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New Method of Synthesis of Oligoetherols with Carbazole Ring

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Abstract

The new method of synthesis of multifuntional oligoetherols with carbazole ring suitable for obtaining the polyurethane foams of enhanced thermal resistance was presented. At the first step of the synthesis the carbazole was reacted with excess glycidol to obtain the product of $(n + 1)$ functionality, where n is number of equivalents of glycidol reacted with carbazole. This semiproduct was then reacted with oxiranes like ethylene and propylene oxides or alkylene carbonates like ethylene and propylene carbonates. The progress of reaction as well as structure of the products were studied by classic and instrumental methods like elemental analysis, IR, ¹H-NMR, and MALDI-ToF spectroscopies. Some physico-chemical properties of the products were described.

Keywords: Carbazole, glycidol, hydroxyalkylation, oligoetherols, structure, properties

1. Introduction

Carbazole is aromatic three ring heterocycle. Chemical properties are similar to other nitrogen-containing heterocycles like indole, pyrrol and secondary aromatic amines. It is weakly acidic due to the presence of nitrogen-attached hydrogen.¹ Carbazole and its derivatives are used in chemistry and technology of polymers, dyes, herbicides, surfactants, and other polymer additives. $2-8$ Some derivatives of carbazole reveal unique physical properties like photo- and electroluminescence, liquid crystallinity, electric conductance, and nonlinear optical properties. $9-15$ Their properties render them promising structural component in electronic devices and related areas. Carbazole ring is thermally resistant; its decomposition starts at 260 °C. Introducing the carbazole into oligoetherols results in increase of thermal resistance of oligoetherols as well as polyurethanes and polyesters obtained from them.^{16–22} Because carbazole is monofunctional compound it cannot be applied straightforward to get the oligoetherols. Up to date, the attempts to increase its functionality resulted in formation of products which were difficult to isolate and purify. Thus the linear oligoetherols were obtained by its reaction with glycerol epichlorhydrin to give 9-(2,3-epoxypropyl)carbazole followed by epoxide ring opening with water, ethylene glycol or ethanolamine, and further conversion by reaction with oxiranes to get finally bi-functional oligoetherols.16–18 In another procedure the oligoetherols were obtained in multistep reaction sequence: the reaction of carbazole with ethylene chloride, then with diethanolamine to get diol, which finally was reacted with oxiranes.18 Initially obtained 9-(2,3-epoxypropyl)carbazole was also converted into multifunctional product by ring opening with glycerol or sorbitol.20,22 These products were then hydroxyalkylated with oxiranes and further used to obtain linear polyurethanes (PU) and PU foams of good thermal resistance. The multistep procedure can be simplified by using the excess glycidol reacting with carbazole.

Here the protocol leading to multifunctional derivatives (oligoetherols) is described, together with the structure and properties of products, which are suitable substrates to obtain PU foams of enhanced thermal resistance.

2. Experimental Section

2. 1. Syntheses

2. 1. 1. Reactions of Carbazole with Glycidol

Carbazole (4.2 g, 0.025 mol), 1.85–12.95 g (0.025– 0.200 mol) glycidol and 5 g N,N-dimethylformamide (DMF as solvent) were placed in three-necked 100 cm³

flask equipped with mechanical stirrer, reflux condenser and thermometer. The content was heated up to 120 °C. The exothermic reaction was initiated and temperature of the mixture raised to 145 \degree C at which the process was continued. In another protocol the reaction was performed at 120 °C using more DMF (10 g). The reaction progress was followed by determination of epoxide number (EN). After the reaction mixture was cooled down to room temperature the precipitate was formed. Water (50 cm^3) was added and the mixture was heated until the precipitate turned to resin. Upon cooling down the precipitate formed again, which was filtered off, washed with water and vacuum dried. The filtrate was concentrated by evaporation of water; from the filtrate second batch of product was obtained, which was worked up in analogous way.

2. 1. 2. Synthesis of Semiproduct for Synthesis of Oligoetherols

Carbazole (10.0 g, 0.06 mol) and 12.0 g (0.16 mol) DMF were placed in three-necked 250 cm^3 flask equipped with mechanical stirrer, reflux condenser and thermometer. The mixture was stirred and heated till carbazole (C) was dissolved (93 °C). To this mixture 31.1 g (0.42 mol) glicydol (GL) was added in one portion. The mixture was kept at 120 °C for one hour. The progress of reaction was controlled by determination of unreacted glycidol and EN. Then the solvent was removed under reduced pressure ($p = 12$ hPa).

2. 1. 3. Reaction of Semiproduct with Oxiranes

The product of reaction C : Gl = 1:7 (30.0 g, 0.044) mol), triethylamine as catalyst $(2 \text{ cm}^3, 0.014 \text{ mol})$, and propylene oxide (PO, 21.7 g, 0.368 mol) or in another synthesis 10.5 g PO (0.178 mol) and then ethylene oxide $(EO, 12.0 g, 0.273 mol)$ were placed in 100 cm³ pressure reactor equipped with magnetic stirrer and thermometer. The mixture was stirred and kept at 55–65 °C. The completion of reaction was determined by EN measurement.

2. 1. 4. Reactions of Semiproduct with Alkylene Carbonates

The product of reaction C : Gl = 1:7 (38.0 g, 0.055) mol), potassium carbonate catalyst $(0.1 \text{ g}, 7,25 \cdot 10^{-4} \text{ mol})$, and ethylene carbonate (EC, 39.0 g, 0.44 mol, to keep initial molar ratio of reagents as 1:8) or propylene carbonate (PC, 34.9 g, 0.342 mol, to keep initial molar ratio of reagents as 1:6) were placed in three necked 250 cm^3 flask equipped with stirrer, thermometer and reflux condenser. The mixture was heated up and kept at 180 °C until dissolving of substrate. The reaction progress was checked using mass balance and determination of amount of unreacted alkylene carbonate.

2. 2. Analytical Methods

The progress of reactions with oxiranes and glycidol were monitored by determination of epoxide number using hydrochloric acid in dioxane method.²³ The course of reaction between semiproduct and EC or PC was followed by measuring the content of unreacted alkylene carbonate.²⁴ Elemental analysis for C, H, N, were done with EA 1108, Carlo-Erba analyzer. The IR spectra of products were recorded with Specord 71 IR, Carl Zeiss spectrophotometer in capillary film or in KBr pellet; the 1 H-NMR spectra were recorded at 500 MHz, BECK-MAN DU-640 spectrometer in d_6 -DMSO, with HMDS internal reference. MALDI ToF (Matrix-Assisted Laser Desorption Ionization Time of Flight) of oligoetherols were obtained with BRUKER Autoflex Speed (Bruker Daltonik, Germany) mass spectrometer working at linear mode with delayed ion extraction, equipped with nitrogen laser working at 337 nm. The method of laser desorption from matrix (silver plate) was used. The samples were diluted to 1 mg/cm³ concentration with methanol. Therefore the observed peaks corresponded to the molecular ions plus methanol and $Ag⁺$ and $K⁺$ (from catalyst) ions. Thermal analyses of products (DTA, DTG and TG) were performed in ceramic crucible at 20–1000 °C temperature range, about 200 mg sample, under air atmosphere with Termowaga TGA/DSC 1 derivatograph, Mettler.

2. 3. Properties of Oligoetherols

Refraction index, density, viscosity, and surface tension of oligoetherols were determined with Abbe refractometer, pycnometer, Höppler viscometer and by the detaching ring method, respectively.

3. Results and Discussion

Preliminarily the reaction of carbazole with glycidol without solvent was performed at the carbazole : glycidol molar ratio 1 : 1–1 : 10. Even upon prolonged heating at 150–190 °C the mixture remained unreacted. In the presence of TEA catalyst still carbazole remained untouched while glycidol converted into polyglycidol products.

In order to provide homogeneous conditions of reaction the DMF was used as solvent, in which carbazole does dissolve. The advantage of the glycidol substrate is its ability to react with carbazole consecutively as shown in the scheme below:

Initially the reaction was performed at 145 °C with the C:GL 1:1–1:6 molar ratio mixtures (Table 1). The resin product and precipitate were obtained upon distillation DMF off. The precipitate was identified as carbazole by ¹H-NMR spectroscopy, by presence of characteristic resonance at 11.25 ppm of NH proton (Fig. 1). Thus, the rest

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CH₂OH

monofunctional compound

3-(9-carbazolyl)propane-1,2-diol (CPD) - bifunctional compound

Scheme 1. Reaction of carbazole with excess of glycidol

Fig. 1. ¹H-NMR spectrum of post-reaction mixture obtained from the $C : GL = 1 : 1$ molar ratio system

of carbazole in post-reaction mixture was converted into bi- and trifunctional consecutive products.

The mixture components were separated as described in Experimental. Based upon nitrogen percentage in carbazole and CPD (the product obtained from C:GL = 1:1 reaction system, see scheme 1), the composition of precipitate and resin was calculated. It has been found that upon increase of glycidol content in reaction system the less precipitate was formed (Table 1, column 8). Thus at the system of 1:7 molar ratio the carbazole no longer precipitated from post-reaction mixture. The nitrogen percentage in precipitate was between 6.3 and 4.7 %, which indicates the presence of unreacted carbazole (% N= 8.38) and reaction products C : GL = 1:1 (CPD, % N = 5.81) or C : GL = 1:2 (% N = 4.44) in reaction post-mixture (Table 2, columns 11 and 12). The presence

Table 1. Analysis of reaction progress and products of reaction between carbazole and glycidol

Entry	C:GL molar ratio	Composition of initial mixture [mass $%$]			Tempe- rature r°C1	Reaction time [min]	Composition of post- reaction mixture determined form mass balance	
		C	GL	DMF			precipitate	resin
	◠	3	$\overline{4}$	5	6	7	8	9
	1:1	37.8	17.1	45.1	145	30	33.7	61.3
	1:2	32.6	28.7	38.7	145	50	29.7	70.3
3	1:3	28.4	37.8	33.8	145	55	17.4	82.6
4	1:4	25.3	44.6	30.1	145	60	13.6	86.4
	1:5	22.8	50.2	27.0	145	60	10.4	89.6
6	1:6	20.6	54.9	24.5	120	70	6.8	93.2
	1:6	16.6	44.0	39.4	120	105	3.1	96.9
8	1:7	18.9	58.6	22.5	120	75	0.0	100

of carbazole in precipitate was confirmed by the resonance of NH carbazole in the ¹H-NMR spectrum. The ¹H-NMR spectra of resins did not show this resonance.

Generally the reactivity of glycidol towards hydroxyalkyl derivatives of carbazole is higher in comparison with its reactivity towards carbazole itself. Therefore in order to obtain the products without precipitate the reaction had to be performed with large excess of GL; C:GL 1:n, where $n \ge$ 7. In such protocol the carbazole derivatives containing many hydroxyl groups are formed, which are well soluble in oxirane and react with them in presence of TEA catalyst to give oligoetherols with included carbazole ring.

The product of $C : GL = 1:7$ reaction dissolved in PO at 60–70 °C. After addition of TEA into the reaction mixture placed in pressure reactor the multifunctional oligoetherol of $C : GL : PO = 1:7:8$ molar ratio was obtained. In another reaction the mixture of PO and EO was used and the oligoetherol of average composition C : GL : PO : $EO = 1:7:4:6$ was obtained. In further step the oxiranes were replaced with alkylene carbonates. The semiproduct of C : GL = 1:7 reaction resulted in formation of analogous products as those obtained with oxiranes, according to the scheme 2:

In contrary to oxiranes, which are cancerogenic and able to form explosive mixture with to air, the alkylene carbonates are not hazardous.²⁵ Moreover, alkylene carbonates have lower boiling temperature and thus do not require high pressure reactors. The product of reaction in the C: $GL = 1:7$ system is well soluble in alkylene carbonates upon heating to 60–70 °C and readily react with them in presence of potassium carbonate catalyst to give oligoetherols with incorporated carbazole ring. The process was preformed at 180 °C within 8 hours. The structure of products (I) is in accordance with elemental analysis results (Table 3). The progress of reaction between carbazole and glycidol and further with oxiranes or alkylene carbonates was monitored by IR and ¹H-NMR spectroscopy of substrates, semiproducts and products. In the IR spectrum of carbazole the valence and deformation bands of NH group were observed at 3419 and 1451 cm⁻¹, respectively. Deformation bands of aromatic C–H were found at $757-728$ cm⁻¹ region. The -NH- bands are not present in the IR spectrum of product of reaction performed in the C:GL = 1:7 system (Fig. 2). Instead the broad band of -OH group appears, as well as the band at 1100 cm–1 attributed to C–OH group. Upon reaction also the et-

Calculated elemental percentages [mass %] Carbazole: N 8.37; C 86.12; H 5.38 CPD: N 5.81: C 74.62; H 6.22 product C:GL = 1:2 : N 4.44: $\rm C$ 68.57 ; H 6.60

where: $R = -H$, $-CH$,

Scheme 2. Reaction of oxirane and alkylene carbonate with hydroxyl group

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alkylene carbonate

Scheme 3. General formula of oligoetherol obtained from carbazole, glycidol and oxiranes or alkylene carbonates

her C–O–C are formed, to which the band at 1039 cm^{-1} belong. In the product the carbazole ring remains as is evidenced by 753 and 725 cm⁻¹ bands. In the IR spectrum of glycidol the oxirane ring bands is present at 1240 cm^{-1} . This band disappears in the product derived from $C:GL =$ 1:7 system, indicating the glycidol ring opening takes place (Fig. 2).

IR spectra of oligoetherols (Fig. 3) obtained from the semiproduct synthesized in the C : $GL = 1:7$ system with oxiranes (EO and PO) or alkylene carbonates (EC, PC) are almost analogous and similar to that of the semi-

Fig. 2. IR spectrum of product of reaction obtained from the C : GL $= 1$: 7 molar ratio

Table 3. Elemental analysis data of oligoetherols

Fig. 3. IR spectrum of oligoetherol obtained from C : GL :EO:PO = 1 : 7 : 4 : 6 molar ratio system

product obtained from $C : GL = 1:7$. However the band intensity differences are relevant, especially that of the valence –OH in relation to valence C–H band (3051–2869 cm^{-1}) and C–O–C band (1078–1067 cm⁻¹). The latter increase in intensity indicating the number of oxyalkylene units grows as a result of ring opening of oxirane and alkylene carbonates. The characteristic bands at 753 and 725 cm^{-1} in products evidence the presence of carbazole ring in the products.

In the ¹H-NMR spectrum of carbazole the aromatic proton resonances occur at 7.1–8.1 ppm region, while the -NH- proton resonance is present at 11.25 ppm. The -NH-

Fig. 4. ¹H-NMR spectrum of semiproduct obtained from $C : GL =$ 1 : 7 molar ratio

proton resonance is absent in the spectrum of semiproduct obtained from $C:GL = 1:7$ (Fig. 4) clearly demon-strating this group has reacted with glycidol. The resonances of methylene and methine protons at 3.2–4.0 ppm region in the spectrum of the semiproduct indicate the glycidol ring opening. The -OH proton resonances are present in the 4.2–5.2 ppm region attributed to various hydroxyalkyl groups. The -OH protons could be selectively exchanged to deuterium upon addition of D_2O . Still the carbazole aromatic proton resonances are present within 7.1–8.1 ppm region. In the ¹H-NMR spectra of products of reaction between semiproduct obtained from $C: GL = 1:7$ system and oxiranes (Fig. 5) the aromatic resonances remain. More complicated spectrum can be seen in the 3.0–4.0 ppm, where oxyalkylene group resonances are present. Moreover, the additional resonances at 0.7–1.2 ppm from methyl groups are observed due to ring opening of PO in the product. The ¹H-NMR spectra of products obtained from semiproduct $C : GL = 1:7$ and alkylene carbonates are similar to those obtained from oxiranes indicating the structure of the products is similar in both cases. In the spectra of the products obtained from PC the methyl group proton resonances are observed at 0.9–1.2 ppm.

MALDI-TOF spectra of the products provide more information. In the spectrum the semiproduct obtained from C: $G = 1:7$ the glycidol peak, which M/z is increased by silver ion due to silver plate matrix used (Table 4, entry 2). Observed peaks are derived from products with varying degrees of addition of glycidol to carbazole. The peak of highest M/z corresponds to the product of C:GL $= 1:8$ stoichiometry (Table 4, entry 18). Thus the stoichiometry in the products is not larger then initial molar

Fig. 5. ¹H-NMR spectrum of oligoetherol obtained from the C: GL :EO:PO = $1:7:4:6$ molar ratio system

ratio of reagents used in synthesis. In the MALDI-TOF spectra of oligoetherols the series of peaks differing of 58 in case of PO substrate and differing of 44 and 58, in case of using both EO and PO substrates are observed (Table 5). In the spectra of oligoetherols the series of peaks are observed differing by number of units originate from glycidol, to which PO is attached providing variable amount of oxypropylene units and then to this product variable amount of EO substrate is attached. Thus the product is a mixture of oligoetherols of different molecular mass. Also in the spectra of oligoetherols obtained from PC the series of peaks differing by $M/z = 58$ are observed due to attachment of variable amount of oxypropylene units formed upon PC ring opening. The absence of peak differing by $M/z = 44$ in the spectrum of

Entry	Position of signal M/z	Relative signal intensity $\lceil \% \rceil$	Putative structure of molecular ion	Calculated molar mass [g/mol]
	106.9	25.8	$Ag+$	107
	180.2	100	$GL + Ag^+$	181
3	241.1	84.3	$C + GL$	241
	297.1	84.3	$C + 2 GL-H, O$	297
	315.1	83.2	$C + 2 GL$	315
6	347.9	4.5	$C + GL + Ag+$	348
	389.2	29.2	$C + 3 GL$	389
8	422.2	6.7	$C + 2 GL + Ag+$	422
9	463.6	5.6	$C+4$ GL	463
10	496.8	5.6	$C + 3 GL + Ag+$	496
11	538.3	2.3	$C + 5 GL$	537
12	554.7	2.3	$C + 5 GL + H2O$	535
13	572.0	4.5	$C + 4 GL + Ag+$	572
14	630.4	1.1	$C+5$ GL-H ₂ O + Ag ⁺	628
15	647.9	2.3	$C + 5 GL + Ag^{+}$	646
16	704.8	1.1	$C + 6$ GL-H ₂ O + Ag ⁺	703
17	804.1	1.1	$C + 7 GL + Ag^+ + H2O$	810
18	880.3	1.1	$C + 8 GL + Ag^+ + H2O$	884

Table 4. MALDI–ToF analysis of product obtained from the C : GL = 1:7 system

GL–oxyalkylene group form ring decomposition of glycidol

the product obtained from PC, corresponding to –COO– fragment clearly indicates the absence of carboxyl group in oligoetherol. Therefore, the reaction does not occur according to the scheme 4:

Scheme 4. Reaction of hydroxyl group with propylene carbonate to form the ester group

Table 5. MALDI–ToF analysis of oligoetherol obtained from the C : GL : PO : EO = 1:7:4:6 molar ratio

GL, PO, EO–oxyalkylene group originate from ring decomposition of glycidol, propylene and ethylene oxides, respectively

This is confirmed by the IR and H-NMR spectrum of the product obtained from PC, which is analogous to that obtained from PO. The semiproduct obtained from carbazole and glycidol loses water, especially when the process is conducted at high temperature (120–145 °C; Table 5, entries .8, 10, 12, 14, 15,18, 22, 23, 25, 27, 33, 34, 40). This process in undesired, because it leads to formation of unsaturated fragment according to the scheme below:

$$
-CH2-CH-CH2OH \xrightarrow{H2O} -CH=CH-CH2OH
$$

Table 6. Comparison of thermal resistance of oligoetherols

However this process is negligible as can be estimated from the ¹H-NMR spectra of oligoetherols, in which olefin proton resonances are absent.

Thermal analysis of the products (Table 6) indicated that the highest thermal stability showed semiproduct obtained from carbazole and glycidol and the oligoetherols obtained from oxiranes. The 10% decomposition starts at ca 192–213 °C, and maximum decomposition temperature is 360 °C. Thus thermal resistance of obtained oligoetherols is high. Thermal resistance of oligoetherols obtained from alkylene carbonates is slightly lower. Their decom-position starts already at 130 °C. The lower thermal resistance on these cases in probably related to the presen-

 $T_{x\%}$ –temperaturę of x% mass loss

Table 7. Physical properties of oligoetherols

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ce of ethylene or propylene glycols formed during reaction with trace water present in substrates, and also as a result other processes as described in 26. These glycols are in quantities of a few percent by weight and were isolated from oligoetherols upon distillation under reduced pressure and identified by their IR spectra.

The physical properties of obtained oligoetherols, like: density, surface tension, refraction index and viscosity were measured (Table 7). These properties changed characteristically in function of temperature. It has been found that products obtained from PO have slightly lower density and refraction index in comparison with those obtained from mixture of oxiranes. The difference is probably consistent with lower packing of product due to presence of bulky methyl groups in oxyalkylene units derived from PO. Similar relationship was found in the oligoetherols obtained from PC. Based on the results on viscosity and surface tension it can be concluded that obtained multifunctional oligoetherols are good candidates to obtain polyurethane foams of enhanced thermal resistance.

4. Conclusions

- 1. The simple method of obtaining oligoetherols containing carbazole ring by two step protocol of reaction between carbazole and glycidol and futher with oxiranes or alkylene carbonates was found.
- 2. Carbazole reacts with glycidol without catalyst. The process is exothermic and thus needs control of temperature. Reaction of carbazole with glycidol requires excess of glycidol, the best C:GL molar ratio is 1:7. When lower excess of GL is used, the carbazole precipitates from reaction mixture.
- 3. Obtained resin products (semiproducts) are soluble in oxiranes like EO and PO and in alkylene carbonates like EC and PC. Oxiranes and alkylene carbonates react with the semiproduct in presence of TEA or potassium carbonate catalysts, respectively, to give oligoetherols containing carbazole incorporated. The products are potentially useful for synthesis of polyurethane foams of enhanced thermal resistance.27

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NMR spectra were recorded within U-8689/DS

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Povzetek

V prispevku je predstavljena nova metoda sinteze multifunkcijskih oligoeterolov s karbazolnim obročem, ki so primerni za pripravo poliuretanske pene s povečano termično stabilnostjo. V prvi stopnji sinteze karbazol reagira s presežnim glicidolom, pri čemer nastane produkt z (*n* + 1) fukcionalnostjo, kjer *n* predstavlja število ekvivalentov glicidola, ki reagira s karbazolom. Vmesni produkt nadalje reagira z oksirani, kot sta etilen in propilen oksid, ali alkilen karbonati, kot sta etilen in propilen karbonat. Potek sinteze in produkte reakcije smo spremljali s klasičnimi metodami in instrumentalnimi tehnikami kot so: elementna analiza, IR, ¹H-NMR in MALDI-ToF spektroskopija. V prispevku so opisane tudi nekatere fizikalno kemijske lastnosti produktov.