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Fish, faces and fingers: presences and symbolic identities in the Mesolithic-Neolithic transition in the Carpathian basin

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ABSTRACT - There are many neglected difficulties with a colonisation model for south-east Europe at the start of the Neolithic, though some kind of slow and fragmented process may hold good for the southern Balkans. This paper concentrates on the northern Balkans, and especially the Carpathian basin east of the Danube, where the character of the early Neolithic lifestyle raises the possibility of indigenous acculturation. Varied Mesolithic presences, mobilities and regional systems in south-east Europe are discussed, and compared with Carpathian basin early Neolithic distributions and lifestyles. In seeking possible indigenous continuities, particular attention is given to symbolism and identity, via material culture, including pottery and figurines, and burials. A comparison is made between the symbolic system of the Starčevo-Körös culture and contemporaneous developments in the Danube Gorges. The two ideologies may have overlapped in many ways, and the many-sided personal identities of the Starčevo-Körös population may themselves have had a long local history. New concepts focus on ancestral beginnings and marked time, the human form and a more conscious difference between people and animals, and participation by the living in broad patterns of social interaction; the potential complexity of their derivation must now be recognised.

POVZETEK – Težave z modelom kolonizacije jugovzhodne Evrope na začetku neolitika ostajajo, čeprav velja ocena, da lahko dogajanje na južnem Balkanu morda vendarle označimo kot del nekakšnega počasnega procesa. V razpravi se ukvarjamo s severnim Balkanom in s Karpatsko kotlino vzhodno od Donave, kjer je zgodnje neolitski način življenja mogoče navezati na staroselsko akulturacijo. Analizirali smo različne mezolitske zapise, mobilnost ter regionalne sisteme v jugovzhodni Evropi in jih primerjali z zgodnjeneolitsko distribucijo in načinom življenja v Karpatski kotlini. Pri iskanju domnevne staroselske kontinuitete je bila s pomočjo lončenine, figurin in pokopov, posebna pozornost namenjena identiteti in simbolizmu. Primerjali smo simbolna sistema kulture Starčevo-Körös in sočasnega razvoja v Džerdapu. Ideologiji sta se najbrž v mnogočem prekrivali, saj identiteta Starčevo-Körös populacije gotovo temelji na dolgi lokalni zgodovini. Potrebujemo nov konceptualni pogled na začetke naših prednikov in časa, ki so ga zaznamovali, na človekove navade in na zavestno ločevanje med ljudmi in živalmi, na participiranje živih v obširnih vzorcih socialne interakcije in na potencialno kompleksnost njihovega izvora.

COLONISATION MODELS

How did the Neolithic begin in south-east Europe, and what did this Neolithic consist of ? Answers to the two questions have been closely intertwined in the long dominant model of colonisation. The Neolithic has often been seen as the arrival of a new population, from Anatolia and points east, with a new subsistence economy based on domestication of plants and animals and a concomitant sedentary lifestyle. Since Neolithic expansion from the Levant can be traced westwards (*e. g. Cauvin 1994*), and since the Mesolithic or Epipalaeolithic presence in southeast Europe has long seemed both patchy and thin (*e. g. Tringham 1971*), debate within the colonisation model has concentrated not on challenging basic assumptions or considering possible alternatives, but rather on investigating details of dates and routes (e. g. Kaiser and Voytek 1983; Perlès 1990; Hansen 1991). There has been some recognition of the possibility of filtered or fragmented colonisation by sea, for example in the 'boat people' model of Chapman and Müller (1990), but this has hardly been connected with a wider review of the supposed colonisation phenomenon as a whole.

That colonisation did take place, and by sea, under conditions presumably more difficult than on land, is amply documented by what happened on Cyprus and Crete (Cherry 1990; Broodbank and Strasser 1991), and indeed on other islands in the central and west Mediterranean (Patton 1996). On the other hand, probably both Cyprus and Crete may have been empty of resident population at the start of the Neolithic, and their intake was not therefore necessarily typical of wider processes. While the strengths of the colonisation model have often been emphasised, its weaknesses are less often debated. I have set out these arguments elsewhere (Whittle 1996, chapter 3: cf. Zvelebil 1995: Zvelebil and Lillie forthcoming; Chapman 1994a), and need only briefly allude to them here to set the scene for specific discussion of the northern Balkans and the Carpathian basin in particular.

The distribution and density of the early Neolithic in western Anatolia remain to be established (e. g. Cauvin 1994; Özdoğan 1989; Özdoğan 1995; Özdoğan 1997). At the present time, it is far from clear that western Anatolia was sufficiently well populated to have generated significant budding-off on the scale required for full-scale colonisation, though of course that does not exclude more episodic or opportunistic fission. Expansion into western Anatolia might itself only date to the sixth millennium BC (Yakar 1996.6); recent finds in the Marmara area (Ozdoğan 1997) have not so far been matched further south. Pottery was a recent innovation in Anatolia itself, and the possibility of an aceramic phase remains in Greece; one of the supposed principal material signatures of a new, intrusive population may in fact have been characteristic of neither alleged source population nor alleged first incomers. By contrast, the presence of obsidian in early Neolithic Thessaly (Perles 1992) relates to the continued exploitation of a source known to indigenous population since the Palaeolithic and in regular use in the Mesolithic (Perlès 1990). Above all, the establishment of what we regard as the typical elements of the early Neolithic may have been a long and slow process (Whittle 1996, ch. 3). The important investigations at Platia Magoula Zarkou in northern Thessaly, for example, show that a tell began in an unstable and periodically inundated creek/floodplain environment (van Andel et al. 1995), making permanent settlement impossible. The character of early levels at Argissa, Sesklo and elsewhere in Thessaly (Milojčić 1960; Gimbutas et al. 1989; Wijnen 1982) shows that early occupations were not continuous (though that does not exclude the possibility at some of them of year-on-year residence) and did not include substantial built above-ground structures. Tells are anyway something that came into being through the later and continued histories of chosen places (*cf. Chapman 1997a*), and 'open' sites have begun to be recognised in north-east and northern Greece, in Macedonia and Thrace (*Andreou et al. 1996*). For all the past excavations of tells in central-southern Bulgaria (*e. g. Todorova 1995*), we lack detailed information on early levels, and a regional contrast is also apparent in the different character of early Neo-lithic settlement in north-east and north-west Bulgaria (*Todorova 1995*). And so on.

It is possible therefore to envisage that the beginnings of the Neolithic in the southern Balkans were at the least both slower and more regionally varied than commonly supposed in vulgar versions of the colonisation model. This raises also the possibility of transformation involving more centrally the indigenous population. To resolve this question will require much more research, including - apart from excavation and locally-oriented studies (Miracle 1997) - more radiocarbon dating, survey (including in western Anatolia) and if possible DNA analysis of ancient human bone, animal bone and plant material (cf. Heun et al. 1997). My first aim has been to show that even in the southern Balkans the model of fullscale colonisation rests on less secure grounds than commonly supposed. This does not exclude the possibility of episodic or filtered movement of new population.

In the northern Balkans the case for fullscale colonisation is weaker still. It has long been noted that the early Neolithic Starčevo-Körös lifestyle looks different from that of the supposedly typical areas of tell settlement to the south (e. g. Tringham 1971; Trogmayer 1968.18-19; cf. Banner 1937). There are scattered sites and occasional clusters; occupation levels are thin, generally without significant stratigraphic build-up, which strongly implies residential mobility, on a spatial and temporal scale still to be established (cf. Whittle 1997); material culture is in some ways (especially as seen in pottery) simpler; and a wide range of resources was exploited, including wild game, fish, birds and shellfish alongside domesticated animals and cereals. Within the subsistence economy the balance of resources is unclear. The scale of cereal cultivation may have been quite restricted in the 'island' pattern of Körös waterside occupations (Kosse 1979; Sherratt 1982a; cf. Willis and Bennett 1994), and the dominance of sheep and goats in such a setting (Bökönyi 1974) has always seemed more than a little odd. If these are reasonable doubts about the plausibility of continued incoming population, can we envisage in more detail the processes by which a regional indigenous population could have changed, to become what we increasingly inadequately call Neolithic? To answer that question, rarely formulated in any specific fashion for south-east Europe (*but see Chapman 1994a*), we must further consider aspects of identity and lifestyle. But first, there is the issue of Mesolithic presences and distributions.

INDIGENOUS PRESENCES

It was noted above that the apparent lack of Mesolithic distributions in south-east Europe has often been taken as a further support for the colonisation model. This now requires the closest examination (cf. Zvelebil 1995). First, there is the matter of research history and coverage (Chapman 1989). The Mesolithic or Epipalaeolithic has been a poor relation in the development of most parts of south-east Europe. After all, no one anticipated the discovery of the spectacular finds in the Danube Gorges before investigations began in 1965 (Srejović 1972). Finds there remain restricted to the bottom of the Gorges, and despite the existence of a wide range of terrestrial resources in Gorges-bottom sites including pig and deer which could hardly have shared the same narrow water-edge areas as people, no survey has yet been carried out of the varied hinterland terrain on either side of the Gorges; Băile Herculane on the Romanian side, though probably very early in the Holocene sequence (Nicolăescu-Plopșor and Păunescu 1961; Dinan 1996), indicates what might be expected in side valleys and plateaus. Repeated observations in the main part of the Great Hungarian plain have so far failed to locate signs of Mesolithic presence (Makkay 1996.41), but knowledge of local collections combined with careful survey and excavation have begun to produce evidence on the northern edge of the Plain for an early Holocene presence, just beyond the Körös culture distribution (Kertész 1996). Against this, there are some examples of areas where systematic survey has not produced or has not been able to recognise evidence for a Mesolithic or Epipalaeolithic presence, for example along the Peneios in northern Thessaly and in inland Epiros in north-west Greece (Runnels 1988; G. Bailey 1998).

These cases constitute only partial or anecdotal evidence until much wider and more systematic as well as detailed local surveys have been carried out. But even in the present state of evidence it is possible to consider the overall nature of Mesolithic distributions, to compare them with the evidence for the also non-continuous distributions of the early Neolithic, and to begin to model variation in Mesolithic regional systems. Recognising that there may not have been a single kind of Mesolithic presence, just as with the early Neolithic, may be an important first step to further progress.

Mesolithic populations can in fact be documented over a wide area of south-east Europe as a whole. The general situation has been well mapped by Zvelebil (1995, fig. 5), though with brief accompanying detail. There are sites and/or concentrations: in the north-eastern Peloponnese at the Franchthi Cave (Hansen 1991; Perlès 1990); at the Theopetra cave on the northern edge of the Thessalian plain (Kyparissi-Apostolika 1995); in the Dinaric chain from Montenegro to Slovenia (Srejović 1989; Srejović 1996; Budja 1993); on the northern side of the Great Hungarian Plain in the Jászság region north of Szolnok (Kertész 1996), and then further north in Slovakia and Moravia (e. g. Kozłowski 1982; Mateiciucová forthcoming); in the Danube Gorges (Srejović 1972; Radovanović 1996); in the Southern Bug and Dniestr valleys east of the Carpathians (Markevitch 1994; Zvelebil 1995; Zvelebil and Dolukhanov 1991); and in eastern Bulgaria (Gatsov 1989) and easternmost Thrace (Gatsov and Özdoğan 1994).

Absences have therefore probably been much exaggerated, just as differences to early Neolithic distributions may have been overdrawn. For the early Neolithic, it is normal and understandable practice to present maps with cross-hatched or otherwise generalised distributions (e. g. Tringham 1971, fig. 10; Gimbutas 1991, fig. 2-14). These can conceal the variations in early Neolithic settlement type and duration already noted, just as they can also mask areas with still surprisingly low Neolithic presence, for example the Vardar valley compared with the Struma, and Yugoslav Macedonia and southern Serbia in general (Garašanin 1982; Tasić 1997). Körös distributions in southern Hungary are in places strongly clustered, with micro-regional distributions evident in the area of the Double and Triple Körös rivers, for example around Szarvas, Deváványa and Gyomaendrod (MRT 1989; cf. Kalicz 1990.83-8); it is also possible that there are less dense distributions, in the area of the Maros-Tisza confluence (Trogmayer 1968; Horváth 1989), on the Danube itself (Kalicz 1990) and on the north-west fringe of the overall distribution around Szolnok in the Tisza

valley (*Raczky 1976*). Likewise, there is a wide scatter of Starčevo sites in the Vojvodina, but it is not yet clear whether these form the dense riverine clusters characteristic of parts of the Körös distribution. Perhaps by way of contrast, the range of Starčevo locations in northern Serbia is rather broad (*e. g. Chapman 1990*).

Beyond the mere question of presence and absence there is the issue of the nature of regional systems. It seems both short-sighted and unhelpful to suppose that all Mesolithic regional settlement systems were uniform throughout south-east Europe. Variation is already apparent, even in the current state of research, and may be both a diachronic and spatial feature.

Evidence from Franchthi Cave shows two dominant, perhaps related features. The deposits themselves represent a long continuity of occupation from late Pleistocene into the Holocene. The intensity of occupation seems to have varied, though it was regularly more intense in the early Holocene than earlier; the period of Mesolithic-Neolithic transition is missing, however, due to erosional hiatus (Perlès 1990; Hansen 1991). The presence of graves reinforces the importance given to this chosen place. Secondly, there was a broad-spectrum subsistence economy, elements of which would have taken people far afield. It is not clear exactly how far to sea in the Aegean the catching of large tunny would have taken people, but it is possible that the distances covered were considerable (van Andel and Runnels 1987). The regular bringing of obsidian from Melos back to the cave reinforces this possibility. It can be stressed that in the Mesolithic the cave itself was close to rather than on the coast itself (Curtis and Runnels 1987), and thus safe (for archaeological purposes) from subsequent sealevel rises. To the west, in Sicily, the Grotta dell' Uzzo provides a rather similar sort of situation, again in a location a little above the sea (Costantini 1989). Given the range of the Franchthi exploitation system, it would require only a couple more such sites to have existed in the Aegean, physically closer to early Holocene water levels, say in Euboia or southern Thessaly and in south-west Turkey (compare the Öküzini cave inland: Otte et al. 1995), for the Mesolithic of the Aegean as a whole immediately to look more busy.

The Danube Gorges are the obvious next example, and in discussing them I follow the chronology of Radovanović (1996), according to which some sites are pre-Neolithic but others, including most of the Lepenski Vir sequence, run parallel to Starčevo-Körös elsewhere in the region. In the Gorges people exploited fish from the river. Isotopic evidence from Vlasac and Schela Cladovei indicates that some parts of the population may have been heavily dependent on fish (Bonsall et al. 1997), although the largest anadromous fish, Acipenser huso or beluga, appears not to have been exploited in later periods (Radovanović 1997). Use of fish may have bound some people closely to the river, in differing parts of the Gorges. But there were also numerous finds of terrestrial animals, notably red deer, which also had symbolic significance in mortuary rituals. Hunting or otherwise exploiting such animals must have taken people further afield, away from the Gorges. The movement of raw material also shows wider movement, to bring flint, obsidian, basalt and igneous rock from the north and west and 'pre-Balkan platform' flint and graphite from north Bulgaria (Chapman 1989; Kozlowski 1982). It remains a moot point (and see further below) whether the sites are to be regarded as merely settlements or whether some or several can be characterised as special places or shrines, especially those in the upper Gorges including Lepenski Vir itself (Radovanović 1996; Whittle 1996; for detailed maps see Radojčić and Vasić 1997); this may have been a feature especially of the period of Neolithic contact. The important implication here is that sites and/or shrines in the upper Gorges may have served a much wider population, at least partially mobile by land or by river over varying but sometimes considerable distances.

In other cases, Mesolithic systems may have been more limited. Hypothetically, sites up and down the Dinaric chain (*Srejović 1996; Müller 1994; Budja 1993; Chapman et al. 1997*) could have been part of a system of seasonal movement, which involved summer occupations in the high hills and winter stays in the narrow coastal lowlands. Likewise the Southern Bug-Dniestr sites may have been based on a combination of local river fishing and forest-steppe hunting.

Different kinds of radius and mobility are evident. In at least two cases, though each was different, the combination of local activity with long-range mobility may be the key to understanding the distribution of people and sites. Were areas like Thessaly, therefore, which was so important in the Neolithic from the early Neolithic onwards, literally empty in the Mesolithic? Despite the general continuing nonrecognition of Mesolithic sites, there is a documented presence now in the Theopetra cave (*Kyparissi*- Apostolika 1995), and this could indicate – albeit unclearly at this stage – something of the same kind of regional system. There is also the matter of where some early Neolithic sites were placed. Early sites include many examples away from the most fertile locations suitable for easy permanent occupation, including Achilleion close up to the southern hills fringing the Thessalian plain, and Sesklo set in its striking natural amphitheatre of hills (Kostas Kotzakis, pers. comm.; Mills 1997). It is as though there was already knowledge of where to go.

The Neolithic pattern of settlement could therefore have been based on what went before, but equally it does not represent a direct continuation of this. At a regional scale there was infill and perhaps a shift in the range of mobilities (though note the continued importance of Melian obsidian, brought to Thessaly, and of pre-Balkan platform flint, taken to Starčevo sites). Importantly, however, in the perspective suggested here, such infill and shifts were relative. A 'clean slate' or 'empty niche' model of colonisation of the Balkans can hardly any longer be supported. In the past such expansion, whatever precise form it took, has been seen chiefly as the outcome of the operation of new ways of getting fed. The rest of this paper is concerned with the significance for this question of matters of identity.

EARLY NEOLITHIC LIFESTYLE IN THE NORTHERN BALKANS

If the Neolithic phenomenon in the northern if not also the southern Balkans was not simply a matter of changing resource procurement and diets, what other changes were fundamental?

We have already noted above that there were subsistence changes, notably the appearance of domesticated animals including sheep and goats and the beginnings of cultivation of non-indigenous cereals. These new elements became very widely distributed, including within the Danube Gorges, where isotopic evidence indicates a less aquatic diet in the contact phase (Bonsall et al. 1997). What, however, was their importance? To answer this, much basic research remains to be done, especially now at local scales (cf. Miracle 1997). It has long been clear (cf. Banner's brilliant initial 'ethnology' of the Körös culture: Banner 1937) that a very varied range of resources was exploited in the Körös context. Game, fish, birds and shellfish are documented, and the succession of deposits in pits in Maros-Tisza confluence

sites could show patterns of resource exploitation changing by the season (Tringham 1971.92; Trogmayer 1968). Fine sieving, cementum increment studies (cf. Lieberman et al. 1990; Burke 1993: Burke and Castanet 1995) and detailed micromorphology of feature fills are among approaches that need to be applied, to refine our understanding of seasonality and seasonal variation in resource use. From Starčevo itself comes a long list of game, fish and birds which were exploited (Clason 1980), a range which seems to be matched on Körös sites (Bökönyi 1974; Bökönyi 1992; Takács 1992). Starčevo itself is on the edge of the Danube floodplain (Barker 1975); the extent and duration of annual flooding there remain to be established. Further north in the Körös river system, the extent and duration of backswamp flooding both seem likely to have been greater (Kosse 1979; Sherratt 1982a; Sherratt 1982b), though again this remains to be established in much more detail. People of the Köros culture may have lived much of their lives in a fragmented pattern of islands. If so, it seems unlikely that either limited cereal cultivation or the husbandry of sheep and goats could have constituted the critical key resources which enabled the intake or infill (if such it really was) of this environment from the early Neolithic onwards. It is possible that future research into river history could indicate changes in natural conditions which allowed easier exploitation of this zone than in the very early Holocene (there might be an issue of malaria in wet lowlands: Andrew Sherratt, pers. comm.; and Sherratt 1997.21). When occupation came, levée cultivation of cereals is plausible enough (cf. Sherratt 1980; van Andel et al. 1995), but the scale and regularity may have varied. Flotation at the short-lived, perhaps seasonal Cris occupation site of Foeni-Sălas in western Romania produced no cereal remains (Greenfield and Drasovean 1994). The keeping of sheep and goats might even appear somewhat perverse in this kind of setting. The motive for possession of these animals could rather have been novelty or their connection with new beliefs and identities.

As already noted, Starčevo-Körös sites characteristically have thin levels, and in the current state of research built structures are relatively rare. That built structures did exist is well enough shown by examples like Divostin and Tiszajenő (*McPherron and Srejović 1988; Selmeczi 1969; Raczky 1976; cf. Trogmayer 1966*), and suggested elsewhere by surface finds of burnt daub (e. g. Sherratt 1983), and the only slightly later example of new discoveries of longhouses in the northern Linear Pottery culture of the Hungarian Plain at Füzesabony (Domboróczki 1997) shows how dependent such observations can be on the scale of excavation possible; before the motorway rescue excavations, AVK longhouses could only be documented episodically from the Szakálhát phase onwards. There is also an enormous amount to be done to understand the possible rhythms of occupation of Körös waterside sites (cf. Sherratt 1982b). But even in the current state of research, it seems likely that there was coming and going in the Körös lifestyle, and given that Starčevo sites include also waterside ones and caves in the hills, it is plausible that the generalisation holds good over a wider area, and not just in the Körös river system itself.

Mobility in the Starčevo-Körös lifestyle could be considered at seasonal, annual and lifetime scales (*cf. Whittle 1997; Chapman 1997b; Zvelebil 1993*). We do not know whether or to what extent there was year-on-year occupation of single locations; seasonal mobility looks a likely and recurrent feature, and the wider scale of lifetime mobility may also be important. Given this possible, if still largely hypothetical diversity, and compared to the varied pre-Neolithic situations or systems sketched above, there is plenty of scope for adjustment of existing practices. To have moved from pre-Neolithic systems of mobility to Starčevo-Körös systems of mobility may not have required major adaptation.

If the Neolithic was not a matter only of nutrition, and if its patterns of settlement could have been descended from pre-existing regional practice, what can we say about the beliefs and senses of identity which could have served both to change and define a new world?

SYMBOLIC IDENTITIES

This dimension can be approached in two ways: through material culture, especially pottery and figurines, and mortuary rites. Each can be taken in turn. This will then lead to comparison with indigenous traditions including that seen in the Danube Gorges sequence.

Material culture: pottery and figurines

Starčevo and Körös sites are rich in pottery, poor in stone. The quantities of lithic waste and tools are limited. There are stone axes, but these are recurrently quite small and never abundant. In the Körös

a in the Danub and figurines rich in pottery waste and too s, but these a abundant. In t lar materials were scarce; their availability varied regionally (Kertész 1996). At Endrőd 39, one cache of 101 flints had been put in a pot which was deliberately placed in a pit cut through a soil over a preexisting house. The flints, consisting of various preparation flakes, including for platform preparation, probably came from three nodules of flint from the western Banat (so to the south-east), suggesting both long-range procurement and careful hoarding (Kaczanowska et al. 1981). Some other lithic remains were recovered from the site. The abundant material on Starčevo-Körös sites is pottery. Numbers of sherds can run into the thousands from single features; up to 30 000 were recorded from Pit 1 at Röszke-Ludvár (Trogmayer 1968; John Chapman, pers. comm.). Contexts are known in which pottery has been found in houses or structures (e.g. Tiszajenő: Raczky 1976), but it is also clear that much greater quantities are to be found in the spaces in between, including in pits and other features (Trogmayer 1968.12: Makkay 1992). While there is much to do in the future in terms of residue analysis as a guide to function and breakage/erosion analysis as a guide to deposition, three aspects of pottery can be considered here: the significance of style boundaries, decorative motifs and deposition as sherds rather than whole pots.

phase, one has the impression that flint and simi-

The traditional culture history approach, with its understandable concern for chronology, has given us a familiar vocabulary of separation into cultures or groups within cultural complexes: Starčevo, Körös, Cris, and so on. This has rarely been challenged, except by Nandris (1970) and more recently by Makkay (1996.36-8). That there are stylistic differences between the pottery of, say, the Körös rivers area of the Hungarian Plain and the southern part of the Vojvodina is not really in doubt. Techniques of roughening and decorating the surface of coarse pottery varied and the quantities of the rarer fine wares, including those with painted decoration, seem normally to be greater in Starčevo than in Körös contexts. What this may have meant in terms of human recognition and social interaction is quite another matter. Most maps of the phenomenon present borders and boundaries, within the normal style of the culture history approach, with little or no overlap (e. g. Dimitrijević 1974, fig. 1; Garašanin 1979, map 2; Tringham 1971, fig. 10; Kalicz 1990, Taf. 1.2). Really only Brukner (1966, fig. 1; cf. Garašanin 1982.111) has mapped a more subtle picture of overlap in the northern Vojvodina, with areas of 'Starčevo-Körös' distribution between 'Körös' and

'Starčevo'. Individual sites within this area like Donja Branjevina may show varying styles from stage to stage in their sequence (*cf. Ružić and Pavlović* 1988).

This may indicate a picture of continuum rather than sharp boundaries in ceramic style. Pottery may have been a medium through which convergence and cohesion rather than ethnic difference were expressed, as the culture model has so often, if implicitly, implied. Pottery then becomes a symbol of participation rather than badge of separation. It is hard to envisage a closed ethnic unit over the total range of the Starčevo-Körös phenomenon, any more than over the total area of the distribution of early Neolithic white-painted wares, but both could indicate areas of shared practice. Pottery was a new material medium in this area, and if the population using it were indigenous, some of the abundance of pottery might be explained by the novelty of a new medium being used to express versions of existing material practice (cf. Stevanović 1997). The general similarities between, say, indigenous lithic projectile distributions (e. g. Kozłowski 1982) and early Neolithic ceramic distributions might be considerable. The next step will be to examine more closely the manufacture and use of such pottery. It appears to have been easily made, including fine wares. There are some very large vessels in Körös contexts, which may have been used for storage (cf. Banner 1937. 37), but it is possible that many pots were made with a very short use-life in mind. That is certainly one way to explain the abundance of pottery, which could represent as disposable a material in its way as flint in other circumstances.

Pottery was a new medium for visual display. Surfaces of fine wares were smoothed and/or burnished, and some painted, with generally simple motifs. Surfaces of 'coarse' wares were also treated, either by roughening or applications of clay and frequently by finger-tip and fingernail impressions. In Körös contexts there are relief representations of both animals and human or human-like figures (e. g. Banner 1937; Kalicz 1970). The human figures are characteristically very stylised, with virtually no sign of individualism in terms of face or expression (Pollock 1995), and recurrent gestures such as bent arms, which might represent particular meanings, actions or contexts (Kalicz 1970; Banner 1937.41 suggested stylised representation of dancing). The animals are in part more recognisable, such as the stag from Csépa or the probable goats (with strongly curved horns) from Hódnezővásárhely-Kotacpart (Kalicz

1970, pls. 6-8); others, though said to be species-specific, such as the claimed deer on the vessel from Hódnezővásárhely-Hámszáritó are more ambiguous (*Kalicz 1970, pl. 9*). Human-like figures and animals occur together on the same large Körös vessels, and the combination must surely be significant; it is not yet clear whether they can also occur separately. This kind of representation seems in general much rarer in Starčevo contexts, though there are interesting examples from Donja Branjevina (*Garašanin* 1979, fig. XXXIX). These are made by incision, and represent animals whose identity is quite unclear; some have projections from their heads which could be either antlers or horns.

The tactility and immediacy of 'coarse ware' decoration have been neglected. This decoration is very common, but it seems shortsighted to relegate it to unconscious practice simply because it occurs on socalled coarse pottery. Roughening and finger-tipping bring the human hand into direct contact with the clay. This is a kind of signing of the pots, just as in other contexts and times rock art can be thought of as signing the land (Bradley 1997). It is possible that particular individual potters or decorators can be distinguished by variations on nail size and shape (Eszter Bánffy, pers. comm.), but the fact that these 'signatures' are superficially so similar may be the real point, expressing both participation and a merging of individualism in collective practice. This would be all the more significant if the manufacture and use of pots were episodic, based on either seasonal movement or a rhythm of cyclical gatherings and feasts. These humble sherds, on which so much dust accumulates in the museums of the region, may still loudly be proclaiming a central and important ethic of participation and communality.

Until very recently, the fact that so much of the pottery is represented by broken sherds has gone largely unremarked (Makkay 1992.149; Chapman 1996; Chapman forthcoming). It is likely that the significance of pots was carried over into the practices surrounding their deposition. Pots may have been deliberately broken after use in particular events, gatherings or feasts: another way of explaining the great quantities involved. It can be argued that sherds stood metonymically, as part for whole, for past social interaction, and carried something of their past history into the ground in chosen places, as people consciously selected and deposited them. There is enormous scope in future fieldwork for more detailed study of variation in such depositional practice (cf. Last 1996).

Figurines may present both overlaps with and contrasts to what may be represented in pottery. Starčevo-Körös figurines are overwhelmingly of human form. Two unique four-footed and double-horned pieces from Szolnok-Szanda may be a rare, if rather abstract, representation of bull imagery (Kalicz and Raczky 1981); some four-footed lamps may also have schematic animal heads (Kalicz 1970, fig. 13). Given the more frequent representation of animals on Körös pots and as figurines in subsequent phases of the sequence, for example from the AVK on the Hungarian Plain (e. g. Domboróczki 1997) or from the Vinča culture further south (Gimbutas 1991), this absence may be significant. It may suggest claims for the centrality of the human form and human identity, although in other contexts these were treated in combination with those of animals.

Traditionally, figurines have been seen as some kind of representation of spirits or ancestral figures (e.g. Gimbutas 1991, and a vast literature). It has also been suggested that figurines in some contexts may represent individuals or 'acting human beings' (e. g. Bailey 1994; Biehl 1996). For the purposes of this discussion (and without wishing to reduce a highly complex issue), it is neither possible nor desirable to settle upon a single meaning. The apparent anonymity of Starčevo-Körös figurines may speak against their representing specific individuals as such. They do not seem to occur in Starčevo-Körös burials, where pots are perhaps the most recurrent (but still infrequent) grave good (e. g. Galović 1964; Trogmayer 1969). A more typical sort of context is represented by one context at Endrod 39, in which parts of four figurines, already broken, were deposited close together at the base of a substantial pit, with animal bones, sherds and bone tools above and nearby (Makkay 1980.210). A possible inference is that figurines were something held in common, akin to the signings on pots suggested above, and circulated widely among the living until (deliberately) broken and deposited. Nor were figurines necessarily the only token of concepts of ancestry, if this was indeed part of their field of reference. So-called sacrificial pits in Körös contexts held carefully deposited layers of material and finds including pottery, animal bones, fish bone and snails (e. g. Makkay 1992).

Superficially, the overwhelming representation in the figurines is of the mature female form, with varying emphasis on heads, breasts, genitalia and buttocks; limbs seem less important (a contrast which can again be heightened by comparison with pottery and with later figurines). Heads and necks are elon-

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gated (and see below); there is some treatment of eyes as schematic slits, and the occasional suggestion or representation of nose and mouth. There are some suggestions of hair. Generally faces appear to our eyes abstract, expressionless and anonymous. This may be the combination again of individual and collective. Breasts and genitalia are separately modelled or indicated on the bodies of most figurines. They are not normally further emphasised, though occasionally there is a kind of startling realism, as in the Szajol figurine (*Raczky 1980*). Buttocks and thighs are normally disproportionately large.

As well as the superficial emphasis on the female form, and the apparent anonymity of faces, there is another neglected feature of these figurines: their ambiguity in terms of gender or sexual representation. Is it fanciful to suppose that elongated heads and necks are in fact also a representation or a suggestion of erect *male* genitalia? The same suggestion has been made, independently, for Greek material (Kokkinidou and Nikolaidou 1997). Many of the Starčevo-Körös figurines in fact offer quite striking images of the head of the erect penis. One of the most suggestive examples is from a Starčevo context at Gladnice (Garašanin 1979, fig. XXIV), well to the south, and others also occur further south, including in Greece (Kokkinidou and Nikolaidou 1997), but these objects are widespread including within the Körös distribution (see for example Gyomaendrod 119: Makkay 1992; and Szajol: Raczky 1980). The whole figurine may also be regarded as in part a representation of erect male genitalia, in which buttocks become transformed into testicles. There is no need to insist on either interpretation to the exclusion of the other. What seems most interesting is the potential ambiguity created, in a medium - fired clay - which itself presents the theme of transformation (Talalay 1993). There is thus in these apparently simple figurines a possibly complex set of beliefs. The human form is emphasised separately from animals. Female form is emphasised, with overt attention to reproductive or sexual parts. Heads and necks are important, but faces are more anonymous. At the same time there is some kind of concern for the combination of female and male gender and/or sexuality. It is a striking presentation of a particular kind of self-consciousness, once again a merging of perhaps several different identities. I will consider below possible differences and continuities with the indigenous system of representation of identity as seen in the Danube Gorges; the concern for reproduction and fertility may be old, while the heightened awareness of several dimensions of a separate human identity may be new.

Mortuary rites

Starčevo-Körös mortuary rites were simple but varied. The principal visible element of such rites seems to have been in settlements or occupations. Not all occupations contain burials or human remains, and it is hard in the present state of evidence to distinguish whether burials occur only on particular kinds of site. Gyomaendrőd 119, for example, apparently a quite small occupation, has a number of burials, while the larger area opened at Divostin had only one shallow burial of an adult woman, uncertainly attributed to the Starčevo phase (McPherron and Srejović 1988). From the indications of sequence at Gyomaendrőd 119 (Makkay 1992), it seems likely that the rate of deposition was slow: perhaps only one burial every few years at the most. There do not appear so far, in the current state of excavation, to have been cemeteries or burial grounds, so much as episodic accumulations or small concentrations in places chosen and re-chosen for occupation. It has been suggested that a sense of pollution in the Körös culture could have caused site abandonments and short-distance relocations (Chapman 1994b), but this may be too extreme an explanation for specific instances like Gyomaendrod 119. The further obvious implication is that much of the population is not represented in the evidence excavated so far, which could reinforce the sense of fluidity and mobility that characterises other aspects of the settlement record and the lifestyle as a whole. The dead may have been used to reinforce the attachment of the living to particular places, but that attachment itself was a broad one.

The diversity of rites is striking. These have been described often enough before (e. g. Garašanin 1982; Borić 1996; Trogmayer 1969; Chapman 1983; Chapman 1994b), but will bear brief rehearsal in order to contribute to the discussion of lifestyle, relations between individual and collective, and comparison with pre-Neolithic rites; analysis of context-related variation has so far not been systematic enough. Women, men and children are represented in the mortuary record; so far, women might be in the majority (Chapman 1983.8; Zoffmann 1986, for Hungary; Borić 1996, table 1 for the Srem region in northern Yugoslavia). The dominant mode was inhumation of fleshed corpses, either contracted or sometimes extended with some flexing of the legs. Single burials are recurrent, though double burials also occur, and small collective deposits are found in both Starčevo contexts, as at Vinča (Garašanin 1982: Letica 1968; the context could be very early Vinča

culture), and Körös contexts, as at Hódnezővásárhely-Kotacpart-Vata tanya (*Trogmayer 1969; Zoffmann 1986*). There are also in Körös contexts partial inhumed remains, skull deposits and even rare cremation deposits (*Chapman 1994b*).

Single burials normally occur either in their own grave pits or in larger, presumably abandoned features normally interpreted as pits or pit-dwellings. It is not yet clear whether there is any structured difference between the remains and their treatment in such differing contexts. Burials have been found inside structures, as at Szajol and Szanda near Szolnok, and it is possible that these were deliberately fired following deaths of occupants or 'household' members (Raczky 1982-3; Chapman 1994b; cf. Stevanović and Tringham 1997; Stevanović 1997). A related example could be the collective deposit at Vinča in a supposed former pit-dwelling (Garašanin 1982). The orientation of the body seems to have varied in Starčevo contexts as a whole (Garašanin 1982); a recent discussion of the Srem region evidence suggests greater variation for left-side inhumations (Borić 1996, fig. 3a). Less variation is claimed in Körös contexts (Trogmayer 1969.13). There has been no context-related examination of orientation, to consider body position in relation, for example, to natural features. It has been suggested that details of the position of heads and upper limbs, as at Zlatara A, could be related to personal identity or position (Borić 1996.74).

Many burials were not accompanied by grave goods. There are early reports of Körös burials with red ochre around the skull (*Trogmayer 1969*), echoing practices in the Danube Gorges (*Radovanović 1996*; *Bradley 1998*), but ochre does not seem to be an element of Starčevo rites. In various cases whole pots and sherds were deposited with the dead. At Golokut in Srem an adult woman was interred below the skull of an aurochs (*Borić 1996*, and pers. comm.), while there were red deer antlers with a woman at Zlatara B (*Borić 1996*).

It was formerly suggested that complete inhumations in these contexts might represent more socially prominent persons than the partial remains incorporated into refuse deposits (*Chapman 1983.10*). It has also been suggested that Starčevo communities emphasised 'certain communal rights' through their burials (*Borić 1996.75*). I would prefer to emphasise diversity and fluidity. Diversity and mobility do not seem easily compatible with rigidly fixed social positions. Some of the dead may have been buried

or exposed elsewhere before eventual deposition, or even moved around the landscape before final interment. The contrast then would be between those buried after death and those selected for ancestral veneration. The apparent numerical dominance of women is significant. It was formerly linked to the hypothetically central role of women in hoe agriculture (Chapman 1983.10), but this is to assume that hoe agriculture had a central role in Starčevo-Körös subsistence. It may have more to do with other gender-based division of labour or gender-based variation in lifetime mobility. It is tempting to see a link with the superficial dominance of the female form in figurines. Identities and social roles were perhaps much more open than we are accustomed to think of or experience. Burials may have reinforced a sense of place, but there were many places so reinforced. People were perhaps more attached to regions or landscapes than to particular places alone, and the fluidity of social relations may have allowed the individual or groups to move and to merge freely with others. Burials recurrently present the individual, but the individual is also subsumed in the collective. Once again there is ambiguity (I have discussed the concept of the individual more widely elsewhere: Whittle forthcoming).

DESCENTS: COLONISATION, ACCULTURATION AND INDIGENOUS CHANGE

So far, I have cast doubt on the applicability of the colonisation hypothesis for the northern Balkans, while leaving the matter open for the southern Balkans. I have indicated that at a broad regional scale there were widely distributed Mesolithic populations in south-east Europe as a whole, which had varying patterns of lifestyle, mobility and subsistence. I have suggested that the early Neolithic northern Balkan lifestyle was based on mobility of varying kinds and a very broad subsistence spectrum; some elements represented, such as sheep and goats in wet Körös contexts, may have had more to do with novelty than practical reason. Identities may also have been open, fluid and ambiguous. Material culture patterning, for example as seen in pottery, looks weak, and we need to break away from the traditional assumptions of differentiation implicit in the culture model approach. Decoration of pots and their frequent deposition as broken sherds may have served to submerge the individual in a wider collective. Burials also celebrate the individual, but without clear emphasis on particular persons or their social position.

The dead populated the whole landscape in varying guises, again merging individual and collective. If the colonisation hypothesis is unreliable, how can we plausibly derive this situation from the indigenous setting? It is my aim here to suggest refinements to existing acculturation models (*see also Zvelebil 1998a; Zvelebil 1998b*).

A straightforward acculturation model would accept the existence of more or less widely distributed Mesolithic populations, and suggest that under the influence of innovations to the south there followed a series of changes in the northern Balkans, including the adoption of cereal cultivation and animal husbandry, including the use of sheep and goats, the adoption of pottery and figurines, built structures and so on. Such changes might be seen as extensive, driven above all by change from the outside. While not denying the importance of changes in the situation from the outside, what I wish to explore is the possibility of something more complex.

Indigenous traditions: generalities

Taken again at a broad scale, it is possible to use the south-east European Mesolithic evidence to suggest many elements of continuity of lifestyle. Mesolithic people were regularly mobile, though to varying degrees, and the possibility of restricted mobility, for example in the Danube Gorges or in the Southern Bug and Dniestr valleys cannot be excluded. Particular places were emphasised by repetition of occupation, from obvious examples like Franchthi Cave and locations in the Danube Gorges to spectacular inland Montenegran caves like Crvena Stijena (Srejović 1989). A broad spectrum subsistence economy was practised, and there was long-distance movement of raw materials. Burials reinforced the importance of place, with examples at Franchthi, Theopetra, and in the Danube Gorges (Jacobsen and Cullen 1981; Kyparissi-Apostolika 1995; Radovanović 1996). Individuals in this world too may have moved freely from group to group; the patterning in material culture is also broad and not sharply differentiated.

In this perspective, the scale of early Neolithic changes could actually appear relatively restricted, to the extension of zones of settlement, the limited take-up of some cultivation and husbandry, and the exuberant use of fired clay for pottery and figurines. It is not so much the material conditions of existence that may be at stake, important though those obviously are, as shifts in the sense of identity of individual and collective. Can that further be explored?

Indigenous traditions: the case of the Danube Gorges

My discussion will principally concern the Danube Gorges. The major features of the phenomenon are well known and need no re-description here (Srejović 1972; Radovanović 1996). The chronology of developments in the Gorges is central. There is a large body of opinion which attributes the significance of the Gorges phenomenon principally to its pre-dating the Neolithic (e. g. Srejović 1972; Srejović 1989; Boroneant 1989; and many others). The more likely sequence, however, is that while some sites in the Gorges can indeed be dated to before the Neolithic in the wider region as represented archaeologically by Starčevo-Körös material, the apogee of the Gorges developments was contemporary with early Neolithic culture elsewhere in the wider region (Whittle 1985.115-8; Radovanović 1996; Whittle 1996.24-9). From this it follows that the belief system or ideology seen in its most developed form at Lepenski Vir itself could in some sense have been a resistance to or variation on early Neolithic belief and ideology (Whittle 1985.118: Chapman 1993: Radovanović 1996; Whittle 1996.44-6). It is not therefore a precursor, but, even more interestingly, a foil to early Neolithic ideology. The Lepenski Vir system is not necessarily completely opposed to that of the early Neolithic, but its major features may serve further to highlight what is new about the early Neolithic sense of identity and belief.

Srejović himself insisted that there were mythic dimensions to the symbolism of Lepenski Vir I and II:

... the existence of a specific fish-like deity came into being relatively late in the Lepenski Vir culture. It probably descended from the belief that all men were children of the river, or the descendants of mermen, or perhaps from a myth in which water, stone, the boulders, fish, deer and human heads held the most important places (Srejović 1972.122).

This kind of interpretation was curiously neglected for a long time, including by this writer. Renewed attention was given to the symbolism of Lepenski Vir by Hodder (1990), but that brief analysis concentrated on simple binary oppositions between hearth and burials, life and death, and so on. Handsman (1991; cf. Chapman 1993) took note of the carved boulders, but principally as representations of lineage ancestors, in a discussion of the development of social relations along presumed lineage divisions. More recently still, Bradley (1998) has drawn attention to the unifying features of the materials and practices drawn upon in Lepenski Vir, to suggest a worldview more in harmony with its natural surroundings.

It is possible to go still further, and the most successful detailed attempt to develop Srejović's view has been made by Radovanović (1996; 1997). This account accepts that Vlasac, only a little downstream in the Upper Gorges, is earlier than Lepenski Vir. The burials there may be of two phases. As elsewhere in the Gorges, ochre was scattered in an earlier phase on the bodies of the dead (on men, women and children). In its later phase, ochre is scattered only on women, in the pelvic area, becoming perhaps a symbol not just of life but also of birth. Ochre was not a feature of Lepenski Vir burials. There is continued interest in fertility, for example in the combination of female mandibles and hearths, and one might add in the form of red deer antlers near the hearths of phase II (Srejović 1972.123). An earlier burial in phase Ie had an aurochs skull by the deceased's shoulder, a red deer skull by one hand and antlers nearby (Srejović 1972.120, pl. 61; grave 7, house 21). Birth symbolism shifts into the houses or shrines in the form of sculptures with vulvae, for example in Lepenski Vir II house XLIV, thus being transformed from something associated with individuals and becoming 'interwoven into a complex set of other symbols belonging to a collective heritage. The collective heritage acted as a myth, even as a dogma ...' (Radovanović 1997.88). Other features are important. The heads of the dead at Lepenski Vir (children often under the house or shrine floors, with adults in the spaces in between) were oriented downstream. Sculptures from an early part of Lepenski Vir I onwards present fish-like faces, which become both larger and more accentuated in Lepenski Vir II. These can be seen to represent the massive anadromous beluga, Acipenser huso, though that was largely absent from fish remains themselves in later levels. In a rather different way to Hodder, Radovanović comes to a duality between life and death, with the river itself of critical and central importance as the conduit for the passage upstream of the ancestors (as beluga) and the departure downstream of the dead, and as a metaphor for death and endings on the one hand and life and return on the other (Radovanović 1997.89).

One could add two emphases, both to do with the dynamic development of the sequence. The early burials of Lepenski Vir appear to be very varied in nature, and include partial remains, heads only and jaws only (*Srejović 1972.117-8*). The later burials seem therefore to represent a relatively greater formalisation of mortuary rites, and perhaps therefore a consolidation also of collective identity, especially if, as I have argued elsewhere (*Whittle 1996*) the houses were in fact shrines and the whole site a special sanctuary serving a wider area and population.

The other point to stress is once again the wider context. These spectacular developments at Lepenski Vir took place on the chronology advocated here at a time of Neolithic contact. They emphasised a special place and a special area with a long history. By the apogee of Lepenski Vir II, there were major ideas to do with belonging, the merging of the individual into a wider collective, origins, ancestral return and the destination of the dead, which *had developed, amplified or made explicit* earlier ideas to do with the centrality of fertility, reproduction and unity with nature.

It would be naive to suppose that the belief-system represented in the Danube Gorges should reveal that of the whole of Mesolithic south-east Europe. But its major elements may help also to define what was different about early Neolithic ideology, and therefore give further insight into what was involved in the conceptual shifts of an indigenous transition. Ideologies need not necessarily have been completely opposed. This is not the only likely case of delay and resistance. The Ertebølle case springs to mind (Whittle 1996, chs 6 and 7, and references), and in that case some of the long process of stasis may have been conditioned by convergence as much as by difference. The early Neolithic belief-system as sketched earlier was in varying ways to do with belonging, origins and ancestral figures, fertility and reproduction. There were therefore perhaps considerable elements in common at one sort of level. Belonging and identity may have been more ambiguous and fluid in the early Neolithic situation, as discussed above. Perhaps it was so also in the Mesolithic, and the apogee of Lepenski Vir could be seen as an attempt to fix behaviour into a particular mode. The interest in ancestors in the early Neolithic seems to have been bound up with a greater interest in the human form and human body, as expressed in the form of figurines and in their often ambiguous gender. There was an interest in animals as separate beings, perhaps a concern for human relationships with animals created by the new practices associated with domestication.

Both sets of people, if such a crude distinction can be made, thought about where they came from and to what they belonged. In the Gorges, this was focused on concepts of the natural world and ancestors who took natural form, on a cycle of life, reproduction and death. In a wider world, and undoubtedly affected by developments to the south, other people focused on concepts of a human world, the importance of belonging to a broad community, of tracing descent from ancestors in human form, and of a more conscious difference between people and animals. The human dead were hardly neglected, but their treatment suggests that they were not a central focus in the same way as in the Gorges. I have deliberately tried to avoid simplistic opposition between a Mesolithic and an early Neolithic belief system, nor do I suggest that these would have been uniform; the domus concept (Hodder 1990) runs both risks. But it is as though, as well as the overlaps, there were fundamental divergences: on the one hand, an emphasis on cyclicity, the merging of time, and the importance of death, and on the other. an emphasis on ancestral beginnings, marked time, and participation by the living in social life.

I am trying to avoid both simplistic or universalising models and excessive opposition between putative worldviews. The elements sketched here, however, do recall the contrasts made by several authors between one worldview, associated with at least some recent hunter-gatherers or foragers, in which nature is perceived as a partner, if it is actually conceptually distinguished at all, and another worldview, thought to be more characteristic of cultivators and others, in which 'nature' is both separated and appropriated (Ingold 1986; Ingold 1992; Ingold 1993; Bird-David 1990; Bradley 1998). The contrast here, if valid, might best be summed up in the differences in the representation of faces: in the Danube Gorges context a composite image which draws on both fish and humans, but in Starčevo-Körös contexts an image based on human features alone.

People in a process of transition could have drawn on both sets of ideas. There is no need to suppose instant or wholesale change. The Starčevo burials from Golokut and Zlatara B, with their animal remains, strongly echo certain of the deposits at Lepenski Vir, and the diversity of Starčevo-Körös mortuary rites also recalls Gorges practices before they became more formalised. On the other hand, new ideas filtering from the south may have spread the quicker or more easily because they were not wholly dissimilar to existing ones. The potentially complex set of interactions is thus poorly conveyed in the term 'acculturation'. Just as Srejović emphasised the importance of myth in the Danube Gorges, so I suppose that mind-sets were changed by myths and stories, by new tellings of the beginnings of the world, of the nature of human social life and of human relationships with the natural world (*cf. Whit-tle 1996; I will discuss these ideas further elsewhe-re*). I presume that these would have spread more quickly than anything else, and could have encouraged people to dwell in parts of south-east Europe previously little used or swiftly passed through.

A final example is the neglected upper level III at Lepenski Vir. The place was still used, but much changed (*Srejović 1972*). Structures were of irregular shape and earth-sunk, and a small number of burials were set in deep graves next to these. Among other new material culture, extraordinarily abundant pottery replaces the old symbolisms. The motif on one large globular pot from level IIIa is particularly telling: an outstretched human hand (Srejović 1972, pl. VIII).

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