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MOORE GRAPHS AND CYCLES ARE EXTREMAL GRAPHS FOR CONVEX CYCLES

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Moore graphs and cycles are extremal graphs for convex cycles

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Abstract

Let $\rho(G)$ denote the number of convex cycles of a simple graph G of order n, size m, and girth $3 \leq g \leq n$. It is proved that $\rho(G) \leq \frac{n}{g}(m-n+1)$ and that equality holds if and only if G is an even cycle or a Moore graph. The equality also holds for a possible Moore graph of diameter 2 and degree 57 thus giving a new characterization of Moore graphs.

Keywords: convex subgraph; convex cycle; Moore graph; extremal graph

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1 Introduction

Convexity is a central notion in the theory of discrete metric spaces [28]. In graph theory, convex subgraphs and in particular convex cycles are often employed to unveil additional structure of the studied graphs. Recall that a subgraph H of a graph G is *convex* if for any $u, v \in V(H)$, every shortest u, v-path in G lies completely in H. In particular, if H is convex in G, then $d_H(u, v) = d_G(u, v)$ holds for any $u, v \in V(H)$, where d_G denotes the usual shortest path distance in G. Convex subgraphs are indispensable in the study of (Cartesian) graph products. Extending a result of Vanden Cruyce [27] for hypercubes, Egawa [11] characterized Cartesian products of complete graphs by convex subgraphs. Similarly, Chepoi [8] characterized isometric subgraphs of Cartesian products of complete graphs via convexity of certain subgraphs. In [1] weak Cartesian products of trees are characterized among median graphs by the property that $K_{2,3}$ minus an edge is not a convex subgraph. For additional aspects on the convexity of graph products see [13]. For instance, the book contains a short proof of the classical unique prime factorization theorem with respect to the Cartesian product, where convexity is the key tool for the short proof.

Convex subgraphs are even more important in understanding the structure of isometric subgraphs of hypercubes, graphs known as partial cubes. (Recall that median graphs form a distinguished subclass of partial cubes.) It all started with the seminal paper of Djokovič [10] in which partial cubes are characterized among bipartite graph with the convexity of subgraphs induced by vertices closer to one endpoint of an edge than to the other. Later, Bandelt and Chepoi [2] characterized acyclic cubical complexes among median graphs by forbidden convex subgraphs. These graphs were further characterized as the graphs for which -2 is a zero of the cube polynomial of an arbitrary 2-connected convex subgraph [5].

Among convex subgraphs, convex cycles are frequently studied. In [21] Polat proved that a netlike partial cube is prism-retractable if and only if it contains at most one convex cycle of length greater than 4 while in [22] he showed that any netlike partial cube that is without an isometric ray contains a convex cycle or a finite hypercube which is fixed by every automorphism. Parallel to the first mentioned Polat's result it was proved in [18] that a partial cube is almost-median if and only if it contains no convex cycle of length greater than 4. Very recently the convex excess of a graph was introduced as the sum of contributions of all of its convex cycles and used to obtain an inequality involving the order, the size, the isometric dimension, and the convex excess of an arbitrary partial cube [17].

Here we consider convex cycles from an extremal point of view: what is the largest number of convex cycles that a given graph can have? We became interested in this question because of the recent paper [15] by Hellmuth, Leydold, and Stadler in which convex cycle bases are studied. Along the way they also proved that a graph G of order n and size m contains at most nm convex cycles. In this paper we strengthen this by proving the following result.

Theorem 1 Let G be a simple graph of order n, size m, and girth $g \ge 3$. Then G contains at most

$$\frac{n}{g}(m-n+1)$$

convex cycles. Moreover, equality holds if and only if G is an even cycle or a Moore graph.

Recall that a *Moore graph* is a graph with the maximum possible number of vertices that a given graph with prescribed maximum degree and diameter can have. Equivalently, a Moore graph can also be defined as a graph with diameter r and girth 2r + 1, cf. [12, p. 90]. Singleton [26] proved that Moore graphs are regular, see [12, Lemma 5.8.1] for an elegant proof. The only Moore graphs that exist are complete graphs, odd cycles, the Petersen graph, the Hoffman-Singleton graph, and possibly a Moore graph of diameter 2 and degree 57 [16, 3, 9]. The existence of a latter Moore graph is a big open problem. As a by-product of teh proof of Theorem 1, we also get:

Theorem 2 Let G be a simple graph of order n, size m, and girth g = 2r + 1. Then G is a Moore graph if and only if the number of (2r+1)-cycles in G is $\frac{n}{2r+1}(m-n+1)$.

For detailed information on Moore graphs and related classes of graphs see the survey [20]. The recent paper [19] contains further insights into a missing Moore graph. In particular it is proved that the order of the automorphism group of such a graph is at most 375 thus significantly extending the fact that it is not vertex-transitive as proved Graham Higman in a series of lectures, cf. [6, Theorem 3.13]. On the other hand Šiagiová and Širáň [25] proved that for an infinite set of degrees r there exist vertex-transitive graphs of degree r, diameter 2, and order close to the Moore bound.

The next section contains the proof of Theorems 1 and 2, a concluding remark is given in the final section.

2 Proof of Theorem 1

This section is organized as follows. We first characterize convex cycles in a way suitable to us. In the following subsection the number of odd convex cycles of a given graph is bounded and proved that precisely the Moore graphs are extremal graphs. In Subsection 2.2 we then prove a corresponding upper bound for even convex cycles while in the last subsection a combined inequality is derived.

In what follows G will denote a simple graph on n vertices, with m edges, and of girth $g \ge 3$. The following characterization of convex cycles is a modification of a related result proved in [15]. More precisely, the first part (for odd cycles) is the same, while the second part is modified to serve our purposes.

Lemma 3 Let C be a cycle of G. If |C| = 2k + 1, $k \ge 1$, then C is convex if and only if for every edge e = xy of C there exists a vertex $v \in C$ such that

- (i) $d_G(x,v) = d_G(y,v) = k$, and
- (ii) the x, v-path (resp. y, v-path) on C of length k is a unique shortest x, v-path (resp. y, v-path) in G.

If |C| = 2k, $k \ge 2$, then C is convex if and only if for every vertex $u \in C$ there exists a vertex $v \in C$ such that

(iii) $d_G(u, v) = k$,

(iv) there are precisely two u, v-paths in G of length k.

Proof. As mentioned above, we only need to prove the even case. Hence let |C| = 2k, $k \ge 2$. It is clear that the two conditions are necessary. Suppose now that for every vertex $u \in C$ there exists a vertex $v \in C$ such that (iii) and (iv) hold. By way of contradiction assume that there are vertices $x, y \in C$ such that there is shortest x, y-path P that is not completely contained in C. Let x' be the vertex on C with $d_G(x, x') = k$. By (iv) there are precisely two x, x'-paths in G of length k and they are both contained in C. Then y belongs to one of these paths, denote it with Q. If P is shorter than the length of the x, y-subpath of Q, then $d_G(x, x') < k$, a contradiction. And if P is of the same length as the x, y-subpath of Q, then we would have at least three x, x'-paths of length k, which contradicts (iv) for x and x'.

For later use we note here that if follows from the first part of Lemma 3 that in a graph of girth g = 2r + 1 all of its g-cycles are convex.

We will call a pair $(e, v) \in E(G) \times V(G)$ that satisfies conditions (i) and (ii) of Lemma 3 an *odd antipodal pair*. Likewise if $(u, v) \in V(G) \times V(G)$ satisfies conditions (iii) and (iv) then we will say that (u, v) is an *even antipodal pair*. In cases where the context is clear we will simply say that a pair (a, b) is *antipodal* if it is an even or odd antipodal pair.

Observe that Lemma 3 readily implies that the number of odd convex cycles is O(nm) while the number of even convex cycles is $O(n^2)$. In what follows we give sharper estimates for these two quantities by bounding the number of antipodal pairs.

2.1 Odd convex cycles

Lemma 4 For any vertex $v \in V(G)$ there are at most m - n + 1 edges e such that (e, v) is an odd antipodal pair.

Proof. Let T be a BFS tree of G with root v. Then the assertion readily follows from the fact that if $e \in E(T)$, then one endpoint of e is closer to v than the other. Consequently, (e, v) is not antipodal.

From Lemma 4 we get an estimate on the number of odd convex cycles in G, which we denote by $\rho_o(G)$.

Lemma 5 $\rho_o(G) \le \frac{n}{q}(m-n+1).$

Proof. Suppose that G contains k odd convex cycles. Every convex cycle C determines precisely $|C| \ge g$ antipodal pairs. We select one and assign it to C. Doing it for every convex cycle, there are at least k(g-1) antipodal pairs that are not assigned to convex cycles. In addition, by Lemma 4, a vertex of G does not form an antipodal pair with at least n-1 edges. Therefore we have at least n(n-1) non-antipodal pairs. If follows that

 $k \le nm - k(g-1) - n(n-1)$

and thus

$$k \le \frac{n}{g}(m-n+1)$$

as claimed.

If G is a cycle, then m = n = g, thus the bound of Lemma 5 is sharp for all odd cycles. The same holds for complete graphs K_n , $n \ge 3$. Indeed, for K_n we have g = 3, $m = \binom{n}{2}$, and any triple of vertices induces a triangle, hence the assertion follows because $\frac{n}{3} \left(\binom{n}{2} - n + 1\right) = \binom{n}{3}$. We next show that equality in Lemma 5 holds precisely for the Moore graphs.

Lemma 6 $\rho_o(G) = \frac{n}{q}(m-n+1)$ if and only if G is a Moore graph.

Proof. Suppose first that G is a graph that satisfies the equality. Then it follows from Lemma 5 and its proof that the girth g of G is odd and that all convex cycles of G are of length g = 2r + 1. Recall from Lemma 4 that a vertex $v \in V(G)$ lies in at most m - n + 1 antipodal pairs. Since the equality is satisfied for G, it follows that every edge which is not on a BFS tree with a root v constitutes an antipodal pair with v. In other words every such edge joins two vertices x, y such that $d_G(v, x) = d_G(v, y) = r$. Consider now a BFS tree T rooted at v and let v' be a leaf of T. Observe that v' has degree at least two in G because $\rho(G) = \rho(G - u)$ holds for any pendant vertex u. Hence there is an edge e not in T that is adjacent to v' in G. From the above remark it follows that (e, v) is an antipodal pair and therefore $d_G(v, v') = r$. This in turn implies that G has diameter r. Since the girth of G is 2r + 1 we conclude that G is a Moore graph.

To prove the converse we need to show that every Moore graph satisfies the equality. As already observed, this is the case with odd cycles and complete graphs of order $n \ge 3$. The Petersen graph has girth 5, hence all of its twelve 5-cycles are convex. Since $\frac{10}{5}(15-10+1) = 12$, the bound for the Petersen graph is established.

It thus remains to show that the Hoffman-Singleton graph H and a possible Moore graph X of diameter 2 and degree 57 also have the claimed property. To show this we use an implication of a result of Harary [14] which can be formulated as follows, cf. [4, p. 45]. Let $p_G(x)$ be the characteristic polynomial of a graph G of

order n and odd girth g. Then the number of g-cycles of G equals -c/2, where c is the coefficient at x^{n-g} in $p_G(x)$.

The Hoffman-Singleton graph H has 50 vertices, 175 edges, and $p_H(x) = (x - 7)(x - 2)^{28}(x + 3)^{21}$, cf. [23]. Since it has girth 5 and

$$\frac{\left(\frac{d^{45}}{dx^{45}}p_H(x)\right)(0)}{45!} = -2520\,,$$

it follows that the number of 5-cycles of H is 1260. Hence the bound of Lemma 6 is sharp for H.

For the possible Moore graph X it is known that $p_X(x) = (x-57)(x+8)^{1520}(x-7)^{1729}$, cf. [19, Proposition 1]. Since the coefficient of x^{3245} in the polynomial $p_X(x)$ is -116188800 it follows that X has 58094400 5-cycles. Given the fact that X has degree 57 and order 3250, it is now straightforward to verify that X also satisfies the equality.

Theorem 2 now follows immediately from Lemma 6.

2.2 Even convex cycles

We next derive an upper bound for the number of even convex cycles, denoted with $\rho_e(G)$. The bound is similar to the above bound for $\rho_o(G)$.

It follows from the second part of Lemma 3 that if (v, v') is an even antipodal pair then $d_G(v, v') \ge 2$. Combining this with the fact that every even convex cycle C yields |C|/2 antipodal pairs, gives the bound

$$\rho_e(G) \le \frac{n(n-1) - 2m}{g}$$

While this bound is of the right order, it is not very sharp for sparse graphs. The next result establishes a better bound for graphs with a small cyclomatic number, that is, with a small m - n + 1.

Lemma 7 $\rho_e(G) \leq \frac{n}{g}(m-n+1)$. Moreover, equality holds if and only if G is an even cycle.

Proof. We claim that every vertex $v \in V(G)$ lies in at most m-n+1 even antipodal pairs. Let (v, v') be an antipodal pair of vertices from an even convex cycle C. Let T be a BFS tree rooted at v. Lemma 3 implies that all the edges of C are on Twith the exception of one edge e that is incident with v' on C. So for every vertex v' that is antipodal with v there is at least one edge e not on T that is adjacent to v'. This proves the claim. In total we therefore have at most n(m - n + 1) even antipodal pairs. In addition, every even convex cycle of length 2k yields k antipodal pairs. Since we only need to count unordered pairs, we deduce that

$$\rho_e(G) \le \frac{n}{g}(m-n+1) \,.$$

For the equality part of the lemma, let C be an even convex cycle of G. If G = C then equality clearly holds. Otherwise, let u be a vertex of G that is not on C and is adjacent to a vertex $v \in C$. Let v' be the antipodal vertex of v on C. Then observe that (u, v') is not an antipodal pair. Moreover, at least one edge that is incident with v' on C is not on a BFS tree rooted at u. We deduce that u is contained in less than m-n+1 even antipodal pairs which implies that G has less than $\frac{n}{g}(m-n+1)$ even convex cycles.

2.3 A combined inequality

We finally combine the derived bounds for $\rho_o(G)$ and $\rho_e(G)$ into a single inequality for the number $\rho(G)$ of all convex cycles of G. The key insight is that graphs with the maximum number of convex cycles are homogeneous in the sense that they either contain only even or only odd convex cycles. The following lemma establishes this fact.

Lemma 8 $\rho(G) \leq \frac{n}{g}(m-n+1)$. Moreover, if G contains an even convex cycle then the bound is attained if and only if $G = C_n$.

Proof. Suppose that C is an even convex cycle of G. Let $v \in C$ and consider a BFS tree T rooted at v. Let v' be the antipodal vertex of v with respect to C. Let e and f be the edges of C incident with v'. Then at least one of these two edges is not on T and hence does not form an antipodal pair with v. This means that for every even convex cycle there is at least one less possible odd convex cycle which in turn implies that

$$\rho(G) \le \frac{n}{g}(m-n+1) \,.$$

Suppose now that G contains an even convex cycle C and that $G \neq C_n$. Let $u \notin C$ be a vertex of G that is adjacent to a vertex $v \in C$. Let v' be the antipodal vertex of v on C and consider a shortest u, v'-path $P_{uv'}$. We distinguish two cases and wish to show that the given configuration forbids the attainment of the bound.

Case 1. $P_{uv'} \cap C \neq \emptyset$.

In this case (u, v') is not an antipodal pair of an even convex cycle. Moreover at least one edge incident with v' on C is not in a BFS tree rooted at u and also does not form an antipodal pair with u. The latter fact implies that $\rho(G) < \frac{n}{g}(m-n+1)$.

Case 2. $P_{uv'} \cap C = \emptyset$.

In this case the degree of v' is at least 3 and, because C is convex, $|P_{uv'}| = d_G(v, v')$. It follows that a BFS tree T rooted at u does not contain the edges e and f that are on C incident with v. Moreover, none of these two edges forms an antipodal pair with u. Since (u, v') is an antipodal pair of at most one even convex cycle, u is contained in strictly less than m - n + 1 antipodal pairs. Therefore the inequality for $\rho(G)$ is again not attained.

Theorem 1 now follows by combining Lemma 8 with the results of Subsections 2.1 and 2.2.

3 Concluding remark

In this paper we have characterized the graphs in which the upper bound for the number of convex cycles $\frac{n}{g}(m-n+1)$ is attained. It turned out that there are not many such graphs. In might hence be interesting to study graphs that are close to this bound. A reasonable class of graphs in this respect could be generalized Moore graphs [7, 24] as it appears that they contain many (even) convex cycles.

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