

# Improving upper bounds for the distinguishing index

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Received 27 November 2015, accepted 13 February 2017, published online 6 March 2017

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## Abstract

The distinguishing index of a graph  $G$ , denoted by  $D'(G)$ , is the least number of colours in an edge colouring of  $G$  not preserved by any non-trivial automorphism. We characterize all connected graphs  $G$  with  $D'(G) \geq \Delta(G)$ . We show that  $D'(G) \leq 2$  if  $G$  is a traceable graph of order at least seven, and  $D'(G) \leq 3$  if  $G$  is either claw-free or 3-connected and planar. We also investigate the Nordhaus–Gaddum type relation:  $2 \leq D'(G) + D'(\overline{G}) \leq \max\{\Delta(G), \Delta(\overline{G})\} + 2$  and we confirm it for some classes of graphs.

Keywords: Edge colouring, symmetry breaking in graph, distinguishing index, claw-free graph, planar graph.

Math. Subj. Class.: 05C05, 05C10, 05C15, 05C45

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## 1 Introduction

We follow standard terminology and notation of graph theory (cf. [12]). In this paper, we consider general, i.e. not necessarily proper, edge colourings of graphs. Such a colouring  $f$  of a graph  $G$  breaks an automorphism  $\varphi \in \text{Aut}(G)$  if  $\varphi$  does not preserve colours of  $f$ . The *distinguishing index*  $D'(G)$  of a graph  $G$  is the least number  $d$  such that  $G$  admits an edge colouring with  $d$  colours that breaks all non-trivial automorphisms (such a colouring is called a *distinguishing edge  $d$ -colouring*). Clearly,  $D'(K_2)$  is not defined, so in this paper, a graph  $G$  is called *admissible* if neither  $G$  nor  $\overline{G}$  contains  $K_2$  as a connected component.

The definition of  $D'(G)$  introduced by Kalinowski and Piłśniak in [17] was inspired by the *distinguishing number*  $D(G)$  which was defined for general vertex colourings by Albertson and Collins [1]. Another concept is the *distinguishing chromatic number*  $\chi_D(G)$

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\*The research was partially supported by the Polish Ministry of Science and Higher Education. The author would like to thank an anonymous reviewer whose remarks and comments have been a great help in preparing the final version.

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introduced by Collins and Trenk [7] for proper vertex colourings. Both numbers,  $D(G)$  and  $\chi_D(G)$ , have been intensively investigated by many authors in recent years [4, 5, 6, 9, 16].

Our investigation was motivated by the renowned result of Nordhaus-Gaddum [18] who proved in 1956 the following lower and upper bounds for the sum of the chromatic numbers of a graph and its complement (actually, the upper bound was first proved by Zykov [22] in 1949).

**Theorem 1.1** ([18]). *If  $G$  is a graph of order  $n$  with the chromatic number  $\chi(G)$ , then*

$$2\sqrt{n} \leq \chi(G) + \chi(\overline{G}) \leq n + 1.$$

Since then, Nordhaus-Gaddum type bounds were obtained for many graph invariants. An exhaustive survey is given in [2]. Here, we adduce only those closely related to the topic of our paper.

In 1964, Vizing [20] considered proper edge colourings and he proved Nordhaus-Gaddum type bounds for the chromatic index of a graph.

**Theorem 1.2** ([20]). *If  $G$  is a graph of order  $n$  with the chromatic index  $\chi'(G)$ , then*

$$n - 1 \leq \chi'(G) + \chi'(\overline{G}) \leq 2(n - 1).$$

In 2013, Collins and Trenk [8] proved Nordhaus-Gaddum type inequalities for the distinguishing chromatic number.

**Theorem 1.3** ([8]). *For every graph of order  $n$  and distinguishing number  $D(G)$  the following inequalities are satisfied*

$$2\sqrt{n} \leq \chi_D(G) + \chi_D(\overline{G}) \leq n + D(G).$$

Kalinowski and Piłśniak [17] also introduced a *distinguishing chromatic index*  $\chi'_D(G)$  of a graph  $G$  as the least number of colours in a proper edge colouring that breaks all non-trivial automorphisms of  $G$ . They proved the following somewhat unexpected result.

**Theorem 1.4** ([17]). *If  $G$  is a connected graph of order  $n \geq 3$ , then*

$$\chi'_D(G) \leq \Delta(G) + 1$$

*unless  $G \in \{C_4, K_4, C_6, K_{3,3}\}$  when  $\chi'_D(G) \leq \Delta(G) + 2$ .*

The following Nordhaus-Gaddum type inequalities for the distinguishing chromatic index are the same as in Theorem 1.2 but we have to be more careful in the proof.

**Theorem 1.5.** *If  $G$  is an admissible graph of order  $n \geq 3$ , then*

$$n - 1 \leq \chi'_D(G) + \chi'_D(\overline{G}) \leq 2(n - 1)$$

*with the only exception  $K_{1,4}$ .*

*Proof.* Without loss of generality we may assume that  $G$  is connected. It can be easily checked that the conclusion holds if  $G \in \{K_4, C_6, \overline{C}_6, K_{3,3}\}$ . Otherwise,  $\chi'_D(G) \leq \Delta(G) + 1$ . Suppose first that  $\overline{G}$  is also connected. By Theorem 1.4,

$$\Delta(G) + \Delta(\overline{G}) \leq \chi'_D(G) + \chi'_D(\overline{G}) \leq \Delta(G) + \Delta(\overline{G}) + 2.$$

Clearly,  $n - 1 \leq \Delta(G) + \Delta(\overline{G}) \leq 2(n - 2)$  since both  $G$  and  $\overline{G}$  are connected.

Now, let  $\overline{G}$  be disconnected (but admissible). If there are two nonisomorphic components of  $\overline{G}$  of orders  $k_1$  and  $k_2$  such that  $3 \leq k_1 \leq k_2$ , then  $\Delta(\overline{G}) \leq n - k_1 - 1 \leq n - 4$ , so  $\chi'_D(\overline{G}) \leq n - 2$ . If  $\overline{G}$  has  $t \geq 2$  components isomorphic to a graph  $H$  of order at least three, then  $\chi'_D(H) \leq \frac{n}{t} + 1$  as  $\Delta(H) \leq \frac{n}{t} - 1$ . Even if we wastefully add an extra colour for each additional copy of  $H$ , we get  $\chi'_D(tH) \leq \frac{n}{t} + 1 + t - 1 = \frac{n}{t} + t \leq n - 2$  unless  $G = K_{3,3}$  but this we already checked.

To complete the proof it is enough to settle the case when  $\overline{G}$  has only one component  $H$  of order at least three and some isolated vertices. Hence,  $\Delta(H) \leq n - 2$ . It is easy to check that  $\chi'_D(G) + \chi'_D(\overline{G}) \leq 2(n - 1)$  for  $H \in \{K_4, C_6, \overline{C}_6, K_{3,3}\}$  except for  $H = K_4$  when  $G = K_{1,4}$ . Otherwise,  $\chi'_D(\overline{G}) \leq n - 1$  and the conclusion holds unless  $|G| = |H| + 1$  and  $\Delta(H) = n - 2$ . But then  $G$  has a unique vertex  $x$  of degree  $n - 1$  (hence,  $x$  is fixed by every automorphism of  $G$ ) with a pendant edge. The graph  $G - x$  has a distinguishing colouring with  $n - 1$  colours by Theorem 1.4 since  $\Delta(G - x) \leq n - 2$ . It suffices to colour the pendant edge with a colour missing at  $x$  to see that  $\chi'_D(G) \leq n - 1$ .  $\square$

Collins and Trenk observed in [8] that the Nordhaus-Gaddum type relation is trivial for the distinguishing number, as  $D(G) + D(\overline{G}) = 2D(G)$  since  $\text{Aut}(\overline{G}) = \text{Aut}(G)$  and every colouring of  $V(G)$  breaking all non-trivial automorphisms of  $G$  also breaks those of  $\overline{G}$ .

In Section 4 we formulate and discuss the following conjecture.

**Conjecture 1.6.** *Let  $G$  be an admissible graph of order  $n \geq 7$ , and let  $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$ . Then*

$$2 \leq D'(G) + D'(\overline{G}) \leq \Delta + 2.$$

In Section 2 we characterize graphs  $G$  which need exactly  $\Delta(G)$  colours to break all non-trivial automorphisms. In Section 3 we give upper bounds for the distinguishing index of traceable graphs, claw-free graphs, planar graphs and 2-connected graphs.

## 2 Improved general upper bound

In the sequel, we make use of some facts proved in [17].

**Proposition 2.1** ([17]).  $D'(P_n) = 2$  for every  $n \geq 3$ .

**Proposition 2.2** ([17]).  $D'(C_n) = 3$  for  $n \leq 5$ , and  $D'(C_n) = 2$  for  $n \geq 6$ .

**Proposition 2.3** ([17]).  $D'(K_n) = 3$  if  $3 \leq n \leq 5$ , and  $D'(K_n) = 2$  if  $n \geq 6$ .

**Proposition 2.4** ([17]).  $D'(K_{3,3}) = 3$ , and  $D'(K_{n,n}) = 2$  if  $n \geq 4$ .

By the well-known theorem of Jordan (cf. [12]), every finite tree  $T$  has either a central vertex or a central edge, which is fixed by every automorphism of  $T$ . In the proof of Theorem 2.8, which is the main result of this section, we use Lemma 2.5, a simple generalization of the theorem of Jordan. Recall that the *eccentricity* of a vertex  $v$  in a connected graph  $G$  is the number

$$\varepsilon_G(v) = \max\{d(v, u) : u \in V(G)\}.$$

The *center* of a graph  $G$  is the set  $Z(G)$  of vertices with minimum eccentricity. Clearly, the center of  $G$  is setwise fixed by every automorphism  $\varphi \in \text{Aut}(G)$ , i.e.  $\varphi(v) \in Z(G)$  if  $v \in Z(G)$ . A proper subgraph  $H$  of  $G$  is called *pendant* if it has only one vertex adjacent to vertices outside  $H$ .

**Lemma 2.5.** *Let  $G$  be a connected graph such that every cycle is contained in a clique. Then the center of  $G$  is either a single vertex or a maximal clique.*

*Proof.* The claim is true if  $G$  is a clique  $K_k$  of order  $k \geq 1$ . Otherwise,  $\kappa(G) = 1$ , and each block of  $G$  is a clique of order at least two. We then modify the standard proof of the theorem of Jordan for trees. Let  $G^-$  be a graph obtained from  $G$  by deleting  $k - 1$  vertices of degree  $k - 1$  in every pendant clique  $K_k$  with  $k \geq 2$ . Clearly,  $\varepsilon_{G^-}(v) = \varepsilon_G(v) - 1$  for each  $v \in V(G^-)$ . Consequently,  $Z(G^-) = Z(G)$ . We continue this process until only one clique  $K_k$  is left for some  $k \geq 1$ . This clique is maximal whenever  $k \geq 2$ .  $\square$

A *symmetric tree*, denoted by  $T_{h,d}$ , is a tree with a central vertex  $v_0$ , all leaves at the same distance  $h$  from  $v_0$  and all vertices that are not leaves of equal degree  $d$ . A *bisymmetric tree*, denoted by  $T''_{h,d}$ , is a tree with a central edge  $e_0$ , all leaves at the same distance  $h$  from the edge  $e_0$  and all vertices which are not leaves of equal degree  $d$ .

**Theorem 2.6** ([17]). *If  $T$  is a tree of order  $n \geq 3$ , then  $D'(T) \leq \Delta(T)$ . Moreover, equality is achieved if and only if  $T$  is either a symmetric or a bisymmetric tree.*

For connected graphs in general there is the following upper bound for  $D'(G)$ .

**Theorem 2.7** ([17]). *If  $G$  is a connected graph of order  $n \geq 3$ , then*

$$D'(G) \leq \Delta(G)$$

*unless  $G$  is  $C_3, C_4$  or  $C_5$ .*

It follows for connected graphs that  $D'(G) > \Delta(G)$  if and only if  $D'(G) = \Delta(G) + 1$  and  $G$  is a cycle of length at most 5. The equality  $D'(G) = \Delta(G)$  holds for cycles of length at least 6, for  $K_4, K_{3,3}$  and for all symmetric or bisymmetric trees. Now, we show that  $D'(G) < \Delta(G)$  for all other connected graphs. A *palette* of a vertex is the multiset of colours of edges incident to it.

**Theorem 2.8.** *Let  $G$  be a connected graph that is neither a symmetric nor a bisymmetric tree. If the maximum degree of  $G$  is at least 3, then*

$$D'(G) \leq \Delta(G) - 1$$

*unless  $G$  is  $K_4$  or  $K_{3,3}$ .*

*Proof.* Denote  $\Delta = \Delta(G)$ . The conclusion holds for trees due to Theorem 2.6. Then assume that  $G$  contains a cycle. The general idea of the proof is the following. If  $G$  does not contain a cycle of length greater than three, then we define  $G'$  as an empty graph. Otherwise, we consecutively delete pendant trees and pendant triangles until we obtain a subgraph  $G'$ . Then, we construct an edge colouring  $f$  with  $\Delta - 1$  colours stabilizing all vertices of  $G'$  by every automorphism preserving  $f$ . Finally, we colour pendant subtrees and pendant triangles to complete a distinguishing colouring with  $\Delta - 1$  colours of the whole graph  $G$ .

If  $\Delta(G') = 2$ , then  $G'$  is a cycle  $C_p$  having a distinguishing colouring with  $\Delta - 1$  colours unless  $p \in \{4, 5\}$  and  $\Delta = 3$ . In this case, it can be easily checked that the graph  $G'_+$  induced by  $C_p$  and the independent edges of  $G$  incident to  $C_p$  can always be coloured with two colours such that the vertices of  $C_p$  are fixed by every colour preserving

automorphism. So we can assume that  $\Delta(G') \geq 3$ . If  $G' \in \{K_4, K_{3,3}\}$ , then  $G' \neq G$  due to the assumption, hence  $\Delta \geq 4$ , so we can stabilize  $K_4$  or  $K_{3,3}$  with three colours.

Let  $N_i(v)$  denote the  $i$ -th sphere in  $v$ , i.e. the set of vertices of distance  $i$  from the vertex  $v$ . Let  $x$  be a vertex with maximum degree in  $G'$ . We colour with 1 all edges incident with  $x$ . In our edge colouring  $f$  of the graph  $G'$ , the vertex  $x$  will be the unique vertex of maximum degree with the monochromatic palette  $\{1, \dots, 1\}$ . Hence,  $x$  will be fixed by every automorphism  $\varphi$  preserving  $f$ . Consequently,  $\varphi$  maps each sphere  $N_i(x)$  onto itself.

The first sphere  $N_1(x)$  can be partitioned into subsets  $M_k$ , for  $k = 0, \dots, \Delta - 1$ , defined as

$$M_k = \{v \in N_1(x) : |N_1(v) \cap N_2(x)| = k\}.$$

Denote  $M_k = \{v_1, \dots, v_{l_k}\}$ . Thus,  $l_0 + l_1 + \dots + l_{\Delta-1} = \Delta$ .

We want to find a colouring  $f$  of the edges of  $G'[N_1(x) \cup N_2(x)]$  and, if necessary, of some subsequent spheres, such that each vertex of  $N_1(x) \cup N_2(x)$  is fixed by every automorphism preserving this colouring. To do this, we proceed in a number of steps  $M_k$ , for  $k = 0, \dots, \Delta - 1$ . In each step  $M_k$ , we find a colouring that fixes the vertices of  $M_k$  and their neighbours in  $N_2(x)$ .

**Step  $M_0$ .** First we consider the case when the subgraph  $G'[M_0]$  induced by the vertices of  $M_0$  is connected. Observe that  $\Delta(G'[M_0]) \leq \Delta - 1$  and, by Theorem 2.7, we can colour distinguishingly the edges of  $G'[M_0]$  with  $\Delta - 1$  colours, even if  $G'[M_0]$  is a short cycle  $C_p$  with  $3 \leq p \leq 5$ . Indeed, if  $G'[M_0] = C_3$  and  $\Delta = 3$ , then we would have  $G = K_4$ , but  $K_4$  is excluded. Otherwise,  $\Delta \geq 4$  and we can use a third colour in a short cycle  $C_p$ . It may happen that there exists a vertex  $v \in M_0$  of degree  $\Delta$  in  $G'$  (so  $|M_0| = \Delta$ ) with a monochromatic palette  $\{1, \dots, 1\}$  in a colouring of  $G'[M_0]$  given by Theorem 2.7. In this case, either  $G$  is a complete graph  $K_n$  with  $n \geq 5$  so  $D'(K_n) \leq \Delta - 1$  by Proposition 2.3, or it is not difficult to see that there exists a colour  $c$  such that there is no vertex with all incident edges coloured with  $c$ ; whence we can exchange  $c$  and 1 in this colouring of  $G'[M_0]$ .

Now, let  $G'[M_0]$  be disconnected. Let  $z_1, \dots, z_s$  be isolated vertices or end-vertices of isolated edges in  $G'[M_0]$ . Clearly,  $s \leq \Delta - 1$  by the definition of  $G'$ . If  $s = \Delta - 1$ , then we colour with  $i$  every edge  $z_i u$ , where  $u \in N_1(x) \setminus M_0$ . Otherwise, we colour  $z_i u$  with  $i + 1$  for  $i = 1, \dots, s$ . Thus, we avoid a monochromatic palette of  $\{1, \dots, 1\}$  at another vertex of maximum degree in  $G'$ .

We also have to distinguish all isomorphic components of  $G'[M_0]$  of order greater than 2. Denote such a component by  $H$  and suppose that  $G'[M_0]$  contains  $t$  components isomorphic to  $H$ , for some  $t \geq 2$ . Hence  $t \leq \frac{\Delta}{3}$  and  $\Delta(H) \leq \frac{\Delta}{t} - 1$ . Therefore, we can choose distinct sets of  $\frac{\Delta}{t}$  colours for every component since

$$\binom{\Delta - 1}{\frac{\Delta}{t}} \geq \binom{\Delta - 1}{3} \geq \frac{\Delta}{3} \geq t.$$

Thus each vertex of  $M_0$  is fixed.

**Step  $M_1$ .** For every  $i = 1, \dots, l_1$ , we colour the edge  $v_i u$ , where  $u \in N_2(x)$ , with a distinct colour from  $\{1, \dots, \Delta - 1\}$ . This is impossible only if  $l_1 = \Delta$ , when we have to have two vertices  $a, b \in M_1$  with the same colour of edges  $aa'$  and  $bb'$ , where  $a'$  and  $b'$  are neighbours of  $a$  and  $b$  in  $N_2(x)$ , respectively. If  $G'[M_1]$  contains an edge  $e$ , then we colour it with 1, and all other edges of  $G'[M_1]$  with 2. Then we choose exactly one of the vertices  $a, b$  incident to  $e$ . We proceed analogously when  $G'[N_2(x)]$  contains an edge. Then all

vertices of  $M_1$  are fixed unless  $l_1 = \Delta$  and neither  $G'[N_1(x)]$  nor  $G'[N_2(x)]$  contains an edge.

If  $|N_2(x)| = 1$ , then  $G'$  is isomorphic to  $K_{2,\Delta}$ . It is easy to see that  $D'(K_{2,\Delta}) \leq \Delta - 1$  for  $\Delta \geq 3$  (for  $\Delta \geq 4$  this immediately follows from Lemma 3.1 and Corollary 3.8). If  $2 \leq |N_2(x)| \leq \Delta - 1$ , then choosing  $a$  and  $b$  such that  $a'$  has at least two neighbours in  $N_1(x)$  and  $b' \neq a'$  yields a colouring fixing  $N_1(x) \cup N_2(x)$ .

Suppose  $|N_2(x)| = \Delta$ . If there is a vertex  $v \in N_2(x)$  with less than  $\Delta - 1$  neighbours in  $N_3(x)$ , then we choose  $a$  such that  $a' = v$ , and it suffices to reserve a unique set of colours for the edges between  $a'$  and  $N_3(x)$ .

Hence, assume that every vertex of  $N_2(x)$  has  $\Delta - 1$  neighbours in  $N_3(x)$ . We select two vertices  $a, b \in M_1$  and assume that the colours of the edges  $aa'$  and  $bb'$  are the same. Next, we implement the following Procedure SUBTREES  $(a, b)$ , which we also use in subsequent steps.

**Procedure SUBTREES  $(a, b)$**

We are given two vertices  $a, b \in N_1(x)$  such that each their neighbour in  $N_2(x)$  is adjacent to  $\Delta - 1$  vertices of  $N_3(x)$ .

Let  $T_a$  be a maximal subtree of the graph  $G'[\{a\} \cup \bigcup_{i \geq 2} N_i(x)]$ , rooted at  $a$ , such that all leaves of  $T_a$  belong to the same sphere  $N_{l-1}(x)$  and each vertex of  $V(T_a) \cap N_{i-1}(x)$  has  $\Delta - 1$  neighbours in  $N_i(x)$  for  $i = 3, \dots, l$ . Thus  $l \geq 3$ . Define a graph

$$\widetilde{T}_a = G' \left[ \bigcup_{v \in V(T_a) \setminus \{a\}} N(v) \right],$$

i.e.  $\widetilde{T}_a$  is a graph obtained from  $T_a$  by adding all edges incident with the leaves of  $T_a$ . Analogously, we define a tree  $T_b$  and a graph  $\widetilde{T}_b$ . Observe that the trees  $T_a$  and  $T_b$  are disjoint and non-empty.

The edges incident to the roots  $a$  and  $b$  are already coloured. For every other vertex of  $T_a$  and  $T_b$ , we colour its incident edges going to the next sphere with distinct colours from  $\{1, \dots, \Delta - 1\}$ . Thus we obtain an edge colouring  $f$ . The only automorphism of  $T_a$  (as well as of  $T_b$ ) preserving  $f$  is the identity. The vertex  $x$  will be fixed by every colour preserving automorphism  $\varphi$ . Consequently,  $\varphi$  maps  $\widetilde{T}_a$  onto  $\widetilde{T}_b$  whenever  $\varphi(a) = b$ . Thus, if  $\widetilde{T}_a$  and  $\widetilde{T}_b$  are not isomorphic, then  $f$  distinguishes all vertices in  $V(T_a) \cup V(T_b)$ . Hence, assume that the rooted graphs  $\widetilde{T}_a$  and  $\widetilde{T}_b$  are isomorphic. Observe that there exists exactly one non-trivial isomorphism  $\psi_0: V(T_a) \rightarrow V(T_b)$  preserving  $f$  since each vertex in  $T_a$  has a distinct coloured path from the root  $a$ .

Denote  $W_l = (V(\widetilde{T}_a) \cup V(\widetilde{T}_b)) \cap N_l(x)$ . By our choice of  $G'$ , all vertices in  $W_l$  are of degree at least two in  $G'$ . It follows that one of the following three cases has to hold.

**Case 1.** There exist vertices in  $W_l$  adjacent to more than one vertex of  $W_{l-1}$ . Then we modify  $f$  by colouring again all edges between such vertices and  $W_{l-1}$  in order to break any possible permutation of  $W_l$ . A permutation of a set  $L \subseteq W_l$  can be extended to an automorphism of  $G'$  that fixes all leaves of  $\widetilde{T}_a \cup \widetilde{T}_b$  only if every vertex from  $L$  have the same set of neighbours  $U = \{u_1, \dots, u_d\}$  in  $W_{l-1}$ . Such a set  $L$  contains at most  $\Delta - 1$  leaves since the number of edges joining  $U$  to  $W_l$  equals  $d(\Delta - 1)$ . Every permutation of  $L$  will be broken whenever for every vertex  $w \in L$  the multiset of colours of the edges  $wu_1, \dots, wu_d$  will be distinct. Clearly,  $d \leq \Delta$ . There are  $\binom{\Delta+d-2}{d}$  such possible multisets of  $\Delta - 1$  colours. Clearly,  $\binom{\Delta+d-2}{d} - 1 \geq \Delta - 1$  for  $\Delta \geq 3$  and  $d \geq 2$ . We can exclude a

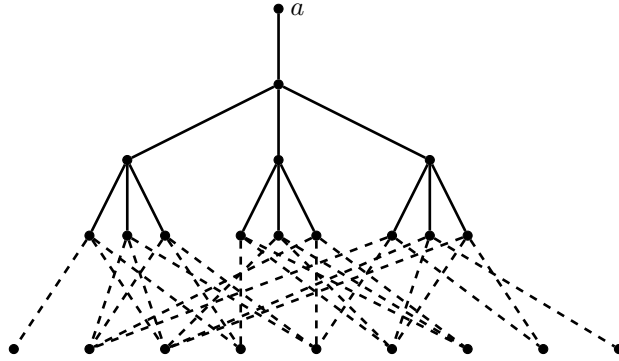


Figure 1: An example of the subgraph  $\tilde{T}_a$  for  $\Delta = 4$  and  $l = 4$ . The edges of  $\tilde{T}_a$  between  $W_3$  and  $W_4$  that do not belong to the tree  $T_a$  are dashed.

rainbow multiset  $P = \{1, \dots, d\}$  (or an almost rainbow multiset  $P = \{1, \dots, \Delta - 1, \Delta - 1\}$  if  $d = \Delta$ ) and we still have enough multisets to colour the edges incident with vertices of  $L$ . Moreover, for  $d = \Delta$  we can also exclude a monochromatic palette  $\{1, \dots, 1\}$  since  $\binom{2\Delta - 2}{\Delta} - 2 \geq \Delta - 1$  for  $\Delta \geq 3$ .

We partition the set  $W_l$  into maximal subsets  $L$  with the same set of neighbours and assign suitable multisets of colours to each set  $L$ . We thus obtain a colouring fixing all vertices from  $W_l$  unless  $\psi_0$  can be extended to an isomorphism  $\tilde{\psi}_0$  of  $\tilde{T}_a$  onto  $\tilde{T}_b$  preserving this colouring. To break every such possible extension  $\tilde{\psi}_0$ , it suffices to assign the excluded multiset  $P$  to one vertex of one set  $L$ .

**Case 2.** Every vertex in  $W_l$  has only one neighbour in  $W_{l-1}$  and the set of edges  $F = E(G'[W_l])$  is non-empty. Then we colour one edge of  $F$  with 1, and all other edges in  $F$  with 2. This colouring fixes all vertices of  $\tilde{T}_a$  and  $\tilde{T}_b$  unless all edges in  $F$  are of the form  $w\tilde{\psi}_0(w)$ , where  $w\tilde{\psi}_0(w)$  is one of possible extensions of  $\psi_0$  to an isomorphism of  $\tilde{T}_a$  onto  $\tilde{T}_b$ . In such a case, we choose one edge  $ww' \in F$  and exchange colours of the edge  $wu$ , where  $u \in W_{l-1}$ , with another edge between  $u$  and  $W_l$ .

**Case 3.** Every vertex in  $W_l$  has only one neighbour in  $W_{l-1}$  and no neighbours in  $W_l$ . By the maximality of the trees  $T_a$  and  $T_b$  and the definition of  $G'$ , each vertex in  $W_l$  has at least one neighbour in  $N_{l+1}(x)$  and there exists a vertex  $w_0 \in W_l$  with  $s < \Delta - 1$  neighbours  $y_1, \dots, y_s \in N_{l+1}(x)$ . We colour each edge  $w_0y_j$  with colour  $j + 1$  for  $j = 1, \dots, s$ . Next, for every vertex  $w \in W_l$ , we colour the set of edges between  $w$  and  $N_{l+1}(x)$  with a set of  $\Delta - 1$  colours excluding the set  $\{2, \dots, s + 1\}$ .

We thus obtained a colouring  $f$  of the edges of  $G'[V(\tilde{T}_a) \cup V(\tilde{T}_b)]$ , and the edges incident to  $W_l$  in Case 3, fixing all vertices of  $\tilde{T}_a$  and  $\tilde{T}_b$ .

**End of Procedure SUBTREES**  $(a, b)$

**Step M<sub>2</sub>.** For every  $i = 1, \dots, l_2$ , we colour the edges  $v_iu_i^1, v_iu_i^2$  where  $\{u_i^1, u_i^2\} \subseteq N_2(x)$ , with distinct sets of colours from among  $\binom{\Delta - 1}{2}$  sets. This is impossible only in the following three cases (in each case, we can assume that neither  $G'[N_1(x)]$  nor  $G'[N_2(x)]$  contains an edge, otherwise we could construct a distinguishing colouring  $f$  of  $G'[N_1(x) \cup N_2(x)]$  analogously as in step  $M_1$ ):

- a)  $l_2 = \Delta = 4$ . If there exist two vertices  $a$  and  $b$  in  $M_2$  such that  $N(a) \cap N(b) \cap N_2(x) \neq \emptyset$ , then we colour with 2 both edges incident with  $b$ , and for the remaining vertices in  $M_2$  we have distinct sets of colours from among  $\binom{3}{2}$  sets. If for every two vertices  $a, b \in M_2$ , the set  $N(a) \cap N(b) \cap N_2(x)$  is empty, then two vertices  $a$  and  $b$  are assign the same pair of distinct colours, and we can distinguish them in next spheres using the procedure SUBTREES  $(a, b)$ .
- b)  $l_2 = \Delta - 1$  and  $\Delta = 3$ . Let  $M_2 = \{a, b\}$ . If  $N(a) \cap N(b) \cap N_2(x) \neq \emptyset$ , then we colour edges incident with  $a$  with colours 1 and 2, and both edges incident with  $b$  with 2. If the set  $N(a) \cap N(b) \cap N_2(x)$  is empty, then  $a$  and  $b$  get the same pair of distinct colours and we can distinguish them in next spheres by the procedure SUBTREES  $(a, b)$ .
- c)  $l_2 = \Delta = 3$ . Let  $M_2 = \{a, b, c\}$ . If for two vertices of  $M_2$ , say  $a$  and  $b$ , the set  $N(a) \cap N(b) \cap N_2(x)$  is non-empty, then we can colour with 2 both edges incident with  $b$  and we colour edges incident with the remaining vertices of  $M_2$  with a couple  $\{1, 2\}$ . It is not difficult to verify that this way, for every configuration of neighbours of  $M_2$ , we can obtain colouring fixing the vertices of  $N_1(x) \cup N_2(x)$  unless  $|N(a) \cap N(b) \cap N(c) \cap N_2(x)| = 2$ . But then  $G' = G = K_{3,3}$ , contrary to the assumption. If every vertex of  $N_2(x)$  is adjacent only to one vertex of  $M_2$ , then the pairs of edges incident to  $a$  and  $b$  are assign the same pair of colours  $\{1, 2\}$ , and we distinguish them using the procedure SUBTREES  $(a, b)$ . Both edges  $cu^1, cu^2$  incident with  $c$  are coloured with 2, and to distinguish them, we split  $c$  into two vertices  $c^1$  and  $c^2$ , each joined by an edge coloured with 2 to  $u^1$  and  $u^2$ , respectively, and apply the procedure SUBTREES  $(c^1, c^2)$ .

**Step  $M_k$ , for  $k \geq 3$ .** For every  $i = 1, \dots, l_k$ , we colour the edges between  $v_i$  and  $N_2(x)$  with distinct sets of  $k$  colours from among  $\binom{\Delta-1}{k}$  sets. It is always possible whenever  $\binom{\Delta-1}{k} \geq l_k$ . This inequality does not hold only in two cases:

- a)  $k = \Delta - 2$  and  $l_k = \Delta$ . In this case we define a colouring with  $\Delta - 1$  colours like in step  $M_2$  a). Namely, if either a vertex of  $M_k$  or its neighbour in  $N_2(x)$  is adjacent to a vertex in the same sphere, then we can define a colouring fixing all these vertices analogously as in step  $M_1$  and step  $M_2$ . Also, if there are two vertices  $a, b \in M_{\Delta-2}$  with a common neighbour in  $N_2(x)$ , we can assign the same palette to  $a$  and  $b$  as in the previous steps. Otherwise, two vertices  $a, b \in M_{\Delta-2}$  are assign the same palette of  $\Delta - 2$  colours and we distinguish them using Procedure SUBTREES  $(a, b)$ .
- b)  $k = \Delta - 1$  and  $l_k \geq 2$ . Hence,  $\Delta \geq 4$ . For every  $i = 1, \dots, l_k$ , the set of edges between  $v_i \in M_{\Delta-1}$  and  $N_2(x)$  will be assign a distinct multiset  $P^i$  of colours from the set  $\{1, \dots, \Delta - 1\}$ , where only colour  $i$  appears twice. Moreover, one vertex can assign a rainbow palette  $\{1, \dots, \Delta - 1\}$ . Thus every vertex of  $M_{\Delta-1}$  will have a distinct palette, and hence will be stabilized. To stabilize the two vertices of  $N_2(x)$  joined to  $v_i$  by edges of colour  $i$ , we examine the vertices  $v_1, \dots, v_{\Delta-1}$  of  $M_{\Delta-1}$  in the following order.

First, we consider each vertex  $v_i$  that have a neighbour  $w_i \in N_2(x)$  with at least one but at most  $\Delta - 2$  neighbours in  $N_3(x)$ . We choose another neighbour  $w'_i \in N_2(x)$  of  $v_i$  and assign two distinct sets of colours for the edges going to  $N_3(x)$  from  $w_i$  and  $w'_i$ , respectively. We colour the edges  $v_iw_i$  and  $v_iw'_i$  with the same colour  $i$ . Thus all neighbours of  $v_i$  are stabilized.



In the next stage, we consider every vertex  $v_i$  with every neighbour in  $N_2(x)$  adjacent to  $\Delta - 1$  vertices of  $N_3(x)$ . We colour the set of edges between  $v_i$  and  $N_2(x)$  with the palette  $P^i$ , where two edges  $v_i u_1, v_i u_2$  are coloured with  $i$ . Then we delete  $v_i$  and introduce two vertices  $v_i^1, v_i^2$  and edges  $v_i^1 u_1$  and  $v_i^2 u_2$  coloured with  $i$ . Then we use the procedure SUBTREES ( $v_i^1, v_i^2$ ) to stabilize  $u_1$  and  $u_2$ .

Further, we consider each vertex  $v_i$  with a neighbour  $w_i \in N_2(x)$  incident to an edge  $w_i u$ , where  $u \in N_2(x)$ . First, we look for such an edge  $w_i u$ , which is already coloured. If there is no such edge, we take an uncoloured  $w_i u$  and colour it with colour 3. In both cases, we put colour  $i$  on the edge  $v_i w_i$  and another edge  $v_i w$  with  $w \neq u$ . After we examine each such vertex  $v_i$ , we colour with 2 all remaining edges contained in  $N_2(x)$ .

Finally, we are left with at most  $\Delta$  vertices  $v_i$  such that every neighbour of  $v_i$  is adjacent only to (at least two) vertices of  $N_1(x)$ . We take a first such vertex  $v_i$  and assign colour  $i$  to two its incident edges  $v_i w_i$  and  $v_i w'_i$ . Thus all neighbours of  $v_i$  are stabilized unless common neighbours of  $w_i$  and  $w'_i$  were not considered yet. Then we take such a neighbour  $v_j$  and colour its incident edges with the palette  $P^j$  such that the edges  $v_j w_i$  and  $v_j w'_i$  have distinct colours. We repeat this procedure until only one vertex of  $M_{\Delta-1}$  is left. We put a rainbow palette  $\{1, \dots, \Delta - 1\}$  on its incident edges.

After we accomplish steps  $M_0, \dots, M_{\Delta-1}$ , we colour all uncoloured edges in subgraphs  $G'[N_1(x)]$  and  $G'[N_2(x)]$  with 2. Each vertex of  $N_1(x) \cup N_2(x)$  is now fixed by every automorphism preserving our colouring  $f$  of edges of  $G'[\{x\} \cup N_1(x) \cup N_2(x)]$ , and of some edges between next spheres, if the procedure SUBTREES was used.

Then we recursively colour all yet uncoloured edges incident to consecutive spheres  $N_i(x)$  as follows: for  $v \in N_i(x)$ ,  $i \geq 2$ , we colour all edges  $vu$ , where  $u \in N_{i+1}(x)$ , with distinct colours from  $\{1, \dots, \Delta - 1\}$ . This is always possible since every vertex of  $N_i(x)$  has at most  $\Delta - 1$  neighbours in  $N_{i+1}(x)$ . Finally, we colour all uncoloured edges with end-vertices in the same sphere with 2. Hence, all vertices of  $G'$  are fixed by any automorphism preserving our colouring  $f$ . It is also easily seen that the already coloured edges can save their colours. Moreover, it is not difficult to observe that  $x$  is the unique vertex of maximum degree with a monochromatic palette  $\{1, \dots, 1\}$ . Thus, the whole subgraph  $G'$  (or  $G'_+$ ) is fixed.

To end the proof, we colour pendant trees and triangles deleted from  $G$  at the beginning. First assume that  $G'$  is not empty. Let  $N_i(G')$ , for  $i \geq 0$ , be the set of vertices of distance  $i$  from  $G'$ . Then we recursively colour the edges incident to consecutive spheres  $N_i(G')$  in the following way: for  $v \in N_i(G')$ ,  $i \geq 0$ , we colour all edges  $vu$ , where  $u \in N_{i+1}(G')$ , with distinct colours from  $\{1, \dots, \Delta - 1\}$  and the remaining edges incident to  $v$ , contained in  $N_i(x)$ , with 2. Hence, all vertices of  $G$  will be fixed by any automorphism preserving our colouring  $f$ .

If  $G'$  is empty, then we start with the centre  $Z(G)$  that is setwise fixed by every automorphism. It follows from Lemma 2.5 that  $Z(G)$  either induces  $K_3$ , or  $K_2$  (not contained in  $K_3$ ), or  $K_1$ . Let first  $Z(G)$  induce a triangle  $K_3$ . If  $\Delta = 3$ , then we stabilize  $Z(G)$  by colouring with two colours all edges incident with vertices of  $Z(G)$ . When  $\Delta \geq 4$ , we can colour the edges of the triangle  $Z(G)$  with three colours. Next, we recursively colour edges incident to subsequent spheres  $N_i(Z(G))$  with  $\Delta - 1$  colours.

If  $Z(G)$  is an edge  $e$ , then  $G - e$  has two components. We distinguish each of them

by colouring subsequent spheres  $N_i(Z(G))$  with  $\Delta - 1$  colours. If the components are isomorphic, then by assumption, each of them has a triangle. We colour two edges of these triangles contained in a sphere  $N_i(Z(G))$ , for some  $i \geq 2$ , with two distinct colours.

Finally, let  $Z(G)$  be a single vertex  $z$ . Hence,  $G - z$  has  $q \geq 2$  components, each joined to  $z$  by one or two edges. If  $q < \Delta$ , then we can easily colour distinguishingly the edges incident with subsequent spheres  $N_i(z)$ ,  $i \geq 0$ , with  $\Delta - 1$  colours. If  $q = \Delta$ , then we choose two components of  $G - z$ , at least one of them with a triangle, and colour their two edges incident with  $z$  with the same colour. Then we distinguish these two components by an edge of the triangle. □

### 3 Some classes of graphs

A graph  $G$  is called *asymmetric* if its automorphism group is trivial. Then obviously  $D'(G) = 1$ .

We say that a graph  $G$  is *almost spanned* by a subgraph  $H$  (not necessarily connected) if  $G - v$  is spanned by  $H$  for some  $v \in V(G)$ . The following observation will play a crucial role in this section.

**Lemma 3.1.** *If a graph  $G$  is spanned or almost spanned by a subgraph  $H$ , then*

$$D'(G) \leq D'(H) + 1.$$

*Proof.* We colour the edges of  $H$  with colours  $1, \dots, D'(H)$ , and all other edges of  $G$  with an additional colour 0. If  $\varphi$  is an automorphism of  $G$  preserving this colouring, then  $\varphi(x) = x$ , for each  $x \in V(H)$ . Moreover, if  $H$  is a spanning subgraph of  $G - v$ , then also  $\varphi(v) = v$ . Therefore,  $\varphi$  is the identity. □

#### 3.1 Traceable graphs

Recall that a graph is *traceable* if it contains a Hamiltonian path.

**Theorem 3.2.** *If  $G$  is a traceable graph of order  $n \geq 7$ , then  $D'(G) \leq 2$ .*

*Proof.* Let  $P_n = v_1v_2 \dots v_n$  be a Hamiltonian path of  $G$ . If  $G = P_n$ , then the conclusion follows from Proposition 2.1. If  $G$  is isomorphic to  $P_n + v_1v_3$ , then we colour the edge  $v_1v_3$  with 1, and all other edges with 2 breaking all non-trivial automorphisms of  $G$ . So suppose that  $G$  contains an edge  $v_iv_j$  distinct from  $v_1v_3$  and  $v_{n-2}v_n$  with  $i < j - 1$ . Without loss of generality we may assume that  $i - 1 \leq n - j$  (otherwise we reverse the labeling). It is easy to see that at least one of the graphs  $P_n + v_iv_j - v_{j-1}v_j$ ,  $P_n + v_iv_j - v_{j-1}$  or  $P_n + v_iv_j - v_n$  is an asymmetric spanning or almost spanning subgraph of  $G$  for any  $n \geq 7$ . The conclusion follows from Lemma 3.1. □

The assumption  $n \geq 7$  is substantial in Theorem 3.2 as  $D'(K_{3,3}) = 3$ .

#### 3.2 Claw-free graphs

A  $K_{1,3}$ -free graph, called also a *claw-free graph*, is a graph containing no copy of  $K_{1,3}$  as an induced subgraph. Claw-free graphs have numerous applications, e.g., in operations research and scheduling theory. For a survey of claw-free graphs and their applications consult [10].

A *k-tree* of a connected graph is its spanning tree with maximum degree at most  $k$ . Win [21] investigated spanning trees in 1-tough graphs and proved the following result.

**Theorem 3.3** ([21]). *A 2-connected claw-free graph has a 3-tree.*

We use this result to give an upper bound for the distinguishing number of claw-free graphs.

**Theorem 3.4.** *If  $G$  is a connected claw-free graph, then  $D'(G) \leq 3$ .*

*Proof.* Assume first that  $G$  is 2-connected. By Theorem 3.3,  $G$  contains a 3-tree  $T$ . By Theorem 2.6, we have  $D'(T) \leq 2$  if  $T$  is neither symmetric nor bisymmetric tree. In such a case,  $D'(G) \leq 3$  by Lemma 3.1.

Let  $T$  be a symmetric tree  $T_{h,3}$ . Denote a central vertex of  $T$  by  $x$  and its neighbours by  $a, b, c$ . Since  $G$  is a claw-free graph, there exists in  $G$  at least one edge, say  $bc$ , in the neighbourhood of  $x$  in  $T$ . Define a subgraph  $\tilde{T} = T + bc$ . We colour  $bc, xa$  and  $xb$  with 1, and  $xc$  with 2. Thus all vertices  $a, b, c, x$  are fixed by every non-trivial automorphism of  $\tilde{T}$ . We now colour the remaining edges in  $\tilde{T}$  starting from the edges incident to  $a, b, c$  in such a way that two uncoloured adjacent edges obtain two different colours 1 and 2. This 2-colouring breaks all non-trivial automorphisms of  $\tilde{T}$ . Hence,  $D'(G) \leq 3$  by Lemma 3.1.

Let  $T$  be a bisymmetric tree  $T''_{h,3}$ . Denote a central edge by  $xy$  and its neighbours by  $a, b$  adjacent to  $x$ , and  $c, d$  adjacent to  $y$ . We colour  $xy, xa$  and  $yc$  with 1, and  $xb$  and  $yd$  with 2. Since  $G$  is claw-free, there exists in  $G$  either at least one of the edges  $by, cx$  (or symmetrically  $dx$  or  $ay$ ) or both  $ab$  and  $cd$ . We define a subgraph  $\tilde{T}$  obtained from the tree  $T$  by adding either one of the edges  $by, cx$  (or symmetrically,  $dx$  or  $ay$ ) or both  $ab$  and  $cd$ . In the first case we colour  $by$  or  $cx$  (or symmetrically,  $dx$  or  $ay$ ) with 1, in the second case we colour  $ab$  with 1 and  $cd$  with 2. Now all vertices  $a, b, c, d, x, y$  are fixed by every non-trivial automorphism of  $\tilde{T}$ . We then colour the remaining edges of  $\tilde{T}$  as above, and we obtain the claim.

If a graph  $G$  is not 2-connected, then its graph of blocks and cut-vertices is a path, since  $G$  is claw-free. We colour every block according to the rules described above. Then to break all non-trivial automorphisms of  $G$ , it is enough to break a possible automorphism  $\psi \in \text{Aut}(G)$  that exchanges two terminal blocks. Let  $z$  be a cut-vertex that belongs to a terminal block  $B_0$ . It follows that  $z$  and its neighbours in  $B_0$  induce a clique  $K$  of order  $k \geq 2$ . We have three colours in our disposal, so it is easily seen that we can permute the colours to obtain a nonisomorphic colouring of  $K$ , thus breaking  $\psi$ . □

The theorem is sharp for graphs of order at most 5. We conjecture that the distinguishing index of claw-free graphs of order big enough is 2.

### 3.3 Planar graphs

First, recall that by the famous Theorem of Tutte [19], every 4-connected planar graph  $G$  is Hamiltonian. Hence, its distinguishing index is at most 2, by Theorem 3.2, whenever  $|G| \geq 7$ . A similar result as for claw-free graphs we obtain for 3-connected planar graphs. In the proof, we use the following result of Barnette about spanning trees of such graphs.

**Theorem 3.5** ([3]). *Every 3-connected planar graph has a 3-tree.*

Using a similar method as in the proof of Theorem 3.4, we obtain the following.

**Theorem 3.6.** *If  $G$  is 3-connected planar graph, then  $D'(G) \leq 3$ .*

*Proof.* Let  $T$  be a 3-tree of  $G$ . It follows from Theorem 2.6 that  $D'(T) \leq 2$  and hence,  $D'(G) \leq 3$  by Lemma 3.1, if  $T$  is neither a symmetric nor a bisymmetric tree.

Let then  $T$  be a symmetric tree  $T_{h,3}$ . Denote the central vertex by  $x$ , and by  $T_a, T_b$  and  $T_c$  the connected components of  $T - x$  which are trees rooted at the neighbours  $a, b, c$  of a vertex  $x$ , respectively. Since  $G$  is 3-connected, there exist an edge  $e$  between  $T_a$  and  $T_b$  in  $G$ . Consider a spanning subgraph  $\tilde{T} = T + e$ . Then we colour  $xa$  and  $xc$  with 1, and  $xb$  with 2, and extend this colouring as in the proof of Theorem 3.4 to a colouring of  $\tilde{T}$  breaking all non-trivial automorphisms of  $\tilde{T}$  (the colour of  $e$  is irrelevant). Consequently,  $D'(G) \leq 3$  by Lemma 3.1.

If  $T$  is a bisymmetric tree  $T''_{h,3}$  with the central edge  $xy$ , then we can add to  $T$  one edge in a subtree of  $T - xy$  rooted at  $x$ , and such a graph can be easily distinguished by two colours. Again, our claim follows from Lemma 3.1. □

### 3.4 2-connected graphs

For a 2-connected planar graph  $G$ , the distinguishing index may attain  $1 + \lceil \sqrt{\Delta(G)} \rceil$  as it is shown by the complete bipartite graph  $K_{2,q}$  with  $q = r^2$  for a positive integer  $r$ . In this case,  $D'(K_{2,q}) = r + 1$  as it follows from the result obtained independently by Fisher and Isaak [11] and by Imrich, Jerebic and Klavžar [14]. They proved the following theorem. Actually, they formulated it for the distinguishing number  $D(K_p \square K_q)$  of the Cartesian product of complete graphs, but  $D'(K_{p,q}) = D(K_p \square K_q)$ .

**Theorem 3.7** ([11, 14]). *Let  $p, q, d$  be integers such that  $d \geq 2$  and  $(d - 1)^p < q \leq d^p$ . Then*

$$D'(K_{p,q}) = \begin{cases} d, & \text{if } q \leq d^p - \lceil \log_d p \rceil - 1, \\ d + 1, & \text{if } q \geq d^p - \lceil \log_d p \rceil + 1. \end{cases}$$

*If  $q = d^p - \lceil \log_d p \rceil$  then the distinguishing index  $D'(K_{p,q})$  is either  $d$  or  $d + 1$  and can be computed recursively in  $O(\log^*(q))$  time.*

In the next section, we make use of the following immediate corollary.

**Corollary 3.8.** *If  $p \leq q$ , then  $D'(K_{p,q}) \leq \lceil \sqrt[q]{q} \rceil + 1$ .*

In the proof of Proposition 3.10 we also make use of an earlier result of Imrich and Klavžar [15] which is a slightly weaker version of Theorem 3.7 for  $d = 2$ .

**Theorem 3.9** ([15]). *If  $2 \leq p \leq q \leq 2^p - p + 1$ , then  $D'(K_{p,q}) = 2$ .*

**Proposition 3.10.** *If  $p \leq q \leq 2^p - p + 1$  and  $p + q \geq 7$ , then there exists a distinguishing edge 2-colouring of  $K_{p,q}$  such that the edges in one of colours induce a connected spanning or almost spanning, asymmetric subgraph of  $K_{p,q}$ .*

*Proof.* The assumptions imply that  $p \geq 3$ , and  $D'(K_{p,q}) = 2$  by Theorem 3.9. Let  $P$  and  $Q$  be the two sets of bipartition of  $K_{p,q}$  with  $|P| = p$  and  $|Q| = q$ . If  $p = q$ , then  $p \geq 4$ , and there exists a spanning asymmetric tree of  $K_{p,p}$  (see [17]). If  $p < q \leq 2^p - p + 1$ , then for the proof of Theorem 3.9, Imrich and Klavžar in [15] constructed a distinguishing vertex 2-colouring of  $K_p \square K_q$  that corresponds to a distinguishing edge 2-colouring  $f$  of  $K_{p,q}$ , where a colouring of vertices in a  $K_q$ -layer can be represented by a sequence from  $\{1, 2\}^q$  and it corresponds to a colouring of edges incident to a vertex in  $P$  (the same is true

for  $K_p$ -layers and vertices in  $Q$ ). We wish to show that this colouring yields a connected asymmetric subgraph of  $K_{p,q}$  which is spanning or almost spanning.

First assume that  $q = 2^p - p + 1$ . In the coloring  $f$ , every vertex in  $P$  has distinct positive number of edges coloured with 1, and there exists a vertex  $v_1$  with all incident edges coloured with 1. Moreover, distinct vertices from  $Q$  have distinct sets of neighbours joined by edges coloured with 1, and there exists a vertex, say  $v_2$ , with all incident edges coloured with 2. Let  $S$  be a subgraph induced by edges coloured with 1. Then  $S$  is an almost spanning subgraph since  $v_2$  is the only vertex outside  $S$ . The graph  $S$  is connected because  $v_1$  is adjacent to every vertex in  $Q$ , and every vertex in  $P$  is joined to a vertex in  $Q$  by an edge coloured with 1. Moreover,  $S$  is also asymmetric since  $f$  breaks all non-trivial automorphisms of  $K_{p,q}$  and any automorphism interchanging some parts of the sets  $P$  and  $Q$  does not preserve distances in  $S$ .

Following [15] for  $p < q < 2^p - p + 1$ , we exclude a relevant number of such pairs of sequences of colours that the sum of them is a sequence  $(3, \dots, 3)$ . Additionally, if both  $q$  and  $p$  are odd, we exclude the sequence  $(0, \dots, 0)$ . Again, we obtain a connected spanning (or almost spanning) asymmetric subgraph  $S$  of  $K_{p,q}$  induced by the edges coloured with 1. □

Proposition 3.10 and Lemma 3.1 immediately imply the following.

**Corollary 3.11.** *If a graph  $G$  of order at least 7 is spanned by  $K_{p,q}$  and  $p \leq q \leq 2^p - p + 1$ , then  $D'(G) \leq 2$ .*

In general, for 2-connected graphs we conjecture that the complete bipartite graph  $K_{2,r^2}$  is the worst case, i.e. attains the highest value of the distinguishing index.

**Conjecture 3.12.** *If  $G$  is a 2-connected graph, then*

$$D'(G) \leq 1 + \left\lceil \sqrt{\Delta(G)} \right\rceil.$$

#### 4 Nordhaus-Gaddum inequalities for $D'$

In this section, we discuss Conjecture 1.6, formulated at the end of Introduction, stating that

$$2 \leq D'(G) + D'(\overline{G}) \leq \Delta + 2$$

for every admissible graph  $G$  of order  $n \geq 7$ , where  $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$ .

The left-hand inequality is obvious. Indeed, if a graph  $G$  is asymmetric, then so is  $\overline{G}$ . Thus we are only interested in the right-hand inequality  $D'(G) + D'(\overline{G}) \leq \Delta + 2$ . Note also that at least one of the graphs  $G$  and  $\overline{G}$  is connected.

The bound  $\Delta + 2$  cannot be improved. To see this, consider a star  $K_{1,n-1}$  of any order  $n \geq 7$ . As  $\overline{K_{1,n-1}}$  is a disjoint union of a complete graph  $K_{n-1}$  and an isolated vertex, it follows from Proposition 2.3 that  $D'(\overline{K_{1,n-1}}) = 2$ . Therefore,  $D'(K_{1,n-1}) + D'(\overline{K_{1,n-1}}) = n - 1 + 2 = \Delta + 2$ .

If  $T$  is a tree, then  $\Delta(T)$  can be much smaller than  $\Delta = \Delta(\overline{T}) = n - 1$ . However, the following holds.

**Proposition 4.1.** *If  $T$  is a tree of order  $n \geq 7$ , then*

$$D'(T) + D'(\overline{T}) \leq \Delta(T) + 2.$$

*Proof.* As it was shown above, the conclusion holds for stars. If  $T$  is not a star, then  $D'(\overline{T}) \leq 2$  by Lemma 3.1. Indeed, as it was proved by Hedetniemi et al. in [13], a complete graph  $K_n$  contains edge disjoint copies of any two trees of order  $n$  distinct from a star  $K_{1,n-1}$ . Thus, the complement  $\overline{T}$  contains a spanning asymmetric tree. By Theorem 2.6, we have the inequality  $D'(T) + D'(\overline{T}) \leq \Delta(T) + 2$ .  $\square$

This fact emboldened us to formulate the following stronger conjecture.

**Conjecture 4.2.** *Every connected admissible graph  $G$  of order  $n \geq 7$  satisfies the inequality*

$$D'(G) + D'(\overline{G}) \leq \Delta(G) + 2.$$

Now we show that Conjecture 1.6 holds not only for trees, but also for some other classes of graphs. To do this we use the following fact.

**Theorem 4.3.** *Let  $G$  be a connected admissible graph of order  $n \geq 7$ . If either  $G$  or every connected component of  $\overline{G}$  has the distinguishing index at most 3, then*

$$D'(G) + D'(\overline{G}) \leq \Delta + 2,$$

where  $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$ .

*Proof.* Our claim is true for trees by Proposition 4.1. Observe also, that it is true if  $G$  is a path or a cycle of order at least 7 since its complement  $\overline{G}$  is Hamiltonian, and  $D'(G) + D'(\overline{G}) \leq 4$ . So, now we can assume that  $\Delta(G) \geq 3$  and neither  $G$  nor  $\overline{G}$  is a tree. We consider two cases.

**Case A.** Every component  $H$  of  $\overline{G}$  satisfies  $D'(H) \leq 3$ .

Then  $D'(G) \leq \Delta(G) - 1$  by Theorem 2.8, and if  $\overline{G}$  is connected, then our claim holds. Assume now that  $\overline{G}$  is disconnected. Then  $G$  is spanned by  $K_{p,q}$  with  $p \leq q$  and  $\Delta \geq q$ , where  $p + q = |V(G)|$ . Suppose that the graph  $\overline{G}$  has  $t$  isomorphic components. If we had a distinct set of three colours for every component, then  $D'(\overline{G}) \leq \lceil \sqrt[3]{6t} \rceil$ . We then consider two cases:

- a) If  $q \leq 2^p - p + 1$ , then  $D'(G) = 2$  by Corollary 3.11. Moreover, we then have at most  $\frac{n}{3}$  components of  $\overline{G}$ , so  $D'(\overline{G}) \leq \lceil \sqrt[3]{2n} \rceil$ . And we can easily see that

$$\lceil \sqrt[3]{2n} \rceil + 2 \leq \frac{n}{2} + 2$$

for every  $n \geq 4$ .

- b) If  $q \geq 2^p - p + 1$ , then there exists a big component (of order  $q$ ) in  $\overline{G}$  and we can assume that  $t \leq \frac{p}{3}$  remaining components are isomorphic. In this case, by assumptions we have  $p \leq \lceil \log_2(q + p - 1) \rceil$ , therefore

$$D'(\overline{G}) \leq \lceil \sqrt[3]{6t} \rceil \leq \sqrt[3]{2 \lceil \log_2(q + p - 1) \rceil}.$$

On the other hand,  $D'(G) \leq \lceil \sqrt[p]{q} \rceil + 2$  by Corollary 3.8 and Theorem 3.1. Then it is not difficult to check that for  $q \geq 2^p - p + 1$

$$\sqrt[3]{2 \lceil \log_2(q + p - 1) \rceil} + \lceil \sqrt[p]{q} \rceil + 2 \leq q + 2$$

what finishes the proof in Case A.

**Case B.**  $D'(G) \leq 3$ .

If graph  $\overline{G}$  is connected, then the claim follows immediately from Theorem 2.7 whenever  $D'(G) = 2$  or  $D'(\overline{G}) = 2$ , and it follows from Theorem 2.8 if  $D'(G) = 3$ . Assume now that  $\overline{G}$  has  $t \geq 2$  components. Then  $\Delta \geq \frac{n}{2}$  and, in the worst case, all components of  $\overline{G}$  are isomorphic. Observe that maximal degree of every component is at most  $\frac{n}{t} - 1$ . If we assign one extra colour to every component, then we need at most  $\frac{n}{t} - 1 + (t - 1)$  colours to distinguish  $\overline{G}$ . Hence, if

$$\frac{n}{t} + t \leq \frac{n}{2} - 1,$$

then  $D'(\overline{G}) \leq \Delta - 1$ , and our claim is true. The above inequality holds unless  $t = 2$ .

If there exist two isomorphic components in  $\overline{G}$ , then  $D'(G) \leq 2$  due to Corollary 3.11 since  $G$  is spanned by  $K_{\frac{n}{2}, \frac{n}{2}}$ . Then  $D'(\overline{G}) \leq \frac{n}{2}$ , and finally  $D'(G) + D'(\overline{G}) \leq \frac{n}{2} + 2$ .  $\square$

Now we can formulate some consequences of Theorem 4.3 and suitable results proved in Section 3.

**Corollary 4.4.** *Let  $G$  be an admissible graph of order  $n \geq 7$ . If  $G$  satisfies at least one of the following conditions:*

- i)  $G$  is a traceable graph, or
- ii)  $G$  is a claw-free graph, or
- iii)  $G$  is a triangle-free graph, or
- iv)  $G$  is a 3-connected planar graph,

then

$$D'(G) + D'(\overline{G}) \leq \Delta + 2,$$

where  $\Delta = \max\{\Delta(G), \Delta(\overline{G})\}$ .

*Proof.* It suffices to apply Theorem 4.3 together with Theorem 3.2, Theorem 3.4 and Theorem 3.6, respectively. Observe also that if the girth of a graph  $G$  is at least 4, i.e.,  $G$  is triangle-free, then its complement  $\overline{G}$  is claw-free.  $\square$

Finally, it has to be noted that there exist graphs of order less than 7 such that the right-hand inequality in Conjecture 1.6 is not satisfied. For example, for the graph  $K_{3,3}$  we have  $D'(K_{3,3}) = 3$ ,  $D'(\overline{K_{3,3}}) = D'(2K_3) = 4$  and  $\Delta = 3$ , hence  $D'(K_{3,3}) + D'(\overline{K_{3,3}}) = \Delta + 4$ . Also,  $D'(C_5) + D'(\overline{C_5}) = 3 + 3 = \Delta + 4$ , and  $D'(K_{1,i}) + D'(\overline{K_{1,i}}) = \Delta + 3$  for  $i = 3, 4, 5$ .

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