

A double Sylvester determinant*

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Abstract

Given two $(n+1) \times (n+1)$ -matrices A and B over a commutative ring, and some $k \in \{0, 1, \dots, n\}$, we consider the $\binom{n}{k} \times \binom{n}{k}$ -matrix W whose entries are $(k+1) \times (k+1)$ -minors of A multiplied by corresponding $(k+1) \times (k+1)$ -minors of B . Here we require the minors to use the last row and the last column (which is why we obtain an $\binom{n}{k} \times \binom{n}{k}$ -matrix, not a $\binom{n+1}{k+1} \times \binom{n+1}{k+1}$ -matrix). We prove that the determinant $\det W$ is a multiple of $\det A$ if the $(n+1, n+1)$ -th entry of B is 0. Furthermore, if the $(n+1, n+1)$ -th entries of both A and B are 0, then $\det W$ is a multiple of $(\det A)(\det B)$. This extends a previous result of Olver and the author.

Keywords: Determinant, compound matrix, Sylvester's determinant, polynomials.

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1 Introduction

Let n and k be nonnegative integers, and let $A = (a_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1}$ be an $(n+1) \times (n+1)$ -matrix over some commutative ring. Let P_k be the set of all k -element subsets of $\{1, 2, \dots, n\}$. For any such subset $K \in P_k$, let $K+$ denote the subset $K \cup \{n+1\}$ of $\{1, 2, \dots, n+1\}$. If U and V are two subsets of $\{1, 2, \dots, n+1\}$, then $\text{sub}_U^V A$ shall denote the $|U| \times |V|$ -submatrix of A containing only the entries $a_{u,v}$ with $u \in U$ and $v \in V$. Let W_A be the $P_k \times P_k$ -matrix¹ whose (I, J) -th entry (for all $I \in P_k$ and $J \in P_k$) is

$$\det(\text{sub}_{I+}^{J+} A).$$

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¹This means a matrix whose rows and columns are indexed by the k -element subsets of $\{1, 2, \dots, n\}$. If you pick a total order on the set P_k , then you can view such a matrix as an $\binom{n}{k} \times \binom{n}{k}$ -matrix.

(Thus, the entries of W_A are all $(k + 1) \times (k + 1)$ -minors of A that use the last row and the last column.) A particular case of a celebrated result going back to Sylvester [15] (see [12, §2.7] or [13, Teorema 2.9.1] or [10] for modern proofs) then says that

$$\det(W_A) = a_{n+1,n+1}^p \cdot (\det A)^q, \quad \text{where } p = \binom{n-1}{k} \text{ and } q = \binom{n-1}{k-1}.$$

Now, consider a second $(n + 1) \times (n + 1)$ -matrix $B = (b_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1}$ over the same ring. Let $W_{A,B}$ (later to be just called W) be the $P_k \times P_k$ -matrix whose (I, J) -th entry (for all $I \in P_k$ and $J \in P_k$) is

$$\det(\text{sub}_{I+}^{J+} A) \det(\text{sub}_{I+}^{J+} B).$$

What can be said about $\det(W_{A,B})$? In general, very little². However, under some assumptions, it splits off factors. Namely, we shall show (Theorem 2.1) that $\det(W_{A,B})$ is a multiple of $\det A$ if $b_{n+1,n+1} = 0$. We shall then conclude (Theorem 2.2) that if both $a_{n+1,n+1}$ and $b_{n+1,n+1}$ are 0, then $\det(W_{A,B})$ is a multiple of $(\det A)(\det B)$. In either case, the quotient (usually a much more complicated polynomial³) remains mysterious; our proofs are indirect and reveal little about it. Our second result generalizes a curious property of $\binom{n}{2} \times \binom{n}{2}$ -determinants [6, Theorem 10] that arose from the study of the n -body problem (see Example 2.4 for details).

2 The theorems

Let us first introduce the standing notations.

Let $\mathbb{N} = \{0, 1, 2, \dots\}$. Let \mathbb{K} be a commutative ring. If a and b are two elements of \mathbb{K} , then we write $a \mid b$ when b is a multiple of a (that is, $b \in \mathbb{K}a$).

If $m \in \mathbb{N}$, then $[m]$ shall mean the set $\{1, 2, \dots, m\}$.

Fix an $n \in \mathbb{N}$. If K is any subset of $[n]$, then $K+$ shall mean the subset $K \cup \{n + 1\}$ of $[n + 1]$.

Fix $k \in \{0, 1, \dots, n\}$. Let P_k be the set of all k -element subsets of $[n]$. This is a finite set; thus, any $P_k \times P_k$ -matrix (i.e., any matrix whose rows and columns are indexed by k -element subsets of $[n]$) has a well-defined determinant⁴. Such matrices appear frequently in classical determinant theory (see, e.g., the “ k -th compound determinants” in [11] and in [12, §2.6], as well as the related “Generalized Sylvester’s identity” in [12, §2.7] and [13, Teorema 2.9.1] and [10]).

If $A \in \mathbb{K}^{u \times v}$ is a $u \times v$ -matrix, and if $I \subseteq [u]$ and $J \subseteq [v]$, then $\text{sub}_I^J A$ shall mean the submatrix of A obtained by removing all rows whose indices are not in I and removing all columns whose indices are not in J . (Rigorously speaking, if $A = (a_{i,j})_{1 \leq i \leq u, 1 \leq j \leq v}$ and $I = \{i_1 < i_2 < \dots < i_p\}$ and $J = \{j_1 < j_2 < \dots < j_q\}$, then $\text{sub}_I^J A$ is defined to be the matrix $(a_{i_x, j_y})_{1 \leq x \leq p, 1 \leq y \leq q}$.) When $|I| = |J|$, then the submatrix $\text{sub}_I^J A$ is square; its determinant $\det(\text{sub}_I^J A)$ is called a *minor* of A .

²For example, if $n = 3$ and $k = 2$, then $\det(W_{A,B})$ is an irreducible polynomial in the (altogether $2(n + 1)^2 = 32$) variables $a_{i,j}$ and $b_{i,j}$ with 110268 monomials.

³Again, irreducible in the case when $n = 3$ and $k = 2$.

⁴Here, we are using the concepts of $P \times P$ -matrices (where P is a finite set) and their determinants. Both of these concepts are folklore; a brief introduction can be found in [5, §1].

Our main two results are the following:

Theorem 2.1. *Let*

$$A = (a_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)} \quad \text{and}$$

$$B = (b_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)}$$

be such that $b_{n+1,n+1} = 0$. Let W be the $P_k \times P_k$ -matrix whose (I, J) -th entry (for all $I \in P_k$ and $J \in P_k$) is

$$\det(\text{sub}_{I^+}^{J^+} A) \det(\text{sub}_{I^+}^{J^+} B).$$

Then $\det A \mid \det W$.

Theorem 2.2. *Let*

$$A = (a_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)} \quad \text{and}$$

$$B = (b_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)}$$

be such that $a_{n+1,n+1} = 0$ and $b_{n+1,n+1} = 0$. Define the $P_k \times P_k$ -matrix W as in Theorem 2.1. Then $(\det A) (\det B) \mid \det W$.

Example 2.3. For this example, set $k = 1$. Then $P_k = P_1 = \{\{1\}, \{2\}, \dots, \{n\}\}$. Thus, the map

$$[n] \rightarrow P_k, \quad i \mapsto \{i\}$$

is a bijection. Use this bijection to identify the elements $1, 2, \dots, n$ of $[n]$ with the elements $\{1\}, \{2\}, \dots, \{n\}$ of P_k . Thus, the $P_k \times P_k$ -matrix W in Theorem 2.1 becomes the $n \times n$ -matrix

$$\left(\underbrace{\det(\text{sub}_{\{i\}^+}^{\{j\}^+} A)}_{\substack{=a_{i,j}a_{n+1,n+1} \\ -a_{i,n+1}a_{n+1,j}}} \underbrace{\det(\text{sub}_{\{i\}^+}^{\{j\}^+} B)}_{\substack{=b_{i,j}b_{n+1,n+1} \\ -b_{i,n+1}b_{n+1,j}}} \right)_{1 \leq i \leq n, 1 \leq j \leq n}$$

$$= \left((a_{i,j}a_{n+1,n+1} - a_{i,n+1}a_{n+1,j}) \underbrace{(b_{i,j}b_{n+1,n+1} - b_{i,n+1}b_{n+1,j})}_{=0} \right)_{1 \leq i \leq n, 1 \leq j \leq n}$$

$$= ((a_{i,j}a_{n+1,n+1} - a_{i,n+1}a_{n+1,j})(-b_{i,n+1}b_{n+1,j}))_{1 \leq i \leq n, 1 \leq j \leq n}.$$

This is the matrix obtained from $(a_{i,j}a_{n+1,n+1} - a_{i,n+1}a_{n+1,j})_{1 \leq i \leq n, 1 \leq j \leq n}$ by multiplying the i -th row with $-b_{i,n+1}$ for all $i \in [n]$ and multiplying the j -th column with $b_{n+1,j}$ for all $j \in [n]$. Thus, the claim of Theorem 2.1 follows from the classical fact that

$$\det \left((a_{i,j}a_{n+1,n+1} - a_{i,n+1}a_{n+1,j})_{1 \leq i \leq n, 1 \leq j \leq n} \right) = a_{n+1,n+1}^{n-1} \cdot \det A.$$

This fact is known as Chio pivotal condensation (see, e.g., [7, Theorem 0.1]), and is a particular case of Sylvester’s identity ([12, §2.7]).

Example 2.4. For this example, set $k = 2$, and consider the situation of Theorem 2.1 again. Then $P_k = P_2 = \{\{i, j\} \mid 1 \leq i < j \leq n\}$. If $\{i, j\} \in P_2$ and $\{k, l\} \in P_2$ satisfy $i < j$ and $k < l$, then the $(\{i, j\}, \{k, l\})$ -th entry of W is

$$\det(\text{sub}_{\{i,j\}^+}^{\{k,l\}^+} A) \det(\text{sub}_{\{i,j\}^+}^{\{k,l\}^+} B) = \det \begin{pmatrix} a_{i,k} & a_{i,l} & a_{i,n+1} \\ a_{j,k} & a_{j,l} & a_{j,n+1} \\ a_{n+1,k} & a_{n+1,l} & a_{n+1,n+1} \end{pmatrix} \det \begin{pmatrix} b_{i,k} & b_{i,l} & b_{i,n+1} \\ b_{j,k} & b_{j,l} & b_{j,n+1} \\ b_{n+1,k} & b_{n+1,l} & 0 \end{pmatrix}.$$

Note that $b_{n+1,n+1} = 0$. If we furthermore assume that

$$\begin{aligned} a_{n+1,n+1} &= 0, & \text{and} \\ a_{n+1,i} &= a_{i,n+1} = 1 \quad \text{for all } i \in [n], & \text{and} \\ b_{n+1,i} &= b_{i,n+1} = 1 \quad \text{for all } i \in [n], \end{aligned}$$

then this entry rewrites as

$$\det \begin{pmatrix} a_{i,k} & a_{i,l} & 1 \\ a_{j,k} & a_{j,l} & 1 \\ 1 & 1 & 0 \end{pmatrix} \det \begin{pmatrix} b_{i,k} & b_{i,l} & 1 \\ b_{j,k} & b_{j,l} & 1 \\ 1 & 1 & 0 \end{pmatrix} = (a_{j,k} + a_{i,l} - a_{i,k} - a_{j,l})(b_{j,k} + b_{i,l} - b_{i,k} - b_{j,l}).$$

Hence, [6, Theorem 10] can be obtained from Theorem 2.2 by setting $k = 2$ and $A = C_S$ and $B = C_T$ (and observing that the matrix W then equals to $W_{S,T}$).

3 The proofs

Our proofs of Theorem 2.1 and Theorem 2.2 will rely on some basic commutative algebra: the notion of a unique factorization domain (“UFD”); the concepts of coprime, prime and irreducible elements; the localization of a commutative ring at a multiplicative subset. This all appears in most textbooks on abstract algebra; for example, [8, Sections VIII.4 and VIII.10] is a good reference⁵.

The *content* of a polynomial p over a UFD is defined to be the greatest common divisor of the coefficients of p . For example, the polynomial $4x^2 + 6y^2 \in \mathbb{Z}[x, y]$ has content $\gcd(4, 6) = 2$. (Of course, in a general UFD, the greatest common divisor is defined only up to multiplication by a unit.) The following known facts are crucial to us:

Proposition 3.1. *A polynomial ring over \mathbb{Z} in finitely many indeterminates is always a UFD.* □

Proposition 3.1 appears, e.g., in [8, Remark after Corollary 8.21]. For a constructive proof of Proposition 3.1, we refer to [9, Chapter IV, Theorems 4.8 and 4.9] or to [2, Essay 1.4, Corollary of Theorem 1 and Corollary 1 of Theorem 2].

Proposition 3.2. *Let p be an irreducible element of a UFD \mathbb{K} . Then the quotient ring $\mathbb{K}/(p)$ is an integral domain.*

⁵We call “multiplicative subset” what Knapp (in [8, Section VIII.10]) calls a “multiplicative system”.

Proof of Proposition 3.2. First of all, we recall that any irreducible element of a UFD is prime (indeed, this follows from [8, Proposition 8.13]). Thus, the element p of \mathbb{K} is prime. Hence, [8, Proposition 8.14] shows that the ideal (p) of \mathbb{K} is prime. Therefore, the quotient ring $\mathbb{K}/(p)$ is an integral domain. This proves Proposition 3.2. \square

We shall furthermore use the following properties of contents (whose proofs are easy):

Proposition 3.3. *Let \mathbb{U} be a UFD. Let $p \in \mathbb{U}[x_1, x_2, \dots, x_m]$ be a polynomial over \mathbb{U} . Assume that the content of p is 1. Also assume that p is irreducible when considered as a polynomial in $\mathbb{F}[x_1, x_2, \dots, x_m]$, where \mathbb{F} is the field of fractions of \mathbb{U} . Then p is also irreducible when considered as a polynomial in $\mathbb{U}[x_1, x_2, \dots, x_m]$.*

Proposition 3.4. *Let \mathbb{U} be a UFD. Let $p, q \in \mathbb{U}[x_1, x_2, \dots, x_m]$ be two polynomials over \mathbb{U} . Assume that both p and q have content 1, and assume furthermore that p and q don't have any indeterminates in common (i.e., there is no $i \in [m]$ such that $\deg_{x_i} p > 0$ and $\deg_{x_i} q > 0$). Then p and q are coprime.*

The next simple fact states that for any positive integer n , the determinant of the “generic $n \times n$ -matrix” (i.e., of the $n \times n$ -matrix whose n^2 entries are distinct indeterminates in a polynomial ring over \mathbb{Z}) is irreducible as a polynomial over \mathbb{Z} :

Corollary 3.5. *Let n be a positive integer. Let \mathbb{G} be the multivariate polynomial ring $\mathbb{Z}[a_{i,j} \mid (i, j) \in [n]^2]$. Let $\bar{A} \in \mathbb{G}^{n \times n}$ be the $n \times n$ -matrix $(a_{i,j})_{1 \leq i \leq n, 1 \leq j \leq n}$. Then the element $\det \bar{A}$ of \mathbb{G} is irreducible.*

Proof of Corollary 3.5. A well-known fact (e.g., [1, Lemma 5.12]) shows that $\det \bar{A}$ is irreducible as an element of $\mathbb{Q}[a_{i,j} \mid (i, j) \in [n]^2]$. This yields (using Proposition 3.3) that $\det \bar{A}$ is irreducible as an element of $\mathbb{Z}[a_{i,j} \mid (i, j) \in [n]^2]$ as well, since the polynomial $\det \bar{A}$ has content 1. This proves Corollary 3.5. \square

An element a of a commutative ring \mathbb{A} is said to be *regular* if every $b \in \mathbb{A}$ satisfying $ab = 0$ must satisfy $b = 0$. (Regular elements are also known as *non-zero-divisors*.) In a polynomial ring, each indeterminate is regular; hence, each monomial (without coefficient) is regular (since any product of two regular elements is regular).

We recall a few standard concepts from commutative algebra. Let \mathbb{K} be a commutative ring. A *multiplicative subset* of \mathbb{K} means a subset S of \mathbb{K} that contains the unity $1_{\mathbb{K}}$ of \mathbb{K} and has the property that every $a, b \in S$ satisfy $ab \in S$.

If S is a multiplicative subset of \mathbb{K} , then the *localization* of \mathbb{K} at S is defined as follows: Let \sim be the binary relation on the set $\mathbb{K} \times S$ defined by

$$((r, s) \sim (r', s')) \iff (t(rs' - sr') = 0 \text{ for some } t \in S).$$

Then it is easy to see that \sim is an equivalence relation. The set \mathbb{L} of its equivalence classes $[(r, s)]$ can be equipped with a ring structure via the rules $[(r, s)] + [(r', s')] = [(rs' + sr', ss')]$ and $[(r, s)] \cdot [(r', s')] = [(rr', ss')]$ (with zero element $[(0, 1)]$ and unity $[(1, 1)]$). The resulting ring \mathbb{L} is commutative, and is known as the localization of \mathbb{K} at S . (This generalizes the construction of \mathbb{Q} from \mathbb{Z} known from high school.)

The element $[(r, s)]$ of \mathbb{L} is denoted by $\frac{r}{s}$. There is a canonical ring homomorphism from \mathbb{K} to \mathbb{L} that sends each $r \in \mathbb{K}$ to $[(r, 1)] = \frac{r}{1} \in \mathbb{L}$.

When all elements of the multiplicative subset S are regular, the statement “ $t(rs' - sr') = 0$ for some $t \in S$ ” in the definition of the relation \sim can be rewritten in the equivalent (but much simpler) form “ $rs' = sr'$ ” (which is even more reminiscent of the construction of \mathbb{Q}).

The following fact is easy to see:

Proposition 3.6. *Let \mathbb{K} be a commutative ring. Let S be a multiplicative subset of \mathbb{K} such that all elements of S are regular. Let \mathbb{L} be the localization of the ring \mathbb{K} at S . Then:*

- (a) *The canonical ring homomorphism from \mathbb{K} to \mathbb{L} is injective. We shall thus consider it as an embedding.*
- (b) *If \mathbb{K} is an integral domain, then \mathbb{L} is an integral domain.*
- (c) *Let a and b be two elements of \mathbb{K} . Then we have the following logical equivalence:*

$$(a \mid b \text{ in } \mathbb{L}) \iff (a \mid sb \text{ in } \mathbb{K} \text{ for some } s \in S).$$

Matrices over arbitrary commutative rings can behave a lot less predictably than matrices over fields. However, matrices over integral domains still show a lot of the latter good behavior, such as the following:

Proposition 3.7. *Let P be a finite set. Let \mathbb{M} be an integral domain. Let $W \in \mathbb{M}^{P \times P}$ be a $P \times P$ -matrix over \mathbb{M} . Let $\mathbf{u} \in \mathbb{M}^P$ be a vector such that $\mathbf{u} \neq 0$ and $W\mathbf{u} = 0$. Here, \mathbf{u} is considered as a “column vector”, so that $W\mathbf{u}$ is defined by*

$$W\mathbf{u} = \left(\sum_{q \in P} w_{p,q} u_q \right)_{p \in P}, \quad \text{where } W = (w_{p,q})_{(p,q) \in P \times P} \quad \text{and} \quad \mathbf{u} = (u_p)_{p \in P}.$$

Then $\det W = 0$.

Proof of Proposition 3.7. Let $m = |P|$. Then we can view the $P \times P$ -matrix W as an $m \times m$ -matrix (by “numerical reindexing”, as explained in [5, §1]), and we can view the vector \mathbf{u} as a column vector of size m . Let us do this from here on.

Let \mathbb{F} be the quotient field of the integral domain \mathbb{M} . Thus, there is a canonical embedding of \mathbb{M} into \mathbb{F} . Hence, we can view the matrix $W \in \mathbb{M}^{m \times m}$ as a matrix over \mathbb{F} , and we can view the vector $\mathbf{u} \in \mathbb{M}^m$ as a vector over \mathbb{F} . Let us do so from here on. We are now in the realm of classical linear algebra over fields: The vector $\mathbf{u} \in \mathbb{F}^m$ is nonzero (since $\mathbf{u} \neq 0$) and belongs to the kernel of the $m \times m$ -matrix $W \in \mathbb{F}^{m \times m}$ (since $W\mathbf{u} = 0$). Hence, the kernel of the matrix W is nontrivial. In other words, this matrix W is singular. Thus, $\det W = 0$ by a classical fact of linear algebra. This proves Proposition 3.7. \square

Let us next recall an identity for determinants (a version of the Cauchy–Binet formula):

Lemma 3.8. *Let $n \in \mathbb{N}$, $m \in \mathbb{N}$ and $p \in \mathbb{N}$. Let $A \in \mathbb{K}^{n \times p}$ be an $n \times p$ -matrix. Let $B \in \mathbb{K}^{p \times m}$ be a $p \times m$ -matrix. Let $k \in \mathbb{N}$. Let P be a subset of $[n]$ such that $|P| = k$. Let Q be a subset of $[m]$ such that $|Q| = k$. Then*

$$\det(\text{sub}_P^Q(AB)) = \sum_{\substack{R \subseteq [p]; \\ |R|=k}} \det(\text{sub}_P^R A) \cdot \det(\text{sub}_R^Q B). \quad \square$$

Lemma 3.8 is [4, Corollary 7.251] (except that we are using the notation $\text{sub}_I^J C$ for what is called $\text{sub}_{w(I)}^{w(J)} C$ in [4]). It also appears in [3, Chapter I, (19)] (where it is stated using p -tuples instead of subsets).

The next lemma is just a particular case of Theorem 2.1, but it is a helpful stepping stone on the way to proving the latter theorem:

Lemma 3.9. *Let*

$$A = (a_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)} \quad \text{and}$$

$$B = (b_{i,j})_{1 \leq i \leq n+1, 1 \leq j \leq n+1} \in \mathbb{K}^{(n+1) \times (n+1)}$$

be such that $b_{n+1,n+1} = 0$. Assume further that

$$a_{n+1,j} = 0 \quad \text{for all } j \in [n]. \tag{3.1}$$

Define the $P_k \times P_k$ -matrix W as in Theorem 2.1. Then $\det A \mid \det W$.

The following proof is inspired by [6, proof of Theorem 10].

Proof of Lemma 3.9. We WLOG assume that \mathbb{K} is the polynomial ring over \mathbb{Z} in $n^2 + (n + 1) + ((n + 1)^2 - 1)$ indeterminates

$$a_{i,j} \quad \text{for all } i \in [n] \text{ and } j \in [n];$$

$$a_{i,n+1} \quad \text{for all } i \in [n + 1];$$

$$b_{i,j} \quad \text{for all } i \in [n + 1] \text{ and } j \in [n + 1] \text{ except for } b_{n+1,n+1}.$$

And, of course, we assume that the entries of A and B that are not zero by assumption are these indeterminates.⁶

The ring \mathbb{K} is a UFD (by Proposition 3.1).

We WLOG assume that $n > 0$ (otherwise, the result follows from $\det W = \det(0) = 0$).

The set P_k is nonempty (since $k \in \{0, 1, \dots, n\}$); thus, $|P_k| \geq 1$.

Let \bar{A} be the $n \times n$ -matrix $(a_{i,j})_{1 \leq i \leq n, 1 \leq j \leq n} \in \mathbb{K}^{n \times n}$. Then, because of (3.1), we have

$$\det A = a_{n+1,n+1} \cdot \det \bar{A} \tag{3.2}$$

(by [4, Theorem 6.43], applied to $n + 1$ instead of n).

The matrix \bar{A} is a completely generic $n \times n$ -matrix (i.e., its entries are distinct indeterminates); thus, its determinant $\det \bar{A}$ is an irreducible polynomial in the polynomial ring $\mathbb{Z}[a_{i,j} \mid (i, j) \in [n]^2]$ (by Corollary 3.5). Hence, $\det \bar{A}$ also is an irreducible polynomial in the ring \mathbb{K} (since \mathbb{K} differs from $\mathbb{Z}[a_{i,j} \mid (i, j) \in [n]^2]$ only in having more variables, which clearly cannot contribute any factors to $\det \bar{A}$). Thus, Proposition 3.2 (applied to $p = \det \bar{A}$) shows that the quotient ring $\mathbb{K}/(\det \bar{A})$ is an integral domain.

Let \mathbb{M} be the quotient ring $\mathbb{K}/(\det \bar{A})$. Then \mathbb{M} is an integral domain (since $\mathbb{K}/(\det \bar{A})$ is an integral domain). All monomials in the variables $b_{i,j}$ (with $(i, j) \neq (n + 1, n + 1)$) are nonzero in \mathbb{M} . Likewise, $a_{n+1,n+1} \neq 0$ in \mathbb{M} .

⁶These assumptions are legitimate, because if we can prove Lemma 3.9 under these assumptions, then the universal property of polynomial rings shows that Lemma 3.9 holds in the general case.

Let w be the element $\prod_{j \in [n]} b_{n+1,j} \in \mathbb{M}$. (Strictly speaking, we mean the canonical projection of $\prod_{j \in [n]} b_{n+1,j} \in \mathbb{K}$ onto the quotient ring \mathbb{M} .) Then, w is a nonzero element of the integral domain \mathbb{M} (since $b_{n+1,j} \neq 0$ in \mathbb{M} for all $j \in [n]$).

For each $i \in [n]$, we define $z_i \in \mathbb{M}$ by $z_i = \prod_{j \in [n]; j \neq i} b_{n+1,j}$ (projected onto \mathbb{M}). This is a nonzero element of \mathbb{M} . In \mathbb{M} , we have

$$b_{n+1,i} z_i = b_{n+1} \prod_{\substack{j \in [n]; \\ j \neq i}} b_{n+1,j} = \prod_{j \in [n]} b_{n+1,j} = w \tag{3.3}$$

for all $i \in [n]$.

We need another piece of notation: If M is a $p \times q$ -matrix, and if $u \in [p]$ and $v \in [q]$, then $M_{\sim u, \sim v}$ denotes the $(p - 1) \times (q - 1)$ -matrix obtained from M by removing the u -th row and the v -th column.

The matrix $A_{\sim 1, \sim (n+1)}$ has determinant 0 (because (3.1) shows that its last row consists of zeroes). In other words, $\det(A_{\sim 1, \sim (n+1)}) = 0$.

Also, due to (3.1), we see that each $i \in [n]$ satisfies

$$\det(A_{\sim 1, \sim i}) = a_{n+1, n+1} \cdot \det(\overline{A}_{\sim 1, \sim i}) \tag{3.4}$$

(by [4, Theorem 6.43], applied to $A_{\sim 1, \sim i}$ instead of A), because the last row of the matrix $A_{\sim 1, \sim i}$ is $(0, 0, \dots, 0, a_{n+1, n+1})$.

For each $i \in [n + 1]$, we define an element $u_i \in \mathbb{M}$ by

$$u_i = \begin{cases} z_i (-1)^i \det(A_{\sim 1, \sim i}), & \text{if } i \in [n]; \\ 1, & \text{if } i = n + 1. \end{cases}$$

Claim 1. All these $n + 1$ elements u_1, u_2, \dots, u_{n+1} of \mathbb{M} are nonzero.

Proof of Claim 1. Let $i \in [n]$. Then, $\det(\overline{A}_{\sim 1, \sim i}) \neq 0$ in \mathbb{M} because $\det(\overline{A}_{\sim 1, \sim i})$ is a polynomial of smaller degree than $\det \overline{A}$, and thus is not a multiple of $\det \overline{A}$. Now,

$$\begin{aligned} u_i &= z_i (-1)^i \overbrace{\det(A_{\sim 1, \sim i})}^{= a_{n+1, n+1} \cdot \det(\overline{A}_{\sim 1, \sim i}) \quad \text{(by (3.4))}} \\ &= \underbrace{z_i}_{\neq 0 \text{ in } \mathbb{M}} \underbrace{(-1)^i}_{\neq 0 \text{ in } \mathbb{M}} \underbrace{a_{n+1, n+1}}_{\neq 0 \text{ in } \mathbb{M}} \cdot \underbrace{\det(\overline{A}_{\sim 1, \sim i})}_{\neq 0 \text{ in } \mathbb{M}} \\ &\neq 0 \text{ in } \mathbb{M} \quad (\text{since } \mathbb{M} \text{ is an integral domain}). \end{aligned}$$

Thus, u_1, u_2, \dots, u_n are nonzero. Moreover, u_{n+1} is nonzero (since $u_{n+1} = 1$). Thus, we are done. □

Let $\mathbf{u} = (u_J)_{J \in P_k} \in \mathbb{M}^{P_k}$ be the vector defined by

$$u_J = \prod_{j \in J} u_j.$$

Then the entries of the vector \mathbf{u} are nonzero (because they are products of the nonzero elements u_1, u_2, \dots, u_{n+1} of the integral domain \mathbb{M}). Since the vector \mathbf{u} has at least one entry (because $|P_k| \geq 1$), we thus conclude that $\mathbf{u} \neq 0$.

Let Δ be the diagonal matrix $\Delta = \text{diag}(u_1, u_2, \dots, u_{n+1}) \in \mathbb{M}^{(n+1) \times (n+1)}$.

Let $\mathbf{x} \in \mathbb{M}^{n+1}$ be the column vector defined by

$$\mathbf{x} = \left((-1)^1 \det(A_{\sim 1, \sim 1}), (-1)^2 \det(A_{\sim 1, \sim 2}), \dots, (-1)^{n+1} \det(A_{\sim 1, \sim (n+1)}) \right)^T.$$

Let $(e_1, e_2, \dots, e_{n+1})$ be the standard basis of the free \mathbb{M} -module \mathbb{M}^{n+1} . Thus, for any $(n+1) \times (n+1)$ -matrix $C \in \mathbb{M}^{(n+1) \times (n+1)}$ and any $j \in \{1, 2, \dots, n+1\}$, we have

$$(\text{the } j\text{-th column of the matrix } C) = C e_j. \tag{3.5}$$

Now, using Laplace expansion, it is easy to see that

$$A\mathbf{x} = -\det A \cdot e_1. \tag{3.6}$$

To prove Equation (3.6), consider the adjugate $\text{adj } A$ of the matrix A . A standard fact ([4, Theorem 6.100]) says that $A \cdot \text{adj } A = \det A \cdot I_{n+1}$. But the definition of $\text{adj } A$ reveals that the first column of the matrix $\text{adj } A$ is $-\mathbf{x}$. Hence, the first column of the matrix $A \cdot \text{adj } A$ is $A \cdot (-\mathbf{x}) = -A\mathbf{x}$. On the other hand, the first column of the matrix $A \cdot \text{adj } A$ is $\det A \cdot e_1$ (since $A \cdot \text{adj } A = \det A \cdot I_{n+1}$). Comparing the preceding two sentences, we conclude that $-A\mathbf{x} = \det A \cdot e_1$, so that $A\mathbf{x} = -\det A \cdot e_1$. This proves Equation (3.6).

Also, Equation (3.5) (applied to $C = B^T$ and $j = n+1$) yields

$$\begin{aligned} B^T e_{n+1} &= (\text{the } (n+1)\text{-st column of the matrix } B^T) \\ &= (b_{n+1,1}, b_{n+1,2}, \dots, b_{n+1,n+1})^T. \end{aligned}$$

Hence,

$$\begin{aligned} \Delta B^T e_{n+1} &= \Delta (b_{n+1,1}, b_{n+1,2}, \dots, b_{n+1,n+1})^T \\ &= (u_1 b_{n+1,1}, u_2 b_{n+1,2}, \dots, u_{n+1} b_{n+1,n+1})^T \end{aligned} \tag{3.7}$$

(since $\Delta = \text{diag}(u_1, u_2, \dots, u_{n+1})$).

Claim 2. *We have*

$$u_i b_{n+1,i} = w \cdot (-1)^i \det(A_{\sim 1, \sim i}) \quad \text{for each } i \in [n+1]. \tag{3.8}$$

Proof of Claim 2. Let $i \in [n+1]$. If $i = n+1$, then both sides of (3.8) are zero (because $b_{n+1,n+1} = 0$ and $\det(A_{\sim 1, \sim (n+1)}) = 0$). If $i \neq n+1$, then $i \in [n]$ and thus the definition of u_i yields $u_i = z_i (-1)^i \det(A_{\sim 1, \sim i})$. Hence,

$$\begin{aligned} u_i b_{n+1,i} &= z_i (-1)^i \det(A_{\sim 1, \sim i}) b_{n+1,i} = \underbrace{b_{n+1,i} z_i}_{=w \text{ (by (3.3))}} (-1)^i \det(A_{\sim 1, \sim i}) \\ &= w \cdot (-1)^i \det(A_{\sim 1, \sim i}). \end{aligned}$$

Hence, Equation (3.8) is proven in both cases. □

Now, (3.7) becomes

$$\begin{aligned}
 \Delta B^T e_{n+1} &= (u_1 b_{n+1,1}, u_2 b_{n+1,2}, \dots, u_{n+1} b_{n+1,n+1})^T \\
 &= \left(w \cdot (-1)^1 \det(A_{\sim 1, \sim 1}), w \cdot (-1)^2 \det(A_{\sim 1, \sim 2}), \dots, \right. \\
 &\quad \left. w \cdot (-1)^{n+1} \det(A_{\sim 1, \sim (n+1)}) \right)^T \quad (\text{by (3.8)}) \\
 &= w \cdot \underbrace{\left((-1)^1 \det(A_{\sim 1, \sim 1}), (-1)^2 \det(A_{\sim 1, \sim 2}), \dots, (-1)^{n+1} \det(A_{\sim 1, \sim (n+1)}) \right)^T}_{= \mathbf{x} \quad (\text{by the definition of } \mathbf{x})} \\
 &= w \mathbf{x}.
 \end{aligned}$$

Hence,

$$\begin{aligned}
 A \Delta B^T e_{n+1} &= A w \mathbf{x} = w \cdot \overbrace{A \mathbf{x}}^{= -\det A \cdot e_1 \quad (\text{by (3.6)})} \\
 &= -w \cdot \underbrace{\det A}_{= a_{n+1, n+1} \cdot \det \bar{A}} \cdot e_1 \quad (\text{by (3.2)}) \\
 &= -w \cdot a_{n+1, n+1} \cdot \underbrace{\det \bar{A}}_{= 0 \quad (\text{since we are in } \mathbb{M})} \cdot e_1 = 0.
 \end{aligned}$$

In other words, the $(n + 1)$ -st column of the matrix $A \Delta B^T$ is 0 (since the $(n + 1)$ -st column of the matrix $A \Delta B^T$ is $A \Delta B^T e_{n+1}$ (by (3.5), applied to $C = A \Delta B^T$ and $j = n + 1$)).

Now, fix $I \in P_k$. Then, the last column of the matrix $\text{sub}_{I+}^{I+}(A \Delta B^T)$ is 0 (because this column is a piece of the $(n + 1)$ -st column of the matrix $A \Delta B^T$, but as we have just shown the latter column is 0). Thus, $\det(\text{sub}_{I+}^{I+}(A \Delta B^T)) = 0$.

But Lemma 3.8 (applied to \mathbb{M} , $n + 1$, $n + 1$, $n + 1$, ΔB^T , $k + 1$, $I+$ and $I+$ instead of \mathbb{K} , n , m , p , B , k , P and Q) yields

$$\det(\text{sub}_{I+}^{I+}(A \Delta B^T)) = \sum_{\substack{R \subseteq [n+1]; \\ |R|=k+1}} \det(\text{sub}_{I+}^R A) \det(\text{sub}_R^{I+}(\Delta B^T)).$$

Comparing this with $\det(\text{sub}_{I+}^{I+}(A \Delta B^T)) = 0$, we obtain

$$0 = \sum_{\substack{R \subseteq [n+1]; \\ |R|=k+1}} \det(\text{sub}_{I+}^R A) \det(\text{sub}_R^{I+}(\Delta B^T)).$$

In the sum on the right hand side, all addends for which $n + 1 \notin R$ are zero (because if $R \subseteq [n + 1]$ satisfies $|R| = k + 1$ and $n + 1 \notin R$, then the last row of the matrix $\text{sub}_{I+}^R A$ consists of zeroes (by (3.1), since $n + 1 \notin R$ but $n + 1 \in I+$), and therefore we have $\det(\text{sub}_{I+}^R A) = 0$), and thus can be discarded. Hence, we are left with

$$0 = \sum_{\substack{R \subseteq [n+1]; \\ |R|=k+1; \\ n+1 \in R}} \det(\text{sub}_{I+}^R A) \det(\text{sub}_R^{I+}(\Delta B^T)).$$

But the subsets R of $[n + 1]$ satisfying $|R| = k + 1$ and $n + 1 \in R$ can be parametrized as $J+$ with J ranging over P_k . Hence, this rewrites further as

$$0 = \sum_{J \in P_k} \det(\text{sub}_{I+}^{J+} A) \det(\text{sub}_{J+}^{I+}(\Delta B^T)).$$

It is easily seen that $\det(\text{sub}_{J+}^{I+}(\Delta B^T)) = \det(\text{sub}_{I+}^{J+} B)u_J$ for each $J \in P_k$ (indeed, recall the definition of Δ and the fact that $u_{n+1} = 1$ and that $\det(C^T) = \det C$ for each square matrix C). Thus, the above equality simplifies to

$$0 = \sum_{J \in P_k} \det(\text{sub}_{I+}^{J+} A) \det(\text{sub}_{I+}^{J+} B)u_J.$$

Now, forget that we fixed I . We thus have proven that

$$0 = \sum_{J \in P_k} \det(\text{sub}_{I+}^{J+} A) \det(\text{sub}_{I+}^{J+} B)u_J \tag{3.9}$$

for each $I \in P_k$. This rewrites as $W\mathbf{u} = 0$ (indeed, the left hand side of (3.9) is the I -th entry of the zero vector 0 , whereas the right hand side of (3.9) is the I -th entry of $W\mathbf{u}$).

Now, consider the matrix W as a matrix in $\mathbb{M}^{P_k \times P_k}$. Then, Proposition 3.7 (applied to $P = P_k$) yields $\det W = 0$ in \mathbb{M} (since $\mathbf{u} \neq 0$ and $W\mathbf{u} = 0$). In view of the definition of \mathbb{M} , this rewrites as $\det \bar{A} \mid \det W$ in \mathbb{K} .

Let us consider the matrix W again as a matrix over \mathbb{K} . Each entry of W has the form $\det(\text{sub}_{I+}^{J+} A) \det(\text{sub}_{I+}^{J+} B)$ for some $I, J \in P_k$.

Claim 3. $\det(\text{sub}_{I+}^{J+} A)$ is a multiple of $a_{n+1, n+1}$ for all $I, J \in P_k$.

Proof of Claim 3. Let $I, J \in P_k$. Then, the equality (3.1) shows that the last row of the matrix $\text{sub}_{I+}^{J+} A$ is $(0, 0, \dots, 0, a_{n+1, n+1})$. Hence, an application of [4, Theorem 6.43] shows that $\det(\text{sub}_{I+}^{J+} A) = a_{n+1, n+1} \det(\text{sub}_I^J A)$. Thus, $\det(\text{sub}_{I+}^{J+} A)$ is a multiple of $a_{n+1, n+1}$. \square

By Claim 3, all entries of W are multiples of $a_{n+1, n+1}$. Hence, the determinant of W is a multiple of $(a_{n+1, n+1})^{|P_k|}$, thus a multiple of $a_{n+1, n+1}$ (since $|P_k| \geq 1$). In other words, $a_{n+1, n+1} \mid \det W$ in \mathbb{K} .

Recall that \mathbb{K} is a UFD. Also, the two polynomials $a_{n+1, n+1}$ and $\det \bar{A}$ in \mathbb{K} both have content 1, and don't have any indeterminates in common; thus, these two polynomials are coprime (by Proposition 3.4). Hence, any polynomial in \mathbb{K} that is divisible by both $a_{n+1, n+1}$ and $\det \bar{A}$ must be divisible by the product $a_{n+1, n+1} \cdot \det \bar{A}$ as well. Thus, from $a_{n+1, n+1} \mid \det W$ and $\det \bar{A} \mid \det W$, we obtain $a_{n+1, n+1} \cdot \det \bar{A} \mid \det W$. In view of (3.2), this rewrites as $\det A \mid \det W$. This proves Lemma 3.9. \square

We shall now derive Theorem 2.2 from Lemma 3.9, following the same idea as in [12, §2.7] and [13, Teorema 2.9.1] and [10]:

Proof of Theorem 2.1. We WLOG assume that $n > 0$ (otherwise, the result follows from $\det W = \det(0) = 0$).

We WLOG assume that \mathbb{K} is the polynomial ring over \mathbb{Z} in $(n + 1)^2 + ((n + 1)^2 - 1)$ indeterminates

$$\begin{aligned} a_{i,j} & \text{ for all } i \in [n + 1] \text{ and } j \in [n + 1]; \\ b_{i,j} & \text{ for all } i \in [n + 1] \text{ and } j \in [n + 1] \text{ except for } b_{n+1,n+1}. \end{aligned}$$

And, of course, we assume that the entries of A and B that are not zero by assumption are these indeterminates. Proposition 3.1 shows that the ring \mathbb{K} is a UFD (since it is a polynomial ring over \mathbb{Z}).

Let S be the multiplicative subset $\{a_{n+1,n+1}^p \mid p \in \mathbb{N}\}$ of \mathbb{K} . Then, all elements of S are regular (since they are monomials in a polynomial ring).

Let \mathbb{L} be the localization of the commutative ring \mathbb{K} at the multiplicative subset S . Then, Proposition 3.6(a) shows that the canonical ring homomorphism from \mathbb{K} to \mathbb{L} is injective; we shall thus consider it as an embedding. Also, Proposition 3.6(b) shows that \mathbb{L} is an integral domain.

Claim 1. *We claim that*

$$\det A \mid \det W \text{ in } \mathbb{L}. \tag{3.10}$$

Proof of Claim 1. Consider A, B and W as matrices over \mathbb{L} . The entry $a_{n+1,n+1}$ of A is invertible in \mathbb{L} (by the construction of \mathbb{L}). Hence, we can subtract appropriate scalar multiples⁷ of the $(n + 1)$ -st column of A from each other column of A to ensure that all entries of the last row of A become 0, except for $a_{n+1,n+1}$. (Specifically, for each $j \in [n]$, we have to subtract $a_{j,n+1}/a_{n+1,n+1}$ times the $(n + 1)$ -st column of A from the j -th column of A .) All these column transformations preserve the determinant $\det A$, and also preserve the minors $\det(\text{sub}_{I+}^{J+} A)$ for all $I, J \in P_k$ (because when the $(n + 1)$ -st column of A is subtracted from another column of A , the matrix $\text{sub}_{I+}^{J+} A$ either stays the same or undergoes an analogous column transformation⁸, which preserves its determinant); thus, they preserve the matrix W . Hence, we can replace A by the result of these transformations. This new matrix A satisfies (3.1). Hence, Lemma 3.9 (applied to \mathbb{L} instead of \mathbb{K}) yields that $\det A \mid \det W$ in \mathbb{L} . This proves (3.10). \square

But we must prove that $\det A \mid \det W$ in \mathbb{K} . Fortunately, this is easy: Since \mathbb{K} embeds into \mathbb{L} , we can translate our result “ $\det A \mid \det W$ in \mathbb{L} ” as “ $\det A \mid a_{n+1,n+1}^p \det W$ in \mathbb{K} for an appropriate $p \in \mathbb{N}$ ” (by Proposition 3.6(c), applied to $a = \det A$ and $b = \det W$). Consider this p .

Claim 2. *The polynomial $a_{n+1,n+1} \in \mathbb{K}$ is coprime to $\det A$.*

Proof of Claim 2. The polynomial $\det A$ contains the monomial $a_{1,n+1}a_{2,n} \cdots a_{n+1,1} = \prod_{i \in [n+1]} a_{i,n+2-i}$, and thus is not a multiple of $a_{n+1,n+1}$. Hence, it is coprime to $a_{n+1,n+1}$ (since the only non-unit divisor of $a_{n+1,n+1}$ is $a_{n+1,n+1}$ itself, up to scaling by units). \square

So we know that $a_{n+1,n+1}$ is coprime to $\det A$. Hence, its power $a_{n+1,n+1}^p$ is coprime to $\det A$ as well. Hence, we can cancel the $a_{n+1,n+1}^p$ from the divisibility $\det A \mid a_{n+1,n+1}^p \det W$, and conclude that $\det A \mid \det W$ in \mathbb{K} . This proves Theorem 2.1. \square

⁷The scalars, of course, come from \mathbb{L} here.

⁸Here we are using the fact that $n + 1 \in J+$ (so that the matrix $\text{sub}_{I+}^{J+} A$ contains part of the $(n + 1)$ -st column of A).

Proof of Theorem 2.2. We WLOG assume that \mathbb{K} is the polynomial ring over \mathbb{Z} in the $((n+1)^2 - 1) + ((n+1)^2 - 1)$ indeterminates

$$\begin{aligned} a_{i,j} & \text{ for all } i \in [n+1] \text{ and } j \in [n+1] \text{ except for } a_{n+1,n+1}; \\ b_{i,j} & \text{ for all } i \in [n+1] \text{ and } j \in [n+1] \text{ except for } b_{n+1,n+1}. \end{aligned}$$

And, of course, we assume that the entries of A and B that are not zero by assumption are these indeterminates. The ring \mathbb{K} is a UFD (by Proposition 3.1).

WLOG assume that $n > 0$ (otherwise, the result follows from $\det W = \det(0) = 0$). Thus, the monomial $a_{1,n+1}a_{2,n} \cdots a_{n+1,1} = \prod_{i \in [n+1]} a_{i,n+2-i}$ occurs in the polynomial $\det A$ with coefficient ± 1 . Hence, the polynomial $\det A$ has content 1. Similarly, the polynomial $\det B$ has content 1.

Theorem 2.1 yields $\det A \mid \det W$. The same argument yields $\det B \mid \det W$ (since the matrices A and B play symmetric roles in the construction of W). But Proposition 3.4 shows that the polynomials $\det A$ and $\det B$ in \mathbb{K} are coprime (because they have content 1, and don't have any indeterminates in common). Thus, any polynomial in \mathbb{K} that is divisible by both $\det A$ and $\det B$ must be divisible by the product $(\det A)(\det B)$ as well. Thus, from $\det A \mid \det W$ and $\det B \mid \det W$, we obtain $(\det A)(\det B) \mid \det W$. This proves Theorem 2.2. \square

4 Further questions


While Theorems 2.1 and 2.2 are now proven, the field appears far from fully harvested. Three questions readily emerge:

Question 4.1. What can be said about $\frac{\det W}{\det A}$ (in Theorem 2.1) and $\frac{\det W}{(\det A)(\det B)}$ (in Theorem 2.2)? Are there formulas?

Question 4.2. Are there more direct proofs of Theorems 2.1 and 2.2, avoiding the use of polynomial rings and their properties and instead “staying inside \mathbb{K} ”? Such proofs might help answer the previous question.

Question 4.3. The entries of our matrix W were products of minors of two $(n+1) \times (n+1)$ -matrices that each use the last row and the last column. What can be said about products of minors of two $(n+m) \times (n+m)$ -matrices that each use the last m rows and the last m columns, where m is an arbitrary positive integer? The “Generalized Sylvester’s identity” in [12, §2.7] answers this for the case of one matrix. It is not quite obvious what the right analogues of the conditions $a_{n+1,n+1} = 0$ and $b_{n+1,n+1} = 0$ are; furthermore, nontrivial examples become even more computationally challenging.

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