

An Improved Low Phase Noise LC-VCO with Wide Frequency Tuning Range Used in CPPLL

Xiaofeng Wang, Zhiyu Wang, Haoming Li, Rongqian Tian, Jiarui Liu, and Faxin Yu

School of Aeronautics and Astronautics, Zhejiang University, Hangzhou, China

Abstract: Based on TSMC 0.18µm CMOS process, a complementary cross-coupled differential LC voltage controlled oscillator (LC-VCO) used in charge pump phase-locked loop (CPPLL) frequency synthesizer for satellite receiver with low phase noise and wide frequency tuning range is designed and implemented. The VCO adopts self-bias structure to remove flicker noise produced by tail current. Programmable LC tanks are introduced at the common source of cross-couple transistors to eliminate second harmonics of resonant frequency. Distributed biasing is applied for a wider linear tuning range. An optimized switch is proposed to lower on-resistance. The measured results show that the VCO exhibits a 53.8% tuning range from 1.02GHz to 1.77GHz. From the carrier frequency of 1.4 GHz, the phase noise of the VCO can reach -131.2 dBc/Hz at 1MHz offset. The core circuit consumes 7.7mA with 1.8V supply voltage.

Keywords: low phase noise; wide tuning range; distributed biasing; optimized switch

Izboljšan LC-VCO z nizkim faznim šumom in širokim področjem nastavljanja frekvence za uporabo v PLL s črpalko nabojev

Izvleček: Razvit in uporabljen je bil komplementarni sklopljen difencialni LC napetostno krmiljen oscilator (LC-VCO) za uporabo v frekvenčnem sintetizatorju s fazno-skelnjeno zanko (PLL) s črpalko nabojev, namenjen uporabi v satelitskih sprejemnikih z nizkim faznim šumom in širokim področjem nastavljanja frekvenc. Izveden je v TSMC 0.18 um CMOS tehnologiji. VCO uporablja samonapajalno strukturo za izničenje šuma 1/f, ki bi ga povzročal tokovni vir. Za izločanje drugega harmonika frekvence so uvedene nastavljive LC zapore na skupnem viru napajanja križno sklopljenih tranzistorjev. Za širšo območje nastavljanja je uporabljeno porazdeljeno napajanje uglaševalnih varaktorjev. Rezultati izkazujejo 53.8% nastavljivo območje VCOja med 1.02 GHz in 1.77 GHz. Pri nosilni frekvenci 1.4 GHz in odmiku 1 MHz lahko fazni šum VCO doseže -131.2 dBc/Hz. Pri napajalni napetosti 1.8 V jedro vezja porablja 7.7 mA GHz

Ključne besede: nizek fazni šum; široko območje nastavljanja; porazdeljeno napajanje; optimizirano stikalo

1 Introduction

Frequency modulation is widely used in communication system especially for long distance communication. A wide utilization of frequency synthesizer in the RF system makes it possible to generate an accurate frequency signal for frequency modulation [1]. In the RF system, noise produced by frequency synthesizer directly deteriorates the overall noise performance of the system [2]. Meanwhile, the VCO is one of the major contributors of the out-of-band noise in a PLL frequency synthesizer [3]. Thus, to design a low phase noise VCO is necessary and significant for a high performance com-

munication system, which is a challenge for us in consideration of tuning range, power consumption and other characteristics.

With a mature understanding of phase noise mechanism [4]-[6], many attempts to optimize phase noise of VCO have been made in recent years. In reference [7], switchable cross-coupled pairs are used for lower transconductance and thereby improve $1/f^3$ noise. However, as a result, the complexity of the timing logic is inevitably increased. In order to prevent the *Q*-factor degradation of resonant tanks in VCO, MOS switches

^{*} Corresponding Author's e-mail: jrliu@zju.edu.cn

featuring high off-on resistance ratio (R_{OFF}/R_{ON}) are used, and transistors with large gate width are introduced to achieve a sufficiently low R_{ON} . However, the C_{OFF} associated with such wide transistors will cause serious performance degradation [8, 9].

This paper introduce how VCO contribute phase noise to CPPLL, presents a complementary cross-coupled differential *LC*-VCO that can achieve low phase noise and large frequency tuning range. Self-bias structure is applied to remove flicker noise produced by tail current. Programmable *LC* tanks are introduced at the source of cross-coupled transistors to eliminate second harmonics of resonant frequency. Distributed biasing is adopted to widen the linear tuning range of every selected band. The switches used in the design have been optimized to enhance the *Q*-factor. The presented VCO is fabricated using TSMC 0.18µm CMOS technology, measurement of which is performed for validation.

2 CPPLL noise analysis

We choose CPPLL rather than others for its stability and larger acquisition range. A brief block diagram of the CPPLL is shown in Fig. 1. Phase/frequency detectors (PFD) sense phase and frequency differences between reference clock signal and output of divider. Charge pump sinks or sources current for a limited period of time according to the voltage produced by the previous PFD. The loop filter (consisting of R_1 , C_1 and C_2) following CP produces voltage which controls VCO. The low dropout regulator (LDO) supplies for the VCO. The frequency divider (FD) between VCO output and PFD input makes a feed-back loop to ensure that output signal frequency is independent of process, supply voltage, temperature and other interferences.

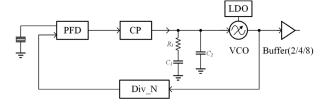


Figure 1: CPPLL block diagram.

To formulate the CPPLL output phase noise contributed by VCO, we need to derive the transfer function from the VCO phase to the CPPLL output phase. Although CPPLL is a nonlinear system, in the vicinity of lock state we can make a linear approximation in phase domain for intuitive understanding. A linear model is construct-

ed in Fig. 2, where $\frac{I_{\rm p}}{2\pi} \left(R_1 + \frac{1}{sC_1}\right) \! /\! / \frac{1}{sC_2}$, $\frac{K_{\rm vco}}{s}$ and $\frac{1}{N}$ represent CP, VCO and FD respectively.

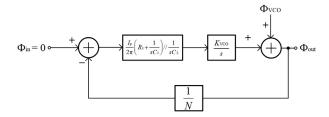


Figure 2: Phase-domain linear model for deriving VCO's effect on CPPLL phase noise

In order to analyze VCO's effect, we make $\Phi_{\text{in}}=0$ to signify that reference clock signal is noiseless. Starting from the output, we have:

$$-\frac{\phi_{\text{out}}}{N} \left[\frac{I_{\text{p}}}{2\pi} \left(R_1 + \frac{1}{sC_1} \right) / \frac{1}{sC_2} \right] \cdot \frac{K_{\text{VCO}}}{s} + \phi_{\text{VCO}} = \phi_{\text{out}} \quad (1)$$

Equation (1) allows us to derive phase transfer function from VCO to PLL output as:

$$\frac{\phi_{\text{out}}}{\phi_{\text{VCO}}}(s) = \frac{s^3 + \frac{C_1 + C_2}{R_1 C_1 C_2} \cdot s^2}{s^3 + \frac{C_1 + C_2}{R_1 C_1 C_2} \cdot s^2 + \frac{1}{N} \cdot \frac{I_p K_{\text{VCO}}}{2\pi C_2} \cdot s + \frac{1}{N} \cdot \frac{I_p K_{\text{VCO}}}{2\pi R_1 C_1 C_2}}$$
(2)

The transfer function contains two zeros at the origin and one zero near origin, three poles on the right of the zeros, exhibiting a high-pass behavior. The VCO phase noise is shaped by the transfer function. It can be proved that the phase noise out of loop bandwidth follows VCO's phase noise [3].

Taking other noise sources (reference clock, PFD, CP, FD and etc.) into consideration, we can make a conclusion that PLL's output phase noise measured at high offset frequencies is worse than VCO's.

3 Circuit Design

The theories to derive the phase noise of VCO have been researched for many years. Based on the derivation in [10], the theory proposed by D.B. Leeson [4], which can make a qualitative prediction about the phase noise is described in equation (3):

$$L\{\Delta f\} = 10 \cdot \log \left\{ \frac{FkT}{2P_s} \cdot \left[1 + \left(\frac{f_0}{2Q_t \Delta f} \right)^2 \right] \cdot \left(1 + \frac{\Delta f_{t_t/f^2}}{\Delta f} \right) \cdot 1 \text{Hz} \right\} \qquad \text{[dBc/Hz]}$$
(3)

where F is the noise figure of the active device used under large signal conditions in this design, k is Boltzmann's constant in Joules/Kelvin, T is the temperature in Kelvin, P_s is the average power dissipated in the resistive part of the tank in Watt, f_O is the carrier frequency

(Hz), Q_L is the effective quality factor of the tank, Δf is the offset frequency (Hz) from the carrier and $\Delta f_{1/f^3}$ is the frequency (Hz) of the corner between the $1/f^3$ and $1/f^2$ regions. The corner frequency of the semiconductor process we used in the design is about 20 kHz, which means $\Delta f_{1/f^3}$ is about 20 kHz.

In this design, guided by equation (3), the *LC*-VCO with optimized phase noise is presented, the core schematic of which is shown in Fig. 3.

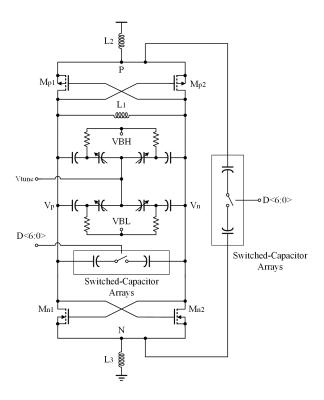


Figure 3: The proposed VCO core schematic.

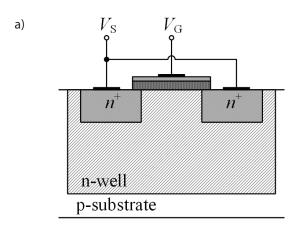
There is no current source in the schematic, so the flicker noise due to current source can be removed totally. A complementary cross-coupled structure is adopted rather than only a cross-coupled structure because the structure can produce twice the voltage swing for a given current and inductor design, which can reduce phase noise according to equation (3). The direct-current operating points are determined by diode-connected MOS transistors between power and ground provided by LDO which can reduce VCO's sensitivity to supply voltage. MOS transistors here are used to work as negative resistor, cancelling loss in the LC tank due to parasitic resistance of inductor, capacitor and others. In this design, the parameters of PMOS and NMOS are set to produced symmetric output swing by simulation iteration operation for a given frequency range, which benefits phase noise optimization [11]. The parameter of main components in VCO is shown in Table 1.

Table 1: Parameters of main components in VCO

Components	Parameter	Value	
L ₁	Inductance[nH]	2.95	
L ₂ (L ₃)	Inductance[nH]	1.69	
Mn ₁ (Mn ₂)	W/L[μm/μm]	32*(2/0.2)	
$Mp_1(Mp_2)$	W/L[μm/μm]	32*(6/0.2)	

There are two 7-bit binary-weighted switched capacitor arrays controlled by the same digital codes, D<6:0>, in the proposed topology. For a compromise of the linearity and layout area, the codes are implemented with a segmented architecture, in which the 2 LSBs are implemented using a binary architecture while the 5 MSBs are implemented in a unary way. The capacitor array in parallel with L, is proposed to extend the tuning range instead of increasing VCO gain, which can realize coarse tuning. The other connected between nodes P and N is in parallel with L₂ and L₃, whose purpose is to compose a narrow band circuit for resonance at second harmonic, preventing the degradation of the Q-factor of the tank when the transistors operate in the triode region [12]. We choose programmable switched capacitors between nodes P and N rather than a fixed capacitor because we can choose appropriate resonant frequency according to the band selection.

In order to perform the fine tuning of VCO with a wide linear range, distributed MOS varactor biasing is applied [13]. The varactor we used in the design is accumulation-mode MOS varactor, which is shown in Fig. 4 (a). This structure is obtained by placing an NMOS transistor inside an n-well. If $V_G < V_{S'}$ then electrons in the n-well are repelled from silicon/oxide interface and a depletion region is formed. Under this condition, the capacitance seen from the gate is given by the series combination of the oxide and depletion capacitance. As V_G exceeds V_S , the interface attracts electrons from the n^+ source/drain terminals, creating a conduction channel. As a result, the total capacitance seen from the gate rises to that of the oxide. The C/V characteristics of the varactor is shown in Fig. 4 (b). The linear range of the structure is limited. To solve this problem, we shunt two varactors in parallel with two different voltage bias, VBH and VBL, which is shown in Fig. 3. The C/V characteristics of distributed biasing varactors is shown in Fig. 5. Compared to the conventional structure whose varactor is biased by a single reference voltage, this design can achieve almost twice linear range. As a consequence, we can enlarge tuning range of every selected band and reduce the VCO gain for a given frequency range, which makes a good trade-off between phase noise and tuning range.



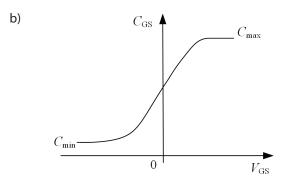


Figure 4: (a) MOS varactor, (b) resulting *C/V* characteristic of the varactor

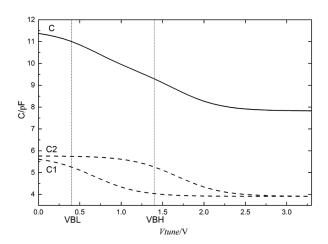


Figure 5: *C/V* characteristic of the varactors with distributed biasing

Another issue should be paid attention to is the design of the switch used in capacitor arrays, which concerns the *Q*-factor of the *LC* tank. We hope the switch is ideal, but the parasitic effect and voltage swing between the switch make it hard to implement an ideal switch. There is a trade-off in the design of switch size. To realize high quality of capacitor when the switch is on, we need large size switch to minimize the on-resistance. To minimize parasitic capacitance of the switch when the switch is off, we need to design small size switch

MOS transistor to degrade $C_{\rm gs}$ and $C_{\rm gd}$. A conventional structure is shown in Fig. 6 (a), where the on-resistance is introduced by MOS switch. Ideally, the switch transistor is in deep triode region when the switch is on. The resistor is equal to

$$R_{\rm on} = \frac{1}{\mu_{\rm n} C_{\rm ox} \frac{W}{L} (V_{\rm GS} - V_{\rm TH})} \tag{4}$$

However, the voltage swing appearing at source of the MOS switch may drive it out of the region. As a result, the on-resistance varies along with the output voltage swing of the VCO, which will deteriorate the phase noise of the VCO. To solve the problem, an optimized structure is used in this design as described in Fig. 6 (b). Since the structure is symmetrical with differential voltage swing, node A is ac ground here. When the switch is on, the common source of the two transistor experiences little voltage swing and the on-resistance almost keeps a constant consequently. Additionally, when the switch is off, the optimized structure is switched off more thoroughly and introduces almost half parasitic capacitance if the switch sizes are equal in the two structures.

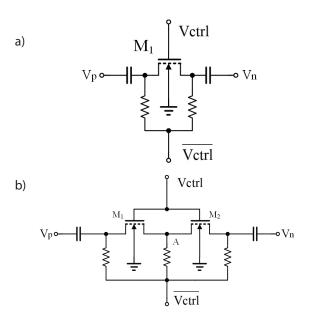


Figure 6: (a) a conventional switch (b) an optimized switch

4 Implementation and Measurements

This proposed low phase noise VCO is integrated in a receiver which is fabricated using TSMC 0.18µm CMOS technology. In our frequency synthesizer, the VCO output is divided by a divide-by-2 quadrature frequency divider to generate quadrature local oscillating (LO)

signal for quadrature down conversion. After the divider, an output buffer is adopted for testing. According to the system requirement, the loop bandwidth of the frequency synthesizer is set to 100 kHz. Fig. 7 shows a micrograph of the proposed VCO, occupying an area of $820*1080\mu m^2$.

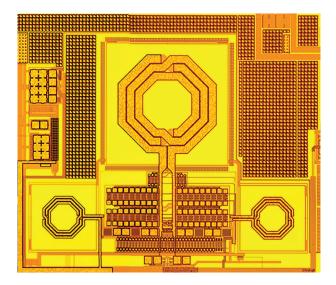


Figure 7: Micrograph of proposed VCO.

An Agilent E5052B signal source analyzer is used to measure the synthesizer parameters in the test. The f-V tuning characteristic of the VCO is shown in Fig. 9 (a). The VCO achieves a tuning range of 53.8% from 1.02 GHz to 1.77 GHz. Fig. 9 (b) shows the phase noise measured at the carrier frequency of 700 MHz. The measured result is -104.3 dBc/Hz at 100-kHz offset and -135.6 dBc/ Hz at 1-MHz offset respectively. The VCO phase noise is -98.3 dBc/Hz at 100-kHz and -129.6 dBc/Hz at 1-MHz offset respectively after adding 6 dB, while consuming current of 7.7 mA from a supply voltage of 1.8V. Fig. 9 (c) shows phase noise performance across the VCO frequency range at 1 MHz offset, which confirms the VCO has a good noise performance in the entire frequency range. In contrast, Fig. 8 shows the simulation result of the free running VCO at the carrier frequency of 1.4 GHz, which is close to the test result at 1 MHz offset.

A figure-of-merit (FOM) is employed to evaluate the four performance parameters of the VCO: frequency, phase noise, power consumption and frequency tuning range [14], which can be expressed as:

FOM_T=20log
$$\frac{f_0}{\Delta f}$$
 - 10log $\frac{P}{1\text{mW}}$ - PN+20log(FTR/10) (5)

$$FTR = [(f_{\text{max}} - f_{\text{min}})/f_c] \times 100\%$$
(6)

Where f_o is the oscillation frequency, f_{max} , f_{min} are the maximal, minimal operation frequency, respectively, f_c is the center oscillation frequency, Δf is the offset frequency, P is power dissipation valued in mW, and PN is the phase noise valued in dBc/Hz.

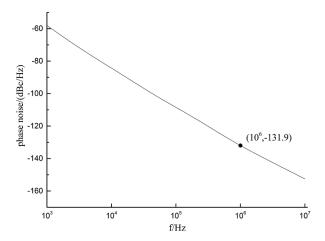
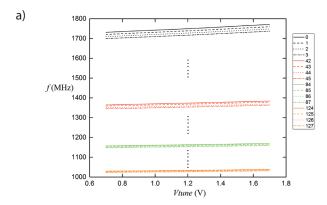


Figure 8: free running VCO output phase noise simulation result at 1.4 GHz

Based on the measured results above, Table II summarizes the measured performance comparison of the VCO in this work with other recent state-of-the-art VCOs in published literatures. The wide frequency tuning range with the proposed scheme shows better performance than the *LC*-VCO in [8] and the two *LC*-VCOs in [9] which have ordinary phase noise performance at higher oscillation frequency with higher power consumption. The *LC*-VCO reported in [7] has lower power

Table 2: Performance comparison CMOS VCO

Ref	CMOS process (nm)	Power (mW)	Tuning range (GHz)	fc (GHz)	Phase noise (dBc/Hz)	FoMT (dBc/Hz)
[7]	65	8	1.6~2.6	2.1	-124@1MHz	195.0
[8]	90	23	2.55~4.08 4.9~5.75	2.1	-126@1MHz -125@1MHz	192.1 181.9
[9]	180	18	1.35~2.05 2.05~2.75	1.7 2.4	-122@1MHz -119.5@1MHz	186.3 183.8
This work	180	14	1.02~1.77	1.4	-129.6@1MHz	195.7





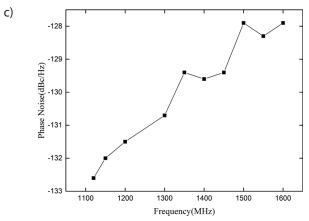


Figure 9: (a) f-V tuning characteristic refers to the 7-bit binary-weighted digital codes, D<6:0>,(b) PLL output phase noise measured at 700MHz, (c)phase noise performance across VCO frequency tuning range at 1-MHz offset

consumption and ordinary phase noise performance at higher oscillation frequency, but utilizing a more expensive 65nm process.

5 Conclusion

In this letter, a wide-tuning-range low-phase-noise VCO is reported. An optimized switch structure and programmable *LC*-tanks are applied in the self-bias struc-

ture of VCO to optimize noise performance. The performance outcomes well validate the effectiveness of the topologies and methodologies used in the design. The fabricated VCO exhibits a tuning range of 53.8% from 1.02 GHz to 1.77 GHz, a phase noise of -129.6 dBc/Hz at 1 MHz offset from the carrier frequency of 1.4 GHz. The measured results show a wide tuning range, good noise performance of the presented *LC-VCO*.

6 Acknowledgment

This work was supported by the National Natural Science Foundation of China under Grant 61401395 and 61604128, the Scientific Research Fund of Zhejiang Provincial Education Department under Grant Y201533913, and the Fundamental Research Funds for the Central Universities under Grant 2016QNA4025 and 2017QN81002.

7 References

- Q. Gu,RF system design of transceivers for wireless communications, Springer Science & Business Media, 1st ed.,2005.
- 2. B. Razavi, RF Microelectronics, Prentice Hall, 2nd ed., 2012.
- 3. A. Mehrotra, "Noise analysis of phase-locked loops," *IEEE/ACM International Conference on Computer-aided design*, Nov. 2000.
- 4. D.B. Leeson, "A simple model of feedback oscillator noise spectrum," *Proceedings of the IEEE*,vol.54, no.2 pp.329-330,1966.
- 5. B. Razavi, "A study of phase noise in CMOS oscillators," *IEEE Journal of Solid-State Circuits*, vol. 31, no. 3, pp. 331-343, Aug. 1996.
- A. Hajimiri and T.H. Lee, "A general theory of phase noise in electrical oscillators." *IEEE Journal of Solid-State Circuits*, vol. 33, no.2, pp. 179-194, Feb.1998.
- 7. F. Pepe, A. Bonfanti, S. Levantino, C. Samori, and A.L. Lacaita,"Analysis and minimization of flicker noise up-conversion in voltage-biased oscillators," *IEEE Trans. Microw. Theory Tech.*, vol. 61, no. 6, pp. 2382-2394, Jun. 2013
- 8. P. Andreani, K. Kozmin, P. Sandrup, M. Nilsson, and T. Mattsson, "A Tx VCO for WCDMA/EDGE in 90 nm RF CMOS," *IEEE J. Solid State Circuits*, vol. 46, no. 7, pp. 1618-1626, Jul. 2011.
- 9. C.Sánchez-Azqueta, et al., "High-resolution wide-band LC-VCO for reliable operation in phase-locked loops," *Microelectronics Reliability*, vol. 63, pp. 251-255, 2016.
- 10. R. W. Rhea, *Oscillator Design and Computer Simulation*, New York: McGraw-Hill, 2nd ed., 1995.

- 11. Y.H. Kao and M.T. Hsu, "Theoretical analysis of low phase noise design of CMOS VCO," *IEEE Microwave & Wireless Components Letters*, vol.15, no.1, pp. 33-35, 2005.
- 12. E. Hegazi, H. Sjoland, and A.A. Abidi, "A filtering technique to lower LC oscillator phase noise," *IEEE Journal of Solid-State Circuits*, vol. 36, no. 12, pp. 1921-1930, 2001.
- 13. J. Mira, et al. "Distributed MOS varactor biasing for VCO gain equalization in 0.13 μm CMOS technology," *IEEE Radio Frequency Integrated Circuits Symposium*, pp. 131-134, 2004.
- 14. J. Kim, J.O. Plouchart, N. Zamdmer, et al., "A 44 GHz differentially tuned VCO with 4 GHz tuning range in 0.12 μm SOI CMOS," *IEEE Int Solid-State Circuits Conf, Dig Tech Papers*, pp. 1-416, 2005.

Arrived: 27. 07. 2017 Accepted: 06. 11. 2017