi za ja se je pri njih bolezne ponovila meta dajuvanto kemoterapija el indicirano za zdravljenje odraslih bolnikov z naresektabilimi ali metastatskim rakom dojk- zitavilo Enhertu v otiki monoterapija je indicirano za zdravljenje odraslih bolnikov z naresektabilimi ali metastatskim tem za prejeli kemoterapijo v pristonosti metastaz za ja pa se je pri njih bolezne ponovila meta dajuvanto kemoterapija eli zdravlje nje zdravlje nje zdravlje prejeli kemoterapijo za zdravljenje odraslih bolnikov z naresektabilimi ali metastatskim tem za prejeli kemoterapijo za zdravljenje zdravlje prejeli kemoterapija zdravlje prejeli kemoterapija zdravlje prezive zdravlje prejeli kemetarapija zdravlje prejeli kemetara cal gashoczdageniega prehoda, morajo imeli dokumentina HER2-pozitive raturu in centrale o morale na na podagi imunohistokemija (HiC) ali razmenje z 2,0 na podlagi in situ hibridizacija (ISH) ali florescenče in Situ hibridizacija (ISH) ali flo binity presing of the control of the Indución je traba zdravla Ententu trajo udelli. <u>Eremediacejia: Zdravla Ententu</u> je endopanojan rozazoo indo handensom zazoo nicha handensom tradicale za handen je meter a davali za benda independenta in tradiciona ja zaprodonanje nazoo in hondensia. Diveda va davali za benda independenta indexia davali zaprodo indexia za zaprodo nazoo indexia benda indexia zaprodo nazoo nazoo indexia preda indexia zaprodo nazoo nazoo indexia zaprodo nazoo nazoo indexia zaprodo nazoo nazoo indexia zaprodo nazoo nazoo nazoo indexia zaprodo nazoo nazoo nazio indexia zaprodo nazio nazoo nazio indexia zaprodo nazio nazi hiperplomentacija kože, perifermni edem, zvišana alkalna fosfataza v krvi, zvišan bilirubin v krvi, zvišan kreatinin v krvi, reakcije povezane z infuzijo, Zdravilo Fabertu 6.4 ma/ka: Združeno varnostno populacijo so ocenili za bolnike, ki so v kliničnih študijah dobili vsaj en odmerek miler by mil DOVOLJENJA ZA PROMĚT Z ZDRAVILOM: Dalichi Sankyo Europe GmbH, Zlelstattstrasse 48, 81379 München, Nemčija DATUM ZADNJE REVIZIJE BESEDILA: 23. 1. 2023 (SI-2799) REŽIM PREDPISOVANJA IN IZDAJE: H Prosimo, da pred predpisovanjem preberete celoten povzetek glavnih značilnosti zdravila. Dodatne informacije so na voljo pri podjetju AstraZeneca UK Limited, Podružnica v Sloveniji, Verovškova 55, 1000 Ljubijana, telefon: 01/51 35 600.

\* zmanjšanje tveganja za napredovanje bolezni ali smrti (PFS) \*\* tveganje za napredovanje bolezni ob zdravljenju z zdravljenju z zdravljenju z zdravljenju z zdravljenju z zdravljenju z zdravljenju s T-DM1 (HR: 0,33; 95 % IZ: 0,26-0,43; p<0,000001, ključni opazovani dogodek raziskave: PFS glede na BICR). Mediani PFS je znašal **28,8 mesecev** pri bolnikih, ki so prejemali ENHERTU, v primerjavi s **6,8 mesecev** pri bolnikih zdravljenih s T-DM1<sup>3</sup>

PFS - preživetie brez napredovania bolezni, mPFS - mediano preživetie brez napredovania bolezni, T-DM1 - trastuzumab emtanzin, BICR - ocena slepega neodvisnega centralnega preoleda, IZ - interval zaupania, HR - razmerie ogroženosti Literatura: 1. Povzetek glavnih značilnosti zdravila ENHERTU, 23. 1. 2023, 2. Cortes J et al; Trastuzumab Deruxtecan versus Trastuzumab Emtansine for Breast Cancer; NEJM 2022;386(12):1143-1154, 3. Hurvitz SA et al, Presented at SABCS 2022, 6-10.12.2022 in San Antonio. Presentation ID: 6S2-02, 4. Gennari A et al: Ann Oncol 2021;32(12): 1475-1495 5. NCCN guidelines Breast Cancer, v2.2023, https://www.nccn.org/guidelines/category\_1, dostopano 10.3.2023.



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- MELANOM, adjuvantno zdravljenje melanoma v stadiju IIB/IIC<sup>2</sup>
- RAK LEDVIČNIH CELIC, adjuvantno zdravljenje po nefrektomiji<sup>2</sup>
- KOLOREKTALNI RAK, z MSI-H ali dMMR, metastatski, samostojno zdravljenje v 1L<sup>2</sup>
- TROJNO NEGATIVNI RAK DOJK:
- v kombinaciji s kemoterapijo za neoadjuvantno, v nadaljevanju samostojno adjuvantno zdravljenje lokalno napredovalega TNRD ali TNRD v zgodniem stadiju z visokim tveganiem za ponovitev bolezni<sup>2</sup>
- v kombinaciji s kemoterapijo za zdravljenje lokalno ponovljenega neoperabilnega ali metastatskega TNRD z PD-L1 CPS  $\ge 10^2$

Okrajšave: MSI-H - visoka mikrosatelitska nestabilnost; dMMR - pomankljivo popravljanje neujemanja pri podvojevanju DNA; 1L - prva linija zdravljenja; TNRD - trojno negativni rak dojk

Referenci: 1. www.zzzs.si; https://www.zzzs.si/zzzs-api/e-gradiva/vsa-gradiva/?vrsta=BR3A2Q326 (22.12.2022) 2. KEYTRUDA SPO

Ime zdravila: KEYTRUDA 25 mg/ml koncentrat za raztopino za infundiranje vsebuje pembrolizumab. Terapevtske indikacije: Zdravilo KEYTRUDA je kot samostojno zdravljenje indicirano za zdravljenje: odraslih in mladostnikov, starih 12 let ali več, z napredovalim (neoperabilnim pembrolizumab. Jerapevtske indikacije: Zdravilo KEYTRUDA je kot samostojno zdravljenje indicirano za zdravljenje: odraslih in mladostnikov, starih 12 let ali već, z napredovalim (neoperabilnim ali metastatskim) melanomom; za adjuvantno zdravljenje odraslih in mladostnikov, starih 12 let ali več, z melanomom v stadiju IIB, IIC ali III, in sicer po popolni kirurški odstranitvi; metastatskega nedrobnoceličnega pljučnega raka (NSCLC) v prvi liniji zdravljenja pri odraslih, ki imajo tumorje z≥ 50 % izraženostjo PD-L1 (TPS) in brez pozitivnih tumorskih mutacij EGFR ali ALK; lokalno napredovalega ali metastatskega NSCLC pri odraslih, ki imajo tumorje z≥ 1 % izraženostjo PD-L1 (TPS) in so bili predhodno zdravljeni z vsaj eno shemo kemoterapije, bolniki s pozitivnimi tumorskimi mutacijami EGFR ali ALK so pred prejemom zdravila KEYTRUDA morali prejeti tudi tarčno zdravljenje; odraslih in pediatričnih bolnikov, starih 3 leta ali več, s ponovljenim ali neodzivnim klasičnim Hodgkinovim limfomom (cHL), pri katerih avtologna presaditev matičnih celic (ASCT) ni bila uspešna, ali po najmanj dveh predhodnih zdravljenjih kadar ASCT ne pride v poštev kot možnost zdravljenja; lokalno napredovalega ali metastatskega urotelijskega raka pri odraslih, predhodno zdravljenja; kolano napredovalega ali metastatskega urotelijskega raka pri odraslih, predhodno zdravljenja; kolano napredovalega ali metastatskega lozicocilico zdravljenje s kemoterapijo, ki vsebuje cisplatin in imajo tumorje z izraženostjo PD-L1 ≥ 10, ocenjeno s kombinirano pozitivno oceno (CPS); ponovljenega ali metastatskega pložatoceličnega raka glave in vratu (HNSCC) pri odraslih, ki imajo tumorje z 50 % izraženostjo PD-L1 (TPS), in pri katerih je bolezen napredovale med zdravljenje mali po zdravljenju kemoterapijo, ki je vključevala platino; za adjuvantno zdravljenje odraslih, ki majo tumorje z 50 % izraženostjo PD-L1 (TPS), in pri katerih je bolezen poretvskih okoliščinah: Prose ktanih keli cis povišanim tveganjem za ponovitev bolezni po nefrektomiji, metastatskega kolorektalnega raka; zdravljenje neoperabilnega ali metastatskega kolorektalnega raka raka po predhodnem kombiniranem zdravljenju, ki je temeljilo na fluoropirimidinu; in za zdravljenje MSI-H ali dMMR tumorjev pri odraslih z: napredovalim ali ponovljenim rakom endometrija, pri katerih MSI-H ali dMMR tumorjev pri odraslih z: napredovalim ali poňovljenim rakom endometrija, pri katerih je bolezen napredovala med ali po predhodnem zdravljenju, ki je vključevalo platino, v katerih koli terapevtskih okoliščinah, in ki niso kandidati za kurativno operacijo ali obsevanje; neoperabilnim ali metastatskim rakom želodca, tankega črevesa ali žolčnika in žolčnih vodov, pri katerih je bolezen napredovala med ali po vsaj enem predhodnem zdravljenju. Zdravilo KEVTRUDA je kot samostojno zdravljenje ali v kombinaciji s kemoterapijo s platino in 5-fluorouracilom (5-FU) indicirano za prvo linijo zdravljenja metastatskega ali neoperabilnega ponovljenega ploščatoceličnega raka glave in vratu pri odraslih, ki imajo tumorje z izraženostoj PD-L1 S CFS ≥ 1. Zdravljo KEVTRUDA je kot samostojna metastatskega neploščatoceličnega NSCLC pri odraslih, pri katerih tumorji nimajo pozitivnih mutacij GGR ali ALK; v kombinaciji s karboplatinom in bodisi paklitakselom bodisi nab-paklitakselom je indicirano za prvo linijo zdravljenja metastatskega ploščatoceličnega NSCLC pri odraslih, ki mapinaciji z levantinibom in bodisi paklitakselom podraših nutacij aksitnibom ali v kombinaciji e nevatinibom in bodisi paklitakselom podraslih; v kombinaciji z aksitnibom ali v kombinaciji z levatinibom in je indicirano za prvo linijo zdravljenja metastatskega neploščatoceličnega nSCLC pri odraslih; pri napredovalega indicirano za prvo linijo zdravljenja metastatskega ploščatoceličnega NSCLC pri odraslih; v kombinaciji z aksitinibom ali v kombinaciji z lenvatinibom je indicirano za prvo linijo zdravljenja napredovalega raka ledvičnih celic (RCC) pri odraslih; v kombinaciji s kemoterapijo s platino in fluoroprimidinom je indicirano za prvo linijo zdravljenja lokalno napredovalega neoperabilnega ali metastatskega raka požralnika ali HER-2 negativnega adenokarcinoma gastroezofagealnega prehoda pri odraslih, ki imajo tumorje z izraženostjo PD-L1 s CPS ≥ 10; v kombinaciji s kemoterapijo za neoadjuvantno zdravljenje, in v nadaljevanju kot samostojno adjuvantno zdravljenje po kirurškem posegu, je indicirano za zdravljenje odraslih z lokalno napredovalim trojno negativnim rakom dojk ali trojno negativnim rakom dojk v zgodnjem stadiju z visokim tveganjem za ponovitev bolezni; v kombinaciji s kemoterapijo je indicirano za zdravljenje lokalno ponovljenega neoperabilnega ali metastatskega trojno negativnega raka dojk pri odraslih, ki imajo tumorje z izraženostjo PD-L1 s CPS ≥ 10 in predhodno niso prejeli kemoterapije za metastatsko bolezen; v kombinaciji z lenvatinibom je indicirano za zdravljenje na predovalega je ponovljenega rako andometrija (EC) pri odraslih z napredovalo boleznijo med ali po predhodnem zdravljenju s kemoterapijo, ki evključevala platino, v katerih koli terapevtskih okoliščinah, in ki niso kandidati za kurativno operacijo ali obsevanje; v kombinaciji s kemoterapijo, z bevacizumabom ali brez njega, je indicirano za zdravljenje napredovalo boleznijo med ali po predhodnem zdravljenju s kemoterapijo, ki je vključevala platino, v katerih koli terapevtskih okoliščinah, in ki niso kandidati za kurativno operacijo ali obsevanje; v kombinaciji s kemoterapijo, z bevacizumabom ali brez njega, je indičirano za zdravljenje persistentnega, ponovljenega ali metastatskega raka materničnega vratu pri odraslih bolnicah, ki imajo tumorje z izraženostio PD-L1 S CPS = 1. **Odmerjanje in način uporabe:** Testiranje PD-L1: Če je navedeno v indikaciji, je treba izbiro bolnika za zdravljenje z zdravilom KETRUDA na podlagi izraženosti PD-L1 tumorja potrditi z validirano preiskavo. <u>Iestiranje MSI/MMR</u>; Če je navedeno v indikaciji, je treba izbiro bolnika za zdravljenje z zdravilom KETRUDA na podlagi MSI-H/dMMR statusa tumorja potrditi z validirano preiskavo. <u>Odmerjanje</u>; Priporočeni odmerek zdravila KETRUDA na podlagi MSI-H/dMMR statusa tumorja potrditi z validirano preiskavo. <u>Odmerjanje</u>; Priporočeni odmerek zdravila KETRUDA pri odraslih je bodisi 200 mg na 3 tedne ali 400 mg na 6 tednov, apliciran z intravensko infuzijo v 30 minutah. Priporočeni odmerek zdravila KEYTRUDA za samostojno zdravljenje pri pediatričnih bolnikih s CHL, starih 3 leta ali već, ali bolnikh z melanomom, tarih 12 let ali već, je 2 mg/kg telesne mase (do najveć 200 mg na 3 tedne, apliciran z intravensko infuzijo v 30 minutah. Za uporabo v kombinaciji glejte povzetke glavnih značilnosti zdravil sočasno uporabljenih zdravilo KEYTRUDA aplicirati prvo. Bolnike je treba zdraviti do napredovanja bolezni ali nesprejemljivih toksičnih učinkov oziroma mora zdravljenje trajati do enega leta. Za neoađjuvantno in adjuvantno zdravljenje TNBC morajo bolmki neoadjuvantno prejeti zdravilo KEYTRUDA v kombinaciji s kemoterapijo, ni sicer 8 odmerkov po 200 mg na 3 tedne ali 4 odmerke po 400 mg na 6 tednov, ali do napredovanja bolezni, ki izključuje definitivni kiruřski poseg, ali do pojava nesprejemljivih toksičnih učinkov, čemu sledi adjuvantno zdravljenje z zdravilo KEYTRUDA kot samostojnim zdravljenje

povečanju odmerka aksitiniba nad začetnih 5 mg v presledkih šest tednov ali več. V primeru uporabe v kombinaciji z lenvatiniba ne treba zdravljenje z enim ali obema zdravljoma prekiniti, kot je primerno. Uporabo lenvatiniba je treba zadrazlit, odmerek zmanjšati ali prenehati z uporabo, v skladu z navodili v povzetku glavnih značilnosti zdravlja za lenvatinib, in sicer za kombinacijo s pembrolizumabom. Pri bolnikih starih ≥ 65 let, bolnikih z blago do zmerno okvaro ledvic, bolnikih z blago ali zmerno okvaro jeter prilagoditev odmerka ni potrebna. <u>Odložitev odmerka ali ukinitev</u> zdravljenja; Zmanjšanje odmerka zdravila KEYTRUDA ni priporočljivo. Za obvladovanje neželenih učinkov je treba uporabo zdravila KEYTRUDA zadržati ali ukiniti, prosimo, glejte celoten Povzetek glavnih značilnosti zdravila. Kontraindikacije: Preobčutljivost na učinkovino ali katero koli pomožno snov. **Povzetek posebnih opozoril, previdnostnih ukrepov, interakcij in neželenih učinkov**: limunsko pogojeni neželeni učinki, koji on drugi): Pri bolnikih, ki so prejemali pembrolizumab, so se pojavili imunsko pogojeni neželeni učinko, vi kos so pojavili med zdravljenjem s pembrolizumabom, je bila reverzibilnih in so jih obvladli s prekinitvami uporabe pembrolizumabo kortikosteroidov in/ali podporno oskrbo. Pojavigo se lahko tudi po zadnjem odmerku pembrolizumaba kortiko taredipo rečorganskih sistemov. V povečanju odmerka aksitiniba nad začetnih 5 mg v presledkih šest tednov ali več. V primeru uporabe premiravam oporace per notnezma za uporace v nastero na posporace v na posporace v na posporace v na posporace primeru suma na imunsko pogojene neželene učinke je treba poskrbeti za ustrezno oceno za potrditev etiologije oziroma izključitev drugih vzrokov. Glede na izrazitost neželenega učinka je treba Se tanko tudi po zadnjem oumerku pembolizumaba in inkrati prizadanejo ve organiskih sistemov. V primeru suma na imunsko pogojene neželene učinke je treba poskrbeti za ustrezno oceno za potrditev etiologije oziroma izključitev drugih vzrokov. Glede na izrazitost neželenega učinka je treba zadržati uporabo pembolizumaba in uporabili kortikosteroide – za natančna navodila, prosimo, glejte Povzetek glavnih značilnosti zdravila Keytruda. Zdravljenje s pembrolizumabom lahko poveča tveganje za zavnitev pri prejemnikih presadkov čvrstih organov. Pri bolnikih, ki so prejemali pembrolizumab, so poročali o hudih z infuzijo povezanih reakcijah, vključno s preobčutljivostojo in anafilaksijo. Pembrolizumab se iz obtoka odstrani s katabolizmom, zato presnovnih medsebojnih delovanj zdravil ni pričakovati. Uporabi sistemskih kortikosteroido ali druge imunosupresivov pred uvedbo pembrolizumaba se je treba izogibati, ker lahko vplivajo na farmakodinamično aktivnost in učinkovitost pembrolizumaba. Vendar pa je kortikosteroide ali druge imunosupresive mogoče uporabiti za dravljenje imunsko pogojenih neželenih učinkov. Kortikosteroide je mogoče uporabiti tudi kot premedikacijo, če je pembrolizumabu uporabljen v kombinaciji s kemoterapijo. Kot antiemetično profilakso in/ali za ublažitev neželenih učinkov, povezanih s kemoterapijo. Zenske v ordni dobi morajo med zdravljenjem s pembrolizumabo in vasij še 4 mesece po zadnjem odmerku pembrolizumaba uporabljati učinkovito kontracepcijo, med nosečnostjo in dojenjem se ga ne sme uporabljati. Varnost pembrolizumaba pri samostojnem zdravljenju so v kliničnih studijah ocenili pri 7.631 bolnikh, ki so imeli različne vrste raka, s štirimi odmerki (2 mg/kg telesne mase na 3 tedne, 200 mg na 3 tedne in 10 mg/kg telesne mase na 2 ali 3 tedne). V tej populaciji bolnikov je mediani čas opročanih neželenih učinkov pri samostojnem zdravljenju je bila po izrazitosti 1. ali 2. stopnje. Najresnejši neželeni učinki so bili imunsko pogojenih neželeni učinki in hude z infuzijo povezane reakcije. Pojavnost im s cetuksimabom 84 %, pri bolnikh z rakom požiralnika pri kombiniranem zdravljenju s pembrolizumabom 86 % in pri zdravljenju samo s kemoterapijo 83 %, pri bolnikih s TNBC pri kombiniranem zdravljenju s pembrolizumabom 80 % in pri zdravljenju s pembrolizumabom 82 % in pri bolnicah z rakom materničnega vratu pri kombiniranem zdravljenju s pembrolizumabom 82 % in pri zdravljenju samo s kemoterapijo 75 %. Varnost pembrolizumabo v kombinaciji z aksitinibom ali lenvatinibom pri napredovalem RCC in v kombinaciji z lenvatinibom pri napredovalem EC so ocenili pri sdravlješ (so kometarapijo 75 %). Varnost pembrolizumabo v kombinaciji z aksitinibom ali lenvatinibom pri napredovalem RCC ali napredovalim EC, ki so v kliničnih študijah prejemali 200 mg pembrolizumaba na 3 tedne skupaj s 5 mg aksitiniba dvakrat na dan ali z 20 mg lenvatiniba enkrat na dan, kot je bilo ustrezno. V teh populacijah bolnikov so bili najpogostejši neželeni učinki diareja (58 %), hipetrenzija (54 %), hipotriorilzem (46 %), utrujenost (41 %), zmanjšan apetit (40 %), navzea (40 %), artralgija (30 %), bruhanje (28 %), manjšanje telesne mase (28 %), disfonija (28 %), bolečine v trebuhu (28 %), proteinurija (27 %), sindrom palmarno-plantarne eritrodizestezije (26 %), topušaj (26 %), stomatitis (25 %), zaprotst (25 %), mišično-skeletna bolečina (23 %), glavobol (23 %) in kašelj (21 %). Neželenih učinkov od 3. do 5. stopnje je bilo pri bolnikh z RCC med uporabo pembrolizumaba v kombinaciji z lenvatinibom 89 % in med uporabo kemoterapije same 73 %. Za dodatne informacije o varnosti v primeru uporabe pembrolizumaba v kombinaciji glejte povzetke javnih značilnosti zdravila za posamezne komponente kombiniranega zdravljenja. **Način in režim** izdaje zdravila: H - Predpisovanje in izdaja zdravila je le na recept, zdravilo se uporabija samo v bolnišnicah. Imetnik dovoljenja za promet z zdravilom: Merck Sharp & Dohme B.V. , Waarderweg 39, 2031 BN Haarlem, Nizozemska.

(pembrolizumab, MSD)



Merck Sharp & Dohme inovativna zdravila d.o.o., Ameriška ulica 2, 1000 Ljubljana, tel: +386 1/ 520 42 01, fax: +386 1/ 520 43 50; Pripravljeno v Sloveniji, 01/2023; SI-KEY-00501 EXP: 01/2025

Samo za strokovno javnost.

H - Predpisovanje in izdaja zdravila je le na recept, zdravilo pa se uporablja samo v bolnišnicah. Pred predpisovanjem, prosimo, preberite celoten Povzetek glavnih značilnosti zdravila Keytruda, ki je na voljo pri naših strokovnih sodelavcih ali na lokalnem sedežu družbe.

#### ZDRAVILO ZA ZDRAVLJENJE DIFUZNEGA VELIKOCELIČNEGA LIMFOMA B V PRVI LINIJI<sup>1</sup>



## Klinična korist



#### Indikacija

POLIVY (polatuzumab vedotin) je v kombinaciji z rituksimabom, ciklofosfamidom, doksorubicinom in prednizonom indiciran za zdravljenje odraslih bolnikov s predhodno nezdravljenim difuznim velikoceličnim limfomom B (DVCLB).

DVCLB = difuzni velikocelični limfom B; R-CHOP = rituksimab + ciklofosfamid + doksorubicin + vinkristin + prednizon. Reference: 1. Povzetek glavnih značilnosti zdravila POLIVY. Dostopano (19.12.2022) na https://www.ema.europa.eu/en/documents/product-information/polivy-epar-product-information\_sl.pdf

#### SKRAJŠAN POVZETEK GLAVNIH ZNAČILNOSTI ZDRAVILA POLIVY

Za to zdravilo se izvaja dodatno spremljanje varnosti. Tako bodo hitreje na voljo nove informacije o njegovi varnosti. Zdravstvene delavce naprošamo, da poročajo o katerem koli domnevnem neželenem učinku zdravila. Kako poročati o neželenih učinkih, si poglejte skrajšani povzetek glavnih značilnosti zdravila pod "Poročanje o domnevnih neželenih učinkih"

Ime zdravila: Polivy 30 mg prašek za koncentrat za raztopino za infundiranje in Polivy 140 mg prašek za koncentrat za raztopino za infundiranje

Ime zdravila: Poluy 30 mg praške za koncentrat za raztopino za infundiranje ne Poluvy 140 mg praške za koncentrat za raztopino za infundiranje vsebuje 30 mg polatuzumaba vedotina. Po rekonstituciji en militier vsebuje 20 mg polatuzumaba vedotina. Polivy 140 mg: Ena viala s praškom za koncentrat za raztopino za infundiranje vsebuje 30 mg polatuzumaba vedotina. Po rekonstituciji en militier vsebuje 20 mg polatuzumaba vedotina. Polivy 140 mg: Ena viala s praškom za koncentrat za raztopino za infundiranje vsebuje 30 mg polatuzumaba vedotina. Po rekonstituciji en militier vsebuje 20 mg polatuzumaba vedotina. Po rekonstituciji en militier vsebuje 20 mg polatuzumaba vedotina. Polivy i ev kombinaciji z ritukismabom, ciklofosfamidom, doksorubicinom in prednizonom IR-CHPI indicina za zdravljenje drastih bolnikov s predhodno nezdravljenim difuzimi velikoceličnim limformom B (DVCLB), ki se ne prejenje zdravljenje ino addvali ali pa se je bolezna pri injih ponvolisi ni nas primeriza presadite krvitovhorm hanitihni eciliz. Odmerjanje in adačin u porabe: Zdravila Polivy je 1.8 mg/kg v intravenski infuziji na 21 dni v kombinaciji z ritukismabom in ritukismabom in intukismabom in neprojenim introlin, kri nala veze bejakvime in s kazetoini. Zudavia Policy se laika opioi zudavia rukov je se sine aplicitati kon meli intraversis kon serie portali a previolansi antegi, proteim per variangini z zudavia rukov je selako adjapieni z davia z davia rukov sevie portali a previolansi in travelji se serie portali a previolansi in zaveja serie portali a previolansi in zaveja serie portali a previolansi in zaveja previolansi interveja previolansi interveja previolansi interveja serie portali a previona serie porta bolnikh, zdravljenih z zdravlom Polivy, so poročali o resnih. Znikjenje ogročajoči nali smrtnih okužbah, vključno z oportunisticnimi okužbam. Poročali so tudi o ponovni aktivaciji latentnih okužb. Bolnike je treba med zdravljenjem skohon nadzrovota ljede znako baketnje z zdravlim Polivy. Zdravlom Polivy. Zdravlan Polivy se ob prisotnosti aktivne ne sme aplicitati. Pri bolnikh, pri katerih se pojavijo resne okužbe, je treba unoli k poisatnosti aktivne hude okužba v zdravlin proti okužbam ne sme dajati živhi in živh oslabljenih ceprev. <u>Progresivna multifokalna levkencetalapatija [PML].</u> Med zdravljenjem z zdravlim Polivy So poročali o projen PML. Bolnike je treba skrhon natorovati glede novonastalih nevroloških, kognitivnih ali vedenjskih sprememb. Ki nakazujejo na PML oziroma glede poslabšanja takšihi sprememb. V primeru suma na PML je treba uporabi zdravljenjem z zdravlim Polivy ji treba uporabi zdravljenjem z zdravlim Polivy ji treba uporabi zdravljenjem z dravljen presize skali zdravljenjem z zdravljen Polivje i treba uporabi zdravljenjem z dravljenjem z zdravljen Polivje skrho spremljati glede pojava sindrom razpada tumorja. Pred zdravljenjem z dravljenjem zdravljenjem zdravljen Polivje i treba uporabi zdravljenjem zdravlje polizitate zdravljenjem zdravljenjem zdravljenjem zdravljenjem zdravljenje wybezerate zaboscinge stema bounder, staling beze ure view of many busche, au se auworge utigate ou mogan tourner, tregutomissioner, tregutomissi, tregutomissioner, tregutomissi, tregutomissi tervolgenie, monosale oprise casa provincie casa pr Nemčija. Verzija: 1.0/22

DODATNE INFORMACIJE SO NA VOLJO PRI: Roche farmacevtska družba d.o.o., Stegne 13G, 1000 Liubliana M-SI-00000784(v1.0) Pripravljeno: februar 2023

POLIVY®

polatuzumab vedotin



Zdravilo Lonsurf je indicirano v monoterapiji za zdravljenje odraslih bolnikov z metastatskim kolorektalnim rakom (KRR), ki so bili predhodno že zdravljeni ali niso primerni za zdravljenja, ki so na voljo. Ta vključujejo kemoterapijo na osnovi fluoropirimidina, oksaliplatina in irinotekana, zdravljenje z zaviralci žilnega endotelijskega rastnega dejavnika (VEGF – Vascular Endothelial Growth Factor) in zaviralci receptorjev za epidermalni rastni dejavnik (EGFR – Epidermal Growth Factor Receptor).<sup>1</sup>



Zdravilo Lonsurf je indicirano v monoterapiji za zdravljenje odraslih bolnikov z metastatskim rakom želodca vključno z adenokarcinomom gastro-ezofagealnega prehoda, ki so bili predhodno že zdravljeni z najmanj dvema sistemskima režimoma zdravljenja za napredovalo bolezen.<sup>1</sup>

# VEČ ČASA,

## za več trenutkov, ki štejejo

**Podaljša celokupno preživetje** v 3. liniji zdravljenja bolnikov z mCRC in mGC<sup>2,3</sup>





Pri globalnem razvoju zdravla sodelujeta obe družbi in ga tržita na svojih določenih področjih. Stratka i na svojih določenih področjih stratka ovajih j stratka i na svojih določenih področjih stratka ovajih j stratka i na svojih določenih področjih stratka ovajih j stratka i na svojih določenih področjih stratka ovajih j stratka j str



### Instructions for authors

#### The editorial policy

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The Editorial Board requires that the paper has not been published or submitted for publication elsewhere; the authors are responsible for all statements in their papers. Accepted cannot be published elsewhere without the written permission of the editors.

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Introduction should summarize the rationale for the study or observation, citing only the essential references and stating the aim of the study.

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Dent RAG, Cole P. In vitro maturation of monocytes in squamous carcinoma of the lung. Br J Cancer 1981; 43: 486-95. doi: 10.1038/bjc.1981.71

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ZA ODRASLE BOLNIKE SHR+/HER2-mRD

## DRAGOCENI **TRENUTKI BOLNIKA SO MERILO VAŠEGA USPEHA**

IBRANCE palbociklib Ustvarjen za mRD

## **OMOGOČA JIH ZDRAVILO BRANCE**.

Zdravilo IBRANCE že več kot 8 let pomaga do trenutkov, kot so ti.<sup>2-5</sup> Zdravilo IBRANCE, ki ga podpirajo obširne izkušnje iz klinične in vsakodnevne dejanske prakse ter dokazana klinična učinkovitost in dobro prenašanje,<sup>1,4,6-35</sup> vašim bolnicam pomaga, da najbolje izkoristijo vsak dan.<sup>4,5,36,37</sup>

HR+/HER2- = pozitiven na estrogenske receptorie in negativen na receptorie humanega epidermalnega rastnega faktoria 2: mRD = metastatski rak doike

#### BISTVENI PODATKI IZ POVZETKA GLAVNIH ZNAČILNOSTI ZDRAVILA

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